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Phase 1B trial of *Nab*-paclitaxel plus gemcitabine, capecitabine, and cisplatin (PAXG regimen) in patients with unresectable or borderline resectable pancreatic adenocarcinoma

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Background: Nab-paclitaxel–gemcitabine combination significantly improved overall survival over gemcitabine in metastatic pancreatic adenocarcinoma. A phase 1b trial was performed (ClinicalTrials.gov number, NCT01730222) to determine the recommended phase 2 dose (RP2D) of *nab*-paclitaxel in combination with cisplatin, capecitabine, and gemcitabine at fixed dose (800, 30, and 1250 mg m⁻² every 2 weeks, respectively; PAXG regimen).

Methods: Nab-paclitaxel doses were escalated from 100 (level one) to 125 (level two) and 150 mg m⁻² (level three) every 2 weeks in cohorts of 3–6 patients with pathologically confirmed unresectable or borderline resectable pancreatic adenocarcinoma.

Results: Between Dec 2012 and Apr 2014, 24 patients were enroled (3 at level one, 5 at level two, 16 at level three) and received 117 cycles of PAXG. No dose-limiting toxicity occurred and level three was the RP2D. At this dose, *nab*-paclitaxel dose-intensity was 91%. Worse per patient grade 3/4 toxicity were neutropenia 25/31%; fatigue 19%; anaemia and hand-foot syndrome 12%, nausea 6%, and febrile neutropenia 6%. A partial response (PR) was observed in 16 (67%) and stable disease (SD) in 8 patients (33%). Among 21 patients with a baseline positive positron emission tomography (PET) scan, a complete metabolic response was observed in 9 (43%), PR in 10 (48%), SD in 2. CA19-9 decreased by ≥49% in all the 19 patients with elevated basal value. Six patients were resected after chemotherapy. Progression-free survival at 6 months (PFS-6) was 96%.

Conclusions: The RP2D of *nab*-paclitaxel in the PAXG regimen was $150\,\mathrm{mg\,m^{-2}}$ every 2 weeks. The preliminary results are promising and warrant further exploration.

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Pancreatic adenocarcinoma is a rare disease, but is the seventh leading cause of cancer death (GLOBOCAN, 2015). Only 15–20% of patients present with resectable disease, whereas the majority of patients have metastatic disease at diagnosis, and nearly one-third have involvement of regional main vessels.

Randomised trials in locally advanced or borderline disease have been often prematurely interrupted for poor accrual (Chauffert et al, 2008; Loehrer et al, 2011); thus the results have limited statistical strength. Therefore, standard of care for this stage of disease is still controversial.

Currently, chemoradiation and chemotherapy alone, or followed by chemoradiation, are regarded both as acceptable treatment options. Prospective trials, including patients with both locally advanced and metastatic disease treated with combination regimens failed to show any OS improvement over gemcitabine (Cunningham et al, 2009; Poplin et al, 2009; Colucci et al, 2010), with the notable exception of the PEFG regimen (cisplatin, epirubicin, 5-fluorouracil (5-FU), gemcitabine) that showed a significant progression-free survival (PFS) and OS improvement compared to gemcitabine monotherapy (Reni et al, 2005). PEFG was modified by substituting oral capecitabine for 5-FU, originating the PEXG regimen (Reni et al, 2012). The subsequent inclusion of docetaxel instead of epirubicin (PDXG regimen) reduced grade 3 and 4 neutropenia and improved radiological and biochemical responses, particularly in locally advanced disease (Reni et al, 2012). These results might be explained by the well-known synergism of taxanes with fluoropyrimidines increasing intratumour conversion of capecitabine into 5-FU through the promotion of intra-cellular activity of thymidine phosphorylase (Sawada et al, 1998). Furthermore, taxanes reduce multi-drug resistance proteins favoring cisplatin cytotoxicity (Maeda et al, 2004). These data have been further enriched by the findings of a significantly better outcome of stage 4 patients treated with nab-paclitaxel and gemcitabine compared with those receiving gemcitabine alone in a phase 3 trial (Von Hoff et al, 2013).

On the basis of this rational, a phase 1b trial was designed to determine the recommended phase 2 dose (RP2D) of *nab*-paclitaxel in combination with cisplatin, capecitabine, and gemcitabine (PAXG regimen).

