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Platoon control design for unmanned surface vehicles subject to input delay

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Vessel train formation as a new trend has been raised in cooperative control for multiple vessels. This paper addresses formation control design for a group of unmanned surface vehicles platoon considering input delay. To account for connectivity-preserving and collision-avoiding, Barrier Lyapunov function is incorporated into the constraints design of line-of-sight range and bearing. To alleviate the computational burden, neural dynamic model is employed to simplify the control design and smooth the input signals. Besides, input control arising from time delay due to mechanisms and communication is considered in the marine vessels. Within the framework of the backstepping technique, distributed coordination is accomplished in finite time and the uniformly ultimately boundness of overall system is guaranteed via rigorous stability analysis. Finally, the simulation is performed to verify the effectiveness of the proposed control method.

Future waterborne transportation operates on sea-river, short-sea, and inland waterways by vessel trains. The vessel train consists of a number of vessels including a leading ship and individual ships which can be controlled remotely. The expanding transportation reduces crew, makes optimal use of the existing waterborne transport system and chains up the entire transport into the urban environment. The concept of vessel train formation problem belongs to cooperative control of multiple vessels. Cooperative control strategies for unmanned surface vessels have been an active area in marine engineering. Existing works on cooperative vessels consider the tracking topic, the consensus topic^{1,2}, the containment topic^{3,4} and the formation topic. The coordinated tracking has been concerned for a moving leader^{5,6} or leaderless studies⁷ in the practical marine systems. Among the formation control, the leader-follower architecture is an efficient design technique. The leader's motion guides the other members' behavior in group along the reference trajectory and the follower in turn serves as the leader in each pair of marine vehicles.

The safety aspects of the vessel train operations should be concerned, otherwise they will have impact on the crew working on board, the other ships operating system even the waterway infrastructure. The command and communication system is critical in navigating and manoeuvring the ships. Connectivity preservation and collision avoidance should be considered, which may destabilize the overall system. Range and bearing constraints are considered for a group of underactuated surface vessels (USVs)⁸. Barrier Lyapunov function (BLF) is proposed to cope with formation tracking for USVs subject to maximum communication and minimum avoidance range⁹. Distributed consensus is dealt with a nonlinear transformation function to achieve connectivity-preserving¹⁰. However, these methods mentioned above are all developed for USVs. Using prescribed performance control methodology to maintain a desired line-of-sight (LOS) range, the vehicular platoon proceeds along a given trajectory and the internal stability of closed-loop systems can be guaranteed by adaptive formation control of a string of fully actuated surface vessels¹¹. However, prescribed performance control easily leads to actuator saturation. BLF can be extended to handle each marine vessel's relative distance in the platoon.

BLF is developed with the frame of backstepping design. Backstepping scheme suffers from the repeated differentiation of virtual control inputs and further leads to a so-called explosion of complexity. Dynamic surface control has been proposed by introducing the first-order filter to avoid the computational complexity of mathematical operations^{12,13}. Command filter is constructed to approximate the derivatives of the command inputs and relieve the computational burden¹⁴⁻¹⁶. Bioinspired neurodynamics is another alternative approach to deal with the differential explosion problem, which can not only avoid the differential operations of the virtual control inputs but also limit the outputs within a certain range. The input signals pass through the neural dynamic model can trend to a certain range and the attenuation rate can also be adjusted by choosing proper parameters¹⁷.

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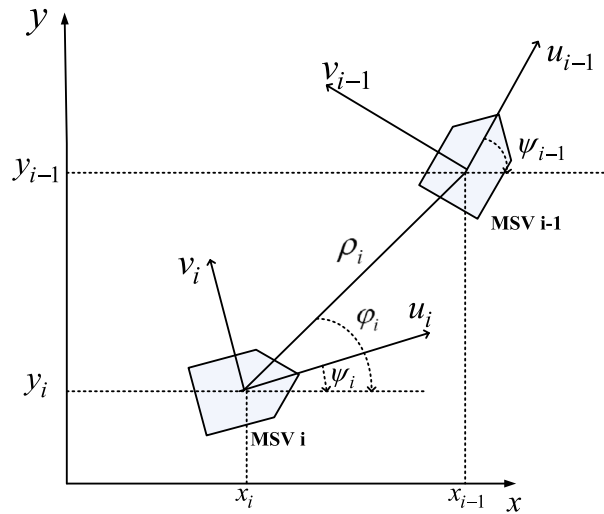


Figure 1. MSVs formation configuration.

On the other hand, the presence of input time delay is a common problem in practical formation systems, which may cause poor performance and instability of the control system. Time delay encountered in input control may arise from the activation of the mechanisms and the communication. Multiple marine vessel systems with time delay are of both theoretical and practical importance. Extensive research has been conducted with controller design of multiagent systems in the past years. For example, an adaptive finite-time containment control was proposed for nonlinear multiagent systems with input delay¹⁸. A distributed controller was developed for each agent to track the target in the presence of input delay¹⁹. Sufficient conditions for mean square consensus were addressed for the cases with input delay in the leader-follower stochastic multi-agent systems²⁰. The path-following coordination was dealt with time delay as determined by the communication topology²¹. Robust synchronization of multiple marine vessels was designed by introducing a constant time delay to the communication process²². Existing work on marine vessels with input delay is seldom studied, especially for multiple marine vessels.

In the light of these challenges, leader-follower trailing is constructed in resolving the three aforementioned aspects related to waterborne platoon. The idea of this work is to design a control method for a group of vehicles based on local information exchange to achieve a coordinated manner. The highlights of the proposed control are summarized as follows,

- The LOS range and bearing angle of decentralized leader-follower formation control have been restricted by BLF to meet the safety specification and operation performance.
- For the sake of computational simplicity, bioinspired neurodynamics is employed to avoid the derivatives of virtual control inputs. The output signals of the bioinspired model are bounded in a finite interval and smooth without any sharp jumps even actual inputs have sudden changes.
- The marine vehicles in the platoon are subjected to input delay. Artstein model is used as a predictor-like controller to deal with input delay in linear system. However, marine vessel models consist of nonlinear dynamics and uncertain nonlinear functions. Combined with tracking errors limitations, the nonlinear system with input time delay is converted into a delay-free system based on Artstein model.

The rest of the paper is organized as follows. In “[Problem description and preliminaries](#)” section, the problem is formulated and the preliminaries are introduced. “[Platoon formation control design](#)” section presents the controller design for connectivity preservation and collision avoidance, and the stability of the closed-loop system in the presence of input delay is rigorously analyzed. “[Simulation results](#)” section are shown. Lastly, the paper is concluded.

