

Chinese Herbal Formula Huayu-Qiangshen-Tongbi Decoction Compared With Leflunomide in Combination With Methotrexate in Patients With Active Rheumatoid Arthritis: An Open-Label, Randomized, Controlled, Pilot Study

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Background: Traditional Chinese Medicine is complementary and an alternative to modern medicine. The combination therapies of herbal products with disease-modifying anti-rheumatic drugs are gradually and widely adopted in the management of rheumatoid arthritis (RA) in China.

Purpose: To evaluate the efficacy and safety of Huayu-Qiangshen-Tongbi (HQT) decoction, a Chinese medicine formula, combined with methotrexate (MTX) in the treatment of patients with active RA, in comparison with the combination therapy of MTX with leflunomide (LEF).

Methods: This pilot study was a monocenter, open-label, randomized controlled trial with two parallel arms. Ninety patients with active RA were randomly allocated to receive either HQT at a dose of 250 ml twice daily or LEF at a dose of 20 mg once daily, and all participants received MTX at a dose of 10–15 mg once weekly. The primary efficacy endpoint was the proportion of patients who achieved a 20% improvement in the American College of Rheumatology criteria (ACR20) after a 24-week treatment.

Results: 84.4% (76/90) patients completed the 24-week observation. In the intention-to-treat analysis, the percentage values of patients achieving the ACR20 response criteria were 72.1% (31/43) in MTX + HQT group and 74.4% (32/43) in MTX + LEF group (p = 0.808). No significant difference was observed in other parameters,

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including ACR50, ACR70, clinical disease activity index good responses, European League Against Rheumatism good response, remission rate, and low disease activity rate. The results of the per-protocol analysis showed consistency with those of the intention-to-treat analysis. The mean change from baseline at week 24 for the van der Heijde modified total sharp score had no significant difference between two groups (3.59 \pm 4.75 and 1.34 \pm 8.67 in the MTX + HQT group and MTX + LEF group, respectively, p = 0.613). The frequency of adverse events was similar in both groups (11 cases in the MTX + HQT and 17 cases in the MTX + LEF, p > 0.05).

Conclusions: In patients with active RA, treatment with the combination of HQT and MTX was associated with improvement in signs, symptoms, and physical function. With a beneficial clinical response and acceptable tolerability, HQT or other Chinese medicine formula may be a good therapeutic option in combination with MTX for RA treatment.

Trial registration: Chinese Clinical Trails Registry, ChiCTR-INR-16009031, Registered on 15th August 2016, http://www.chictr.org.cn/enindex.aspx.

Keywords: combination therapy, Chinese medical formula, Huayu-Qiangshen-Tongbi decoction, randomized controlled clinical trial, pilot study

INTRODUCTION

Rheumatoid arthritis (RA) is a common autoimmune musculoskeletal disease affecting the joints primarily, leads to structural damage including cartilage destruction and bone erosion, and brings about extra-articular harm such as cardiovascular, pulmonary, and psychological disorders (1). Disease-modifying anti-rheumatic drugs (DMARDs) are the principal choice of first-line treatments for patients with RA, among which methotrexate (MTX) is well-established as an anchor drug for both treatment and research (2). However, not all patients receiving MTX monotherapy achieved low disease activity (LDA) or clinical remission (3). Over the last two decades, the treatment of RA has been transformed, and today, in patients with insufficient response to MTX monotherapy, combination with biological DMARDs or other conventional synthetic DMARDs (csDMARDs) is an international consensus of RA therapeutic strategy (2). Although new effective treatment regimens increased the clinical response rate of achieving full or long-lasting remission, a substantial number of RA patients did not respond to the current therapeutic strategies and even suffered from adverse effects (AEs) caused by long-term treatments, such as gastrointestinal toxicity, hepatotoxicity, bone marrow suppression, tuberculosis, and infection (2, 4). Previous researches reported that the use of prednisone and certain biological DMARDs increased the risk of tuberculosis and other opportunistic infections occurring in RA patients (4–6). Due to the development of advance effects, a portion of RA patients did not benefit from these combination therapeutic regimens and discontinued the treatment (7, 8). Therefore, there is still a considerable unmet need in RA treatment, and an application of new effective and safe treatment strategies should now be the priority of research efforts.

Traditional Chinese medicine (TCM), such as herbal products and acupuncture, has been widely practiced in clinics for over thousands of years in China and has found to be effective in treating many types of diseases, such as RA. Several Chinese medical herbs and their formulas, extracts, active ingredients, and even single compounds have been used for the RA treatment. Their clinical efficacy against RA and the safety have been evidenced by clinical practices and clinical trials in RA patients (9-12). Huayu-Qiangshen-Tongbi decoction (HQT) is a Chinese medical formula used in RA treatment in Guangdong Provincial Hospital of Chinese Medicine, which is composed of the following natural materials: the root and rhizoma of Salvia miltiorrhiza Bunge (Danshen), the rhizoma of Dioscorea nipponica Makino (Chuanshanlong), the root of Astragalus membranaceus (Huangqi), the root of Paeonia tacti lora Pall (Baishao), the root, stem, and leaf of Saussurea involucrata (Kar. et Kir.) Sch.-Bip (Tianshanxuelian), the bark of Eucommia ulmoides Oliver (Duzhong), the root and rhizoma of Davallia mariesii Moore ex Bak (Gusuibu), the root of Dipsacus asperoides C. Y. Cheng et T. M. Ai (Chuanxuduan), the earthnut of Chinese Foxglove (Shudi), and the root and rhizoma of Glycyrrhiza

