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Diagnostic and therapeutic recommendations for the treatment of hyperphenylalaninemia in patients 0–4 years of age

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Abstract

Background: Treatment of phenylketonuria (PKU) with sapropterin dihydrochloride in responsive patients from an early age can have many advantages for the patient over dietary restriction alone. Accordingly, approval of sapropterin in the European Union was extended in 2015 to include patients aged 0–4 years, bringing the treatment age range in line with that in the USA and providing an additional treatment option for those patients with PKU who are responsive or partially responsive to treatment with sapropterin. Subsequently, European guidelines have been published on the diagnosis and management of patients with PKU. However, testing for PKU can be demanding and requires particular expertise. We have compiled experience-based, real-world guidance in an algorithmic format to complement the published guidelines, with the overall aim to achieve optimized and individualized care for patients with PKU.

Results: Our guidance covers aspects such as how to perform, monitor and interpret appropriate biochemical measures to achieve effective patient management and desired outcomes, how to perform a tetrahydrobiopterin (BH₄) loading test to assess responsiveness in newborns, and how to initiate sapropterin treatment in patients from birth. We also provide our expert opinion on starting pharmacotherapy in patients who were previously managed by diet alone.

Conclusions: Real-world-based guidance is particularly important in managing therapeutic strategies in newborns with PKU to achieve optimal long-term outcomes and will serve as a complement to the other published guidelines.

Keywords: Infants, Diagnosis, Therapy recommendations, Sapropterin dihydrochloride, Phenylketonuria, BH₄

Introduction

Phenylketonuria (PKU) is a rare autosomal-recessive metabolic disorder in which a deficiency in the hepatic enzyme phenylalanine hydroxylase (PAH) results in complete or partial inability to metabolize phenylalanine (Phe) into tyrosine [1]. This can lead to elevated blood Phe concentrations which, if left untreated, can cross the blood–brain barrier and cause many detrimental effects, including profound mental retardation, seizures and

autistic behavior [2]. The overall incidence of PKU varies widely around the world, from as high as 1 in 2600 births in Turkey [3] to fewer than 1 in 100,000 births in Japan [4, 5]; other reported incidences are 1 in 4500 births in Ireland [6, 7], 1 in 10,000 births in the United Kingdom [7, 8], 1 in 11,000 births in China [9, 10] and 1 in 15,000 births in the United States [11].

Screening for PKU in newborns enables early diagnosis and therapeutic intervention to prevent the most severe consequences of the disorder. The current standard therapy is adherence to appropriate treatment (including a Phe-restricted diet) for life to maintain blood Phe concentrations within recommended ranges to achieve the

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best clinical outcomes. While diet remains the cornerstone of treatment, in a subset of patients with partial PAH deficiency, use of tetrahydrobiopterin (BH₄, an essential cofactor of PAH) alone or in addition to diet has been shown to further lower elevated blood Phe levels [12–14]. Sapropterin dihydrochloride (Kuvan[®], BioMarin, CA, USA), a synthetic formulation of the 6R-isomer of BH₄ that activates residual PAH enzyme activity in patients of all ages with BH₄ responsive PKU, has been commercially available for several years. This agent was approved for the treatment of hyperphenylalaninemia (HPA) in adult and pediatric patients with PKU in 2007 in the USA and in 2008 in Europe. This treatment was initially authorized without age restriction in the USA but only in patients older than 4 years in Europe [15]. The effectiveness of BH₄ treatment has been demonstrated in a number of short-term [16–19] and long-term studies [18, 20–24], which have included patients aged 0–4 years and shown the benefit of treatment in this age range. The advantages of initiating BH₄ therapy at an early age include increasing natural protein intake during a critical time of growth and development and increasing the likelihood of adherence to treatment [22]. Accordingly, in 2015, approval of sapropterin was extended in Europe to children aged 0–4 years [15, 22, 25].

The adverse events reported in children treated with sapropterin were essentially the same as those reported in adults. In clinical trials of children aged 4 years and above, adverse events with an incidence of ≥10% were headache and rhinorrhea; those occurring with an incidence of ≥1% to <10% were pharyngolaryngeal pain, nasal congestion, cough, diarrhea, vomiting, abdominal pain and hypophenylalaninemia [15]. In children aged below 4 years who received treatment with sapropterin (10 or 20 mg/kg/day), the most commonly reported adverse reactions were hypophenylalaninemia, vomiting and rhinitis [22]. Hypersensitivity reactions, including serious allergic reactions and rash, were also reported.