Problem description and preliminaries

Problem description. Consider a group of marine surface vehicles consisting of a leader and N followers. The formation architecture in a pair of leader-follower is shown in Fig. 1. The coordinate frames $\{I\}$ and $\{B\}$ represent the inertial frame and body-fixed frame. The kinematics and dynamics of the i -th marine surface vessel (MSV) can be modeled as follows²³

$$\dot{\eta}_i = J_i(\psi_i)v_i \tag{1}$$

$$M_i\dot{v}_i + C_i(v_i)v_i + D_i(v_i)v_i + g_i = d_i + \tau_i(t - t_d) \tag{2}$$

where $\eta_i = [x_i, y_i, \psi_i]^T$, x_i and y_i denote the positions of the MSV in $\{I\}$, ψ_i is the yaw angle of the MSV in $\{I\}$, $v_i = [u_i, v_i, r_i]^T$, u_i, v_i and r_i represent surge, sway and yaw velocities in $\{B\}$, M_i is the inertia matrix, C_i denotes the matrix of Coriolis and centripetal, D_i is the damping matrix, g_i represents the restoring force vector, d_i is the vector of external disturbances induced by wind, wave, and ocean currents, etc, $\tau_i(t - t_d)$ denotes the control vector of the MSV with time delay, t_d is the delayed time, $J_i(\psi_i)$ is the Jacobian transformation matrix,

$$J_i(\psi_i) = \begin{bmatrix} \cos(\psi_i) & -\sin(\psi_i) & 0 \\ \sin(\psi_i) & \cos(\psi_i) & 0 \\ 0 & 0 & 1 \end{bmatrix} \tag{3}$$

The inertia matrix M_i is symmetric positive definite,

$$M_i = \begin{bmatrix} m_{11i} & 0 & 0 \\ 0 & m_{22i} & m_{23i} \\ 0 & m_{32i} & m_{33i} \end{bmatrix} \tag{4}$$

where $m_{11i} = m_i - X_{\dot{u}i}$, $m_{22i} = m_i - Y_{\dot{v}i}$, $m_{23i} = m_{32i} = m_i x_{gi} - Y_{\dot{r}i}$, $m_{33i} = I_{zi} - N_{\dot{r}i}$, m_i is the mass of the MSV, I_{zi} represents the inertia moment in $\{B\}$, x_{gi} denotes the vessel center of gravity in $\{B\}$, $X_{\dot{u}i}$ is the added mass in surge, $Y_{\dot{v}i}$ and $Y_{\dot{r}i}$ are the added mass in sway, and $N_{\dot{r}i}$ is the added mass in yaw.

The Coriolis and centripetal matrix satisfies $C_i = -C_i^T$, which is described as

$$C_i(v_i) = \begin{bmatrix} 0 & 0 & -m_{22i}v_i - m_{23i}r_i \\ 0 & 0 & m_{11i}u_i \\ m_{22i}v_i + m_{23i}r_i & -m_{11i}u_i & 0 \end{bmatrix} \tag{5}$$

The damping matrix D_i is given by

$$D_i(v_i) = \begin{bmatrix} d_{11i} & 0 & 0 \\ 0 & d_{22i} & d_{23i} \\ 0 & d_{32i} & d_{33i} \end{bmatrix} \tag{6}$$

where

$$d_{11i}(u_i) = -(X_{u_i} + X_{|u_i|u_i}|u_i| + X_{u_i u_i u_i} u_i^2) \tag{7}$$

$$d_{22i}(v_i, r_i) = -(Y_{v_i} + Y_{|v_i|v_i}|v_i| + Y_{|r_i|v_i}|r_i|) \tag{8}$$

$$d_{23i}(v_i, r_i) = -(Y_{r_i} + Y_{|v_i|r_i}|v_i| + Y_{|r_i|r_i}|r_i|) \tag{9}$$

$$d_{32i}(v_i, r_i) = -(N_{v_i} + N_{|v_i|v_i}|v_i| + N_{|r_i|v_i}|r_i|) \tag{10}$$

$$d_{33i}(v_i, r_i) = -(N_{r_i} + N_{|v_i|r_i}|v_i| + N_{|r_i|r_i}|r_i|) \tag{11}$$

with the hydrodynamic damping coefficients X_{u_i} , $X_{|u_i|u_i}$, $X_{u_i u_i u_i}$, Y_{v_i} , $Y_{|v_i|v_i}$, $Y_{|r_i|v_i}$, Y_{r_i} , $Y_{|v_i|r_i}$, $Y_{|r_i|r_i}$, N_{v_i} , $N_{|v_i|v_i}$, $N_{|r_i|v_i}$, N_{r_i} , $N_{|v_i|r_i}$, $N_{|r_i|r_i}$.

Assumption 1 The desired reference trajectory is $\eta_0 = [x_0, y_0, \psi_0]^T$, whose first time derivative $\dot{\eta}_0$ is bounded.

Assumption 2 There exists bounded constants \bar{d}_i for the disturbance term d_i , $i = 0, 1, \dots, N$ of each vessel.

Assumption 3 The inertia matrix M_i is inverse and we assume $\|M_i^{-1}\| \leq \bar{M}_i^{-1}$ with constant bound \bar{M}_i^{-1} .

In this section, the formation objective of this paper is to design control laws such that each marine vessel modeled by (1) and (2) can follow its leader and do not violate the collision and connectivity in the platoon configuration when subject to input time delay. All signals in the closed-loop system can be guaranteed to be bounded during the whole operation.

The platoon formation objectives in this paper are to ensure that

- The connectivity preservation and collision prevention are satisfied on the LOS range and bearing angle schemes between two consecutive MSVs.
- The effect of input delay is considered and the stability is analyzed in constrained platoon control.
- A string of MSVs can achieve the formation tracking.

Preliminaries. Lemma 1 ²⁴ For any constant $x \in \mathbb{R}^n$, there exists a constant k satisfying $|x| < k$ such that

$$\ln \frac{k^2}{k^2 - x^2} \leq \frac{x^2}{k^2 - x^2} \tag{12}$$

Lemma 2 ²⁵ For bounded initial conditions, if there exists a continuous and positive definite Lyapunov function $V(x)$ satisfying $v_1(\|x\|) \leq V(x) \leq v_2(\|x\|)$, such that $\dot{V}(x) \leq -\alpha V(x) + \beta$, where $v_1, v_2: \mathbb{R}^n \rightarrow \mathbb{R}$ are class K functions and $\alpha, \beta > 0$, then the solution $x(t)$ is uniformly bounded.

Bioinspired model. To describe the behavior of individual neuron, Hodgkin and Huxley firstly proposed a membrane model based on extensive experiments²⁶. The model on the voltage characteristic of a cell membrane is constructed as

$$C_m \frac{dV_m}{dt} = -(E_p + V_m)g_p + (E_{Na} - V_m)g_{Na} - (E_k + V_m)g_k \tag{13}$$

where C_m represents the membrane capacitance, V_m is the voltage of the neuron. The parameters E_k, E_{Na} , and E_p are the Nernst potentials for potassium ions, sodium ions, and passive leak current in the membrane, respectively. The functions g_k, g_{Na} , and g_p denote the potassium conductance, the sodium conductance, and the passive channel, respectively.