Abbreviations: ACR, American College of Rheumatology criteria; ACR, American College of Rheumatology; ANOVA, analysis of variance; cDAIs, clinical disease activity index good responses; csDMARDs, conventional synthetic DMARDs; DAS28-CRP, 28-joint disease activity score based on C-reactive protein; DMARDs, disease-modifying antirheumatic drugs; EULAR, European League against rheumatism; ESR, erythrocyte sedimentation; HQT, Huayu-Qiangshen-Tongbi decotion; HAQ, Health Assessment Questionnaire; ITT, intention-to-treat; JSN, joint space narrowing; LDA, low disease activity; LEF, Leflunomide; mTSS, van der Heijde modified total sharp score; MTX, methotrexate; NSAIDs, Nonsteroidal anti-inflammatory drugs; PaGADA, physician's or patient's assessment of global health status; PP, perprotocol; RA, rheumatoid arthritis; RCT, randomized controlled trial; RF, rheumatoid factor; TB, tuberculosis; TCM, Traditional Chinese medicine.

uralensis (Gancao). In our hospital, HQT has been used for RA management with the combination of csDMARDs, especially the MTX. Notably, we had undertaken a retrospective record review to evaluate the clinical response and AEs of the combination therapy of HQT and MTX in 2019. The result of the retrospective study showed that HQT combined with MTX had favorable therapeutic effects in improving the overall symptoms of RA patients with good tolerance (13). HQT may function as a kind of DMARDs, which can be used as an alternative or add-on treatment against RA. The purpose of this study is to determine the efficacy and safety of HQT in combination with MTX by performing an investigator-initiated, 24-week prospective, randomized clinical study, which might provide basic data and evidence for a further undergoing multicenter, double-blinded, randomized, placebo-controlled trial.

METHODS

Study Design

This pilot study was a 24-week, monocenter, open-label, randomized controlled trial, which was conducted in the Second Affiliated Hospital of Guangzhou University of Chinese Medicine (Guangdong Provincial Hospital of Chinese Medicine) between August 2016 and September 2018. All the participants were provided written informed consent, and the protocol was first approved by the Medical Ethics Committee of the Second Affiliated Hospital of Guangzhou University of Chinese Medicine (B2016-076-01) and subsequently registered with the World Health Organization clinical trial registry (no. ChiCTR-INR-16009031).

Patients

All participants were recruited from an outpatient rheumatology clinic at the Second Affiliated Hospital of Guangzhou University of Chinese Medicine (Guangdong Provincial Hospital of Chinese Medicine) in Guangzhou, China. Individuals with RA were all screened in clinics based on the inclusion and exclusion criteria, which are described later. If the eligibility criteria were met, the patients would be asked if they were interested in participating in the trial. The trial coordinator contacted participants to explain the requirements and purpose of the study, and the informed consent was completed as well.

Inclusion/Exclusion Criteria

Participants should meet the following criteria in this study: (1) aged between 18 and 65 years; (2) diagnosed with RA based on the diagnostic criteria of 1987 American College of Rheumatology (ACR) (14) or the 2009 ACR criteria (15); (3) were in functional class I, II, or III (according to the 1987 American Rheumatism Association classification standard) (14); (5) Chinese medicine inclusion criteria: with a syndrome pattern including wind and damp stagnation, cold and damp stagnation, hot and damp stagnation, phlegm and stasis stagnation, and deficiency of kidney and liver (criteria of Chinese medicine symptoms assessment is shown in **Appendix 1**); (5) the 28-joint disease activity score (DAS) based on C-reactive protein

(DAS28-CRP) score > 3.2 (16); (6) received a stable dose of nonsteroidal anti-inflammatory drug (NSAID) during the 4 weeks before screening, or did not take NSAIDs before screening for at least 1 week; (7) did not take DMARDs (including biological DMARDs and csDMARDs) before screening during the 4 weeks; the patients who received DMARDs must have a period of DMARD washout that lasted for at least 4 weeks before the trial; (8) if patients took corticosteroids such as prednisone, the dose should be ≤ 10 mg, and they must have already taken more than 4 weeks before starting this study; and (9) agreed to participate in the trial and signed a form of informed consent.