Because only a subset of patients with PKU responds to treatment with BH₄, it is important to detect BH₄ responsiveness at the neonatal stage, to determine the appropriate course of management: those who are fully responsive will be treated only with sapropterin, those who are partially responsive will be treated with sapropterin and Phe-restricted diet, and those who are not responsive will be treated with Phe-restricted diet only. In 2009, the European working group on PKU described a protocol for optimizing sapropterin use in PKU management [26]. In 2014, the American College of Medical Genetics and Genomics (ACMG) and Genetic Metabolic Dietician's International (GMDI) released new guidelines for the optimal treatment of PKU that included guidance on sapropterin pharmacotherapy, stipulating that BH₄ responsiveness should be investigated by performing a

BH₄ loading test in all PKU patients [6, 27], except those who have two null mutations in the *trans* position and, therefore, have no chance of being BH₄ responsive [28]. European guidelines for the diagnosis and management of patients with PKU were published recently [29]. Because sapropterin was not authorized in Europe for patients younger than 4 years of age, there is little experience of performing the neonatal loading test and implementing BH₄ treatment after a positive neonatal screening test result; in some regions, there is provision for the patient to receive sapropterin after a positive screening test, but this is subject to local regulations and will vary from country to country. To address this and to complement the published guidelines, our manuscript provides practical insights on performing a BH₄ loading test in newborns and the possibility of initiating BH₄ therapy from birth, based on treatment algorithms. These recommendations are designed to complement those set out in the published guidelines, aiming to facilitate a personalized treatment management plan for patients with PKU.

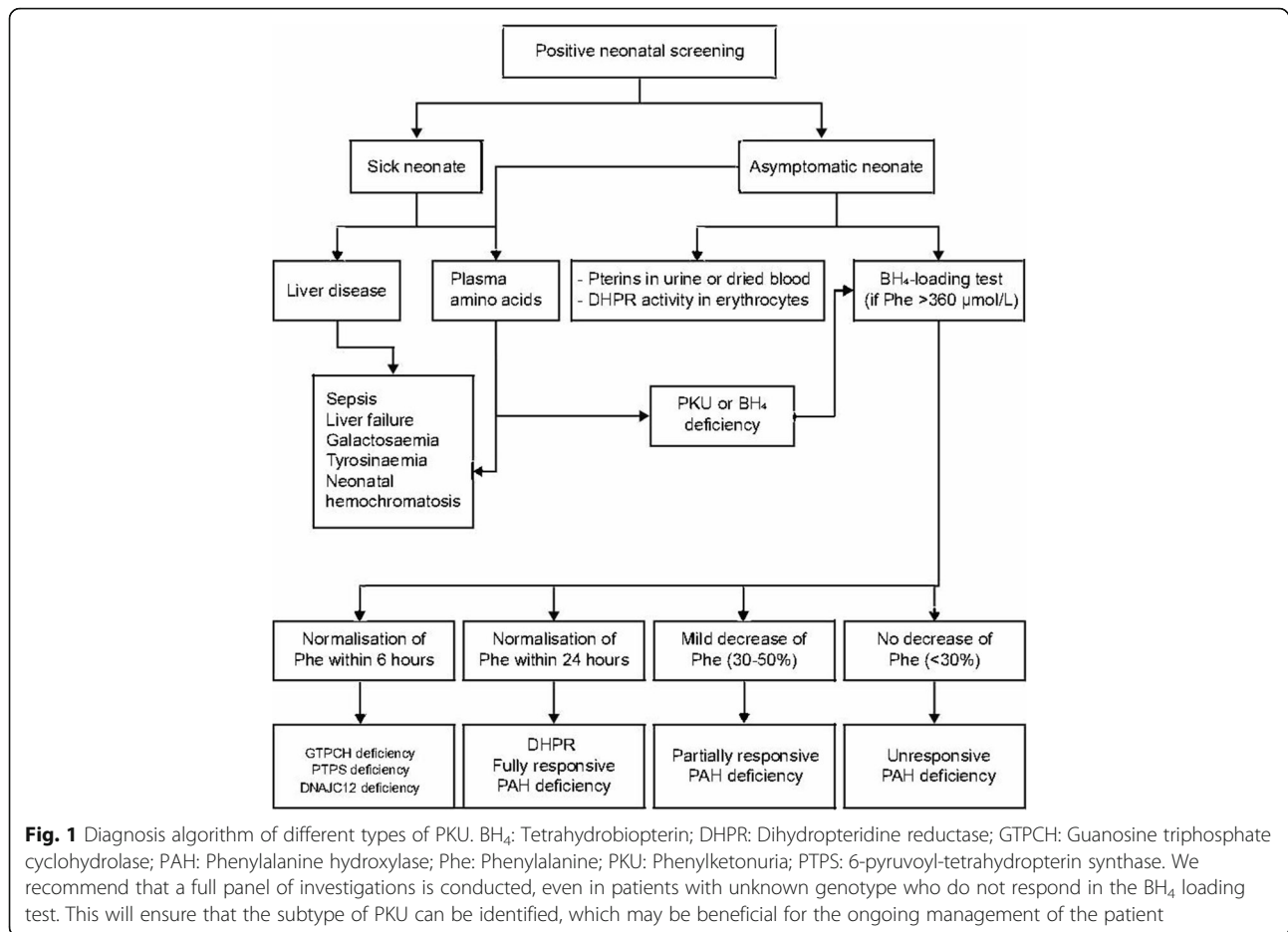
Diagnosis of HPA in newborns

Following a positive test for HPA in neonatal screening using tandem mass spectroscopy, the elevated Phe concentration (> 120 μmol/L) and increased Phe/tyrosine ratio (> 3 if tandem mass spectrometry is used) should be confirmed using a second blood sample [29]. All causes of neonatal HPA must be ruled out, including liver disease, PAH deficiency, genetic defects in the synthesis or regeneration of BH₄ or *DNAJC12*. Further investigations and genotyping should also be performed in the neonatal period, to assess the probability of BH₄ responsiveness and hence guide treatment options (Fig. 1) [28]. As stated earlier, patients with two null mutations in the *trans* position will not respond to treatment with BH₄.

Performing the BH₄ loading test in newborns

There are several benefits to performing the BH₄ loading test in newborns (Table 1). The test must be performed as early as possible following the positive test for HPA. Ideally, this should be once it has been determined that the neonate does not present with liver disease or general sickness and if blood Phe concentrations reach or exceed the level of 360 μmol/L. The neonate must be on a normal diet during the test.

In the test, a baseline capillary blood sample is collected to measure the Phe level followed by administration of sapropterin 20 mg/kg (one dose) dissolved in breast milk or infant formula (Additional file 1). Following sapropterin administration and throughout the entire test, a normal feeding regimen (e.g., breast milk or regular infant formula) must be provided on demand and Phe-free medical formula must not be given. Capillary



blood samples to measure Phe levels are taken at 4, 6, 8, 12, 16 and 24 h after sapropterin administration (Additional file 2). The test must be done over a period of 24 h because it is not possible to delay treatment in neonates with classical PKU, who will not respond to BH₄ for longer than 24 h. The results must be available within 24 h after the end of the test, and treatment can

then be implemented; this can be sapropterin alone if the Phe level decreases to within the target range (fully responsive patients) or a Phe-restricted diet (with or without sapropterin) if the blood Phe concentrations remain above the target range.

There are some data to suggest that a negative BH₄ test may not rule out long-term responsiveness to BH₄;

Table 1 Justification and benefits of the neonatal BH₄ loading test

	Criteria/benefit of the neonatal BH ₄ loading test
1	BH ₄ treatment is now available from birth in Europe (as well as USA)
2	The Phe concentration in the newborn with a genetic defect in phenylalanine metabolism is spontaneously evaluated
3	The neonatal test allows the early diagnosis of GTPCH or PTPS deficiency
4	The normalization of blood Phe concentration will be reached sooner in the responsive neonates
5	The 24-h test only delays the management of the condition by 24 h and allows treatment to begin within the first 10 days of life
6	A complete phenotype of the patient is generated (Phe level and BH ₄ responsiveness)
7	The parents are rapidly informed of the types of treatment that are available for their child
8	Some patients will be able to avoid the dietetic treatment from birth
9	The good safety profile of this molecule is well established
10	The neonatal test avoids performing a Phe load in young patients after the newborn period

BH₄ Tetrahydrobiopterin, GTPCH Guanosine triphosphate cyclohydrolase I, Phe Phenylalanine, PTPS 6-pyruvoyl-tetrahydropterin synthase

therefore, except in patients with two null mutations, there may be a case for retesting for BH₄ responsiveness when the child is older [30].

Interpreting the results of the BH₄ loading test

The possible different responses in blood Phe concentration that can be observed following sapropterin administration are illustrated in Fig. 2. A decrease in blood Phe concentration of $\geq 30\%$ at any point during the test indicates the presence of BH₄ responsive HPA. A rapid fall in the Phe concentration to a value approaching the target range (120–360 $\mu\text{mol/L}$) within 2–6 h suggests a genetic disorder in the synthesis of BH₄ (known as ‘primary BH₄ deficiency’: guanosine triphosphate cyclohydrolase [GTPCH] or 6-pyruvoyl-tetrahydropterin synthase [PTPS] deficiency) (Fig. 2). If the blood Phe concentration decreases to within the target range in 24 h, this suggests dihydropteridine reductase (DHPR) deficiency or fully responsive PAH deficiency (Fig. 2). In this outcome, the result of the pterin (neopterin or biopterin) analysis from urine or dried blood and blood DHPR activity in erythrocytes should be retrieved. If the diagnosis of a genetic disorder of the synthesis or regeneration of BH₄ is confirmed, then additional management steps must be initiated (such as the determination of cerebrospinal fluid neurotransmitter levels, serum prolactin and further genetic testing). Further guidance on the diagnosis and management of ‘primary BH₄ deficiency’ can be found elsewhere [31]. If genetic testing for PAH and biopterin deficiencies is normal, *DNAJC12* deficiency should be considered. The results of BH₄ loading tests in eight patients with BH₄ responsive PAH deficiency with different genotypes are shown in Fig. 3.