Grossberg derived the biologically inspired neurodynamic model to describe an online adaptive behavior of individuals²⁷. The simplified shunting equation is obtained as

$$\dot{V} = -AV + (B - V)S(t)^+ - (D + V)S(t)^- \tag{14}$$

where V is the neural activity (membrane potential) of the neuron. Parameters A, B , and D are nonnegative constants, namely, the passive decay rate, the upper and the lower bounds of the neural activity, respectively. The variables $S(t)^+$ and $S(t)^-$ represent the excitatory and inhibitory inputs, respectively²⁸⁻³⁰. The bioinspired model can be regarded as a low-pass filter. This method can achieve satisfactory tracking performance due to shunting characteristics. The outputs are restricted to a bounded interval and the signals obtained are smooth and continuous.

Platoon formation control design

As shown in Fig. 1, the relative distance, ρ_i , between each pair of MSVs and LOS range, φ_i , are defined as

$$\rho_i = \sqrt{(x_{i-1} - x_i)^2 + (y_{i-1} - y_i)^2} \tag{15}$$

$$\varphi_i = \arctan 2(y_{i-1} - y_i, x_{i-1} - x_i) \tag{16}$$

The tracking errors of the MSVs are defined as

$$\begin{aligned} e_{\rho_i} &= \rho_i - \rho_{i,des} \\ e_{\psi_i} &= \psi_{i-1} - \psi_i \end{aligned} \tag{17}$$

where $\rho_{i,des}$ is the desired LOS range.

To avoid collision and connectivity maintenance among vehicles, the desired distance during the whole moving process must satisfy the following equation

$$0 < \rho_{i,min\ col} < \rho_i \leq \rho_{i,max\ com} \tag{18}$$

where $\rho_{i,min\ col}$ and $\rho_{i,max\ com}$ represent the minimum safety distance and maximum effective communication distance respectively. For convenience, we define the minimum and maximum distance errors as

$$\begin{aligned} \underline{e}_{\rho_i} &= \rho_{i,min\ col} - \rho_{i,des} \\ \bar{e}_{\rho_i} &= \rho_{i,max\ com} - \rho_{i,des} \end{aligned} \tag{19}$$

Then the constraints of the LOS range errors become

$$\underline{e}_{\rho_i} < e_{\rho_i} < \bar{e}_{\rho_i} \tag{20}$$

The constraints of the yaw angle errors have similar property as

$$\underline{e}_{\psi_i} < e_{\psi_i} < \bar{e}_{\psi_i} \tag{21}$$

where \bar{e}_{ψ_i} and \underline{e}_{ψ_i} are denoted as the maximum and minimum bounds of yaw angle errors.

Step 1: Define the tracking error as

$$z_{1i} = [z_{11i}, z_{12i}]^T = [e_{\rho_i}, e_{\psi_i}]^T \tag{22}$$

Consider the symmetric barrier Lyapunov function candidate as

$$V_{1i} = \frac{1}{2} \ln \frac{k_{ai}^2}{k_{ai}^2 - e_{\rho_i}^2} + \frac{1}{2} \ln \frac{k_{bi}^2}{k_{bi}^2 - e_{\psi_i}^2} \tag{23}$$

where k_{ai} and k_{bi} are positive constants satisfying the inequalities $|e_{\rho_i}| < k_{ai}, |e_{\psi_i}| < k_{bi}$, respectively. The time derivative of V_{1i} yields

$$\dot{V}_{1i} = \frac{e_{\rho i} \dot{e}_{\rho i}}{k_{ai}^2 - e_{\rho i}^2} + \frac{e_{\psi i} \dot{e}_{\psi i}}{k_{bi}^2 - e_{\psi i}^2} \tag{24}$$

According to Eq. (17), the time derivatives of $e_{\rho i}$ and $e_{\psi i}$ are given by

$$\dot{e}_{\rho i} = -u_i \cos(\psi_i - \varphi_i) + \dot{y}_{i-1} \sin \varphi_i + v_i \sin(\psi_i - \varphi_i) + \dot{x}_{i-1} \cos \varphi_i \tag{25}$$

$$\dot{e}_{\psi i} = \dot{\psi}_{i-1} - \dot{\psi}_i \tag{26}$$

Step 2: The stabilizing function $\alpha_i = [\alpha_{1i}, \alpha_{2i}, \alpha_{3i}]^T$ is designed as follows

$$\alpha_{1i} = \cos(\psi_i - \varphi_i)[k_{di}e_{\rho i}(k_{ai}^2 - e_{\rho i}^2) + \dot{x}_{i-1} \cos \varphi_i + \dot{y}_{i-1} \sin \varphi_i] \tag{27}$$

$$\alpha_{2i} = -\sin(\psi_i - \varphi_i)[k_{di}e_{\rho i}(k_{ai}^2 - e_{\rho i}^2) + \dot{x}_{i-1} \cos \varphi_i + \dot{y}_{i-1} \sin \varphi_i] \tag{28}$$

$$\alpha_{3i} = k_{\psi i}e_{\psi i}(k_{bi}^2 - e_{\psi i}^2) + \dot{\psi}_{i-1} \tag{29}$$

where k_{di} and $k_{\psi i}$ are positive constants.

To avoid the complicated math operations on the derivative of α_i , let α_i pass through a neural dynamic model and substitute $\alpha_{ci} = [\alpha_{c1i}, \alpha_{c2i}, \alpha_{c3i}]^T$ with α_i in the following backstepping design. The bioinspired neurodynamics is adopted to smooth the virtual velocity control variables and obtain their derivatives. The neural dynamic model is constructed as^{28–30}

$$\dot{\alpha}_{ci} = -A_i \alpha_{ci} + (B_i - \alpha_{ci})f(\alpha_i) - (U_i + \alpha_{ci})g(\alpha_i) \tag{30}$$

with

$$f(\alpha_i) = \begin{cases} \alpha_i, & \alpha_i \geq 0 \\ 0, & \alpha_i < 0 \end{cases}, g(\alpha_i) = \begin{cases} -\alpha_i, & \alpha_i \leq 0 \\ 0, & \alpha_i > 0 \end{cases} \tag{31}$$

where α_{ci} is the output of the neural dynamic model, A_i, B_i and U_i are positive parameters, which can be chosen to adjust the attenuation rate. The output can be limited within the region $[-U_i, B_i]$. Then define the error $z_{\alpha i}$ as

$$z_{\alpha i} = \alpha_{ci} - \alpha_i \tag{32}$$

Based on Eq. (30) with $B_i = U_i$, it results in

$$z_{\alpha i} = -A_{fi} \alpha_{ci} + B_i \alpha_i - \dot{\alpha}_i \tag{33}$$

where $A_{fi} = A_i + f(\alpha_i) + g(\alpha_i) > 0$.

