

SCIENTIFIC REPORTS

OPEN

Infrared probe of pseudogap in electron-doped Sr_2IrO_4

J. H. Seo¹, G. H. Ahn¹, S. J. Song¹, X. Chen^{2,3}, S. D. Wilson³ & S. J. Moon¹

We report on infrared spectroscopy experiments on the electronic response in $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ ($x = 0, 0.021, \text{ and } 0.067$). Our data show that electron doping induced by La substitution leads to an insulator-to-metal transition. The evolution of the electronic structure across the transition reveals the robustness of the strong electronic correlations against the electron doping. The conductivity data of the metallic compound show the signature of the pseudogap that bears close similarity to the analogous studies of the pseudogap in the underdoped cuprates. While the low energy conductivity of the metallic compound is barely frequency dependent, the formation of the pseudogap is revealed by the gradual suppression of the featureless conductivity below a threshold frequency of about 17 meV. The threshold structure develops below about 100 K which is in the vicinity of the onset of the short-range antiferromagnetic order. Our results demonstrate that the electronic correlations play a crucial role in the anomalous charge dynamics in the $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ system.

The layered perovskite variant iridate Sr_2IrO_4 has attracted considerable attentions as a candidate correlated electron material from which unconventional superconductivity is predicted to emerge when external carriers are introduced. Sr_2IrO_4 bears close similarities to the parent compounds of high-temperature cuprate superconductors. The electromagnetic state of Sr_2IrO_4 is described by a pseudospin 1/2 antiferromagnetic Mott state where the cooperation between the electronic correlations and the spin-orbit coupling leads to the formation of the effective total angular momentum $J_{\text{eff}} = 1/2$ Mott insulating state^{1,2}. The magnetic excitation spectra of Sr_2IrO_4 is well described by antiferromagnetic Heisenberg model with a pseudospin 1/2 on a quasi-two-dimensional square lattice^{3,4}. Given these similarities, theoretical studies suggest that a singlet d -wave or a triplet p -wave high-temperature superconductivity could emerge in electron or hole-doped Sr_2IrO_4 , respectively⁵⁻⁷. Subsequent experimental effort searching for high-temperature superconductivity indeed uncovered the parallel phenomenology to the cuprates including the d -wave gap⁸, the pseudogap⁹⁻¹³, and other broken-symmetry phases¹⁴ in carrier-doped Sr_2IrO_4 .

The spectroscopic manifestation of the pseudogap in electron-doped Sr_2IrO_4 is analogous to that of the enigmatic pseudogap in the cuprates. Angle-resolved photoemission spectroscopy (ARPES) experiments on K-deposited or La-doped Sr_2IrO_4 revealed the antinodal pseudogap state with coherent nodal excitations upon electron doping^{9,10}. In K-deposited Sr_2IrO_4 , the Fermi arc representing the pseudogap emerges at about 110 K for 0.7 monolayer coverage ($\sim 6\%$ electron doping)⁹ and evolves into a nodal point with a d -wave symmetry at lower temperatures⁸. Scanning tunneling microscopy/spectroscopy (STM/STS) studies demonstrated that the nanoscale electronic phase separation occurred upon electron doping and the pseudogap states emerged around the dopant atoms^{11,12,15}. Despite these observations, the origin of the pseudogap in this $J_{\text{eff}} = 1/2$ cuprate analog remains elusive. A roadblock to understanding this state is that its temperature evolution is not currently well defined. Although the ARPES data of K-deposited Sr_2IrO_4 suggested that the pseudogap developed at $T^* \approx 110$ K⁹, the temperature evolution of the pseudogap state is not included in the STS study on K-deposited Sr_2IrO_4 ¹¹ and the ARPES/STS studies in $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ ^{10,12}.

Infrared spectroscopy is well suited for investigating anomalous charge dynamics in correlated electron materials. Indeed infrared spectroscopy played critical roles in documenting the pseudogap phase in cuprates¹⁶⁻²¹, where for instance the electronic pseudogap inferred from nuclear magnetic resonance experiments was first identified in c -axis infrared conductivity data of $\text{YBa}_2\text{Cu}_3\text{O}_{6+\delta}$ ¹⁶. Therefore infrared spectroscopy experiments could shed valuable insights on the nature of the charge transport and the pseudogap phase in electron doped Sr_2IrO_4 , potentially providing a useful comparator to cuprates as well. However, to the best of our knowledge, no infrared spectroscopic study in carrier-doped Sr_2IrO_4 has been reported before.

¹Department of Physics, Hanyang University, Seoul, 04763, Korea. ²Department of Physics, Boston College, Chestnut Hill, Massachusetts, 02367, USA. ³Materials Department, University of California, Santa Barbara, California, 93106, USA. Correspondence and requests for materials should be addressed to S.J.M. (email: soonjmoon@hanyang.ac.kr)