Patients were excluded from this trial if they: (1) had a history of another autoimmune rheumatic disease, Sjögren's syndrome or systemic lupus erythematosus for instance; with joint swelling because of osteoarthritis, trauma, septic arthritis, or crystal arthritis; recent, current, or chronic infection, for example, the infection with hepatitis B or hepatitis C; evidence of any extents of Mycobacterium tuberculosis infection; (2) had other severe disorders, such as hematopoietic, brain, lung, or cardiovascular diseases; (3) had a hemoglobin level of <90 g/L, a platelet count of $<100 \times 10^9$ /L, or a white cell count of <3.0 $\times 10^{9}$ /L; (4) had an estimated glomerular filtration rate of ≤ 40 ml/min (evaluated by Cockcroft and Gault method); (5) with a alanine aminotransferase or aspartate aminotransferase level > 1.5 times the upper normal limit; (6) had a gastritis or active gastroduodenal ulcer induced by the long-term treatment of NSAIDs; (7) were hypersensitive to medication used in the trial; (8) had participated in any other trials within 4 weeks at the time of screening; (9) women currently pregnant or who were planning on becoming pregnant during the study period; and (10) patients with mental disease.

Interventions

Eligible patients were allocated to receive either HQT (orally, twice per day, 250 ml for each time, 30 min after meals) or LEF (20 mg once daily) for 24 successive weeks. All the patients took MTX orally once a week, starting with 10 mg and increasing to 12.5 or 15 mg after a 4-week treatment. The ingredients and cooking method of HQT are shown in **Table 1**. The result observed from high-performance liquid chromatography analysis of HQT decoction is shown in **Appendix 2**. Patients were allowed to continue to receive NSAIDs and/or stable dosage of oral glucocorticoid (5–10 mg per day, prednisolone or equivalent) if the patients suffered intolerable pain (patient's assessment of pain \geq 40 mm), folic acid, bone protection drugs such as alendronate and calcium/vitamin D, and antacids during the trial. Patients could withdraw from the trial at any time if they were not satisfied with the clinical response.

Outcomes and Measurements Primary Outcomes

Patients were assessed concerning the outcomes and clinical parameters at baseline on weeks 4, 12, and 24 by different trained evaluators who did not know the treatments in the trial. The primary outcome was the patient's proportion achieving an ACR response of at least 20% (ACR20) at 24 weeks, according to the ACR criteria (17). To be considered as an ACR20 responder, a

TABLE 1 | Main components of HQT.

Pinyin Name	Latin Name	Doses	
Danshen	Salvia miltiorrhiza Bunge	20 g	
Chuanshanlong	Dioscorea nipponica Makino	30 g	
Huangqi	Astragalus membranaceus	30 g	
Baishao	Paeonia tacti lora Pall	20 g	
Tianshanxuelian	Saussurea involucrata (Kar. et Kir.) SchBip	3 g	
Duzhong	Eucommia ulmoides Oliver	20 g	
Gusuibu	Davallia mariesii Moore ex Bak	20 g	
Chuanxuduan	Dipsacus asperoides C. Y. Cheng et T. M. Ai	15 g	
Shudi	Chinese Foxglove	15 g	
Gancao	Glycyrrhiza uralensis	10 g	

HQT, Huayu-Qiangshen-Tongbi decoction. The decoction was made in the following manner: (1) Put the herbals and the right amount of cold water in the casserole, and soak the herbals in the cold water for 30 min. (2) Add 1,200 ml of cold water in the casserole, heat to boiling, and boil for 40 min, then filter the decoction two times; (3) Add 800 ml of hot water to the casserole with the boiled herbs together, boil for 30 min, and then filter the decoction two times; (4) Mix the decoctions together twice in 1 day, 250 ml for each time.

patient should achieve $\geq 20\%$ improvement in both tender and swollen joints (28 tender and 28 swollen joints were evaluated) and $\geq 20\%$ improvement in following three or more parameters: the patient's assessment of pain on a visual analog scale (0– 100 mm), the physician's or patient's assessment of global health status (PaGADA/PhGADA, 0–100 mm), the patient's assessment of function with a modified version of the Health Assessment Questionnaire (HAQ, scores are based on an overall mean score ranging from the highest within each group), and the serum level of CRP or erythrocyte sedimentation (ESR).

Secondary Outcomes

Secondary efficacy measures were the proportion of patients with 50 or 70% improvement, ACR50 or ACR70, at week 24, the clinical disease activity index (cDAI) good response, European League Against Rheumatism (EULAR) good and moderate responses, clinical remission, and LDA. The criteria of EULAR response were evaluated based on the individual amount of change in the DAS as well as the achieved DAS (low, moderate, or high). Moderate EULAR responses were a decrease (improvement) of >0.6 and \leq 1.2 and a DAS <5.1, whereas good responses are a reduction of >1.2 and a DAS <2.6 (18). A good response for cDAI was defined when achieving \geq 50% improvement or a cDAI \leq 2.8 (19). The extent of disease activity was assessed based on the DAS in DAS28-CRP as remission (<2.6) and LDA (<3.2) (16).

The following clinical and laboratory indexes were also assessed: the 28-joint tender joint count, 28-joint swollen joint count, morning stiffness duration, the patient's assessment of pain on a visual analog scale, PaGADA, PhGADA, CRP, ESR, rheumatoid factor (RF), HAQ score, and DAS28-CRP. Radiographs of the hands (including wrists) were performed at the screening visit and after 24-week treatment. Radiographs of the hands (including wrists) were evaluated by the van der Heijde modified total sharp score (mTSS), which was utilized to assess radiographic joint damage progression (20) taken at baseline and after 24 weeks in the trial. Sixteen and 15 areas were included for the evaluation of erosions and joint space narrowing (JSN) in hands and wrists. The maximum score of erosion was 160, and the maximum JSN score was 120. The sum of the earlier mentioned scores (maximum 280) was the mTSS. All radiographs in the trial were scored centrally in chronological order by a professional while blinded reader.