Let $z_{2i} = [z_{21i}, z_{22i}, z_{23i}]^T$, then the following error is defined as

$$z_{2i} = [z_{21i}, z_{22i}, z_{23i}]^T = \alpha_{ci} - v_i \tag{34}$$

Then substituting (25)–(34) into (24), \dot{V}_{1i} yields

$$\dot{V}_{1i} = -k_{di}e_{\rho i}^2 - k_{\psi i}e_{\psi i}^2 + z_{\alpha i}^T \Theta_{1i} - z_{2i}^T \Theta_{1i} \tag{35}$$

where

$$\Theta_{1i} = \frac{e_{\rho i}(-\cos(\psi_i - \varphi_i) + \sin(\psi_i - \varphi_i))}{k_{ai}^2 - e_{\rho i}^2} + \frac{e_{\psi i}}{k_{bi}^2 - e_{\psi i}^2}.$$

The dynamic of z_{2i} yields

$$M_i \dot{z}_{2i} = C_i(v_i)v_i + D_i(v_i)v_i + g_i - d_i + M_i \dot{\alpha}_{ci} + M_i \dot{z}_{\alpha i} \tag{36}$$

To compensate the input delay, an auxiliary state $S_i \in \mathbb{R}^{3 \times 1}$ is defined as following

$$S_i = z_{2i} - M_i^{-1} \int_{t-t_d}^t \tau_i(\theta) d\theta - M_i^{-1} z_{fi} \tag{37}$$

In (37), $z_{fi} \in \mathbb{R}^{3 \times 1}$ satisfies the following adaptive law

$$\dot{z}_{fi} = K_{2i} S_i - \Gamma_{1i} z_{2i} - \Theta_i z_{fi} \tag{38}$$

where $K_{2i}, \Gamma_{1i}, \Theta_i \in \mathbb{R}^{3 \times 3}$ are constant matrices. Multiply both sides of Eq. (37) by M_i , the term of $M_i \dot{S}_i$ gives

$$\begin{aligned}
 M_i \dot{S}_i &= M_i \dot{z}_{2i} - \tau_i(t) + \tau_i(t - t_d) - \dot{z}_{fi} \\
 &= M_i \dot{\alpha}_{ci} + C_i(v_i)v_i + D_i(v_i)v_i + g_i - d_i - K_{2i}S_i + \Theta_i z_{fi} + \Gamma_{1i} z_{2i} - \tau_i(t) + M_i \dot{z}_{\alpha i} \\
 &= M_i \dot{\alpha}_{ci} + M_{si} - d_i + N_{ci} - \tau_i(t) - K_{2i}S_i - (S_i^T)^+ \dot{S}_i^T z_{2i} - K_{2i} z_{2i} + M_i \dot{z}_{\alpha i}
 \end{aligned} \tag{39}$$

where $M_{si} = C_i(v_i)v_i + D_i(v_i)v_i + g_i$. The term N_{ci} is defined as the following expression

$$N_{ci} = \Theta_i z_{fi} + \Gamma_{1i} z_{2i} + K_{2i} z_{2i} + (S_i^T)^+ \dot{S}_i^T z_{2i} \tag{40}$$

Utilizing the mean value theorem, then we obtain

$$\|N_{ci}\| \leq \bar{N}_{ci}(\|z_{si}\|)\|z_{si}\| \tag{41}$$

where the bounding function $\bar{N}_{ci}(\|z_{si}\|)$ is a globally positive function. z_{si} is defined as $z_{si} = [z_{1i}^T, z_{2i}^T, S_i^T, z_{\tau i}^T, z_{fi}^T]^T$, where $z_{\tau i} \in \mathbb{R}^{3 \times 1}$ denotes

$$z_{\tau i} = \tau_i(t) - \tau_i(t - t_d) = \int_{t-t_d}^t \dot{\tau}_i(\theta) d\theta \tag{42}$$

Design the following control law

$$\tau_i(t) = M_i \dot{\alpha}_{ci} + M_{si} + K_{2i} z_{fi} + (S_i^T)^+ \left(-\frac{k_{di} e_{\rho i}^2}{k_{ai}^2 - e_{\rho i}^2} - \frac{k_{\psi i} e_{\psi i}^2}{k_{bi}^2 - e_{\psi i}^2} + M_i \dot{z}_{\alpha i} + z_{\alpha i}^T \Theta_{1i} - z_{2i}^T \Theta_{1i} \right) \tag{43}$$

Theorem 1 Consider $N+1$ USVs with dynamics (1) and (2) satisfying Assumptions 1–3, under the virtual control laws (27), (28) and (29), the filter (30) and control input (43). For any $\Omega_0 > 0$ for the initial conditions $V_{2i}(0) < \Omega_0$, then the following properties hold

- The connectivity and the collision-free are preserved for a pair of leader and follower in the formation design.
- The tracking errors converge to neighbour around zero and all signals are uniformly ultimately bounded.
- The leader-follower platoon can be achieved in the presence of input time delay.

Proof of Theorem 1 The quadratic form Lyapunov–Krasovskii candidate is chosen as

$$V_{2i} = V_{1i} + \frac{1}{2} z_{2i}^T z_{2i} + \frac{1}{2} S_i^T M_i S_i + \frac{1}{2} z_{fi}^T z_{fi} + v_i \int_{t-t_d}^t \left(\int_w^t \|\dot{\tau}_i(\theta)\|^2 d\theta \right) dw + \frac{1}{2} z_{\alpha i}^T z_{\alpha i} \tag{44}$$

Suppose Ω_i is the maximum value of $\dot{\alpha}_i$ and let $B_i = A_i$. Differentiating V_{2i} and according to (35), (36), (38) and (39), we have

$$\begin{aligned}
 \dot{V}_{2i} &= \dot{V}_{1i} + z_{2i}^T \dot{z}_{2i} + S_i^T M_i \dot{S}_i + z_{fi}^T \dot{z}_{fi} + v_i t_d \|\dot{\tau}_i(\theta)\|^2 - v_i \int_{t-t_d}^t \|\dot{\tau}_i(\theta)\|^2 d\theta + z_{\alpha i}^T \dot{z}_{\alpha i} \\
 &= -k_{di} e_{\rho i}^2 - k_{\psi i} e_{\psi i}^2 + z_{\alpha i}^T \Theta_{1i} - z_{2i}^T \Theta_{1i} - A_i z_{\alpha i}^2 + |z_{\alpha i}| \Omega_i + z_{2i}^T (\dot{S}_i \\
 &\quad - M_i^{-1}(\tau_i(t - t_d) - \tau_i(t)) + K_{2i} S_i - \Theta_i z_{fi} \\
 &\quad - \Gamma_{1i} z_{2i}) + S_i^T (M_i \dot{\alpha}_{ci} + M_{si} - d_i + N_{ci} - \tau_i(t) - K_{2i} S_i - K_{2i} z_{2i} - (S_i^T)^+ \dot{S}_i^T z_{2i} + M_i \dot{z}_{\alpha i}) \\
 &\quad + z_f^T K_{2i} S_i - z_{fi}^T \Theta_i z_{fi} - z_{fi}^T \Gamma_{1i} z_{2i} + v_i t_d \|\dot{\tau}_i(\theta)\|^2 - v_i \int_{t-t_d}^t \|\dot{\tau}_i(\theta)\|^2 d\theta \\
 &= -k_{di} e_{\rho i}^2 - k_{\psi i} e_{\psi i}^2 + z_{\alpha i}^T \Theta_{1i} - z_{2i}^T \Theta_{1i} - A_i z_{\alpha i}^2 + |z_{\alpha i}| \Omega_i - z_{2i}^T \Gamma_{1i} z_{2i} \\
 &\quad + z_{2i}^T M_i^{-1} z_{\tau i} - z_{2i}^T (\Gamma_{1i} + I) z_{fi} - S_i^T K_{2i} S_i \\
 &\quad + S_i^T [M_i \dot{\alpha}_{ci} + M_{si} - d_i + N_{ci} - \tau_i(t) + M_i \dot{z}_{\alpha i}] + z_{fi}^T K_{2i} S_i - z_{fi}^T \Theta_i z_{fi} + v_i t_d \|\dot{\tau}_i(\theta)\|^2 \\
 &\quad - v_i \int_{t-t_d}^t \|\dot{\tau}_i(\theta)\|^2 d\theta
 \end{aligned} \tag{45}$$

Substituting (43) into (45) and considering Assumption 3, we obtain

$$\begin{aligned}
 \dot{V}_{2i} = & -k_{di}e_{\rho i}^2 - k_{\psi i}e_{\psi i}^2 - \frac{k_{di}e_{\rho i}^2}{k_{ai}^2 - e_{\rho i}^2} - \frac{k_{\psi i}e_{\psi i}^2}{k_{bi}^2 - e_{\psi i}^2} - z_{2i}^T \Gamma_{1i} z_{2i} - S_i^T K_{2i} S_i - z_{fi}^T \Theta_i z_{fi} \\
 & + z_{2i}^T M_i^{-1} z_{\tau i} - z_{2i}^T (\Gamma_{1i} + I) z_{fi} \\
 & + S_i^T N_{ci} - S_i^T d_i + v_i t_d \|\dot{\tau}_i(\theta)\|^2 - v_i \int_{t-t_d}^t \|\dot{\tau}_i(\theta)\|^2 d\theta + \frac{z_{\alpha i}^2}{2} + \frac{\Omega_i^2}{2} - A_i z_{\alpha i}^2 \\
 \leq & -k_{di}e_{\rho i}^2 - k_{\psi i}e_{\psi i}^2 - \frac{k_{di}e_{\rho i}^2}{k_{ai}^2 - e_{\rho i}^2} - \frac{k_{\psi i}e_{\psi i}^2}{k_{bi}^2 - e_{\psi i}^2} - \lambda_{\min}(\Gamma_{1i}) z_{2i}^T z_{2i} - S_i^T K_{2i} S_i - \lambda_{\min}(\Theta_i) z_{fi}^T z_{fi} \\
 & + \overline{M_i^{-1}} \|z_{2i}\| \|z_{\tau i}\| + \overline{(-\Gamma_{1i} - I)} \|z_{2i}\| \|z_{fi}\| + \overline{N_{ci}} (\|z_{si}\|) \|z_{si}\| \|S_i\| + \overline{d_i} \|S_i\| + v_i t_d \|\dot{\tau}_i(\theta)\|^2 \\
 & - v_i \int_{t-t_d}^t \|\dot{\tau}_i(\theta)\|^2 d\theta + \frac{z_{\alpha i}^2}{2} + \frac{\Omega_i^2}{2} - A_i z_{\alpha i}^2
 \end{aligned} \tag{46}$$

Based on the Young's inequality, the term $\overline{N_{ci}} (\|z_{si}\|) \|z_{si}\| \|S_i\|$ in (46) yields

$$\begin{aligned}
 \overline{N_{ci}} (\|z_{si}\|) \|z_{si}\| \|S_i\| & \leq \frac{\sigma_{3i}}{4} \overline{N_{ci}}^2 (\|z_{si}\|) \|z_{si}\|^2 + \frac{1}{\sigma_{3i}} \|S_i\|^2 \\
 & \leq \frac{\sigma_{3i}}{4} \overline{N_{ci}}^2 (\|z_{si}\|) (\|z_{1i}\|^2 + \|z_{2i}\|^2 + \|S_i\|^2 + \|z_{\tau i}\|^2 + \|z_{fi}\|^2) + \frac{1}{\sigma_{3i}} \|S_i\|^2
 \end{aligned} \tag{47}$$

Moreover, for $\|z_{1i}\| < \|N_{bi}\|$, the following inequalities holds

$$\begin{aligned}
 & \frac{\sigma_{3i}}{8} \overline{N_{ci}}^2 (\|z_{si}\|) z_{1i}^T z_{1i} - z_{1i}^T k_{di} z_{1i} - \frac{z_{1i}^T k_{di} z_{1i}}{N_{ai}^T I_x N_{ai} - z_{1i}^T I_x z_{1i}} \\
 & \leq - \frac{(\lambda_{\min}(k_{di}) - \frac{\sigma_{3i}}{8} \overline{N_{ci}}^2 (\|z_{si}\|)) z_{1i}^T I_x z_{1i}}{N_{ai}^T I_x N_{ai} - z_{1i}^T I_x z_{1i}} \\
 & \leq -(\lambda_{\min}(k_{di}) - \frac{\sigma_{3i}}{8} \overline{N_{ci}}^2 (\|z_{si}\|)) \ln \frac{N_{ai}^T I_x N_{ai}}{N_{ai}^T I_x N_{ai} - z_{1i}^T I_x z_{1i}}
 \end{aligned} \tag{48}$$

and

$$\begin{aligned}
 & \frac{\sigma_{3i}}{8} \overline{N_{ci}}^2 (\|z_{si}\|) z_{1i}^T z_{1i} - z_{1i}^T k_{\psi i} z_{1i} - \frac{z_{1i}^T k_{\psi i} z_{1i}}{N_{bi}^T I_y N_{bi} - z_{1i}^T I_y z_{1i}} \\
 & \leq - \frac{(\lambda_{\min}(k_{\psi i}) - \frac{\sigma_{3i}}{8} \overline{N_{ci}}^2 (\|z_{si}\|)) z_{1i}^T I_y z_{1i}}{N_{bi}^T I_y N_{bi} - z_{1i}^T I_y z_{1i}} \\
 & \leq -(\lambda_{\min}(k_{\psi i}) - \frac{\sigma_{3i}}{8} \overline{N_{ci}}^2 (\|z_{si}\|)) \ln \frac{N_{bi}^T I_y N_{bi}}{N_{bi}^T I_y N_{bi} - z_{1i}^T I_y z_{1i}}
 \end{aligned} \tag{49}$$

Then the time derivative of V_{2i} yields

$$\begin{aligned}
 \dot{V}_{2i} \leq & -(\lambda_{\min}(k_{di}) - \frac{\sigma_{3i}}{8} \overline{N_{ci}}^2 (\|z_{si}\|)) \ln \frac{N_{ai}^T I_x N_{ai}}{N_{ai}^T I_x N_{ai} - z_{1i}^T I_x z_{1i}} - (\lambda_{\min}(k_{\psi i}) \\
 & - \frac{\sigma_{3i}}{8} \overline{N_{ci}}^2 (\|z_{si}\|)) \ln \frac{N_{bi}^T I_y N_{bi}}{N_{bi}^T I_y N_{bi} - z_{1i}^T I_y z_{1i}} \\
 & - \left[\lambda_{\min}(\Gamma_{1i}) - \frac{\sigma_{3i}}{4} \overline{N_{ci}}^2 (\|z_{si}\|) \right] z_{2i}^T z_{2i} - \left[\lambda_{\min}(K_{2i}) - \frac{\sigma_{3i}}{4} \overline{N_{ci}}^2 (\|z_{si}\|) \right] S_i^T S_i \\
 & - \left[\lambda_{\min}(\Theta_i) - \frac{\sigma_{3i}}{4} \overline{N_{ci}}^2 (\|z_{si}\|) \right] z_{fi}^T z_{fi} + \frac{\sigma_{1i} \overline{M_i^{-1}}^2}{4} \|z_{2i}\|^2 + \frac{\sigma_{2i} \overline{(-\Gamma_{1i} - I)}^2}{4} \|z_{2i}\|^2 \\
 & + \left[\frac{1}{\sigma_{1i}} + \frac{\sigma_{3i}}{4} \overline{N_{ci}}^2 (\|z_{si}\|) \right] \|z_{\tau i}\|^2 + \frac{1}{\sigma_{2i}} \|z_{fi}\|^2 + \frac{1}{\sigma_{3i}} \|S_i\|^2 + \frac{\sigma_{4i}}{4} \overline{d_i}^2 \\
 & + \frac{1}{\sigma_{4i}} \|S_i\|^2 + v_i t_d \|\dot{\tau}_i(\theta)\|^2 - v_i \int_{t-t_d}^t \|\dot{\tau}_i(\theta)\|^2 d\theta + \frac{\Omega_i^2}{2} - (A_i - \frac{1}{2} I) z_{\alpha i}^2
 \end{aligned} \tag{50}$$

Cauchy-Schwarz inequality gives the upper bound of $\|z_{\tau i}\|$ as

$$\|z_{\tau_i}\|^2 \leq t_d \int_{t-t_d}^t \|\dot{\tau}_i(\theta)\|^2 d\theta \tag{51}$$

Moreover, it can be proven that

$$\int_{t-t_d}^t \left[\int_w^t \|\dot{\tau}_i(\theta)\|^2 d\theta \right] dw \leq t_d \int_{t-t_d}^t \|\dot{\tau}_i(\theta)\|^2 d\theta \tag{52}$$

According to (51) and (52), the inequality (50) becomes

$$\begin{aligned} \dot{V}_{2i} &\leq -(\lambda_{\min}(k_{di}) - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{8}) \ln \frac{k_{ai}^2}{k_{ai}^2 - e_{\rho_i}^2} - (\lambda_{\min}(k_{\psi_i}) - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{8}) \ln \frac{k_{bi}^2}{k_{bi}^2 - e_{\psi_i}^2} \\ &\quad - \left[\lambda_{\min}(\Gamma_{1i}) - \frac{\sigma_{1i}\bar{M}_i^{-1^2}}{4} - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4} - \frac{\sigma_{2i}(-\Gamma_{1i} - I)^2}{4} \right] z_{2i}^T z_{2i} + \frac{\sigma_{4i}\bar{d}_i^2}{4} + \nu_i t_d \|\dot{\tau}_i(\theta)\|^2 \\ &\quad - \left[\lambda_{\min}(K_{2i}) - \frac{1}{\sigma_{3i}} - \frac{1}{\sigma_{4i}} - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4} \right] S_i^T S_i - \left[\lambda_{\min}(\Theta_i) - \frac{1}{\sigma_{2i}} - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4} \right] z_{fi}^T z_{fi} \\ &\quad - \left[\nu_i - \frac{t_d}{\sigma_{1i}} - \frac{t_d\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4} \right] \int_{t-t_d}^t \|\dot{\tau}_i(\theta)\|^2 d\theta + \frac{\Omega_i^2}{2} - (A_i - \frac{1}{2}I) z_{\alpha_i}^2 \\ &\leq -(\lambda_{\min}(k_{di}) - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{8}) \ln \frac{k_{ai}^2}{k_{ai}^2 - e_{\rho_i}^2} - (\lambda_{\min}(k_{\psi_i}) - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{8}) \ln \frac{k_{bi}^2}{k_{bi}^2 - e_{\psi_i}^2} \\ &\quad - \left[\lambda_{\min}(\Gamma_{1i}) - \frac{\sigma_{1i}\bar{M}_i^{-1^2}}{4} - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4} - \frac{\sigma_{2i}(-\Gamma_{1i} - I)^2}{4} \right] z_{2i}^T z_{2i} + \frac{\sigma_{4i}\bar{d}_i^2}{4} + \nu_i t_d \|\dot{\tau}_i(\theta)\|^2 \\ &\quad - \left[\lambda_{\min}(K_{2i}) - \frac{1}{\sigma_{3i}} - \frac{1}{\sigma_{4i}} - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4} \right] S_i^T S_i - \left[\lambda_{\min}(\Theta_i) - \frac{1}{\sigma_{2i}} - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4} \right] z_{fi}^T z_{fi} \\ &\quad - \left[\frac{\nu_i}{t_d} - \frac{1}{\sigma_{1i}} - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4} \right] \int_{t-t_d}^t \left[\int_w^t \|\dot{\tau}_i(\theta)\|^2 d\theta \right] dw + \frac{\Omega_i^2}{2} - (A_i - \frac{1}{2}I) z_{\alpha_i}^2 \\ &\leq -\rho_{ci} V_{2i} + \beta_{ci} \end{aligned} \tag{53}$$

where $\rho_{ci} = \min \left[2(\lambda_{\min}(k_{di}) - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4}), 2(\lambda_{\min}(k_{\psi_i}) - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4}), 2(\lambda_{\min}(\Gamma_{1i}) - \frac{\sigma_{1i}\bar{M}_i^{-1^2}}{4} - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4} - \frac{\sigma_{2i}(-\Gamma_{1i} - I)^2}{4}), 2(\lambda_{\min}(K_{2i}) - \frac{1}{\sigma_{3i}} - \frac{1}{\sigma_{4i}} - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4}) / \lambda_{\max}(M_i), 2(\lambda_{\min}(\Theta_i) - \frac{1}{\sigma_{2i}} - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4}), (\frac{1}{t_d} - \frac{1}{\sigma_{1i}\nu_i} - \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4\nu_i}), A_i - \frac{1}{2}I \right]$

$\beta_{ci} = \frac{\sigma_{4i}\bar{d}_i^2}{4} + \nu_i t_d \|\dot{\tau}_i(\theta)\|^2 + \frac{\Omega_i^2}{2} > 0.$

If the tuning parameters are selected as

$$\lambda_{\min}(k_{di}) > \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4} \tag{54}$$

$$\lambda_{\min}(k_{\psi_i}) > \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4} \tag{55}$$

$$\lambda_{\min}(\Gamma_{1i}) + \frac{\sigma_{2i}(-\Gamma_{1i} - I)^2}{4} > \frac{\sigma_{1i}\bar{M}_i^{-1^2}}{4} + \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4} \tag{56}$$

$$\lambda_{\min}(K_{2i}) > \frac{1}{\sigma_{3i}} + \frac{1}{\sigma_{4i}} + \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4} \tag{57}$$

$$\lambda_{\min}(\Theta_i) > \frac{1}{\sigma_{2i}} + \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4} \tag{58}$$

$$\frac{\nu_i}{t_d} > \frac{1}{\sigma_{1i}} + \frac{\sigma_{3i}\bar{N}_{ci}^2(\|z_{si}\|)}{4} \tag{59}$$

m_i	23.800	Y_{v_i}	-0.8897	N_{v_i}	0.0313	$X_{\dot{u}_i}$	-2.000
L_{z_i}	1.7600	$Y_{ v_i v_i}$	-36.4729	$N_{ v_i v_i}$	3.9565	$Y_{\dot{v}_i}$	-10.0000
x_{g_i}	0.0460	$Y_{ r_i v_i}$	-0.8050	$N_{ r_i v_i}$	0.1300	$Y_{\dot{r}_i}$	0
X_{u_i}	-0.7225	Y_{r_i}	-7.2500	N_{r_i}	-1.900	$N_{\dot{v}_i}$	0
$X_{ u_i u_i}$	-1.3274	$Y_{ v_i r_i}$	-0.8450	$N_{ v_i r_i}$	0.0800	$N_{\dot{r}_i}$	-1.0000
$X_{u_i u_i u_i}$	-5.8664	$Y_{ r_i r_i}$	-0.4500	$N_{ r_i r_i}$	-0.7500		

Table 1. Parameters of the model vessel.

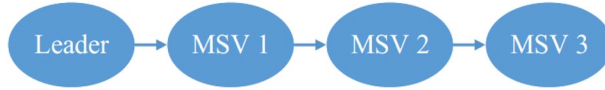


Figure 2. Communication graph among the 4 MSVs.

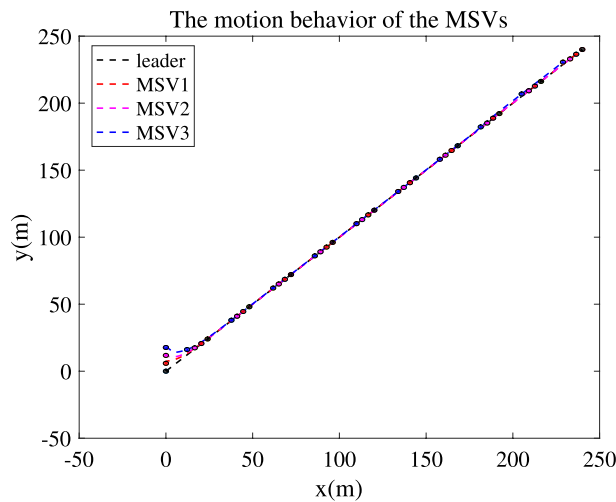


Figure 3. Platoon formation process of the 4 MSVs.

$$A_i > \frac{1}{2}I \tag{60}$$

then $\rho_{c_i} > 0$. It is obvious that $V_i(t)$ is semi-global uniformly ultimate boundedness for $V_i(0) \leq B_{0i}$, where $B_{0i} = V_i(\epsilon_1, z_{2i}, S_i, z_\tau, z_{f_i})$ is a positive constant. \square

Simulation results

To demonstrate the performance of the proposed formation control method, a platoon consisting of one leader and three followers is designed. The marine vehicle model parameters are taken from Cybership-II³¹. It is a 1:70 scale replica of a supply vessel from the marine control laboratory in Norwegian University of Science and Technology. The corresponding parameters are listed in Table 1.

The communication relationship of the 4 MSVs is shown in Fig. 2. The desired distance between each two marine vessels is considered as 5 m. The initial positions of each MSV are $\eta_0 = [0, 0, 0]^T$, $\eta_1 = [0, 5, 0]^T$, $\eta_2 = [0, 10, 0]^T$, $\eta_3 = [0, 15, 0]^T$ and their initial velocities are $v_i = [0, 0, 0]^T$. The input delay time is

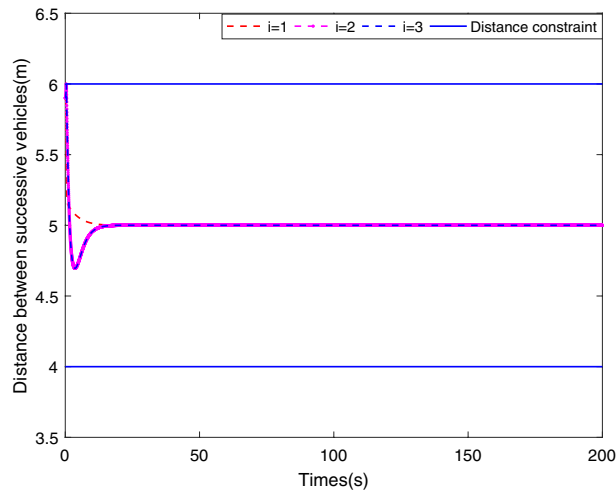


Figure 4. Evolution of LOS ranges along with the predefined boundaries.

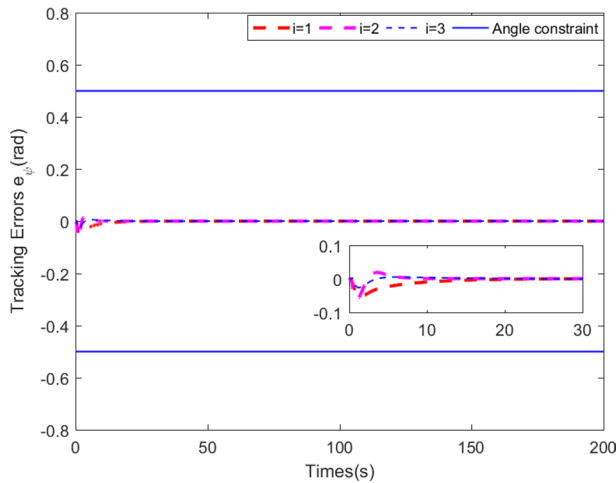


Figure 5. Bearing angle tracking errors along with predefined boundaries.

2s. The control parameters are chosen as $k_{d1} = 12, k_{d2} = k_{d3} = 1, k_{\psi 1} = 1, k_{\psi 2} = k_{\psi 3} = 0.5, k_{a1} = k_{a2} = k_{a3} = 1, k_{b1} = k_{b2} = k_{b3} = 0.5, K_{2i} = \text{diag}[6, 6, 4], \Gamma_{1i} = \text{diag}[0.001, 0.001, 0.001], \Theta_i = \text{diag}[0.1, 0.1, 0.1], A_i = B_i = U_i = \text{diag}[8, 8, 6]$.

Simulation results are shown in Figs. 3, 4, 5, 6 and 7. The response curves of MSV1, MSV2 and MSV3 are plotted in red dash lines, purple dash lines, and blue dash lines, respectively. Figure 3 depicts platoon formation process of the 4 MSVs. Each marine vessel follows its leader with a satisfactory tracking performance during the entire process of moving. The distances between successive vehicles shown in Fig. 4 stay within the maximum connectivity distance 6 m and the minimum collision distance 4 m. It indicates that the LOS range tracking errors are within the predefined region bound. The desired distances between each leader and follower satisfy the inequality constraints $0 < \rho_{i,\min\text{ col}} < \rho_i \leq \rho_{i,\max\text{ com}}, i = 1, 2, 3$. The connectivity and collision prevention among the 4 MSVs are guaranteed during the formation achievement. Figure 5 represents the bearing angle tracking errors e_{ψ} , which does not violate the constraints $[-0.5\text{rad}, 0.5\text{rad}]$. It demonstrates that bearing angles are constrained effectively. Figure 6 displays the control inputs of the following MSVs. The velocities of three followers are shown in Fig. 7. The simulation results demonstrate the connectivity preservation and collision prevention are satisfied, and the string of the MSVs can achieve the formation tracking in the presence of input delay.

Conclusions

Platoon formation control for a string MSVs has been developed in the presence of input delay and output constraints in this paper. BLF has been proposed to constraint LOS range and bearing angle tracking errors to satisfy the collision avoidance and connectivity maintenance. Next, bioinspired neurodynamics has been incorporated into the kinematic design to avoid the complicated computation of the leader vessel’s acceleration. Furthermore, input delay system has been converted into a delay-free system and the stability has been

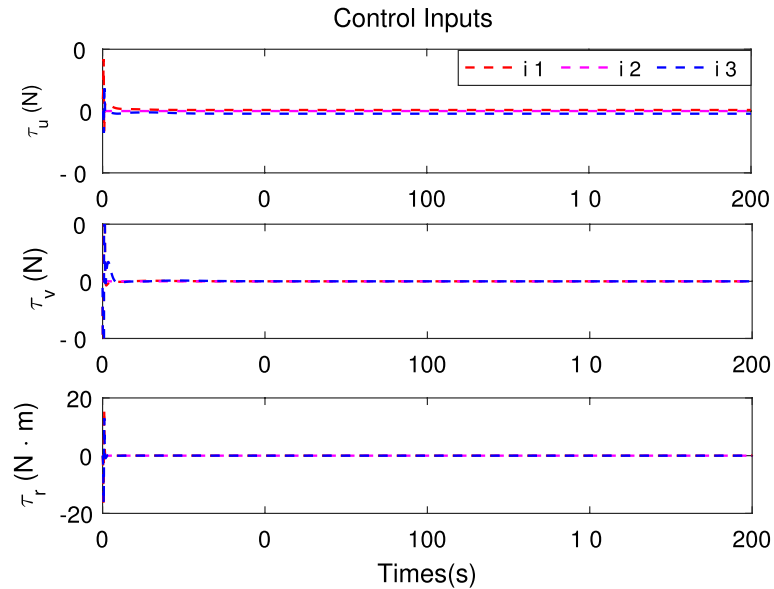


Figure 6. Time response of the control inputs.

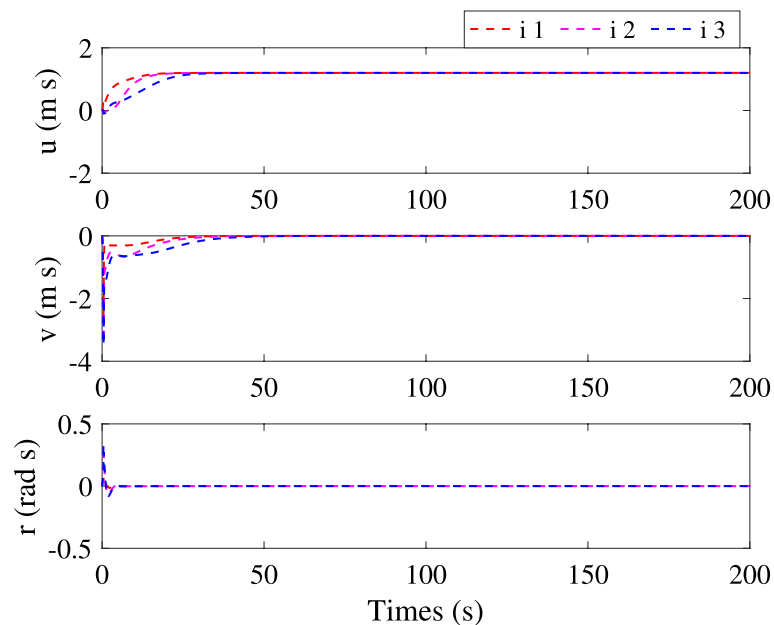


Figure 7. Velocities of three followers.

proven by Lyapunov–Krasovskii analysis. The signals of the closed-loop platoon system were uniformly ultimate boundedness by regulating the appropriate parameters. Finally, the simulation results have demonstrated the effectiveness of the proposed control method.

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Author contributions

Conceptualization, X.L. and Y.Z.; Methodology, X.L.; Software, Y.Z.; Validation, X.L., Y.Z. and G.Y.; Investigation, G.Y. All authors reviewed the manuscript.

Competing interests

The authors declare no competing interests.

Additional information

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