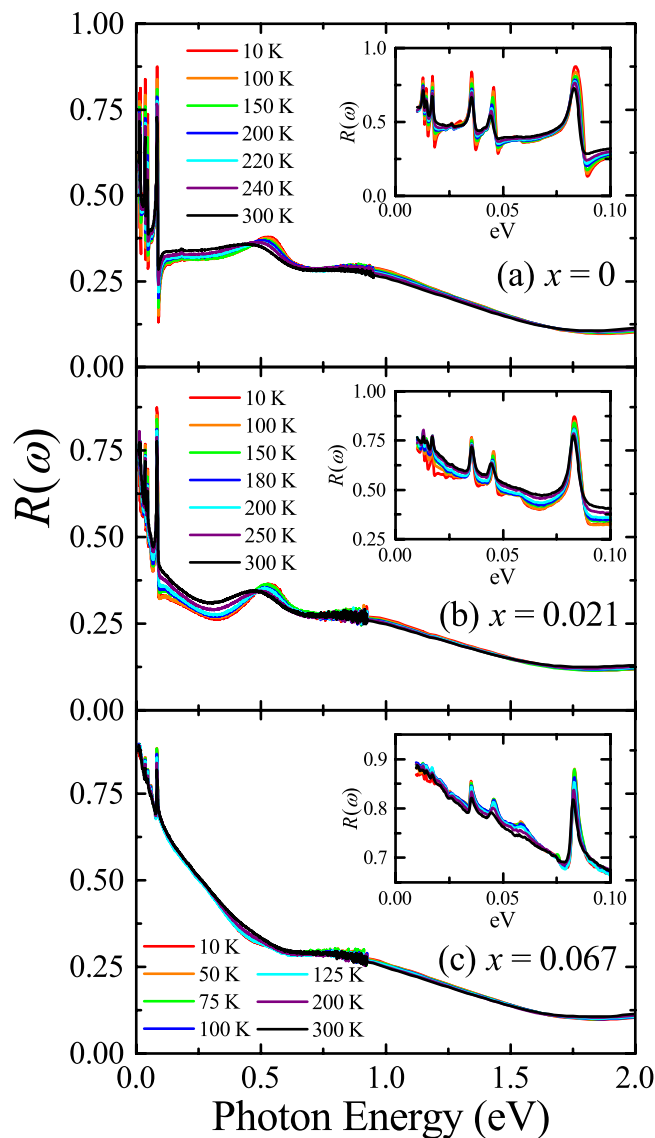


Figure 1. Temperature-dependent reflectivity spectra $R(\omega)$ of *ab*-plane of (a) Sr_2IrO_4 ($x=0$), (b) $(\text{Sr}_{0.979}\text{La}_{0.021})_2\text{IrO}_4$ ($x=0.021$), and (c) $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$ ($x=0.067$). Insets: $R(\omega)$ below 0.1 eV.

In this paper, we investigate the *ab*-plane (IrO_2 plane) electronic response in $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ ($x=0, 0.021$, and 0.067) by using infrared spectroscopy. We observe that $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ undergoes a filling-controlled insulator-to-metal transition with La doping. Quantitative analyses on the evolution of the charge dynamics of $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ show that the change in the optical excitation energy between the $J_{\text{eff}}=1/2$ Hubbard bands is negligible while its intensity is suppressed, indicating the robustness of the electronic correlations in electron-doped Sr_2IrO_4 . The far-infrared conductivity of $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$ reveals a hallmark of the pseudogap: a gradual depletion of the conductivity below a threshold frequency quantifying the magnitude of the pseudogap $2\Delta_{\text{PG}} \approx 17$ meV. The evolution of the conductivity data associated with the formation of the pseudogap is quite similar to the phenomenology in the *c*-axis pseudogap of the underdoped cuprates^{16,22,23}. At high temperatures, the spectral shape of the far-infrared conductivity in $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$ is nearly frequency independent, indicating a bad metallic nature. With decreasing temperature across 100 K, the conductivity below 20 meV is gradually depressed, revealing the threshold structure. The temperature at which the pseudogap develops $T^* \approx 100$ K coincides with the previously reported onset temperature of short-range antiferromagnetic order¹⁵. Our results suggest that the pseudogap in electron-doped Sr_2IrO_4 arises from strong electronic correlations and persistent short-range antiferromagnetism.

Results and Discussion

Figure 1 shows the reflectivity spectra $R(\omega)$ of $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ at various temperatures. The evolution of the low-energy $R(\omega)$ indicates an insulator-to-metal transition with electron doping. The far-infrared reflectivity spectra of Sr_2IrO_4 , shown in the inset of Fig. 1(a), are dominated by sharp spikes due to infrared-active phonon

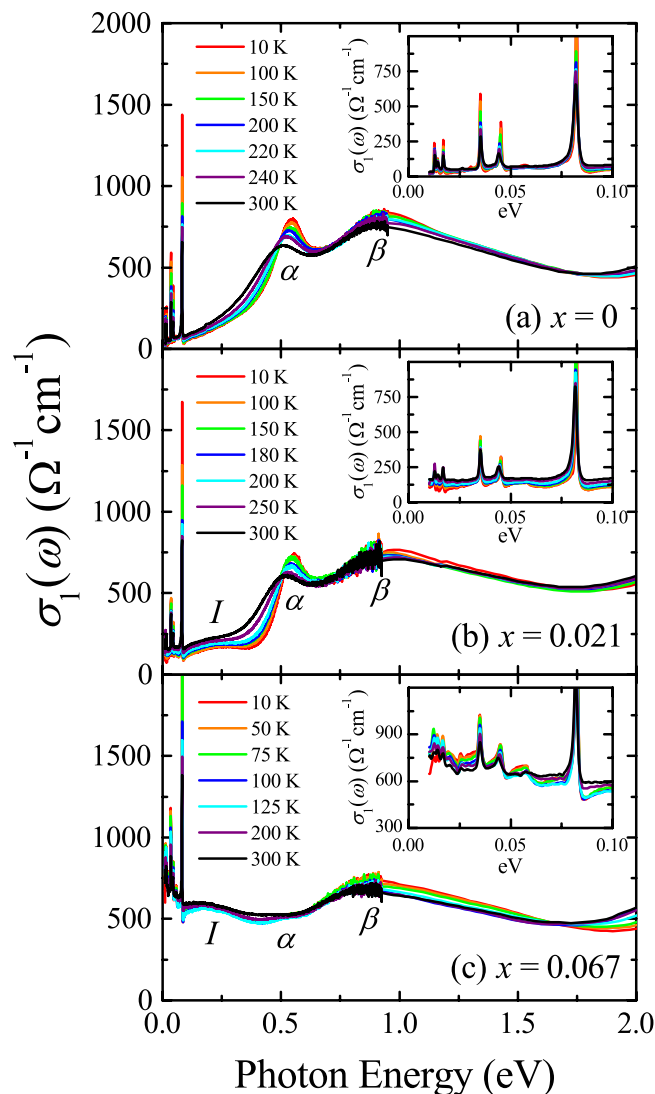


Figure 2. Real part of the optical conductivity spectra $\sigma_1(\omega)$ of *ab*-plane of (a) Sr_2IrO_4 ($x=0$), (b) $(\text{Sr}_{0.979}\text{La}_{0.021})_2\text{IrO}_4$ ($x=0.021$), and (c) $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$ ($x=0.067$). Insets: $\sigma_1(\omega)$ below 0.1 eV.

modes. Two humps located at about 0.5 and 1 eV correspond to the optical excitations between the J_{eff} bands^{1,24,25}. Upon electron doping, $R(\omega)$ below 0.1 eV acquires a spectral shape that rises toward lower frequency, indicating an electronic conduction. While the two humps at about 0.5 and 1 eV persist in $(\text{Sr}_{0.979}\text{La}_{0.021})_2\text{IrO}_4$ [Fig. 1(b)], the lower-energy one appears to be suppressed in $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$ [Fig. 1(c)]. For $(\text{Sr}_{0.979}\text{La}_{0.021})_2\text{IrO}_4$, the far-infrared $R(\omega)$ decreases continuously with decreasing temperature [inset of Fig. 1(b)], signaling an incoherent nature of the charge transport. The low-energy $R(\omega)$ of $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$ displays an anomalous temperature dependence [inset of Fig. 1(c)]. As the temperature decreases from 300 K to 100 K, the magnitude of $R(\omega)$ increases, which indicates an enhancement of the response from the itinerant carriers. However, as the temperature is lowered below 100 K, $R(\omega)$ below about 20 meV is suppressed. This feature is associated with the formation of a pseudogap.

Figure 2 displays the real part of the optical conductivity $\sigma_1(\omega)$ spectra of $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$. The optical conductivity of Sr_2IrO_4 shows the characteristic two-peak structure of the $J_{\text{eff}}=1/2$ Mott insulator. The degeneracy of *d* orbitals of the iridium ions is broken by the cooperation of crystal field and spin-orbit coupling forming J_{eff} states. The on-site Coulomb repulsion *U* splits the $J_{\text{eff}}=1/2$ band into a lower Hubbard band (LHB) and an upper Hubbard band (UHB). Two peaks at about 0.5 eV and 1 eV labeled as α and β correspond to the transitions from the LHB to UHB and from the $J_{\text{eff}}=3/2$ bands to the $J_{\text{eff}}=1/2$ UHB, respectively^{1,24,25}.

Electron doping induces the appearance of spectral weight (SW) below the Mott gap. The conductivity data of $(\text{Sr}_{0.979}\text{La}_{0.021})_2\text{IrO}_4$ in Fig. 2(b) show a weak ingap excitation (peak *I*) centered at about 0.2 eV. It is interesting to note that the α and β peaks are robust against 4.2% electron doping. An increase in the doping concentration up to 13.4% electrons/Ir ($x=0.067$) causes a significant enhancement of the ingap excitation and a concomitant suppression of the peak α [Fig. 2(c)], in contrast to the barely altered peak β . In the energy region below 0.1 eV, $\sigma_1(\omega)$ at high temperature exhibits a weak Drude-like response, where the conductivity of $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$

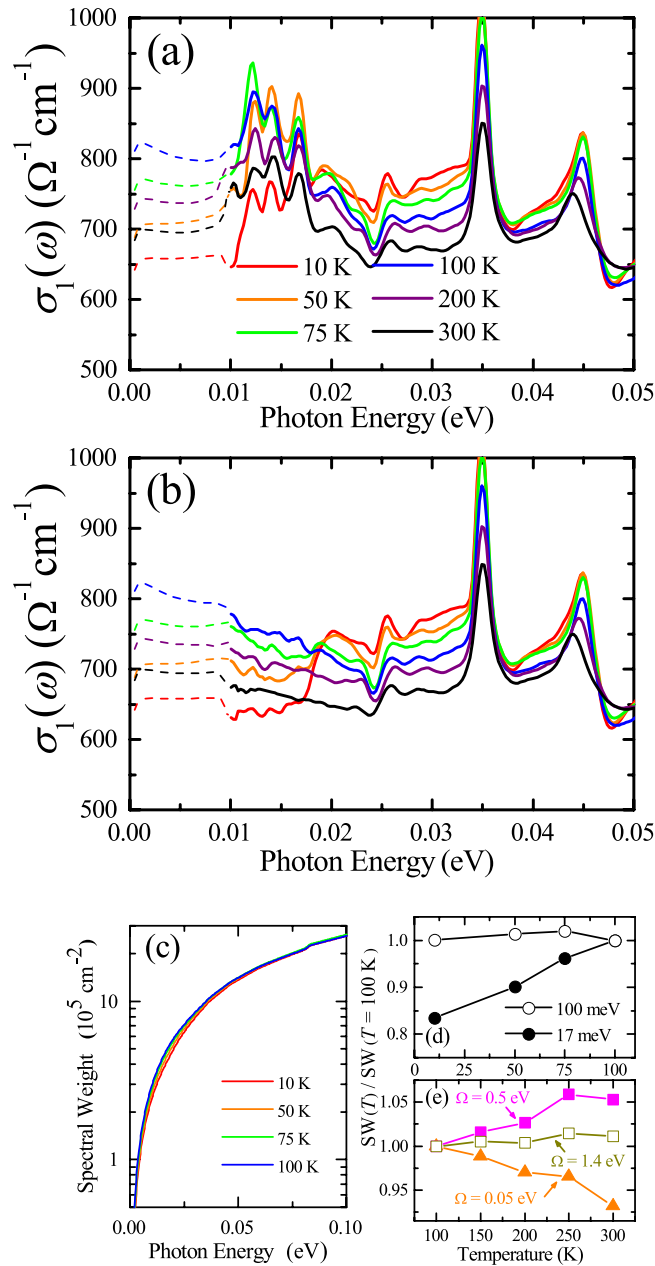


Figure 3. (a) The raw *ab*-plane $\sigma_1(\omega)$ of $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$ in the energy region below 0.05 eV. In (b), we display the optical conductivity obtained by subtracting the contribution of the external phonon modes from the raw $\sigma_1(\omega)$. The dashed lines represent the extrapolated $\sigma_1(\omega)$ calculated from the Kramers-Kronig analysis of $R(\omega)$ with the Hagen-Rubens relation. (c) Frequency-dependent spectral weight $\text{SW}(\omega)$. (d) $\text{SW}(17 \text{ meV})$ (solid circles) and $\text{SW}(100 \text{ meV})$ (open circles) normalized to their corresponding SW 's at 100 K. (e) Temperature dependence of the spectral weight for cutoff $\Omega = 0.05 \text{ eV}$ (solid triangles), 0.5 eV (solid squares), and $\omega_p \sim 1.4 \text{ eV}$ (open squares).

below 0.1 eV rises slightly toward lower frequency. The evolution of this electronic response upon doping will be discussed later in detail.

A closer inspection of the low-energy $\sigma_1(\omega)$ of $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$ reveals an infrared hallmark of the pseudogap, i.e., a gradual depletion of the conductivity with decreasing temperature^{16, 22, 23}. In general terms, the pseudogap means a depletion of density of states^{26, 27}. Thus the formation of the pseudogap implies the suppression of the optical conductivity below the energy of the pseudogap $2\Delta_{\text{PG}}$. In Fig. 3(a), the optical conductivity below 50 meV is shown to highlight the pseudogap formation in $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$. The conductivity below the cutoff frequency of our experiments (dashed lines) were obtained by the Kramers-Kronig analysis of $R(\omega)$ with Hagen-Rubens low-energy extrapolation²⁸ [See the Method section for details]. We stress that different extrapolation methods produce negligible variation in $\sigma_1(\omega)$ in the energy region covered in our experiments. Although the presence of the phonon modes complicates the correct identification of the spectral shape, the formation of

the pseudogap is evident. The magnitude of $\sigma_1(\omega)$ below 50 meV increases with decreasing temperature from 300 K to 100 K. With further cooling below 100 K, the magnitude of $\sigma_1(\omega)$ above 20 meV keeps increasing, in stark contrast to the suppression of $\sigma_1(\omega)$ below 20 meV.

The formation of the pseudogap is better manifested after subtraction of the phonon contribution from the conductivity spectra [Fig. 3(b)]. Sr_2IrO_4 adopts the K_2NiF_4 -type structure with $I4_1/acd$ space group¹⁵. Group theory predicts six infrared-active phonon modes^{29,30}, which is consistent with the number of observed phonon modes in our $\sigma_1(\omega)$ [insets of Fig. 2]. The highest-energy mode at 82 meV corresponds to the stretching mode of the Ir-O bond. The other five phonon modes exist below 50 meV. Three external modes below 20 meV are related to the vibrations of the Sr ions against IrO_6 octahedra and the remaining two bending modes at 35 and 45 meV are related to the modulation of the Ir-O-Ir bond angle^{25,29,30}. The Drude-Lorentz oscillator model is employed to analyze the optical conductivity^{28,31}. The external phonon modes were fitted by three Lorentz oscillators. The electronic contribution to $\sigma_1(\omega)$ at 300, 200, and 100 K (75, 50, and 10 K) was simulated by a broad Drude oscillator (a Drude and two Lorentz oscillators). Then the contribution from the external modes was subtracted from $\sigma_1(\omega)$ to emphasize the sole electronic component to the conductivity in the low energy region [Fig. 3(b)]. Although the spectra are noisy, the phonon peaks can be isolated based on the comparison with $\sigma_1(\omega)$ of Sr_2IrO_4 and $(\text{Sr}_{0.979}\text{La}_{0.021})_2\text{IrO}_4$ where the phonon modes are distinct [insets of Fig. 2(a) and (b)]. At 300 K, the optical conductivity spectrum below 0.05 eV is almost flat other than a slight rise toward lower frequencies, suggesting the incoherent character of the charge transport. As the temperature decreases to 100 K, the magnitude of $\sigma_1(\omega)$ increases continuously. In contrast, with the further lowering of temperature below 100 K, $\sigma_1(\omega)$ below 20 meV is suppressed, which is consistent with the behavior of the resistivity data¹⁵. The conductivity data at 50 and 10 K display a clear gap region below about 17 meV. It should be noted that $\sigma_1(\omega)$ above 20 meV is enhanced along with the pseudogap formation. This observation signals a transfer of the SW into higher energies. The temperature evolution of $\sigma_1(\omega)$ indicates that the magnitude of the pseudogap $2\Delta_{\text{PG}}$ is about 17 meV and the temperature T^* below which the pseudogap develops is about 100 K.

It is interesting to note that the pseudogap behavior discovered in the *ab*-plane response of electron-doped Sr_2IrO_4 is similar to that of the *c*-axis pseudogap of underdoped $\text{YBa}_2\text{Cu}_3\text{O}_{6+x}$ (YBCO)^{16,17}. The *c*-axis conductivity of the underdoped cuprates is characterized by a featureless incoherent far-infrared response. The pseudogap was manifested by a gradual depletion of the *c*-axis conductivity below certain energy with decreasing temperature. Such change leads to the development of a threshold structure at $2\Delta_{\text{PG}}$ ^{16,17}. The SW removed from the energy region below the pseudogap is shifted to higher energies^{22,32,33}. Conversely, the signature of the pseudogap in the cuprates cannot be clearly identified from the in-plane optical conductivity due to the dominance of the coherent Drude-like peak but is represented by a drop in the frequency-dependent scattering rate below $2\Delta_{\text{PG}}$ ²³. The bad metallic character of the *ab*-plane response of $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$ may enable the identification of the pseudogap behavior from our conductivity data.

Aside from the similarities, a quantitative difference exists in the energy scale of the SW transfer due to the formation of the pseudogap in $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ and the cuprates. In the underdoped cuprates, the energy scale of the SW transfer significantly exceeds the magnitude of the pseudogap^{22,32,33}. In contrast, the conductivity data of $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ clearly demonstrate that the SW is only shifted to the region close to the pseudogap energy; $\sigma_1(\omega)$ right above $2\Delta_{\text{PG}}$ is enhanced with the opening of the pseudogap. In order to estimate the energy scale of the redistribution of the SW due to the formation of the pseudogap, we analyzed the temperature dependence of the $\text{SW}(\omega) = \int_0^\omega \sigma_1(\omega') d\omega'$ in the temperature region below $T^* \approx 100$ K. As shown in Fig. 3(c), the $\text{SW}(\omega)$ at $\omega < 2\Delta_{\text{PG}}$ decreases upon entering the pseudogap phase. At $\omega > 2\Delta_{\text{PG}}$, the $\text{SW}(\omega)$ at lower temperatures increases more rapidly with increasing energy which indicates the accumulation of the SW in the corresponding energy region. In Fig. 3(d), the temperature dependence of the SW (17 meV) and SW (100 meV) normalized to the corresponding 100 K SW's are shown, from which we find that the formation of the pseudogap removes about 17% of the spectral weight below $2\Delta_{\text{PG}} \approx 17$ meV and the sum rule is satisfied up to about 100 meV.

It is worthwhile comparing the infrared pseudogap to those revealed by other experimental techniques. An ARPES measurement on $(\text{Sr}_{0.95}\text{La}_{0.05})_2\text{IrO}_4$ reported that the magnitude of the pseudogap at the antinodal point was $2\Delta_{\text{PG}} \approx 50$ meV¹⁰. A STM experiment on $(\text{Sr}_{0.945}\text{La}_{0.055})_2\text{IrO}_4$ suggested $2\Delta_{\text{PG}} \approx 70\text{--}300$ meV¹². These scales of the pseudogap are larger than the estimation from our infrared data. This may be attributed to the difference of the doping level. The La doping concentration of our sample is 6.7% which is larger than that of the crystals measured by the ARPES¹⁰ and STM¹² studies. An ARPES measurement on surface-electron-doped Sr_2IrO_4 showed that the magnitude of the pseudogap decreases with increasing doping concentrations⁹. As the surface coverage increases from 0.5 monolayer to 0.7 monolayer which corresponds to the increase of electron doping concentration by about 8% in K-deposited Sr_2IrO_4 , the magnitude of the pseudogap decreases from 80 meV to 20 meV. Assuming the linear relationship between the electron doping and the magnitude of the pseudogap, the ~ 8 meV decrease in the magnitude of the pseudogap per 1% extra electron doping should be respected. The electron doping concentration of our sample is $2x = 13.4\%$ electrons/Ir which is 3.4% larger than $2x = 10\%$ of the sample used in the ARPES study¹⁰. Therefore, the magnitude of the pseudogap of our sample is expected to be about 30 meV smaller than that of ~ 50 meV from the ARPES study, resulting in a magnitude of about 20 meV which is consistent with our observation.

The temperature $T^* \approx 100$ K at which the pseudogap develops in our data provides a clue on the origin of the pseudogap. Recent magnetization and neutron scattering experiments in $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ revealed that while long-range antiferromagnetic order collapses beyond $x = 0.02$, short-range order survives up to the highest doping concentrations¹⁵. The onset temperature of the short-range antiferromagnetic order T_{SRO} in $(\text{Sr}_{0.94}\text{La}_{0.06})_2\text{IrO}_4$ is about 125 K and further decreases with increasing x . Thus, the T_{SRO} in $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$ is expected to be in the vicinity of 100 K where the pseudogap emerges. This correlation suggests that the short-range antiferromagnetic order may be responsible for the infrared pseudogap.

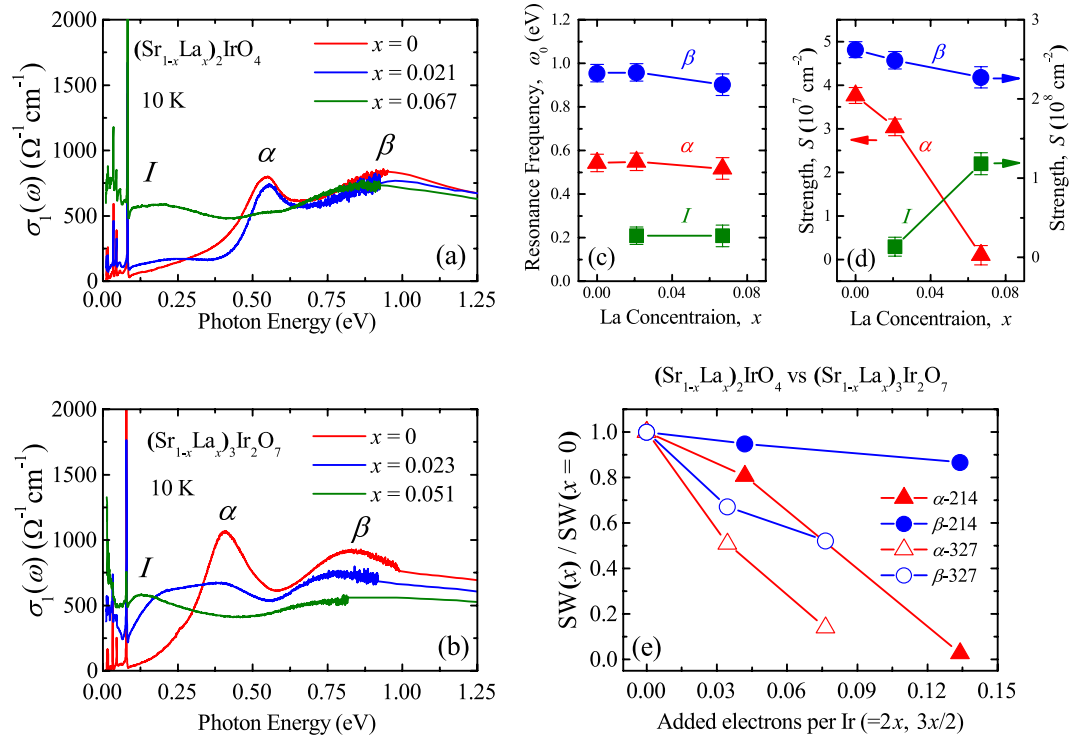


Figure 4. $\sigma_1(\omega)$ of (a) $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ and (b) $(\text{Sr}_{1-x}\text{La}_x)_3\text{Ir}_2\text{O}_7$ at 10 K. (c) Resonant frequencies of the peaks I (solid squares), α (solid triangles), and β (solid circles). (d) Strengths of the peaks I , α , and β . (e) Normalized spectral weights of the peaks α (red triangles) and β (blue circles) $\text{SW}(x)/\text{SW}(x=0)$ of $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ (solid symbols) and $(\text{Sr}_{1-x}\text{La}_x)_3\text{Ir}_2\text{O}_7$ (open symbols).

In order to gain more insights on the pseudogap as well as the electronic response in the $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ system, we now elaborate on the doping evolution of $\sigma_1(\omega)$ at 10 K [Fig. 4(a)]. The doping-induced changes in $\sigma_1(\omega)$ are limited in the energy region below 0.6 eV where the excitation between the $J_{\text{eff}} = 1/2$ bands is the main contributor. We analyzed $\sigma_1(\omega)$ by using the Drude-Lorentz oscillator model^{28,31}:

$$\sigma_1(\omega) = \frac{1}{4\pi} \frac{S_D \gamma_D}{\omega^2 + \gamma_D^2} + \frac{1}{4\pi} \sum_j \frac{S_j \gamma_j \omega^2}{(\omega_j^2 - \omega^2)^2 + \gamma_j^2 \omega^2}. \quad (1)$$

The first and second terms represent the Drude and the Lorentz oscillators, respectively. S_D and γ_D (S_j and γ_j) denote the strength and the scattering rate of the Drude (Lorentz) oscillator, respectively. ω_j in the second term denotes the resonance frequency of the Lorentz oscillator. The strength of the oscillator is proportional to its SW. Figure 4(c–e) show the results of the Drude-Lorentz oscillator analysis. We first note that the positions of the peaks α and β do not change evidently with x in $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ [Fig. 4(c)]. On the other hand, the SW's of the optical excitations exhibit a significant doping evolution. At $x = 0.021$, the SW's of the peaks α and β slightly diminish and a weak ingap excitation appears [Fig. 4(d)]. As x increases to 0.067, the peak α is drastically suppressed and the peak I acquires the SW, whereas the SW of the peak β is almost untouched.

The negligible shift of the peaks α and β revealed in our analysis suggests that the magnitude of the electronic correlations does not vary appreciably with electron doping, which has an implication on recent discussions on the role of the electronic correlations in La-doped Sr_2IrO_4 . An ARPES experiment on $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ reported that the Mott gap closed due to the merging between the $J_{\text{eff}} = 1/2$ LHB and UHB with electron doping¹⁰. This observation was attributed to the reduction of the on-site Coulomb repulsion U . However, the first-principles calculation study pointed out that U did not change noticeably at low dopings and could only be fully suppressed upon 80% electron doping³⁴. Another ARPES study showed that the $J_{\text{eff}} = 1/2$ bands were consistently separated upon electron doping. It was also observed that the photoemission intensity due to the $J_{\text{eff}} = 1/2$ LHB was suppressed significantly and the SW was shifted into the gap while the intensity from the $J_{\text{eff}} = 3/2$ bands did not change evidently³⁵. Our data agree with the latter ARPES result and suggest that the electronic correlations remain robust against electron doping in the $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ system. An observation of the phase separated state in $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ also supports the persistence of the electronic correlations¹².

Dynamical mean-field theory study of the optical responses in several cuprates suggested that the degree of the electronic correlations can be estimated from the temperature dependence of the low-energy spectral weight^{36,37}. Toschi *et al.* found that the spectral weight followed a quadratic temperature dependence

$$\text{SW}(\Omega, T) = \text{SW}(\Omega, 0) - B(\Omega)T^2, \quad (2)$$

for any infrared cutoff below which intraband responses are main contributors to $\sigma_1(\omega)$ ^{36,37}. The electronic correlations were manifested in an unexpectedly large magnitude of the coefficient $B(\Omega = \omega_p)$ where ω_p is the plasma frequency. The plasma frequency of $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$ obtained from the fit of $\sigma_1(\omega)$ is about 1.4 eV where the contribution from the interband transition, the peak β , is significant. Therefore one cannot apply the equation (2) with $\Omega = \omega_p$ to $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$ for assessing the degree of the electronic correlations. The SW($\Omega = 0.05$ eV) decreases with increasing the temperature. However, the quadratic temperature dependence is not evident. We can observe a signature of the electronic correlations in the SW($\Omega = 0.5$ eV, T) data which includes the contribution from the intraband responses, i.e., the Drude-like mode and the ingap excitation. We find that the SW($\Omega = 0.5$ eV, T) increases at higher temperatures [Fig. 3(e)], which indicates the incoherent nature of the low-energy electronic response of $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$ possibly due to the electronic correlations³⁸.

A direct comparison between $\sigma_1(\omega)$ of the single-layer $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ and the bilayer $(\text{Sr}_{1-x}\text{La}_x)_3\text{Ir}_2\text{O}_7$ systems further highlights the importance of the electronic correlations in the charge dynamics of the single-layer system. Figures 4(a) and (b) illustrate that $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ has a stronger propensity for localizing the doped charge carriers. Although less electrons are doped in $(\text{Sr}_{0.949}\text{La}_{0.051})_3\text{Ir}_2\text{O}_7$ (7.65% electrons/Ir doping) than in $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$ (13.4% electrons/Ir doping), a coherent Drude-like peak without any trace of the pseudogap is registered in the bilayer compound³⁹. The evolutions of the SW's of the peaks α and β also support the notion of the stronger tendency for charge localization in the single-layer system. Figure 4(e) displays the changes in the SW's of the peaks α and β of the two systems which are normalized to those of their corresponding parent compounds. The SW's of the peaks α and β in $(\text{Sr}_{0.977}\text{La}_{0.023})_3\text{Ir}_2\text{O}_7$ (3.45% electrons/Ir doping) decrease by about 50% and 33%, respectively. On the other hand, the SW's of the two peaks in $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ decrease substantially slowly. The SW's of the peaks α and β in $(\text{Sr}_{0.979}\text{La}_{0.021})_2\text{IrO}_4$ (4.2% electrons/Ir doping) are suppressed by about 19% and 5% respectively, suggesting the strong influence of the electronic correlations for inhibiting the delocalization of the doped electrons.

The distinct behavior of $\sigma_1(\omega)$ in $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ compared to that of $(\text{Sr}_{1-x}\text{La}_x)_3\text{Ir}_2\text{O}_7$ may be attributed to the weaker mixing between the spin-orbit split $J_{\text{eff}} = 1/2$ and $3/2$ in the former system. In the Ruddlesden-Popper series iridates $\text{Sr}_{n+1}\text{Ir}_n\text{O}_{3n+1}$, the dimension determined by n can control the effective bandwidth of the J_{eff} bands. The increase in the dimensionality enhances the interlayer coupling and the mixing between the $J_{\text{eff}} = 1/2$ and $3/2$ bands⁴⁰. Thus, the effective bandwidth of the J_{eff} bands scale with n . The current observations indicate that only the $J_{\text{eff}} = 1/2$ bands are relevant for the low-energy charge dynamics in $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$. This suggests that the mixing is negligible and the magnitude of the effective electronic correlations defined by the ratio of U to the bandwidth W is the strongest in the single-layer system. The suppressions of the peaks α and β with electron doping in the bilayer system can be naturally explained in terms of the increase in the mixture and the effective bandwidth of the J_{eff} bands with increasing n .