It is worth noting that the threshold of $\geq 30\%$ is arbitrary; therefore, patients with a smaller decrease in Phe concentration can still show a clinically meaningful increase in Phe tolerance during a long-term therapy trial,

mainly if the BH₄ loading test has been performed while blood Phe concentrations are low. Conversely, patients showing a reduction in blood Phe concentration of $\geq 30\%$ might not respond satisfactorily to sapropterin during a long-term therapy trial. This is often the case if the reduction is only slightly greater than 30% or is only observed at one test time point. Hence, a positive BH₄ loading test result should be critically reviewed and confirmed by a long-term therapy trial with a meaningful benefit determined in terms of Phe tolerance. In patients showing a decrease of blood Phe of more than 30%, a 1-month trial can be performed. This can be done with sapropterin alone if the Phe level dropped to within the target range (fully responsive patients) or sapropterin combined with a Phe-restricted diet if the blood Phe levels remain above the target range. Unresponsive patients should be started on a Phe-restricted diet.

Sapropterin treatment for newborns with BH₄ responsive PAH deficiency

Two potential scenarios could arise after completion of the BH₄ loading test. If it is possible to obtain the results the day after the loading test is performed, BH₄ treatment can be implemented immediately (in the case of a full response). If it is not possible to obtain the results in this timeframe, dietetic treatment must begin, either with only Phe-free medical food if the baseline Phe value $> 600 \mu\text{mol/L}$ or a mixed diet of breast milk/complete infant formula can be administered if baseline Phe value $< 600 \mu\text{mol/L}$ until the results of the test are obtained; in such cases, there is a high likelihood of BH₄ responsiveness. If the results indicate BH₄ responsive PAH deficiency, pharmacotherapy with sapropterin can begin.

For fully responsive neonates, sapropterin treatment can be implemented. The starting dose usually given in Europe is 10 mg/kg/day, although many physicians prefer to use a starting dose of 20 mg/kg; the use of either

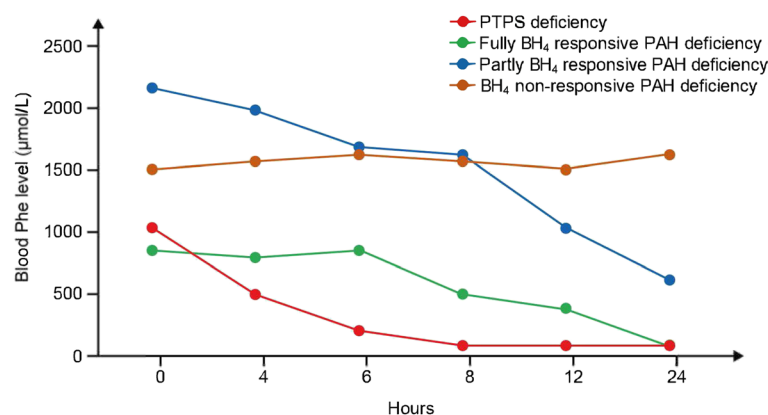
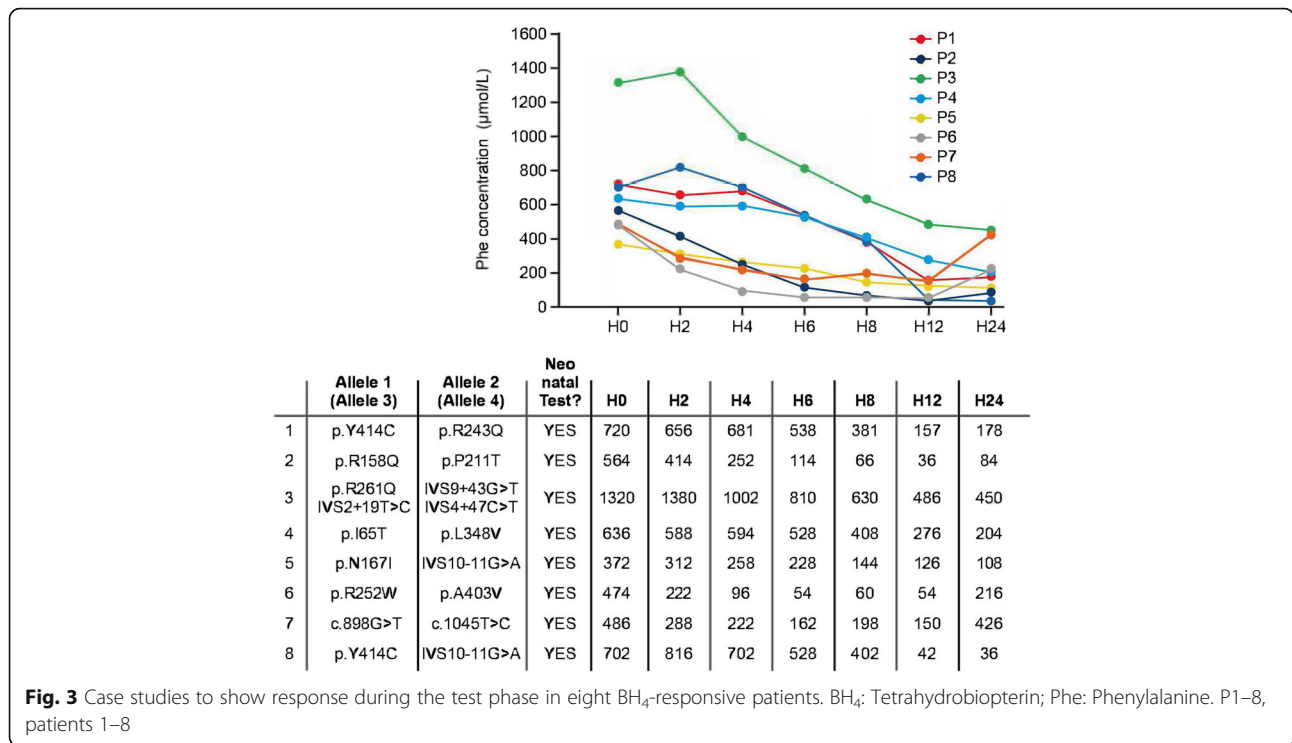


