



Brain endothelial cell-targeted gene therapy of neurovascular disorders

Serena Marchiò^{1,2,3,4}, Richard L Sidman⁵, Wadih Arap^{6,†} & Renata Pasqualini^{1,2,†}

Neurovascular disorders are difficult to treat due to the blood-brain barrier (BBB), which prevents delivery of most drugs from the blood into the brain. Gene therapy can potentially overcome this barrier, but classical vectors are neither efficient nor specific enough to provide long-lasting treatment or avoid off-target effects. In this issue of *EMBO Molecular Medicine*, Körbelin et al (2016) describe an engineered adeno-associated virus (AAV) with exceptional tropism for the brain that restores a normal phenotype in a mouse model of incontinentia pigmenti (IP), a severe human genetic disorder of brain vasculature.

See also: J Körbelin et al (June 2016)

eurovascular disorders are a heterogeneous group of pathologies that display a common characteristic: they are difficult to treat with traditional pharmacological approaches. This is largely due to restraints imposed by the BBB that limit drug distribution to neurons and glial cells of the central nervous system (CNS) upon systemic administration. The BBB is a highly selective, active interface composed mainly of vascular endothelial cells, but also astrocytes and pericytes, as well as neurons, microglial cells, and smooth muscle cells. In the brain and spinal cord, endothelial cells lining the entire inner surface of blood vessels are linked to one another by tight junctions, which inhibit transport of most compounds across the BBB. Astrocyte glial cells envelop the outer surface of small blood vessels in the CNS and impose further restrictions.

In this issue of EMBO Molecular Medicine, Körbelin et al (2016) apply an innovative in vivo screening system to isolate engineered AAVs that can deliver transgenes specifically to the brain in mouse models. The authors exploited an AAV2 library displaying random 7-amino acid insertions on the capsid protein, which was injected intravenously for reiterated affinity selection for brain-homing vectors. An AAV displaying the NRGTEWD peptide (named AAV-BR1) was identified that showed high specificity accompanied by high transgene expression in the brain with minimal off-target affinity (including for the liver, for which the wildtype AAV2 exhibits a strong tropism). AAV-BR1 revealed substantially improved transduction efficacy over AAV-PPS, an AAV2 vector functionalized with a phage displayselected, brain-specific peptide (Chen et al, 2009). Stable and specific transgene expression was detected in vivo for > 660 days by luciferase-mediated bioluminescence. This was confirmed ex vivo with a relative brain/ liver luciferase activity of 1,000 for AAV-BR1 compared to 10 for AAV-PPS (which was predominantly detected in the heart).

Although most AAV-BR1, like other AAVs, was non-specifically entrapped by the reticuloendothelial system in the spleen, this organ was not transduced, demonstrating that ligand-directed AAV delivery is required

for full functionality. In addition, brain homing was also accompanied by detargeting of other sensitive sites, for example, liver, heart, and kidneys. The authors identified brain vessel endothelial cells as the main target of AAV-BR1, which broadly transduced CNS-associated capillaries in various tested brain areas (cerebellum, olfactory bulb, striatum, cerebral cortex), as well as in the spinal cord. They also observed sporadic neuronal transduction, demonstrating that AAV-BR1—at least to some extent—can cross the BBB, possibly by transcytosis through the endothelial layer.

As a proof-of-concept toward medical applications, the authors used a murine model of IP (a severe human neurovascular disease) to evaluate the therapeutic effects of AAV-BR1 (Fig 1). IP is caused by heterozygous mutations that inactivate the Nemo gene (Smahi et al, 2000); patients exhibit a mild dermal phenotype associated with major neurological symptoms and epilepsy due to loss of brain capillaries and disruption of the BBB (Meuwissen & Mancini, 2012). Injection of a single intravenous dose of the AAV-BR1 vector carrying a wild-type Nemo gene (AAV-BR1-NEMO) completely reversed the neurovascular abnormalities in both heterozygous (Nemo^{-/+}) and brain endothelial cell-conditionally null (Nemobeko) mouse models of IP. Besides its efficacy at the diseased sites, two aspects of this liganddirected gene therapy are noteworthy in terms of clinical safety: (i) Overexpression of Nemo did not affect BBB tightness in

¹ University of New Mexico Comprehensive Cancer Center, Albuquerque, NM, USA. E-mail: rpasqual@salud.unm.edu