MATERIALS AND METHODS

Chemo-naive patients with 18-75 years, pathologic diagnosis of unresectable or borderline resectable pancreatic adenocarcinoma, without distant metastases and a Karnofsky Performance Status (KPS) ≥ 70 were eligible for the study. The study was conducted at a single institution with a high-volume pancreatic surgery unit (Balzano et al, 2008). Tumours were considered unresectable or borderline resectable on the basis of the National Comprehensive Cancer Network (NCCN) definition (Tempero et al, 2012). The assignment of tumours to the unresectable or borderline resectable category was jointly performed by a dedicated radiologist (RN) and an experienced pancreatic surgeon (GB). Patients were required to have adequate bone marrow (leucocytes $\geq 3500 \,\mathrm{mm}^{-3}$, absolute neutrophil count $\geq 1500 \,\mathrm{mm}^{-3}$; platelet count $\geq 100\,000 \,\mathrm{mm}^{-3}$; haemoglobin $\geq 10 \,\mathrm{g}\,\mathrm{dl}^{-1}$), liver (total bilirubin $\leq 2 \,\mathrm{mg}\,\mathrm{dl}^{-1}$; aspartate aminotransferase and alanine aminotransferase $\leq 3 \times$ upper limit of normal) and kidney function (serum creatinine $\leq 1.5 \text{ mg dl}^{-1}$) and the ability to swallow and absorb oral medications. Prior therapy for their cancer diagnosis, lactation or a positive pregnancy test, clinically significant cardiac disease, concurrent treatment with other experimental drugs, previous or concurrent malignancies at other sites with the exception of surgically cured carcinoma in situ of the cervix and basal or squamous cell carcinoma of the skin, and of other neoplasms without evidence of disease at least from 5 years, history of interstitial lung disease, of connective tissue disorders, or of psychiatric disabilities were all exclusion criteria. Written informed consent was obtained from all patients, and the study was approved by the Health Superior Institute and by the institutional Ethics Committee.

The trial was a single-arm phase 1 study to design a new treatment regimen. The primary endpoint was to determine the RP2D of *nab*-paclitaxel when used in combination with cisplatin, capecitabine, and gemcitabine (PAXG regimen). Secondary endpoints were OS, PFS, PFS at 6 months (PFS-6), response rate as defined by RECIST (Response Evaluation Criteria in Solid Tumours), carcinoma antigen 19-9 (CA19-9) response, and resectability rate. A standard 3+3 dose-escalation schema was used with preplanned cohort expansion at the maximum tolerated dose (MTD). Each treatment cycle consisted of a 28-day period with intravenous cisplatin administered at 30 mg m⁻² on days 1 and 15, intravenous nab-paclitaxel on days 1 and 15, oral capecitabine at 1250 mg m⁻² on days 1 and 15, oral capecitabine at 800 mg m⁻² on days 1 and 15 at a fixed-rate infusion (10 mg m⁻² min⁻¹). The dose of cisplatin, capecitabine, and gemcitabine was maintained fixed at any dose level cohort, whereas nab-paclitaxel dose was escalated from the starting dose level (DL1: $100 \,\mathrm{mg\,m^{-2}}$) to DL2 (125 $\mathrm{mg\,m^{-2}}$), and DL3 (150 $\mathrm{mg\,m^{-2}}$). Dose-limiting toxicity (DLT) was defined as any of the following events attributable to the administered study drugs during the initial 4 weeks of treatment: grade ≥4 neutropenia lasting 7 days or more; grade ≥3 febrile neutropenia or fever of unknown origin≥38.5 °C; grade 4 thrombocytopenia; grade 3 thrombocytopenia which required transfusions; grade ≥3 nausea or vomiting; grade ≥3 diarrhoea; any grade ≥2 neurological toxicity; any grade ≥3 toxicities or representing a shift by two grades from baseline (in case of abnormal baseline); failure to recover to grade ≤1 toxicity (except alopecia) or to baseline values after delaying the initiation of next cycle by > 2 weeks. Treatment was continued until documented progressive disease, unacceptable toxicity, patient's refusal, medical decision or a maximum of six cycles whichever happened before. Surgery and/or chemoradiation after the end of chemotherapy were allowed but were not part of the phase Ib protocol and AE/activity reporting applies to chemotherapy alone.