Fig. 2 Illustrative examples of neonatal BH₄ loading test outcomes. BH₄: Tetrahydrobiopterin; PAH: Phenylalanine hydroxylase; Phe: Phenylalanine; PTPS: 6-pyruvoyl-tetrahydropterin synthase



dose is acceptable but some patients may have a better response to lower doses of sapropterin than they do to higher doses, depending on their genotypes [32]. Sapropterin is administered in the morning as a single dose dissolved according to the guidance for water dilution, which is the diluent specified in the package insert (Additional file 1). However, the rate and extent of absorption of sapropterin is influenced by food. The absorption of sapropterin is higher after a high-fat, high-calorie meal compared with during the fasted state, which results, on average, in 40–85% higher maximum blood concentrations achieved over 4–5 h after administration [15, 33]. For example, milk is a fat-containing food that is much more effective than water or fruit juice for the optimal absorption of sapropterin. After administration of sapropterin, blood Phe concentrations must be monitored tightly (daily if possible). We recommend that parents must be educated from an early stage to take fasting capillary blood samples in the morning. Daily monitoring of blood Phe concentration allows for calculation of mean blood Phe concentrations and, on this basis, for gradual (weekly or fortnightly) titration of the Phe intake to the target range by adherence to the following algorithm:

- Mean blood Phe concentration ~ 360 µmol/L: do not change Phe intake.
- Mean blood Phe concentration < 360 µmol/L: increase Phe intake by 20% or, if the child is already

on a normal diet, decrease the dose of sapropterin by 2 mg/kg/week or do not increase the sapropterin dose in response to the child gaining weight.

- Mean blood Phe concentration > 360 µmol/L: reduce Phe intake by 10–30%, depending on the degree of elevation of the blood value [21, 22, 34].

It can take several weeks to discern the maximum Phe intake that can be tolerated while keeping blood Phe concentrations in the target range. The above algorithm should, therefore, be used for at least 4 weeks. If a starting dose of 10 mg/kg/day was chosen and the effect on dietary Phe tolerance was not satisfactory, an increase in the dose to 20 mg/kg/day should be considered. After the patient has reached maximum normal Phe intake, with blood Phe concentrations lower than 360 µmol/L, a critical evaluation of the benefit of treatment with sapropterin should be carried out. Consideration of the responses to certain questions may be helpful in this evaluation (Table 2).

Table 2 Questions for the evaluation of sapropterin treatment

- Is the Phe tolerance higher than expected based on the baseline blood Phe concentrations and the genetic test results?
- How much natural protein can the patient consume without blood Phe levels reaching above 360 µmol/L?
- Does the patient require a Phe-free amino acid mixture to cover the recommended age-appropriate protein intake?
- Is the patient tolerating the medication?

