The role of sedation in endobronchial ultrasound-guided transbronchial needle aspiration: Systematic review

Pantaree Aswanetmanee, Chok Limsuwat, Mohamad Kabach¹, Abdul Hamid Alraiyes^{2,3}, Fayez Kheir

Tulane University Health Sciences Center, Section of Pulmonary Diseases, Critical Care and Environmental Medicine, New Orleans, Louisiana, ¹University of Miami Miller School of Medicine, Palm Beach Regional Campus, Florida, ²Department of Medicine, Interventional Pulmonary Section, Roswell Park Cancer Institute, ³Department of Medicine, Division of Pulmonary, Critical Care, and Sleep Medicine, University at Buffalo, State University of New York, Buffalo, New York, USA

ABSTRACT

Background: Endobronchial ultrasound-guided transbronchial needle aspiration (EBUS-TBNA) is a minimally invasive procedure that has become an important tool in diagnosis and staging of mediastinal lymph node (LN) lesions in lung cancer. Adequate sedation is an important part of the procedure since it provides patient's comfort and potentially increases diagnostic yield. We aimed to compare deep sedation (DS) versus moderate sedation (MS) in patients undergoing EBUS-TBNA procedure. Methods: PubMed, EMBASE, MEDLINE, and Cochrane Library were searched for English studies of clinical trials comparing the two different methods of sedations in EBUS-TBNA until December 2015. The overall diagnostic yield, LN size sampling, procedural time, complication, and safety were evaluated. Results: Six studies with 3000 patients which compared two different modalities of sedation in patients performing EBUS-TBNA were included in the study. The overall diagnostic yield of DS method was 52.3%-100% and MS method was 46.1%-85.7%. The overall sensitivity of EBUS-TBNA of DS method was 98.15%-100% as compared with 80%-98.08% in MS method. The overall procedural times were 27.2-50.9 min and 20.6-44.1 min in DS and MS groups, respectively. The numbers of LN sampled were between 1.33-3.20 nodes and 1.36–2.80 nodes in DS and MS groups, respectively. The numbers of passes per LN were 3.21–3.70 passes in DS group as compared to 2.73–3.00 passes in MS group. The mean of LN size was indifferent between two groups. None of the studies included reported serious adverse events. Conclusions: Using MS in EBUS-TBNA has comparable diagnostic yield and safety profile to DS. The decision on the method of sedation for EBUS-TBNA should be individually selected based on operator experience, patient preference, as well as duration of the anticipated procedure.

Key words: Endobronchial ultrasound, sedation, transbronchial needle aspiration

INTRODUCTION

Endobronchial ultrasound-guided transbronchial needle aspiration (EBUS-TBNA) has become a standard procedure in diagnosis and staging of lung

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cancer.^[1,2] Sedation method is one of the crucial steps in EBUS-TBNA procedures. A successful sedation

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Address for correspondence

Dr. Fayez Kheir, Department of Medicine, Section of Pulmonary Diseases, Critical Care and Environmental Medicine, 1430 Tulane Avenue, Box 8509, New Orleans, Louisiana, USA. E-mail: fkheir@tulane.edu Received: 2015-10-26; Accepted: 2016-04-01

provides comfortable environment for the patient while enabling the bronchoscopist to obtain adequate tissue.

Types of sedation in this procedure have been mostly institutional and practitioner dependent. Two common types of sedation used in EBUS-TBNA: (1) Deep sedation (DS) or general anesthesia and (2) moderate sedation (MS) or conscious sedation. DS using propofol has acceptable safety profile in endoscopic procedures.^[3,4] Propofol is a rapid-acting sedative that allows quick recovery. This medication has both amnestic and antiemetic effects but has no analgesic activity.^[5] MS is mostly preferred method for procedures which requires rapid recovery. A combination of fentanyl and midazolam is commonly used in endoscopic procedures for sedation. Midazolam is the benzodiazepine of choice because of its rapid-onset and short duration.^[6] Fentanyl is a preferred opioid^[7,8] because it has high potency, fast onset of action, few adverse events, synergy with benzodiazepines and it is cleared rapidly.[8-10] Meperidine, a short-acting opioid narcotic with a half-life of 3.2 h, also had been used in short procedures for MS.[11,12]

Only a few published studies compared types of sedation with procedural outcomes with EBUS-TBNA. In this study, we performed a systematic review of the medical literature to evaluate the studies that compared the impact of DS *versus* MS on diagnostic yield, safety, and lymph node (LN) sampling.