² Division of Molecular Medicine, Department of Internal Medicine, University of New Mexico School of Medicine, Albuquerque, NM, USA

Department of Oncology, University of Turin, Candiolo, Italy

⁴ Candiolo Cancer Institute-FPO, IRCCS, Candiolo, Italy

Department of Neurology, Beth Israel Deaconess Medical Center, Harvard Medical School, Boston, MA, USA

⁶ Division of Hematology and Oncology, Department of Internal Medicine, University of New Mexico School of Medicine, Albuquerque, NM, USA. E-mail: warap@salud.unm.edu

[†]These authors contributed equally

DOI 10.15252/emmm.201606407 | Published online 10 May 2016

wild-type animals, and (ii) AAV-BR1-NEMO did not transduce peripheral endothelial cells in either normal or diseased animals. The latter is of particular relevance because Nemo upregulation in non-CNS blood vessels can lead to severe adverse effects such as atherosclerosis (Gareus et al, 2008).

The data from Körbelin et al (2016) are a promising step toward more effective therapeutic approaches targeting the CNS, where synergistic effects can be achieved by a concomitant intervention on blood vessels and, potentially, neurons. Both cell types, indeed, could be transduced in vivo by AAV-BR1. So far, specific brain transduction has only been observed with AAVs injected locally and at high doses (de Backer et al, 2010; Markakis et al, 2010). Although some natural, for example, AAV2 and AAV9, and surface-modified serotypes can cross the BBB (Foust et al, 2009; Yang et al, 2014; Choudhury et al, 2016), their systemic administration results in substantial targeting of non-CNS tissues such as heart, liver, and skeletal muscle (Zincarelli et al, 2008). The only AAV vector described to transduce brainbut also cardiac-microvascular cells is the previously mentioned AAV-PPS (Chen et al, 2009). However, Körbelin et al (2016) elegantly demonstrate enhanced functionality

of AAV-BR1, identifying it as the first efficient and specific vector for in vivo systemic administration of a transgene to the brain endothelium.

Brain endothelial cells not only contribute to the BBB: as a key component of cerebral blood vessels, they are functionally involved in a plethora of neurological pathologies including stroke, multiple sclerosis, epilepsy, and cancer. Depending on the delivered transgene, transduction of CNS endothelial cells with the AAV-BR1 vector could potentially be tuned to improve cerebral perfusion after a stroke, impair vascularization of brain tumors, repair or otherwise modulate the BBB, and directly target the CNS through systemic blood circulation. However, although genetically stable, endothelial cells reveal an exceptional phenotypic plasticity in pathologic conditions, a well-described scenario, for example, in cancer. This implies remodeling of their surface proteome, leading to differential receptor exposure and/or accessibility. As a consequence, a targeting ligand selected in normal conditions, such as the peptide motif described by Körbelin et al (2016), might not be broadly applicable, and selection of disease-specific addresses will probably be necessary to achieve similar results in different contexts. Moreover, for efficient transgene delivery such a ligandtargeted AAV must not only recognize its specific receptor on the cell surface but also undergo active (receptor-mediated) internalization. Both events may impact on signal transduction, with different outcomes depending on the receptor's function(s). Interference of gene therapy-induced intracellular pathways with the desired transgene effect is not expected to be an issue when targeting a suicide gene to cancer tissues, but should be carefully evaluated in the case of therapeutic genes. In this regard, the efficacy of AAV-BR1-NEMO in the IP mouse model represents an encouraging result in light of future applications.

In conclusion, Körbelin et al (2016) provide a pioneering work to address very relevant and unmet clinical needs that go beyond AAV as a gene therapy vector for the proof-of-concept genetic disorder investigated. AAV display peptide libraries can be selected to target any organ of interest, providing a valuable strategy applications in many other diseases not associated with the neurovascular system. AAVs are recognized for their low immunogenicity, ability to transduce nondividing cells, long-lasting transgene expression, non-pathogenicity, and clinical

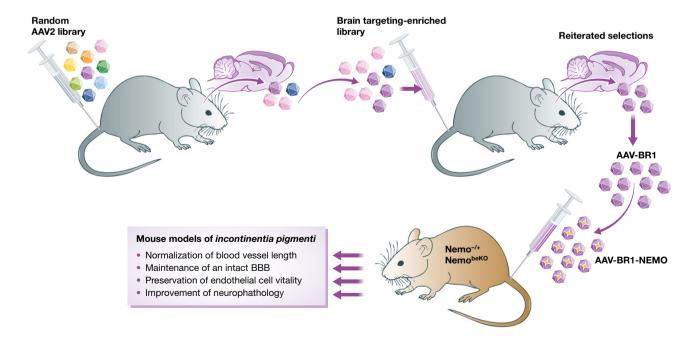


Figure 1. Selection and functional validation of brain-targeting AAVs.