Conclusion

The electronic response of $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ ($x = 0, 0.021, \text{ and } 0.067$) upon electron doping is explored by infrared spectroscopy. The conductivity data show that the energy value of the Mott-gap excitation, i.e., the optical transition between the $J_{\text{eff}} = 1/2$ Hubbard bands remains almost untouched across the filling-controlled insulator-to-metal transition. It is established that only the optical excitation between the $J_{\text{eff}} = 1/2$ Hubbard bands was suppressed by electron doping, which is in sharp contrast to the behavior of $\sigma_1(\omega)$ in $(\text{Sr}_{1-x}\text{La}_x)_3\text{Ir}_2\text{O}_7$ where the optical excitation from the $J_{\text{eff}} = 3/2$ bands to the $J_{\text{eff}} = 1/2$ upper Hubbard band is also suppressed. In metallic $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$, the low-energy conductivity spectra are dominated by incoherent excitations. All these observations suggest the persistence of the strong electronic correlations upon electron doping in $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$. The signature of the pseudogap is identified in the conductivity data of the bad metallic compound $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$, where a gradual depletion of the far-infrared conductivity with decreasing the temperature below $T^* \approx 100$ K is observed. The magnitude of the infrared pseudogap $2\Delta_{\text{PG}}$ at this concentration is found to be about 17 meV and the pseudogap temperature T^* estimated from the data is in the vicinity of the onset temperature of the short-range antiferromagnetic order¹⁵. Our work indicates that the strong electronic correlations and remnant antiferromagnetism are responsible for the pseudogap in $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$.

Methods

Single crystals of $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$ ($x = 0, 0.021, \text{ and } 0.067$) were grown using flux technique. Stoichiometry and the La concentrations were checked by energy dispersive spectroscopy measurements. Details of the growth and the characterizations of the single crystals were described in ref. 15. We measured the *ab*-plane reflectivity spectra $R(\omega)$ in the energy region between 10 meV and 1 eV as a function of temperature by using a Fourier-transform infrared spectrometer (Bruker vertex 70 v). To compensate the effect of rough surfaces, we use *in-situ* gold overcoating technique⁴¹. We measured the temperature-dependent dielectric constants in the energy region between 1 and 5 eV by using spectroscopic ellipsometer (VASE, J. A. Woollam Co.). We obtained the complex optical conductivity by the Kramers-Kronig analysis²⁸. For the extrapolation of $R(\omega)$ below 10 meV for insulating Sr_2IrO_4 , constant values that match with the magnitude of $R(\omega = 10$ meV) were chosen²⁸. The Hagen-Rubens relation²⁸ was used for the low-energy extrapolation of $R(\omega)$ for $(\text{Sr}_{0.979}\text{La}_{0.021})_2\text{IrO}_4$ and $(\text{Sr}_{0.933}\text{La}_{0.067})_2\text{IrO}_4$. We verified that the conductivity data at $\omega \geq 10$ meV did not depend on the extrapolation methods.

References

- Kim, B. J. *et al.* Novel $J_{\text{eff}} = 1/2$ Mott state induced by relativistic spin-orbit coupling in Sr_2IrO_4 . *Phys. Rev. Lett.* **101**, 076402 (2008).
- Kim, B. J. *et al.* Phase-Sensitive Observation of a Spin-Orbital Mott State in Sr_2IrO_4 . *Science* **323**, 1329–1332 (2009).
- Fujiyama, S. *et al.* Two-dimensional Heisenberg behavior of $J_{\text{eff}} = 1/2$ isospins in the paramagnetic state of the spin-orbital Mott insulator Sr_2IrO_4 . *Phys. Rev. Lett.* **108**, 247212 (2012).
- Kim, J. *et al.* Magnetic excitation spectra of Sr_2IrO_4 probed by resonant inelastic x-ray scattering: establishing links to cuprate superconductors. *Phys. Rev. Lett.* **108**, 177003 (2012).