The course of a successful therapy trial with sapropterin in a newborn with BH₄ responsive deficiency is shown in Fig. 4.

Sapropterin treatment for a child younger than 4 years with prior dietary management

When considering pharmacotherapy for a child younger than 4 years of age with prior dietary management, the treatment algorithm in Fig. 5 together with the following questions are useful (Table 3).

If the answers to either of the questions are positive, further tests for BH₄ responsiveness should be performed. It should be noted that prior to approval of sapropterin in children aged 0–4 years, in most countries in Europe it was possible to authorize the BH₄ loading test but not the treatment; hence, it was possible to have the situation of known positive test without having treated the child. If the results of a BH₄ loading test are not available, genotyping may help to estimate the probability of BH₄ responsiveness (Table 4).

It should be noted that it is not easy to perform a BH₄ loading test in patients who are well managed by diet and thus have low blood Phe concentrations (and this indirectly supports performing the test during the neonatal period when the Phe level is naturally elevated). In these cases, a treatment trial with sapropterin dihydrochloride is recommended instead. If you are not able to perform a treatment trial, a BH₄ loading test should be performed. In this case, the Phe concentration at the beginning of the test should be stable at > 360 μmol/L, which generally requires an increase in Phe intake. This often causes difficulties and needs to be done under close management.

Performing a sapropterin therapy trial in a patient younger than 4 years previously managed by diet alone

Therapy trials are divided into screening and test phases (Table 5) and an algorithm is used to adjust the dietary Phe intake.

Screening phase The patient should be free of any infections or illness at the start of the test. The diet is initially continued as usual, and a food diary is kept for 1 week. From this, baseline Phe tolerance (PheTol₀) and baseline quantity of protein from the amino acid mixture (amino acid supplement [AAS₀]) are calculated. Daily fasting blood samples are collected in the first week, and the mean blood Phe concentration (Phe₀) is determined. The duration of this phase may be longer than 1 week in some cases.

Test phase Sapropterin is administered in the morning at 10 mg/kg/day as a single dose dissolved in milk (Additional file 1). A food diary is again kept for 1 week, and the current Phe tolerance (PheTol₁) and quantity of protein from the amino-acid mixture (AAS₁) are calculated. Daily fasting blood samples are collected during the week and the mean blood Phe concentration (Phe₁) is determined.

Confirmation of BH₄ responsiveness by the Phe intake algorithm The algorithm below defines how to adjust the Phe intake based on the blood Phe concentrations.

- Mean blood Phe concentration ~ 360 μmol/L: do not change Phe intake.
- Mean blood Phe concentration < 360 μmol/L: increase Phe intake by 20% or, if the child is already on a normal diet, decrease the dose of sapropterin by 2 mg/kg/week or do not increase the sapropterin dose in response to the child gaining weight.
- Mean blood Phe concentration > 360 μmol/L: reduce Phe intake by 10–30%, depending on the degree of elevation of the blood value [21, 22, 34].

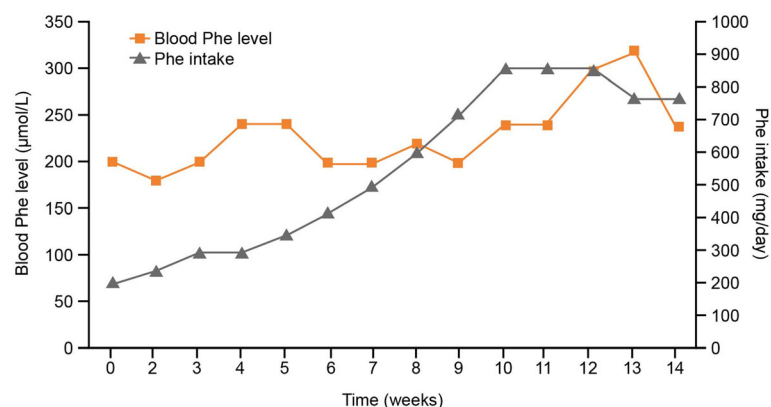
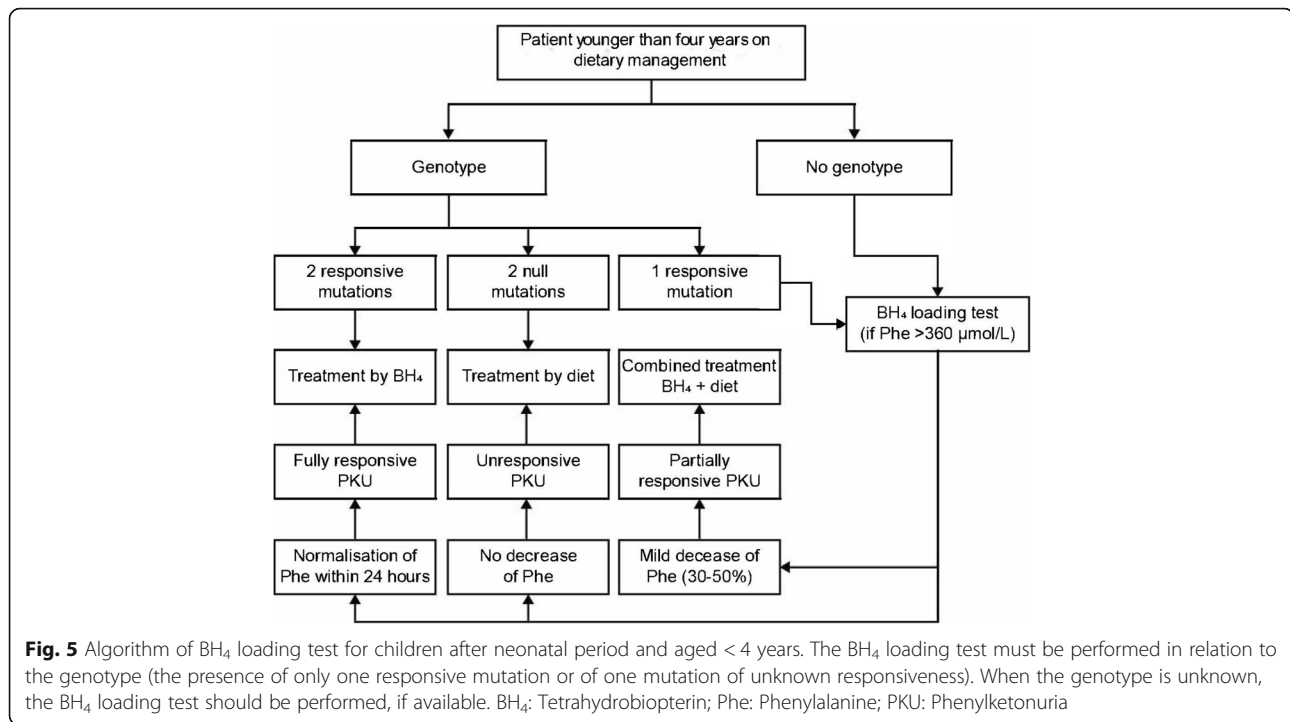


Fig. 4 Diagrammatic representation of the course of a successful therapy trial with sapropterin in a newborn with BH₄-responsive PAH deficiency. BH₄: Tetrahydrobiopterin; PAH: Phenylalanine hydroxylase; Phe: Phenylalanine; PKU: Phenylketonuria



After this, daily fasting blood samples continue to be taken and daily blood Phe concentrations (Phe₂ to Phe_x) are determined. We would like to emphasize that a high number of daily blood samples is helpful to judge metabolic control; however, if this is not attainable, the frequency of blood sampling can be reduced.

Duration of the test phase One month after starting the trial, the quantity of amino acid mixture administered (AAS₁) is adjusted. If there is a further increase in Phe intake, and thus in the intake of natural protein, other adjustments are made at a later time (AAS_x). The algorithm loop is repeated until steady state is reached (with any further increase in Phe intake, the blood Phe concentration is above 360 µmol/L in two successive samples). The Phe intake is then initially reduced by 10%. If blood Phe concentrations in the subsequent week remain outside the target range, Phe intake is reduced by a further 10% (illustrated in Fig. 4). If the effect on dietary Phe tolerance was not satisfactory at a dose of 10 mg/kg/day, an increase in the dose to 20 mg/kg/day should be considered. At the end of the test phase, the

Table 3 Questions to consider before pharmacotherapy for a child < 4 years

- Has a BH₄ loading test already been performed over 24 h (in the neonatal period) or over 48 h (in children older than neonates) and did the test indicate that the child was BH₄ responsive?
- Does the patient have a genotype that is associated with a good probability of being BH₄ responsive?

following values are documented: difference between Phe₀ and Phe_x; difference between PheTol₀ and PheTol_x; and difference between AAS₀ and AAS_x.

Regular blood monitoring and dietary and nutritional assessments are recommended for patients during long-term treatment with sapropterin [29].

Evaluation Once the values for blood Phe concentration, Phe tolerance and synthetic amino acid requirement have been calculated, a critical benefit analysis is performed, first within the team treating the patient and then together with the patient's family. Unequivocal benefit from a medical perspective is considered as an increase in Phe tolerance by ≥100% and a 50% reduction in amino acid mixture intake. Minor changes must be individually evaluated in the overall clinical context. For patients with Phe values outside the target range, the therapeutic effect may also consist of a substantial reduction in the mean Phe concentration.