METHODS

Search strategy

The search strategy was developed by PA, CL, and FK. An experienced librarian was consulted for further developing the search strategy. The search included literature search from the following biomedical databases: EMBASE, MEDINE, and Cochrane Library for relevant studies published until December 2015. The search terms were "endobronchial ultrasound" or "endobronchial ultrasonography" or "EBUS" or "endobronchial ultrasound-guided" and "sedation" or "anesthesia" or "deep sedation" or "conscious sedation" or "moderate sedation". References of identified articles were also searched manually. Only English papers were selected.

Study selection

Two reviewers (MK and FK) independently judged study eligibility while screening the citations. Only full-text articles that compared types of sedation in EBUS-TBNA were selected. Both prospective and retrospective studies were included in our study. Case studies, letter reports, conference abstracts, or pediatric population were excluded from this review. All data were verified for internal consistency and discrepancy was resolved by discussion among all reviewers. Disagreements were resolved by discussion and consultation with a fifth author (AA). The fifth author was involved in the writing, editing, and conducting the discussion. To ensure consistency, we conducted calibration exercises and pilot test the screening forms prior to starting the process.

We classified sedation method into two categories, DS and MS. DS is defined as a method using medication-induced depression of consciousness; during this method, patients cannot be easily aroused but respond purposefully following repeated or painful stimulation. The ability to independently maintain ventilatory function may be impaired. Patients may require assistance in maintaining a patent airway, and spontaneous ventilation may be inadequate.^[13] In this review, propofol was the main medication used for DS method.

MS defined as a method using medication to suppress level of consciousness; by this method, patients will respond purposefully to verbal commands, either alone or accompanied by light tactile stimulation. No interventions are required to maintain a patient airway, and spontaneous ventilation is adequate.^[13] In this review, midazolam, meperidine, and fentanyl are included in MS method.

Data abstraction and quality assessment

The following information was obtained from each study: Publication type (title, first author, center in which the study was done, time frame of each trial, and date published), study design, LN size, number of biopsy attempt, diagnostic yield, and complication. All data were verified for internal consistency and discrepancy was resolved by discussion among all reviewers. The quality of evidence presented in the studies were evaluated independently by two authors (CL and MK) using the Grading of Recommendations Assessment, Development, and Evaluation (GRADE) System.^[14] All disagreements were resolved by discussion with the fifth author (AA).

RESULTS

Overview of eligible trials

The bibliographic search yielded 115 papers. Titles and abstracts were reviewed and 105 were excluded based on our exclusion criteria. The remaining 10 full-text articles were subsequently selected for further analysis. We excluded four studies that used only MS method.^[15-18] Only six studies were included in our final analysis.^[19-24]

Characteristics and quality of selected studies

The main characteristics of eligible studies are described in Table 1. A total of six studies were included in the review.^[19-23] All studies were published in English. Two of them were multicenter studies.^[20,22] Four studies were conducted in USA,^[20-23] one study in Turkey,^[24] and one study in Japan.^[19] According to the GRADE Scale,^[14] the level of evidence was moderate in one study^[23] and low in all the remaining studies.^[19-22,24]

Diagnostic yield

Five studies^[19-21,23,24] assessed diagnostic yield [Table 2]. The overall diagnostic yields were 52.3%-100.0% in DS group and 46.1%-85.7% in MS group. Ost et al. in their prospective, multicenter, observational study showed diagnostic yield of 52.3% and 46.1% in DS versus MS, respectively (P = 0.08).^[20] Under DS, diagnostic yield showed odds ratio (OR) of 1.11 (0.62-2.01) (P = 0.66).^[20] There was significant heterogeneity among different centers in terms of diagnostic yield. Patient-level variables that affected diagnostic yield included LN size, smoking status, and number of LN stations undergoing biopsy.^[20] Casal et al. in their randomized study showed no difference in diagnostic yield and sensitivity between DS and MS groups.^[23] Diagnostic yield in DS group was 70.7% while in MS group was 68.9% (P = 0.816).^[23] Sensitivity in DS

Author, year published	Study design	Study country	Age (year)	Number of participants		Collected data	Conclusion
Ando <i>et al.</i> , 2010 ^[19]	Retrospective cohort study	Japan	NA	60	To assess the efficacy of meperidine for EBUS-TBNA	Diagnostic yield, sensitivity, specificity, PPV, NPV, and complication	MS was as feasible and safe as that under DS
Ost et al., 2011 ^{#[20]}	Multicenter, prospective, observational, cohort study	USA	NA	891	To compare conventional TBNA and EBUS-TBNA in obtaining diagnostic yield	Risk-adjusted diagnostic yield	Sub-group analysis of sedation type showed no difference in diagnostic yield of EBUS-TBNA performed under either MS or DS
Yarmus <i>et al.</i> , 2013 ^[21]	Retrospective cohort study	USA	NA	309	To compare the impact of moderate <i>versus</i> deep sedation on the adequacy and diagnostic yield of EBUS-TBNA	Number of lymph nodes, diagnostic yield, and complication	Diagnostic yield and number of lymph nodes sampled using DS is superior to MS in patients undergoing EBUS-TBNA
Eapen et al., 2013 ^{#[22]}	Multicenter prospective observational cohort study	USA	NA	1317	To analyze the incidence, consequences, and predictors of complications in patients undergoing EBUS-TBNA	Complications following EBUS-TBNA	TBBx was the only risk factor for complications during EBUS-TBNA procedures ROSE significantly reduced the use of TBBx
Casal et al., 2015 ^[23]	Prospective randomized clinical study	USA	>18	149	To compare moderate and deep sedation in diagnostic yield, complication rates, and patient's tolerance	Percentage of patients had specific diagnosis, procedural time, and complication	MS results in comparable diagnostic yield, rate of major complications, and patients' tolerance as DS
Öztas et al., 2015 ^[24]	Retrospective cohort study	Turkey	NA	274	To evaluate diagnostic yield and safety of EBUS-TBNA after shifting from use of proprofol and midazolam to midazolam alone	Number of lymph nodes and diagnostic yield	Diagnostic efficacy of MS was similar to that of DS Complication rate were the same in both groups

"The study did not directly compare between moderate and deep sedation method. n: Number of patients, BP: Blood pressure, HR: Heart rate, RR: Respiratory rate, RSS: Ramsay sedation score, DS: Deep sedation, MS: Moderate sedation, PPV: Positive predictive value, NPV: Negative predictive value, EBUS-TBNA: Endobronchial ultrasound transbronchial needle aspiration, CP-EBUS: Curvilinear probe endobronchial ultrasound bronchoscopy, TBBx: Transbronchial biopsy, TBNA: Transbronchial needle aspiration, NA: Not applicable, ROSE: Rapid on-site evaluation

Table 1. Characteristics of reviewed studies

Author	Type of study	n	Compare	Adequacy	Diagnostic yield	Sensitivity	Specificity	PPV	NPV
Ando <i>et al</i> . ^[19]	Retrospective study	60	DS n=29 MS n=31		Accuracy DS 100% MS 85.7% P=0.14	DS 100% MS 80% P=0.13	DS 100% MS 100% <i>P</i> =1.0		DS 100% MS 66.7% <i>P</i> =0.45
Ost <i>et al.</i> ^{#[20]}	Multicenter prospective observational cohort study	891	DS n=581 MS n=310		DS 52.3% MS 46.1% P=0.08 Multivariate analysis OR 1.11 (0.62-2.01, P=0.66)	NA	NA	NA	NA
Yarmus <i>et al</i> . ^[21]	Retrospective review	309		Inadequate sample DS 1% (<i>n</i> =2) MS 4% (<i>n</i> =6) <i>P</i> =0.11	DS 79.8% MS 66.4% <i>P</i> <0.01	NA	NA	NA	NA
Casal <i>et al</i> . ^[23]	Prospective randomized clinical study	149	DS <i>n</i> =75 MS <i>n</i> =74	DS 100% MS 98% (202/206) P=0.04	DS 70.7% MS 68.9% <i>P</i> =0.816	DS 98.15% CI 97%-100% MS 98.08% CI 97%-100% <i>P</i> =0.979	NA	NA	NA
Öztas <i>et al.</i> , 2015 ^[24]	Retrospective cohort study	274	DS <i>n</i> =152 MS <i>n</i> =122		DS 77.6% MS 85.7% <i>P</i> =0.121	NA	NA	NA	NA

Table 2. Compare diagnostic yiel	I between moderate and deep	p sedation methods in reviewed studies
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"The study did not directly compare between deep and moderate sedation method. *n*: Number of patients, DS: Deep sedation, MS: Moderate sedation, NA: Not applicable, OR: Odds ratio, CI: Confidence interval, PPV: Positive predictive value, NPV: Negative predictive value

group was 98.2% compared with moderate anesthesia group was 98.1% (P = 0.79).^[23] Ando *et al.* in their retrospective study supported meperidine as feasible as DS method in diagnostic yield (DS 100% *vs.* meperidine group 75.7%, P = 0.15).^[19] Recently, a retrospective cohort study by Öztas *et al.* also revealed that DS had similar diagnostic yield to MS method. (77.6% in DS group *vs.* 85.7% in MS group, P = 0.121).^[24]

On the other hand, Yarmus *et al.* in their retrospective study showed that diagnostic yield was higher for the DS group (80% of patients) than for the MS group (66% of patients) (P < 0.01).^[21]

Lymph node sampling

Four studies collected LN sampling data.^[19,21,23,24] The overall numbers of LN samplings were 1.33–3.20 nodes and 1.36–2.80 nodes in DS and MS groups, respectively. Casal *et al.* in their randomized control study showed no difference in LN sampling between the two methods of sedation (P = 0.199).^[23] However, a retrospective study by Yarmus *el al.* reported that DS group obtained more LN sampling than MS group (DS 2.17 ± 0.9 *vs.* MS 1.36 ± 0.6, P < 0.01).^[21] A study by Ost *et al.* also showed that DS method was associated with more LN sampled site per patient (P < 0.001).^[20] On the contrary, a study by Öztas *et al.* showed that the number of aspirated LN per patient was 1.33 ± 0.5 in DS group and 2.21 ± 1.3 in MS group (P = 0.001).^[24]

Numbers of passes per lymph node

The overall numbers of passes per LN were 3.21–3.70 and 2.73–3.00 passes in DS and MS groups, respectively. Ando *et al.* in their study showed that number of aspiration of LN in DS group was higher than MS group (DS 3.21 ± 1.08 *vs.* MS 2.73 ± 0.69, P < 0.05).^[19] Yarmus *et al.* also showed that number of aspiration of LN in DS group was higher than MS group (DS 3.7 *vs.* MS 3.0, P < 0.01) [Table 3].^[21]

Lymph node size

The overall mean of LN size was 13.20–23.56 mm in DS group and 14.10–23.16 mm in MS group. In study by Ando *et al.*, LN size in DS group was 23.56 \pm 12.53 mm and in MS group was 23.16 \pm 16.86 mm (P = 0.92).^[19] In study by Casal *et al.*, LN size was 13.2 \pm 6.4 mm in DS group and 14.1 \pm 7.2 mm in MS group (P = 0.513).^[23]

Procedural time

Three studies provided data comparing procedural time between DS and MS groups.^[19,21,23] The overall procedural times were 27.2–50.9 min in DS group and 20.6–44.1 min in MS group. Ando *et al.* showed no difference in procedural time between two groups.^[19] Yarmus *et al.* showed DS group had shorter mean procedural time than the other group (P < 0.01).^[21] However, Casal *et al.* showed DS group had more time consuming than MS group (DS 27.2 ± 15.3 min vs. MS 20.6 ± 9.7 min, P = 0.02)^[23] [Table 3].