5. Wang, F. & Senthil, T. Twisted Hubbard model for Sr_2IrO_4 : magnetism and possible high temperature superconductivity. *Phys. Rev. Lett.* **106**, 136402 (2011).
6. Watanabe, H., Shirakawa, T. & Yunoki, S. Monte Carlo study of an unconventional superconducting phase in iridium oxide $J_{\text{eff}}=1/2$ Mott insulators induced by carrier doping. *Phys. Rev. Lett.* **110**, 027002 (2013).
7. Meng, Z. Y., Kim, Y. B. & Kee, H. Y. Odd-parity triplet superconducting phase in multi-orbital materials with a strong spin-orbit coupling: application to doped Sr_2IrO_4 . *Phys. Rev. Lett.* **113**, 177003 (2014).
8. Kim, Y. K., Sung, N. H., Denlinger, J. D. & Kim, B. J. Observation of a d -wave gap in electron-doped Sr_2IrO_4 . *Nat. Phys.* **12**, 37–41 (2015).
9. Kim, Y. K. *et al.* Fermi arcs in a doped pseudospin-1/2 Heisenberg antiferromagnet. *Science* **345**, 187–190 (2014).
10. de la Torre, A. *et al.* Collapse of the Mott Gap and Emergence of a Nodal Liquid in Lightly Doped Sr_2IrO_4 . *Phys. Rev. Lett.* **115**, 176402 (2015).
11. Yan, Y. J. *et al.* Electron-Doped Sr_2IrO_4 : An Analogue of Hole-Doped Cuprate Superconductors Demonstrated by Scanning Tunneling Microscopy. *Phys. Rev. X* **5**, 041018 (2015).
12. Battisti, I. *et al.* Universality of pseudogap and emergent order in lightly doped Mott insulators. *Nat. Phys.* **13**, 21–25 (2016).
13. Cao, Y. *et al.* Hallmarks of the Mott-metal crossover in the hole-doped pseudospin-1/2 Mott insulator Sr_2IrO_4 . *Nat. Commun.* **7**, 11367 (2016).
14. Zhao, L. *et al.* Evidence of an odd-parity hidden order in a spin-orbit coupled correlated iridate. *Nat. Phys.* **12**, 32–36 (2016).
15. Chen, X. *et al.* Influence of electron doping on the ground state of $(\text{Sr}_{1-x}\text{La}_x)_2\text{IrO}_4$. *Phys. Rev. B* **92**, 075125 (2015).
16. Homes, C. C., Timusk, T., Liang, R., Bonn, D. A. & Hardy, W. N. Optical conductivity of c axis oriented $\text{YBa}_2\text{Cu}_3\text{O}_{6.70}$: Evidence for a pseudogap. *Phys. Rev. Lett.* **71**, 1645–1648 (1993).
17. Basov, D. N., Timusk, T., Dabrowski, B. & Jorgensen, J. D. c -axis response of $\text{YBa}_2\text{Cu}_3\text{O}_8$: A pseudogap and possibility of Josephson coupling of CuO_2 planes. *Phys. Rev. B* **50**, 3511–3514 (1994).
18. Basov, D. N. *et al.* Pseudogap and charge dynamics in CuO_2 planes in YBCO. *Phys. Rev. Lett.* **77**, 4090–4093 (1996).
19. Puchkov, A. V. *et al.* Evolution of the pseudogap state of high- T_c superconductors with doping. *Phys. Rev. Lett.* **77**, 3212–3215 (1996).
20. Startseva, T. *et al.* Temperature evolution of the pseudogap state in the infrared response of underdoped $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$. *Phys. Rev. B* **59**, 7184–7190 (1999).
21. Lee, Y. S. *et al.* Electrodynamics of the nodal metal state in weakly doped high- T_c cuprates. *Phys. Rev. B* **72**, 054529 (2005).
22. Uchida, S., Tamasaku, K. & Tajima, S. c -axis optical spectral and charge dynamics in $\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$. *Phys. Rev. B* **53**, 14558–14574 (1996).
23. Basov, D. N. & Timusk, T. Electrodynamics of high- T_c superconductors. *Rev. Mod. Phys.* **77**, 721–779 (2005).
24. Moon, S. J. *et al.* Dimensionality-controlled insulator-metal transition and correlated metallic state in $5d$ transition metal oxides $\text{Sr}_{n+1}\text{Ir}_n\text{O}_{3n+1}$ ($n=1, 2$, and ∞). *Phys. Rev. Lett.* **101**, 226402 (2008).
25. Moon, S. J. *et al.* Temperature dependence of the electronic structure of the $J_{\text{eff}}=1/2$ Mott insulator Sr_2IrO_4 studied by optical spectroscopy. *Phys. Rev. B* **80**, 195110 (2009).
26. Timusk, T. & Statt, B. The pseudogap in high-temperature superconductors: an experimental survey. *Rep. Prog. Phys.* **62**, 61 (1999).
27. Basov, D. N., Averitt, R. D., van der Marel, D., Dressel, M. & Haule, K. Electrodynamics of correlated electron materials. *Rev. Mod. Phys.* **83**, 471–541 (2011).
28. Wooten, F. *Optical Properties of Solids*. (Academic Press, New York, 1972).
29. Pintschovius, L. *et al.* Lattice dynamics of La_2NiO_4 . *Phys. Rev. B* **40**, 2229–2238 (1989).
30. Tajima, S. *et al.* Optical-phonon study of single crystals of various layered cuprates and related materials: Evidence of unique electron-phonon coupling in the CuO_2 plane. *Phys. Rev. B* **43**, 10496–10507 (1991).
31. Dressel, M. & Grüner, G. *Electrodynamics of solids: optical properties of electrons in matter*. (Cambridge University Press, Cambridge, England, 2002).
32. Puchkov, A. V., Basov, D. N. & Timusk, T. The pseudogap state in high- T_c superconductors: an infrared study. *J. Phys. Condens. Matter* **8**, 10049–10082 (1996).
33. Uykur, E., Tanaka, K., Masui, T., Miyasaka, S. & Tajima, S. Persistence of the superconducting condensate far above the critical temperature of $\text{YBa}_2(\text{Cu,Zn})_3\text{O}_y$ revealed by c -axis optical conductivity measurements for several Zn concentrations and carrier doping levels. *Phys. Rev. Lett.* **112**, 127003 (2014).
34. Liu, P. *et al.* Electron and hole doping in the relativistic Mott insulator Sr_2IrO_4 : A first-principles study using band unfolding technique. *Phys. Rev. B* **94**, 195145 (2016).
35. Brouet, V. *et al.* Transfer of spectral weight across the gap of Sr_2IrO_4 induced by La doping. *Phys. Rev. B* **92**, 081117 (2015).
36. Toschi, A. *et al.* Temperature dependence of the optical spectral weight in the cuprates: role of electron correlations. *Phys. Rev. Lett.* **95**, 097002 (2005).
37. Toschi, A. & Capone, M. Optical sum rule anomalies in the cuprates: Interplay between strong correlation and electronic band structure. *Phys. Rev. B* **77**, 014518 (2008).
38. Di Pietro, P., Hoffman, J., Bhattacharya, A., Lupi, S. & Perucchi, A. Spectral Weight Redistribution in $(\text{LaNiO}_3)_n/(\text{LaMnO}_3)_2$ Superlattices from Optical Spectroscopy. *Phys. Rev. Lett.* **114**, 156801 (2015).
39. Ahn, G., Song, S. J., Hogan, T., Wilson, S. D. & Moon, S. J. Infrared Spectroscopic Evidences of Strong Electronic Correlations in $(\text{Sr}_{1-x}\text{La}_x)_3\text{Ir}_2\text{O}_7$. *Sci. Rep.* **6**, 32632 (2016).
40. Carter, J.-M., Shankar, V. V. & Kee, H.-Y. Theory of metal-insulator transition in the family of perovskite iridium oxides. *Phys. Rev. B* **88**, 035111 (2013).
41. Homes, C. C., Reedyk, M., Cradles, D. A. & Timusk, T. Technique for measuring the reflectance of irregular, submillimeter-sized samples. *Appl. Opt.* **32**, 2976–2983 (1993).

Acknowledgements

This research was supported by Basic Science Research Program through the National Research Foundation of Korea (NRF) funded by the Ministry of Science, ICT, and Future Planning (2014R1A2A1A11054351 and 2017R1A2B4009413). This work was supported in part by NSF Award No. DMR-1505549 (S.D.W. and X.C.). Part of this study has been performed using facilities at IBS Center for Correlated Electron Systems, Seoul National University.

Author Contributions

J.H.S., G.H.A., and S.J.S. performed the experiments. J.H.S. and S.J.M. analyzed the data. X.C. and S.D.W. prepared and characterized the samples. All authors contributed to the writing of the manuscript.

Additional Information

Competing Interests: The authors declare that they have no competing interests.

Publisher's note: Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.



Open Access This article is licensed under a Creative Commons Attribution 4.0 International License, which permits use, sharing, adaptation, distribution and reproduction in any medium or format, as long as you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in a credit line to the material. If material is not included in the article's Creative Commons license and your intended use is not permitted by statutory regulation or exceeds the permitted use, you will need to obtain permission directly from the copyright holder. To view a copy of this license, visit <http://creativecommons.org/licenses/by/4.0/>.

© The Author(s) 2017