Safety Outcomes

Measurement of safety was evaluated by patient-expressed AEs, physical examinations, and laboratory investigations, which included a routine blood test, urine analysis, renal function, and liver function. These evaluations were undertaken at each visit during the period of treatment (baseline, 4, 12, and 24 weeks). Chest X-ray examinations and electrocardiography were conducted at the screening visit and after 24-week treatment. Hepatotoxicity was defined by the abnormal increase of the hepatic enzyme level. Hematological adverse events were assessed by the changes in hematologic characteristics, such as anemia (hemoglobin < 90 g/L), leukopenia (<3.5 × 10⁹/L), and thrombocytopenia (<100 × 10⁹/L).

Sample Size

Due to the lack of previous similar trials and pilot studies to consult, the sample size of this pilot study was set as 45 cases in each group.

Randomization and Blinding

An independent statistician performed randomization. SAS 9.2 software (SAS Institute Inc., Cary, USA) was used to generate the randomization sequence. Participants were randomly assigned at a 1:1 ratio by a randomization system to the MTX + HQT group or the MTX + LEF group. Blinding and placebo tables were not available for this investigator-initiated clinical trial, and the allocation sequence was not concealed from both the researchers and participants.

Statistical Analysis

SPSS17.0 and GraphPad Prism 7 statistical software packages were used to establish the database by an independent statistician who was blinded to the group allocation. The full analysis set evaluated baseline data, and the efficacy in the two groups was assessed by both intent-to-treat (ITT) analysis and per-protocol (PP) analysis. The ITT analysis included participants who received at least 4 weeks of treatment, whereas the PP analysis only included the patients who finished 24-week treatment. The data from the patients who withdrew from the trial prematurely were considered missing, and these data were calculated using the last observation when performing the ITT analysis. Safety set analysis was used to assess the safety of two treatments, including all patients who received treatment once.

Baseline characteristics of participants were reported as the mean \pm standard deviation or as numbers with corresponding percentages for categorical variables. To determine the differences in baseline characteristics between two groups, the independent *t*-tests were used for normally distributed variables, chi-square tests for categorical variables, and Mann–Whitney

U-tests for non-normally distributed variables. Analysis of the primary endpoint (the ACR20) and some secondary efficacy endpoints (the numeration data) was analyzed by using a chi-square test or Fisher's exact test, whereas the measurement data of secondary endpoints were detected by one-way repeated measures ANOVA of the mean values from baseline to weeks 4, 12, and 24 for each group. Missing values were replaced using the last observation. All statistical tests were two-sided, which were performed at the p < 0.05 significance level.

RESULTS

Characteristics of the Sample

Totally, 107 active RA patients were screened in this trial. Among these participants, 90 patients were eligible to be enrolled in this trial based on inclusion criteria. All of them were randomly assigned to the two groups: MTX + HQT (n = 45) and MTX + LEF (n = 45). The percentages of patients who did not finish the 24-week treatment were 13.3% in the MTX + HQT group and 17.8% in the MTX + LEF group. There were four and three patients in the MTX + HQT group and MTX + LEF group, respectively, excluded from the PP set for the protocol violation. Additionally, because of the adverse events, there were two patients in the MTX + HQT group and five patients in the MTX + LEF group who discontinued treatment (**Figure 1**).

There were no statistically significant differences in age, sex, demographics, or patient clinical characteristics between the two groups (p > 0.05). Demographics and clinical characteristics at baseline of patients with active RA are shown in Table 2. Patients received MTX at a dose of 11.84 \pm 1.46 mg/week in the MTX + HQT group and 10.56 \pm 1.14 mg/week in the MTX + LEF group. Concomitant medication evaluation was also performed to compare the two groups during the trial. There was no statistically significant difference in the proportion of the patients who used glucocorticoids, NSAIDs, antacids, folic acid, or calcitriol/calcium (p > 0.05). Besides, the mean values of the glucocorticoid doses in patients each day were 5.17 \pm 0.93 mg in the MTX + HQT group and 5.0 \pm 0.0 mg in the MTX + LEF group. The major concomitant medications in this study of the two groups are shown in Table 2. Furthermore, 85.6% (77/90) participants had a period of DMARD washout that lasted for at least 4 weeks before participating in this trial. Of them, 82.2% (37/45) participants were in the MTX + HQT group, and 88.9% (40/45) participants were in the MTX + LEF group; the rest of the participants, 17.8% (8/45) in the MTX + HQT group and 11.1% (5/45) in the MTX + LEF group, had never taken DMARDs. No significant difference between the two groups was observed in the rate of receiving DMARDs treatment before participating in this trial (p > 0.05).



TABLE 2 Demographic and characteristics data of RA patients at baseline in
FAS.