Author	Type of study	n	Compare	Lymph node size (mean±SD)	Lymph node sample	Pass per LN station	Procedural time
Ando <i>et al</i> . ^[19]	Retrospective study	60	DS <i>n</i> =29 MS <i>n</i> =31	DS 23.56±12.53 mm MS 23.16±16.86 mm <i>P</i> =0.92	NA	Number of aspiration DS 3.21±1.08 MS 2.73±0.69 P<0.05	DS 50.9±15.6 min MS 44.1±16.3 min <i>P</i> =0.11
Yarmus <i>et al</i> . ^[21]	Retrospective review	309	DS n=163 MS n=146	NA	DS 2.17±0.9 MS 1.36±0.6 <i>P</i> <0.01	For first LN DS 3.7 MS 3.0 P<0.01 No difference in the number of passes for the second lymph node	DS 36.4 min MS 46.9 min <i>P</i> <0.01
Casal <i>et al</i> . ^[23]	Prospective randomized clinical study	149	DS <i>n</i> =75 MS <i>n</i> =74	DS 13.2±6.4 mm MS 14.1±7.2 mm <i>P</i> =0.513	DS 3.2±1.9 MS 2.8±1.5 <i>P</i> =0.199	NA	DS 27.2±15.3 min MS 20.6±9.7 min <i>P</i> =0.02
Öztas <i>et al.</i> , 2015 ^[24]	Retrospective cohort study	274	DS n=152 MS n=122	NA	DS 1.33±0.5 MS 2.21±1.3 <i>P</i> =0.001	ΝΑ	NA

Table 3. Compare lymph node sampling and procedural time between moderate and deep sedation methods in reviewed studies

n: Number of patients, DS: Deep sedation, MS: Moderate sedation, LN: Lymph node, NA: Not applicable, SD: Standard deviation

Safety

Complications from EBUS-TBNA were compared between two sedation methods [Table 4].

Coughing

Two studies assessed the incidence of coughing and concluded that coughing was more common in MS group.^[19,23]

Pneumothorax and major bleeding

No pneumothorax or significant bleeding event was reported during the EBUS-TBNA procedure using DS or MS.

Escalation of care

Four studies compared escalation of care defined as transferring patient to into the intensive care unit between DS and MS groups.^[19,21-23] Three studies showed no difference in escalation of care between two groups.^[19,21,23] Multivariate analysis of Eapen's multicenter prospective observational cohort study founded that patients undergoing DS had higher incident of escalation of care compared to MS group (OR 4.68%, 95% confidence interval [CI] [1.02–21.61, P = 0.048]).^[22]

Other specific considerations during procedure Using meperidine in elderly patients

Although meperidine is known to cause cardiovascular as well as respiratory depression and should be cautiously used in elderly patients,^[25,26] there was no statistically significant difference in complication between elderly and young group.^[19]

Inadequate sedation for moderate sedation group

One patient in meperidine group in Ando *et al.* study needed additional midazolam because of increased restlessness during the examination.^[19]

Cardiorespiratory depression in deep sedation group

Adverse effects of propofol are respiratory depression, apnea, and hypotension.^[27] DS group required more vasopressor for the decline in blood pressure than MS group (DS 17.2% vs. MS 0, P < 0.05).^[19] However, there was no significant difference in serious complication.

Patient satisfaction

Only Casal *et al.* study assessed patient satisfaction. There was no significant difference between two groups. The study showed that patients in the MS group recalled the procedure more often (P < 0.05).^[23] However, most patients stated that they were willing to undergo the procedure again under the same modality.^[23]

DISCUSSION

EBUS-TBNA has become the method of choice for enlarged hilar/mediastinal lymph adenopathy diagnosis as well as staging of lung cancer over the past decade. However, the optimal method for sedation in EBUS-TBNA is still debatable. To the best of our knowledge, this is the first systematic review that compares MS and DS methods in patients undergoing EBUS-TBNA.

Author	Type of study	n	Compare	Escalation of care	Pneumothorax	Coughing	Other complication	Life threatening complication	Note
Ando et al. ^[19]	Retrospective cohort study	<i>n</i> =60	DS n=29, MS n=31	None	None	Transient hypoxia, which may originate from coughing in three patients from MS group		None	DS group required more vasopressor for the decline in BP (<i>P</i> >0.05) MS group tended to result more hypoxia (<i>P</i> <0.05)
Yarmus et al. ^[21]	Retrospective cohort study	n=309	DS n=163 MS n=146		None	NA	None	None	Overall 7 minor bleeding complication, 1 rapid reversible hypoxia. No significant difference between two groups
Eapen et al. ^{#[22]}	Multicenter prospective observational cohort	n=1317	DS n=833 MS n=484	DS versus MS OR 4.68 95% CI (1.02-21.61) <i>P</i> =0.048	None	NA	NA	None	Pneumothorax was reported only with patients underwent TBBx in this study
Casal et al. ^[23] Öztas et al. ^[24]	Prospective randomized clinical study Retrospective cohort study		DS n=75 MS n=74 DS n=152 MS n=122		None NA	Excessive cough in DS group (<i>n</i> =0) MS group (<i>n</i> =4) NA	MS group Excessive bleeding in one patient with fibrosing mediastinitis NA	None	Sedation relate minor complications in DS 5.3% versus MS 29.6% (P <0.001) (hypotension, hypertension, hypoxemia, aspiration, inadequate sedation, arrhythmia) Complication rate DS 6.9%, 95% CI (93.6-12.5) MS 3.2%, 95% CI (1.0-8.6) P=0.14

Table 4: Com	pare complications	between moder	rate and deep s	sedation methods	in reviewed studies

"The study did not directly compare between m deep and moderate sedation method. n: Number of patients, DS: Deep sedation, MS: Moderate sedation, SPO₂: Peripheral capillary oxygen saturation, RR: Respiratory rate, MABP: Mean arterial blood pressure, NA: Not applicable, OR: Odds ratio, CI: Confidence interval

Our review showed that the overall diagnostic yield is comparable between the two sedation modalities despite an increase in procedure time in the DS group. However, the choice of sedation should be chosen according to several factors. DS may be preferred in cases that require additional procedures such as endobronchial stent besides EBUS/TBNA or in centers where trainees are still acquiring skills of such a procedure. Moreover, DS might be advantageous for EBUS/TBNA procedures where comprehensive staging or small pulmonary node sampling is done, particularly for novice bronchoscopists.^[28] For uncomplicated cases in experienced performers, either DS or MS might have similar outcome.^[28] It is crucial to realize that the trials published comparing different modalities of sedation in patients undergoing EBUS/TBNA are performed in an academic and/or high volume patient population and thus, might not be applicable for physicians who perform such procedures nonroutinely. Another important issue to consider is whether MS or DS are cost-effective. Unfortunately, none of the studies

presented compared the difference in cost care among different choices of sedation.

Our review had several limitations. We combined included only English-published literatures. The choice of sedation cannot be blinded to the operator performing EBUS/TBNA. Two studies did not directly compare between two sedation methods, and we could only imply results from subgroup analysis.^[20,22] One study did not compare the procedure in the same center that may lead to information bias.^[21] Finally, one study did not concurrently compare two methods of sedation; therefore, the increasing in procedural skill of the bronchoscopist overtime can be a confounding factor.^[24]

CONCLUSIONS

Although our study showed that using MS in EBUS-TBNA had comparable diagnostic yield and safety to DS method, the decision to select sedation

modality should be based on several factors such as physician expertise, duration of the procedure, patient choice, and possibly cost-effectiveness.

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Conflicts of interest

There are no conflicts of interest.

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