Pretreatment evaluation with review of inclusion/exclusion criteria, medical and medication history, physical examination, surgical assessment for recectability, KPS assessment, laboratory tests and CA19-9 was performed for all patients ≤ 14 days before enrolment. Radiological imaging by computed tomography (CT) was performed within 3 weeks before treatment initiation by a three-phase, high-resolution thorax and abdomen contrastenhanced CT scan. An 18-fluorodeoxyglucose positron emission tomography (FDG-PET) scan was also performed at baseline whenever possible. Clinical evaluation and haematology panel were repeated at every drugs administration or whenever needed. Haematological and chemistry panel (CA19-9) were repeated on day 1 of every cycle. Radiographic disease assessment was performed every 8 weeks until disease progression using the revised RECIST (version 1.1) guidelines. A FDG-PET scan was repeated after two cycles and at the end of treatment. Metabolic response was assessed according to Weber's criteria (Weber, 2005). Re-evaluation for surgical resectability was performed after four and six cycles. PFS was defined as the time from the day of treatment start to the disease progression or death (for any cause), whichever occurs first. OS was defined as the time interval between treatment start and the date of death, and censored at the date of the last study assessment. Best overall response was defined as the best response recorded from the start of treatment until disease progression.

Biochemical response was defined in relation to percentage of CA19-9 variation on nadir (minor value assessed while on treatment) with respect to basal value only in patients with CA19-9 level elevated (namely over superior normal laboratory level), after normalisation of serum bilirubin levels. Patients were classified as non-responders if CA19-9 variation was <50%; minor responders if CA19-9 variation was between 50 and 89%; major responders if CA 19-9 variation was >89% (Reni *et al*, 2009).

Safety was evaluated at the beginning of each treatment cycle based on patient-reported symptoms, physical examination findings, and clinical laboratory abnormalities. Toxicities were noted by grade and organ system using the National Cancer Institute Common Toxicity Criteria (CTC) (version 4.0), with the frequency

Table 1. Characteristics of the patients at baseline									
Age (year)									
Median Range	63 50–75								
Sex no. (%)									
Female Male	7 (29) 17 (71)								
Karnofsky Performance Status Score-no. (%)									
90–100 70–80	21 (88) 3 (12)								
Pancreatic tumour location-no. (%)									
Head Body/tail	17 (71) 7 (29)								
Surgical assessment-no. (%)									
Borderline resectable Unresectable	6 (25) 18 (75)								
Biliary stent-no. (%)									
Yes No	8 (33) 16 (67)								
Level of carbohydrate 19-9 antigen–U ml ⁻¹									
Median Range > ULN no. (%)	295 16–4591 19 (79)								
Abbreviations: PS = performance status; ULN	I = upper limit of normal range.								

and severity of all adverse events (grades 1-4) summarised descriptively. OS and PFS endpoints were measured according to the method of Kaplan and Meier.

RESULTS

Between December 2012 and April 2014, 24 patients with unresectable or borderline resectable pancreatic ductal adenocarcinoma, were enroled. Patients' characteristics are reported in Table 1. None of the patients enroled in the trial (three at DL1, five at DL2 and sixteen at DL3) experienced a DLT. Accordingly, DL3, which was expanded to obtain additional safety and efficacy information, was considered as RP2D. Both for the entire study cohort and for the RP2D, the median number of cycles was five (range: 3–6). One patient discontinued therapy due to disease progression at cycle five. One patient discontinued therapy due to poor subjective tolerance after 3.5 cycles; ten patients completed 6 months of therapy, whereas twelve patients discontinued treatment after 3–5 months due to medical decision, including four patients who were taken to surgery for resection and eight patients who were addressed to chemoradiation (Figure 1).

After eighty cycles at RP2D the dose-intensity was 90.5% for nab-paclitaxel (which was always administered at the planned dose but in two cycles in a single patient); 91% for cisplatin; 80.5% for gemcitabine with a dose reduction in 46% of cycles; and 75% for capecitabine. Chemoradiation, consisting of 44.25 Gy in fifteen fractions delivered with tomotherapy concomitant to capecitabine at 1250 mg m⁻² daily was administered to all patients: at the end of chemotherapy to sixteen patients, after surgery to seven patients, and at time of recurrence in one patient. Sixteen patients (67%) experienced at least one grade 3-4 haematological and fifteen patients (60%) one grade 3-4 non-haematological adverse event at any point during therapy. Grade 3-4 neutropenia and grade 3 fatigue, neuropathy, anaemia, nausea, diarrhoea, hand-foot syndrome occurred in at least 5% of patients. Adverse events are summarised in Table 2. Granulocyte colony-stimulating factor was used in a single patient. No toxic death was observed.

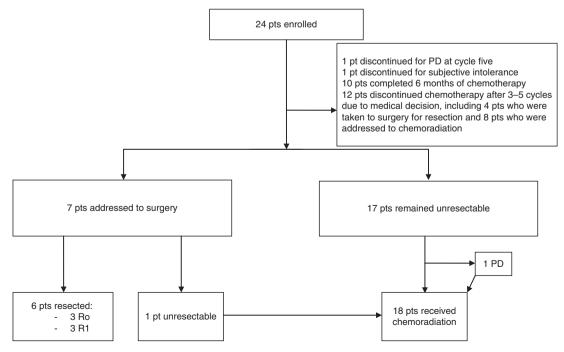


Figure 1. CONSORT flow diagram. PD = progressive disease; Pts = patients.

All patients were assessable for efficacy analyses and had a radiographically measurable disease. Sixteen patients (67%) had partial response according to RECIST criteria, whereas eight (33%) had stable disease. Among nineteen patients with elevated basal CA19-9 value, one was a CA19-9 non-responder (CA19-9 reduction = 49%), ten (53%) had a CA19-9 minor response, and eight (42%) had a CA19-9 major response. Among five patients with normal basal CA19-9 value, one had elevated basal CA125 and one elevated basal CEA. Both patients had a nadir marker reduction >50%. A basal FDG-PET scan was performed in

Table 2. Selected non-haematologic and haematologic events treatment-related adverse events

events treatme	nt-relate	ed adve	erse eve	nts						
	Dose le (n=		Dose lo		Dose level 3 (n = 16)					
Adverse event	No.	%	No.	%	No.	%				
Nausea										
Grade 1	1	33	3	60	8	50				
Grade 2	0		0		0					
Grade 3 Grade 4	0		0		1 0	6				
	0		0		0					
Vomiting Grade 1	1	22	1	20	/	20				
Grade 1 Grade 2	0	33	1 0	20	6 2	38 12				
Grade 3	0		0		0	12				
Grade 4	0		0		0					
Fatigue										
Grade 1	0		3	60	6	38				
Grade 2	2	67	1	20	5	31				
Grade 3	1	33	1	20	3	19				
Grade 4	0		0		0					
	Diarrhoea									
Grade 1 Grade 2	1	33	1	20	2	12				
Grade 2 Grade 3	0	33	1	20 20	3 0	19				
Grade 3 Grade 4	0		0	20	0					
Grade 4 0 0 0 0 Sensory neuropathy										
Grade 1	1 1	33	1	20	8	50				
Grade 2	1	33	1	20	2	12				
Grade 3	0		0		0					
Grade 4	0		0		0					
Hand-foot syndi	rome		,							
Grade 1	0		0		2	12				
Grade 2	1	33	1	20	3	19				
Grade 3	0		0		2	12				
Grade 4	0		0		0					
Neutropenia	0	Ī		40	4	25				
Grade 1 Grade 2	0		2	40	4 1	25 6				
Grade 3	2	67	1	20	4	25				
Grade 4	1	33	0		5	31				
Anaemia		·								
Grade 1	1	33	2	40	7	44				
Grade 2	2	67	2	40	6	38				
Grade 3	0		1	20	2	12				
Grade 4	0		0		0					
Thrombocytope										
Grade 1	2	67	2	40	6	38				
Grade 2 Grade 3	1 0	33	1 0	20	4 0	25				
Grade 3 Grade 4	0		0		0					
Febrile neutrop										
Grade 1	0		0		0					
Grade 2	0		0		0					
		1			1	,				
Grade 3	0		0		ı	6				

twenty-two patients. All but one was FDG avid. A complete metabolic response was observed in nine (43%), partial metabolic response in ten (48%), and a stable disease in two patients (9%). After chemotherapy, 17 patients remained unresectable by radiological criteria, whereas 7 patients were taken to surgery. In six cases (three borderline, three unresectable) resection was successfully performed, whereas one patient was found unresectable at surgical exploration: three had microscopically free surgical margins (R0) and three microscopically infiltrated margins (R1); four had negative nodes (N0) and two had positive nodes (N1).

At time of report, all patients had disease progression; all patients but one were progression free at 6 months (PFS-6, 96%) and twelve (50%) were progression free at 1-year; median PFS was 12 months. Site of progression was local only in 3 patients; systemic only in 14 patients; both local and systemic in 6 patients and unknown in 1 patient. Noteworthy, the main site of recurrence was peritoneum (N=10), whereas liver metastases were observed only in 7 patients. Nineteen patients died of disease progression and five were alive at a median follow-up of 25 months (range: 22.2–28.9); mOS was 18.1 months; 1yOS and 2yOS were 83.3 and 29.2%, respectively. Efficacy results are summarised in Table 3.

DISCUSSION

This phase 1 trial was designed to define the RP2D of *nab*-paclitaxel in combination with cisplatin, gemcitabine, and capecitabine as first-line treatment for patients with chemo-naive, borderline or unresectable pancreatic cancer. Overall, the four-drug combination was safely administered. None of the dose levels of *nab*-paclitaxel, which included the recommended dose of 150 mg m⁻² in many other indications, was associated with toxicity consistent with MTD, and albeit the rate of grade 3–4 neutropenia (56%) and febrile neutropenia (6%) at the RP2D seems higher as compared to nab-paclitaxel–gemcitabine trial (38% and 3%) (Von Hoff *et al*, 2013), this may be related to small sample size of our series and appears acceptable in the neoadjuvant setting. Furthermore, despite the number of patients with a biliary stent

Table 3. Response rates, progression-free and overall survival for all patients, in the RP2D cohort, borderline resectable and unresectable patients

	All RP2D patients			erline ctable	Unresectable				
	No.	%	No.	%	No.	No. %		%	
RECIST response (N = 24; 16; 6; 18)									
Partial Stable	16 8	67 33	10 6	63 37	5 1	83 17	11 7	61 39	
CA19-9 response (N=19; 13; 5; 14)									
Major Minor	8 10	42 53	6 7	46 54	2 2	40 40	6 8	43 57	
FDG-PET response (N = 21; 16; 5; 16)									
Complete Partial Stable	9 10 2	43 48 9	7 7 2	44 44 13	3 2 0	60 40 0	6 8 2	38 50 12	
Progression-free survival									
Median (mo.) 6-month (%) 12-month (%)	12.0 96 50		11.1 100 50		12.5 83 83		9.8 100 44		
Overall survival									
Median (month) 1 year (%)	18.1 83.3		18.1 81.3			4.5 67	19.9 89		
Abbreviations: CA19-9 = carcinoma antigen 19-9; FDG-PET = 18-fluorodeoxyglucose positron									

emission tomography; RECIST = Response Evaluation Criteria in Solid Tumours

Table 4. Prospective clinical trials about locally advanced pancreatic ductal adenocarcinoma

Resection (%)

				DCR	CR + PR	SD			mPFS	mOS	
Ref.	Treatment	Study design	Ν	(%)	(%)	(%)	BL^a	UNR ^a	(mo)	(mo)	1yOS (%)
Chauffert et al, 2008	CRT→maintenance gemcitabine/gemcitabine	Phase III	59/60	NA	NA	NA	NA	3.4/5	NA	8.6/13	32/53
Loehrer et al, 2011	gemcitabine/gemcitabine + RT	Phase III	37/34	40/74	5/6	35/68	NA	0	6.7/6	9.2/11.1	NA
Mukherjee et al, 2013	Capecitabine/gemcitabine- based CRT	Phase II RCT	38/36	86/86	23/19	63/67	NA	5.6/7.9	12/10.4	15.2/13.4	79.2/64.2
Marthey et al, 2015	FOLFIRINOX	Observational	77	84	28	56	NA	36	13	22	77
Sherman et al, 2015	GTX±GX+RT	Non-randomised phase II	45	95	51	44	100	85.3	NA	32.5	NA
Current	PAXG ± CRT	Phase IB	24	100	67	33	50	16.7	12	18.1	83.3

Abbreviations: BL = borderline resectable disease; CR + PR-SD = complete response + partial response-stable disease; CR = chemoradiotherapy; CR = chemotherapy; CR = chem

^aThe definition of borderline resectable and unresectable locally advanced pancreatic cancer changes between different trials.

(47% of those with tumour located in the pancreatic head) appears higher in our series as compared to the nab-paciltaxel-gemcitabine (40%) phase III trial (Von Hoff et al, 2013), only one patient experienced a febrile neutropenia. In addition, secondary endpoints analysis showed encouraging preliminary anti-tumour activity. In particular, results are numerically superior to those of our previous experience in a comparable patients population that was selected by the same team of surgeons, radiologists, and medical oncologists, and treated with other four-drug regimens, namely cisplatin, epirubicin, 5-fluorouracil, gemcitabine (PEFG), cisplatin, capecitabine, gemcitabine plus either epirubicin (PEXG) or docetaxel (PDXG) (Reni et al, 2005, 2009, 2012). Data should be considered with caution in view of the small cohort of patients enroled in this phase 1 trial at the RP2D. However, the PAXG regimen reported here obtained numerically superior results in terms of measurable response, disease control rate, mPFS and PFS at 6 months (vs 62.5-82.1%). The relevance of the observations should also be weighted in light of the high resectability rate of 25% obtained in spite of the fact that borderline resectability was present in only six patients at diagnosis. Albeit all patients recurred, OS at 1-year and 2-year (83.3 and 29.2%, respectively) are unusual in other prospective trials in this patients' population (Table 4).

The relevance of the present data relies also on the homogeneous definition of resectability, which was performed in a single high-volume institution by the blinded assessment of experienced surgical and radiological teams, and the brief timeframe study period.

Overall, collection of pharmacodynamic information in pancreatic adenocarcinoma is very challenging. Tumour tissue is rarely available in pancreatic adenocarcinoma, and the collection of serial biopsies to study the effects of therapy during and after treatment is often limited by the risk linked to the anatomical site to biopsy. A functional characterisation of the response was therefore based on the prospective evaluation with FDG-PET (Chirindel *et al*, 2015) that can be viewed as a surrogate pharmacodynamic endpoint. A complete or partial FDG-PET response was observed in over 90% of patients, suggesting that the PAXG regimen could achieve a remarkable reduction of tumour metabolism consistent with the high rate of objective responses.

Recently, a few series of patients treated with original or modified FOLFIRINOX regimen (5-FU/leucovorin, irinotecan, and oxaliplatin) reported relevant results as well (Hosein *et al*, 2012; Blazer *et al*, 2015; Marthey *et al*, 2015). Although these data are in some cases preliminary, with short follow-up, and retrospective or

observational, they support the concept that further prospective randomised studies of combination chemotherapy should be conducted in this setting.

Currently, the combination of *nab*-paclitaxel and gemcitabine is considered a standard therapy of metastatic pancreatic adenocarcinoma based on the significant survival improvement over single agent gemcitabine that was demonstrated in a large randomised phase III trial (Von Hoff et al, 2013). The 2-drug regimen has a manageable safety profile, and represents a suitable backbone for building more effective chemotherapy. Another phase 1 trial has tested the addition of capecitabine to nab-paclitaxel and gemcitabine in the AGX regimen in patients with metastatic pancreatic adenocarcinoma, but results were disappointing (Ko et al, 2012). The AGX regimen has several differences in respect to PAXG regimen reported here. The first is the presence of platinum in the PAXG. Platinum compounds are among the most active drugs for pancreatic cancer, and are used in first and secondline setting in the clinical practice. In addition, platinum compounds are synergistic with the other drugs in PAXG (Sawada et al, 1998; Maeda et al, 2004). Another relevant difference is the planned doseintensity of *nab*-paclitaxel (75 vs 50 mg m⁻² week⁻¹ in AGX) and capecitabine (8750 vs 5250 mg m $^{-2}$ week $^{-1}$) that is substantially greater in PAXG, whereas that of gemcitabine is 20% lower (400 vs $500 \,\mathrm{mg}\,\mathrm{m}^{-2}\,\mathrm{week}^{-1}$). Finally, the order of drug administration was different. In the PAXG regimen nab-paclitaxel is given before gemcitabine, as in the original phase 3 trial (Von Hoff et al, 2013), whereas in AGX the inverse sequence is used (Ko et al, 2012). Of note, pre-clinical studies showed that nab-paclitaxel decreases cytidine-deaminase levels (Freese et al, 2012), and increases the integration and interaction of gemcitabine-triphosphate with mRNA and favoring gemcitabine activity (Ricotti et al, 2003; Von Hoff *et al*, 2011).

In conclusion, the study proved the possibility of including *nab*-paclitaxel in the regimen and allowed to define the RP2D. An ongoing phase 2 trial is randomizing patients with unresectable, borderline resectable or metastatic pancreatic adenocarcinoma to receive the PAXG regimen or the standard *nab*-paclitaxel-gemcitabine combination.

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CONFLICT OF INTEREST

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