Characteristics	MTX + HQT (n = 45)	MTX + LEF (n = 45)	Ρ
Age (SD), years	51.67 (9.92)	47.56 (11.40)	0.099
Female, n (%)	35.00 (77.80)	31.00 (68.90)	0.340
Disease duration (SD), months	41.82 (45.93)	33.90 (36.53)	0.783
TJC (SD), n	8.62 (5.09)	9.20(6.15)	0.948
SJC (SD), n	6.29 (4.19)	4.67(3.02)	0.053
Patient's assessment of pain (SD), mm	65.09 (16.40)	59.78(20.17)	0.183
PhGADA [†] (SD), mm	61.11 (14.81)	56.33(18.84)	0.246
PaGADA [†] (SD), mm	62.00 (18.17)	57.56(20.47)	0.295
Morning stiffness (SD), min	47.11 (32.80)	53.89 (50.56)	0.798
HAQ, mean \pm SD	0.65 (0.56)	0.92 (0.67)	0.063
hs-CRP (SD), mg/L	17.72 (19.10)	27.62 (35.15)	0.161
ESR (SD), mm/h	60.09 (27.29)	55.64 (31.39)	0.368
RF# (SD), U/ml	216.15 (298.88)	180.89 (194.82)	0.812
Anti-CCP [#] , positive rate	86.05% (37/43)	87.80% (36/41)	0.811
DAS28-CRP	6.02 (1.81)	5.97 (2.17)	0.620
cDAI (SD)	27.22 (8.98)	25.12 (10.06)	0.284
Concomitant treatments			
NSAIDs, n (%)	43 (95.6%)	44 (97.8%)	1.000
Glucocorticoid oral, n (%)	29 (64.4%)	27 (60.0%)	0.664
Folic acid tablet, n (%)	41 (91.1%)	44 (97.8%)	0.357
Calcitriol/calcium carbonate, n (%)	37 (82.2%)	43 (95.6%)	0.094
Antacids, n (%)	40 (88.9%)	42 (93.3%)	0.711

Data are presented as the mean (SD) or n (%).

FAS, full analysis set; TJC, tender joint count; SJC, swollen joint count; PhGADA, physician's global assessment of disease activity; PaGADA, patient's global assessment of disease activity; HAQ, Health Assessment Questionnaire; CRP, C-reactive protein; ESR, erythrocyte sedimentation rate; RF, rheumatoid factor; anti-CCP, anti-cyclic citrullinated peptide antibody; DAS28-CRP, 28-joint disease activity score- C-reactive protein; cDAI, clinical disease activity index; NSAIDs, non-steroidal anti-inflammatory drugs.

RF[#] was measured by immunonephelometric with a cutoff value of 20 U/ml. Anti-CCP[#] was measured using a commercially available second-generation ELISA kit (Abbott, USA) with a cutoff value of 25 U/ml.

 $^{\dagger}\text{Measured}$ on a 100-mm visual analog scale; the upper limit of normal for CRP is 0-6 mg/L.

Clinical Efficacy

In the ITT analyses after 24 weeks of treatment, there were 72.1% (31/43) and 74.4% (32/43) in the MTX + HQT and the MTX + LEF groups, respectively, who achieved the ACR20 response. Although there were more patients achieving ACR20 response in the MTX + HQT group, as compared with the MTX + LEF group, statistically, there was no difference (p = 0.808, Figure 2). ACR50, ACR70, cDAI good responses, EULAR good response, remission rate, and LDA rates of the patients at each evaluation point in the MTX + HQT group were similar to those in the MTX + LEF group (ACR50: 60.5 [26/43] vs. 60.5% (26/43); ACR70: 30.2 [13/43] vs. 30.2% [13/43]; cDAI good response: 76.7 [33/43] vs. 72.1% [31/43]; EULAR good or moderate response: 86.0 [37/43] vs. 86.0% [37/43]; remission rate: 34.9 [15/43] vs. 48.8% [21/43]; LDA rate: 55.8 [24/43] vs. 67.4% [29/43]) (Figure 2).

There was no appreciable difference between the two groups in those response rates mentioned earlier (p > 0.05).

In both groups, improvements in clinical symptoms (28-joint tender joint count, 28-joint swollen joint count, patient's assessment of pain, PaGADA, PhGADA, and morning stiffness duration), disease activity (DAS28-CRP), laboratory investigations (ESR, CRP, and RF), health status, and quality-of-life outcome (HAQ) were observed as early as week 4 and maintained through week 24 (p < 0.05). Overall, no clear differences were found between the two therapeutic regimens (p > 0.05). The clinical symptoms and laboratory investigations in the two groups at each point are shown in **Table 3**.

Additionally, we performed a PP analysis of the data from the patients who finished the 24-week treatment. At 24 weeks, ACR20 responses were attained in 76.9% (30/39) patients who received MTX and HQT and 75.7% (28/37) patients who received MTX and LEF, and no statistical significance was observed between the two groups (p > 0.05). The result of the PP analysis was in agreement with those found in the ITT analysis. Similar results of statistical analyses were seen for ACR50, ACR70, EULAR good response, cDAI good response, clinical remission, and LDA rate at week 24 in the PP analysis (Figure 3). A full list of the mean (standard deviation) on clinical symptoms and laboratory investigations at each point is provided in Appendix 3. After treatment, the clinical symptoms, laboratory investigations, HAQ score, and DAS were significantly improved compared with those before (p < 0.05). No statistical significance was observed in the improvement of those measures from baseline to week 24 between the two groups (p > 0.05).

Evaluation of the Radiographic Joint Damage

After 24-week treatment, 82.2% (37/45) of the patients in the MTX + HQT group provide the reports of radiographs at the two evaluation points and 71.1% (32/45) of the patients in the MTX + LEF group. Mean change values from baseline at week 24 were 3.59 ± 4.75 and 1.34 ± 8.67 with mTSS, 1.24 ± 2.39 and 0.63 ± 3.78 with JSN scores, 2.35 ± 2.96 and 0.72 ± 5.48 with erosions scores in the MTX + HQT and MTX + LEF groups, respectively; no significant differences were found between the two groups (p > 0.05). Comparing with the baseline, mTSS and erosions scores resulted in significant differences in the patients treated with two different treatment regimens at week 24 (p < 0.05), so as the JSN score in the MTX + HQT group (p < 0.05), whereas no significant differences of JSN score were observed in the MTX + LEF group (p > 0.05). Radiographs of the hands (including wrists) assessed by mTSS are shown in **Table 4**.

Safety and Tolerability

Safety evaluation was performed in the safety set analysis; all adverse events reported in this trial are listed in **Table 5**. In total, 28 patients (31.1%) experienced one or more adverse events (11 cases in the MTX + HQT group and 17 cases in the MTX + LEF group). The most common adverse events related to MTX + HQT were gastrointestinal discomfort, and all of the participants alleviated quickly and continued our trial after treatment with an antacid, although hepatic dysfunction, and gastrointestinal



Against Rheumatism; ITT, intention-to-treat.

TABLE 3 Clinical ar	d laboratory measures	of the two groups at eac	h visit in the ITT analysis.
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Measures		MTX + HQT			MTX + LEF			
	OW	4W	12W	24W	0W	4W	12W	24W
TJC, n	8.40 (5.10)	6.47 (4.86)	4.72 (3.53)	3.56 (4.04)	8.98 (5.92)	6.36 (5.19)	4.77 (5.02)	3.32 (5.01)
SJC, n	6.33 (4.28)	4.05 (3.37)	2.00 (2.40)	1.81 (3.81)	4.61 (3.03)	2.91 (2.87)	1.89 (2.53)	1.07 (1.89)
Patient's assessment of pain, mm [†]	65.09 (16.75)	45.81 (17.89)	30.35 (20.66)	23.44 (18.20)	60.00 (20.35)	42.45 (22.35)	29.91 (21.30)	21.48 (19.55)
PaGADA, mm [†]	61.86 (18.55)	39.70 (20.52)	29.53 (19.75)	19.95 (17.47)	58.18 (20.26)	44.32 (22.45)	29.32 (20.95)	20.80 (20.17)
PhGADA, mm [†]	61.16 (15.15)	40.81 (20.18)	28.60 (18.85)	20.70 (16.53)	56.25 (19.05)	43.18 (20.88)	30.57 (21.41)	21.25 (19.68)
Morning stiffness, min	47.91 (33.35)	26.98 (28.10)	17.84 (32.62)	19.30 (49.07)	54.20 (51.01)	35.00 (41.81)	14.55 (18.48)	11.50 (22.49)
CRP, mg/L	18.42 (19.25)	14.36 (19.21)	12.50 (15.38)	11.52 (18.39)	27.16 (35.78)	15.07 (25.27)	18.12 (55.57)	10.13 (16.96)
ESR, mm/h	60.70 (26.44)	55.05 (27.41)	51.81 (31.30)	46.91 (27.69)	54.43 (30.79)	51.95 (32.14)	44.12 (29.49)	42.09 (28.45)
RF, U/ml	204.14 (283.21)	157.91 (222.86)	153.38 (224.95)	222.09 (437.13)	182.05 (196.06)	182.21 (246.89)	123.59 (202.98)	113.37 (149.13)
HAQ	0.64 (0.57)	0.43 (0.45)	0.38 (0.53)	0.26 (0.46)	0.91 (0.68)	0.59 (0.63)	0.44 (0.56)	0.31 (0.57)
DAS28-CRP	5.98 (1.85)	4.73 (2.08)	3.69 (1.60)	3.21 (1.77)	5.90 (2.09)	4.47 (2.04)	3.64 (2.09)	2.98 (2.05)

*Values are the mean (SD).

TJC, tender joint count; SJC, swollen joint count; PaGADA, patient's global assessment of disease activity; PhGADA, physician's global assessment of disease activity; HAQ, Health Assessment Questionnaire; ESR, erythrocyte sedimentation rate; CRP, C-reactive protein; RF, rheumatoid factor; DAS28, 28-joint disease activity score.

[†]Measured on a 100-mm visual analog scale.

discomfort were the most common adverse events with MTX + LEF, and five patients withdrew from the trial (four patients for hepatic dysfunction and one for hypertension). There was no statistical significance between the two groups in the incidences of all adverse events (p > 0.05).

DISCUSSION

Over thousands of years, TCM has been beneficial to many patients in China. Nowadays, TCM is regarded as a basic or complementary therapy for RA patients, and, therefore, a variety of TCM herbs have been used in the clinic for RA treatment. The anti-inflammatory and antiarthritic activities of many TCM herbs have been validated in arthritic models and also tested in clinical trials in patients with RA (10, 19, 21). Thus, Chinese herbals may also function as DMARDs, which could be used as an alternative or basic treatment for RA patients.

In TCM theory, RA belongs to "Bi" disease, which is a group of disorders with symptoms and signs similar to arthritis or other rheumatism defined in Western medicine (22–24). The development of "Bi" syndrome is due to an evil spirit, the pathogeny of TCM, including wind, cold, and wet that invade the human body and lead to poor circulation of Qi and blood, so-called "blood stasis" (23, 25, 26). Based on years of clinical experience and observation, "removing blood stasis" theory of TCM and the use of blood-activating herbs had efficacy in relieving clinical symptoms, signs, and indicators of inflammatory activity in RA patients (27). HQT is a Chinese herbal formula, and it is prepared for treating RA by activating blood circulation, dissipating blood stasis, and dispelling pathogenic wind, cold, and wet.

During the 24-week trial, the treatment with MTX + HQT resulted in significant improvement in clinical signs and symptoms of RA, including joint pain, joint swelling, morning stiffness duration, and measures of quality-of-life outcome,

as well as in many inflammatory indicators, such as CRP, ESR, and the autoantibody RF. Comparing with MTX + LEF (a recommended therapy for refractory RA) (28-30), MTX + HQT led to a similar improvement in terms of patients achieving ACR20, ACR50, ACR70, cDAI, LDA, and remission responses and to moderate or good improvement in DAS28-CRP. In this pilot study, the combinational therapy of HQT with MTX effectively and safely alleviated symptoms and signs of patients with active RA. It is well-known that the destruction of smaller joints more frequently attacks the RA patients and that radiographs of both hands and feet are the most popular standard to evaluate structural changes, which is regarded as one of the criteria for assessing therapeutic efficacy (31). After 24-week treatment, both groups had higher mTSS than before treatment. However, X-ray analyses in our study showed no statistical difference in terms of mTSS between the two groups in the progression of radiographic joint damage. We considered that increasing mTSS might be in association with the high proportion (60.9%) of patients with disease duration of 2 years or more who enrolled in radiographic analyses set.

Our trial showed for the first time that MTX combined with Chinese herbal formula is equivalently effective as MTX combined with LEF in active RA patients. Previous studies have shown that herbal medicine monotherapy or combination therapy has efficacy in relieving clinical symptoms, signs, and indicators of inflammatory activity for RA patients (12, 32). According to previous pharmacological studies, the herbals in HQT formula are proven to have a variety of pharmacological effects, such as anti-inflammatory properties, analgesia, and immune suppression (33, 34), supporting the clinical efficacy of HQT in RA treatment. The root and rhizoma of *S. miltiorrhiza* Bunge (Danshen) and the rhizoma of *D. nipponica* Makino (Chuanshanlong) are the most important components of HQT. Previous pharmacological studies have demonstrated that *S. miltiorrhiza* injection could inhibit the proliferation of



Against Rheumatism; PPS, per-protocol set.

 $\ensuremath{\mathsf{TABLE 4}}\xspace$ | Mean (SD) in the van der Heijde modified total sharp score (mTSS) in the two groups.

Joint damage	MTX + HQT (N = 37)	MTX + LEF (N = 32)	P (between groups)
mTSS			
Baseline, mean (SD)	50.92 (53.66)	36.44 (32.46)	0.736
Week 24, mean (SD)	54.51 (55.80)	37.78 (31.70)	0.613
P value (within the group)	<0.01	0.013	
JSN SCORE			
Baseline, mean (SD)	23.92 (25.46)	15.47 (14.87)	0.535
Week 24, mean (SD)	25.16 (26.33)	16.09 (14.35)	0.413
P value (within the group)	0.002	0.132	
EROSION SCORE			
Baseline, mean (SD)	27.00 (30.33)	20.97 (19.53)	0.928
Week 24, mean (SD)	29.35 (31.60)	21.69 (19.35)	0.814
P-value (within the group)	<0.01	0.017	

mTSS, the van der Heijde modified total sharp score; JSN, joint space narrowing; SD, standard deviation.

fibroblast-like synoviocytes obtained from RA patients (35, 36). Also, tanshinone VI, an abietane diterpene extracted from the root of S. miltiorrhiza Bunge, could improve bone loss by inhibiting osteoclastic bone resorption through inhibition of nuclear factor-kB and receptor activator of nuclear factor kappaκ ligand pathways (37). Diosgenin, a major alkaloid monomer from the rhizoma of D. nipponica Makino, has a variety of pharmacological effects to relieve pain, reduce inflammation, regulate cytokine expression, and inhibit the proliferation of fibroblast-like synoviocytes, and, therefore, it has been frequently used to treat RA (38, 39). Many pharmacological studies reported that D. mariesii Moore ex Bak (Gusuibu), D. asperoides C. Y. Cheng et T. M. Ai (Chuanxuduan), and E. ulmoides Oliver (Duzhong) hold the potentials to prevent osteoporosis and inflammation associated with arthritis (40-42). Our previous study demonstrated that the combined therapy of HQT and MTX could significantly improve the clinical symptoms of RA patients with good tolerance (13). Furthermore, many experimental studies showed that the active ingredients of the other six herbs in HQT also exert anti-arthritic effects in both in vivo and in vitro models (43-47). Pieces of evidence from these pharmacological or mechanism studies support the clinical efficacy of MTX + HQT observed in our trial.

In our current study, all AEs were predominantly mild or moderate, with a low incidence rate. Gastrointestinal reactions and liver abnormalities were AEs. Reported AEs suggested that the most significant safety issue of MTX + LEF combination was potential liver toxicity, which was undoubtedly consistent with the real situation of LEF usage in RA treatment (48). In our study, liver abnormalities occurred in 8.9% of the MTX + LEF, which was lower than those reported in several other RCTs in RA (28, 29). The result of the previous study found that the incidence of alanine aminotransferase/aspartate aminotransferase elevations increased $\sim 2-5$ folds in the combination of MTX and LEF, which depended on the dosages of MTX (48). In our study, we used the low dose of MTX (10–12.5 mg/week), reflecting the standard TABLE 5 | Summary of adverse events in the safety analysis set.

Adverse events	MTX + HQT (<i>n</i> = 45)	MTX + LEF (<i>n</i> = 45)	Р
All	11 (24.4%)	17 (37.8%)	
ALT/AST elevation	0 (0%)	4 (8.9%)	0.117
Gastrointestinal reactions	9 (20.0%)	10 (22.2%)	0.796
Rash	1 (2.2%)	1 (2.2%)	1.000
Atrial fibrillation	1 (2.2%)	0 (0%)	1.000
Leukopenia	0 (0%)	1 (2.2%)	1.000
Hypertension	0 (0%)	1 (2.2%)	1.000

Data are presented as n (%).

ALT, alanine aminotransferase; AST, aspartate aminotransferase.

of the Chinses recommendations for the management of RA currently, which might be one of the reasons for the low rate of adverse events that occurred in our trial. Besides, more than 90% of patients in our trial received folic acid, which had the efficacy of lessening toxicity without altering efficacy during long-term treatment with MTX for RA (49).

Several critical factors in the course of analyzing the observational data should be considered in this work. Firstly, this trial was an open-label, monocenter, clinical trial, and the treating physicians and patients were not blinded to medication. To make an objective assessment of the efficacy and safety of the MTX + HQT combination therapy, the clinical outcomes were assessed and analyzed by evaluators and statisticians who were unaware of the therapy. However, a completely objective assessment needs to be verified in a multicenter, double-blind RCT in the future. Secondly, it was a 24-week observation trial, which may not be sufficient to show the long-term benefit of the MTX + HQT combination therapy, especially the radiographic progression. Thirdly, this trial did not compare the HQT in monotherapy with the MTX or another csDMARD in monotherapy, so the clinical efficacy of HQT itself cannot be evaluated or compared directly. Finally, due to a lack of similar clinical studies and pilot studies to reference, the sample size and the hypothesis test type (non-inferiority trial, equivalence trial, or superiority trial) in the design of this pilot study could not be pre-estimated accurately. However, the result of this pilot study can be the basic data and reference for further study.

CONCLUSION

This pilot study was the first time to evaluate the effect and safety of HQT, a Chinese medicine formula, combined with MTX, comparing with the combination of MTX and LEF. The results of this analysis indicate that the therapeutic regimen of HQT combined with MTX provides a potentially beneficial clinical response with acceptable tolerability for treating patients with active RA, which implies that HQT or other Chinese medicine formula may be a good therapeutic option in combination with MTX for RA treatment. However, it should be stressed that interpretations of the efficacy data are limited by the shortcoming mentioned earlier. A multicentric, double-blinded, preferably placebo-controlled, as well as with a longer follow-up, RCT is motivated to definitively establish the efficacy and safety of the HQT + MTX combination therapy and even the HQT in monotherapy.

DATA AVAILABILITY STATEMENT

The datasets generated for this study are available on request to the corresponding author.

ETHICS STATEMENT

The studies involving human participants were reviewed and approved by The Medical Ethics Committee of the Second Affiliated Hospital of Guangzhou University of Chinese Medicine (B2016-076-01). The patients/participants provided their written informed consent to participate in this study.

AUTHOR CONTRIBUTIONS

XiaC, YL, YZ, XiuC, YC, XW, ZW, P-JJ, QH, and RH: design and conception. JW, KG, AO, ZL, YL, YZ, and XiuC: acquisition, analysis, and interpretation. JZ, JP, ZH, XiuC, JW, KG, QH, and RH: major contributors in writing the manuscript. All authors read and approved the final manuscript. All authors contributed to the study design and review before submission according to their interests and scientific expertise.

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SUPPLEMENTARY MATERIAL

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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