Table 4 Responses according to whether or not the genotype is known

- Two null mutations *in trans*: no reason to perform further tests on the responsiveness to BH₄; treat with Phe-restricted diet.
- Two responsive mutations: treat the patient with sapropterin.
- One responsive mutation or unknown genotype: consider further tests for BH₄ responsiveness.
- If the genotype is not identified, further tests of BH₄ responsiveness should be considered.

Table 5 Summary of steps involved in a sapropterin therapy trial

Screening Phase
<ul style="list-style-type: none"> • Diet alone • Food diary • Determination of baseline Phe tolerance (PheTol₀) • Determination of the baseline quantity of protein from the amino-acid mixture (amino-acid supplement [AAS₀]) • Determination of the baseline blood Phe concentration (Phe₀)
Test Phase
<ul style="list-style-type: none"> • Sapropterin 10–20 mg/kg per day • Food diary • Determination of the Phe tolerance on therapy (PheTol₁) • Determination of the quantity of protein from the amino-acid mixture on therapy (AAS₁) • Determination of the Phe concentration on therapy (Phe₁) • Continuing the test phase based on the algorithm until steady state is reached
Evaluation
<ul style="list-style-type: none"> • Calculate the differences: <ul style="list-style-type: none"> PheTol_x – PheTol₀ AAS_x – AAS₀ Phe_x – Phe₀ • Evaluation and critical benefit analysis in the team

Conclusions

European guidelines to optimize PKU care have been recently published [29] and sapropterin was approved to treat patients with BH₄ responsive PAH deficiency who are younger than 4 years. This article provides practical recommendations for performing a BH₄ loading test in newborns and discusses the possibility of initiating BH₄ therapy from birth. The justification and benefits of this are summarized in Table 1. While the implementation of BH₄ treatment is relatively similar in patients 0–4 years of age as it is in those > 4 years, the neonatal BH₄ loading test allows early diagnosis and potential immediacy of treatment. Critical to this is the ready availability of sapropterin dihydrochloride tablets at the clinical center for neonatal screening, so that there is no delay between testing and treatment. Placing a patient on pharmacotherapy is time-consuming and requires diligence from both the family and the medical care team; therefore, for all patients there should be regular attempts to increase the dietary Phe intake, as this often succeeds without an increase in the blood Phe concentration. This article provides practical insights for performing a BH₄ loading test in newborns and initiating BH₄ therapy from birth; it also highlights a pharmacotherapeutic treatment option for some patients previously managed by diet alone.

Additional files

Additional file 1: Dose dependent dissolution recommendation for sapropterin according to the guidance for water dilution. This table shows the number of tablets and the quantity of diluent required according to the weight of each child. (PDF 181 kb)

Additional file 2: Time course of capillary blood samples taken during the BH₄ loading test. This scheme shows the timing of the blood samples during the BH₄ loading test and guidance on how to interpret the results from the test. (JPG 40 kb)

Abbreviations

ACMG: American College of Medical Genetics and Genomics; AS: quantity of protein from the amino-acid mixture; BH₄: tetrahydrobiopterin; DHPR: dihydropteridine reductase; GMDI: Genetic Metabolic Dietician's International; GTPCH: guanosine triphosphate cyclohydrolase; HPA: hyperphenylalaninemia; PAH: phenylalanine hydroxylase; Phe: phenylalanine; PKU: phenylketonuria; PTPS: 6-pyruvoyl-tetrahydropterin synthase; Tol: tolerance

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Availability of data and materials

Data sharing is not applicable to this article as no datasets were generated or analysed during the current study.

Authors' contributions

ACM, MdM and FF contributed clinical expertise and contributed to the writing and revision of the manuscript. All authors read and approved the final version of the manuscript.

Ethics approval and consent to participate

The content of this article is derived from the authors' experience dealing with patients in their institutions; therefore ethical approval was not needed although consent for treatment was obtained according to the procedure at each of the centers.

Consent for publication

Consent for publication was obtained for all individuals who provided individual data for the case studies included in this manuscript.

Competing interests

ACM has been a member of scientific advisory boards for PKU (supported by Merck KGaA, BioMarin, Nutricia International, and Arla Foods International), received research funding from Nutricia International, Vitaflor International, and Merck KGaA and has received honoraria as a speaker from Merck KGaA and Biomarin. MdM has received travel grants from Merck Serono (an affiliate of Merck KGaA, Darmstadt, Germany) and research funding from Nutricia International. FF has been a member of scientific advisory boards for PKU (supported by Merck KGaA, BioMarin, and Nutricia International) and has received honoraria from BioMarin, Merck KGaA and Nutricia International/Danone.

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