Libraries of AAV2 vectors expressing random peptide inserts on their capsid proteins were injected intravenously in mice. The population of AAVs enriched in the brain was subjected to reiterated selection rounds, leading to the identification of a candidate lead viral vector (AAV-BR1), which was exploited to selectively deliver a therapeutic transgene (AAV-BR1-NEMO) to mouse models of incontinentia pigmenti, a severe human genetic disorder of brain vasculature.

safety. Surface-engineered vectors, such as the one discovered here, add another key clinically relevant characteristic: unprecedented specificity.

References

- de Backer MW, Brans MA, Luijendijk MC, Garner KM, Adan RA (2010) Optimization of adenoassociated viral vector-mediated gene delivery to the hypothalamus. *Hum Gene Ther* 21: 673–682
- Chen YH, Chang M, Davidson BL (2009) Molecular signatures of disease brain endothelia provide new sites for CNS-directed enzyme therapy.

 Nat Med 15: 1215–1218
- Choudhury SR, Harris AF, Cabral DJ, Keeler AM, Sapp E, Ferreira JS, Gray-Edwards HL, Johnson JA, Johnson AK, Su Q *et al* (2016) Widespread central nervous system gene transfer and silencing after systemic delivery of novel AAV-AS vector. *Mol Ther* 24: 726–735
- Foust KD, Nurre E, Montgomery CL, Hernandez A, Chan CM, Kaspar BK (2009) Intravascular AAV9 preferentially targets neonatal neurons

- and adult astrocytes. *Nat Biotechnol* 27: 59–65
- Gareus R, Kotsaki E, Xanthoulea S, van der Made I, Gijbels MJJ, Kardakaris R, Polykratis A, Kollias G, de Winther MPJ, Pasparakis M (2008) Endothelial cell-specific NF-[kappa]B inhibition protects mice from atherosclerosis. *Cell Metab* 8: 372–383
- Körbelin J, Dogbevia G, Michelfelder S, Ridder DA, Hunger A, Wenzel J, Seismann H, Lampe M, Pasparakis M, Kleinschmidt JA *et al* (2016) A brain microvasculature endothelial cell-specific viral vector with the potential to treat neurovascular and neurological diseases. *EMBO Mol Med* 8: 609–625
- Markakis EA, Vives KP, Bober J, Leichtle S, Leranth C, Beecham J, Elsworth JD, Roth RH, Samulski RJ, Redmond DE Jr (2010) Comparative transduction efficiency of AAV vector serotypes 1-6 in the substantia nigra and striatum of the primate brain. *Mol Ther* 18: 588–593
- Meuwissen ME, Mancini GM (2012) Neurological findings in incontinentia pigmenti; a review. Eur J Med Genet 55: 323–331

- Smahi A, Courtois G, Vabres P, Yamaoka S, Heuertz S, Munnich A, Israel A, Heiss NS, Klauck SM, Kioschis P et al (2000) Genomic rearrangement in NEMO impairs NF-kappaB activation and is a cause of incontinentia pigmenti. The International Incontinentia Pigmenti (IP) Consortium. Nature 405: 466–472
- Yang B, Li S, Wang H, Guo Y, Gessler DJ, Cao C, Su Q, Kramer J, Zhong L, Ahmed SS *et al* (2014)
 Global CNS transduction of adult mice by intravenously delivered rAAVrh. 8 and rAAVrh.10 and nonhuman primates by rAAVrh.10. *Mol Ther* 22: 1299 1309
- Zincarelli C, Soltys S, Rengo G, Rabinowitz JE (2008) Analysis of AAV serotypes 1–9 mediated gene expression and tropism in mice after systemic injection. *Mol Ther* 16: 1073–1080



License: This is an open access article under the terms of the Creative Commons Attribution 4.0 License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited.