SHORT COMMUNICATION

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Immunocytochemical staining of proliferating cells in fine needle aspiration smears of primary and metastatic breast tumours

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The variability in biological behaviour of breast carcinomas is a long standing problem. Lymph node status is still the major prognostic indicator (Fisher *et al.*, 1983), but also mitotic activity appears to be of considerable prognostic relevance, either as a single parameter (Schiødt, 1966; Stenquist *et al.*, 1981; Baak *et al.*, 1985), or as part of histological (Bloom & Richardson, 1957; Elston *et al.*, 1982) or cytological (Mauriquand *et al.*, 1986) grading. Since only a minor fraction of proliferating cells is in mitosis, the determination of the total number of proliferating cells could be a better indicator of the growth fraction of a tumour population and therefore might give more information about the biological behaviour of a tumour.

A mouse monoclonal antibody (Ki-67) has become available defining a nuclear antigen present in proliferating cells throughout the cell cycle. The antigen is absent in G0 and early G1 (Gerdes et al., 1983, 1984). Thus, Ki-67 enables the immunocytochemical detection of cycling cells without the need of external administration of radioactively labelled nucleotides or mutagenic substances such as bromodeoxyuridine or iododeoxyuridine. Until now studies on breast tumours using Ki-67 as a proliferation marker were performed on histological material. As fine needle aspiration (FNA) smears are more and more used as diagnostic tools, we investigated the feasibility of the use of this monoclonal antibody on cytological material obtained from benign and malignant tumours as well as from distant metastases of breast carcinomas. In addition, a possible relationship between the Ki-67 determined growth fraction of breast carcinomas and clinical parameters such as tumour size, lymph node status and menopausal status was investigated.

The material consisted of FNA smears of 38 breast carcinomas (31 invasive ductal, 5 colloid and 2 medullary carcinomas), 20 fibroadenomas and 26 metastases of breast carcinomas (16 lymph nodes, 2 liver metastases and 8 local recurrences); the cellularity of aspirates from metastases and primary carcinomas was comparable. The air dried smears were fixed in acetone for 5 min and immunostaining with the mouse monoclonal antibody Ki-67 (Dako, Denmark) diluted 1:10 in PBS, pH7.4, containing 0.05% gelatin and 0.1% NaN3, was performed using the indirect conjugated immunoperoxidase method as described previously (Van der Kwast et al., 1985). After addition of diaminobenzidine as substrate brown speckled staining of nuclei or nucleoli was considered as a positive reaction with Ki-67. Mitotic figures were also stained. Nuclear counterstaining was achieved by a 1 min incubation in Mayer's haematoxylin (Figure 1). The percentage of Ki-67 positive nuclei was determined by counting 500 cells at a magnification of $1000 \times$. The counting was done by a cytologist (VK) and care was taken to count tumour cells only or in the case of benign tumours to count breast epithelial cells only. The clinical data collected from the patients with the primary tumours are shown in Table I.

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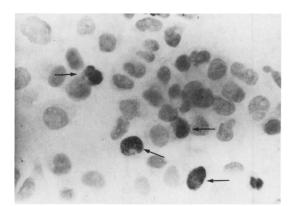


Figure 1 Picture of FNA smear of breast carcinoma, showing Ki-67 stained nuclei (arrows).

Table I Clinical data of 38 patients with primary breast carcinomas	Table I	Clinical dat	a of 38	patients	with	primary	breast	carcinomas
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10 28 2 11 18 3 4 3 14 11 10 Total 38 38 38 38 38 38	Premeno	Postmeno	T ?	T1	T2	T 3	T4	N?	N0	N1	N2
Total 38 38 38	10	28	2	11	18	3	4	3	14	11	10
	Total	38					38				38

T? = Unknown; N? = Unknown.

The FNA smears of the benign tumours contained a low percentage of immunostained nuclei, ranging from 0.0–6.7 with a mean of 1.1 and a median of 0.4. In contrast only four of the malignant tumours showed a percentage below 2, 21 between 2 and 10 and 13 even higher than this (Figure 2) with a mean of 10.5 and a median of 7.9. In comparison with the malignant primary tumours the metastases revealed even higher values with a mean of 14.3 and a median percentage of 12.3. The difference of the last two median values, assessed by the Whitney-test was statistically significant (P < 0.05).

Assessment of the Ki-67 determined proliferative tumour cell fraction in FNA smears of malignant breast lesions led to essentially similar results as recently reported in several studies (Gerdes *et al.*, 1986; Lelle *et al.*, 1987; McGurrin *et al.*, 1987; Barnard *et al.*, 1987) of Ki-67 determination on cryostat sections of breast tumours (Table II). It appears from the data in Table II that the Ki-67 percentages of cryostat sections tend to be higher than those of the aspirates. This lower figure in aspirates may be explained by sampling differences. When the tumour is aspirated the material is derived from both peripheral and central parts of the tumour, whereas a cryostat section gives information on one part of the tumour only.

According to Baak *et al.* (1985) and Van der Linden *et al.* (1987) the mitotic activity index in histological material is the strongest independent prognostic factor in their group of patients both retrospectively and prospectively. Although the prognostic significance of the Ki-67 determined proliferative tumour cell fraction remains to be proven, we think that determination of the total cycling fraction of a tumour gives more information about prognosis than assessment of

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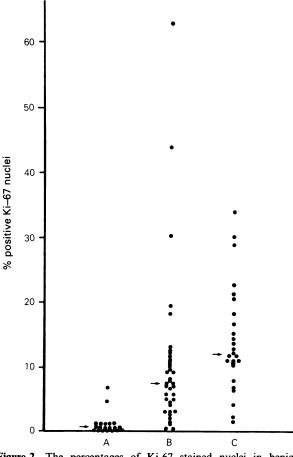


Figure 2 The percentages of Ki-67 stained nuclei in benign, malignant and metastatic breast tumours. A=benign (n=20); B=malignant (n=38); and C=metastases (n=26). Arrow signifies median.

Table II Reported data of mean percentages of Ki-67 stained nuclei in primary breast carcinomas in cryostat sections and imprints/FNA smears

in cryostat sections and imprints/114A sinear					
Histology	Cytology				
16.6					
16.2	14.5ª				
22.0					
20.6					
	10.5 ^b				
	Histology 16.6 16.2 22.0				

^aImprints; ^bFNA smears.

numbers of mitotic figures alone. This holds especially true for FNA smears, since they rarely contain mitotic tumour cells. Further support for the hypothesis that the Ki-67 determined proliferative fraction may be of prognostic relevance can be drawn from the in vitro ³H-thymidine labelling studies of Meyer et al. (1983) and Tubiana et al. (1984). These authors incubated small specimens of freshly obtained breast tumour tissue with ³H-thymidine and counted the number of labelled nuclei from autoradiographed microscopic sections. In the study of Meyer et al. (1983) with a follow-up period of 4 years both the lymph node status and the ³H-thymidine labelling index appeared to be the strongest independent indicators of early relapse. In the long-term prospective study of Tubiana et al. (1984) the ³H-thymidine labelling index appeared to be the most predictive independent indicator with respect to relapse-free survival and total survival. It should be noted that Ki-67 immunostaining is only indirect evidence of proliferation while the mitotic index and ³H-thymidine incorporation directly relate to proliferative activity. Furthermore, Ki-67 stains cells in G1-phase, cells arrested in this phase of the cell cycle may also be stained by Ki-67 which leads to an

overestimation of the fraction of actually proliferating cells. In contrast to the findings of Lelle *et al.* (1987), but in

agreement with the study of McGurrin *et al.* (1987), but in Barnard *et al.* (1987), we found no relation between lymph node status and percentage of Ki-67 labelled tumour cells. Neither did the ³H-thymidine labelling studies (Meyer *et al.*, 1983; Tubiana *et al.*, 1984) show any relation to lymph node status.

No clear-cut correlation was found in this study between tumour size and menopausal status or percentage of Ki-67 immunostained nuclei. Probably the number of investigated tumours was too low to establish such a relationship. It was interesting to see, however, that the really high values (above 40%, see Figure 3) were only seen in premenopausal women. In addition, the two highest values (30.5% and 19%) in the group of postmenopausal women were medullary carcinomas. It is known that the latter tumours have a high mitotic activity and display a different biological behaviour than the infiltrating duct carcinomas (Azzopardi, 1979).

Our observation of significantly higher percentages of Ki-67 labelled tumour cells in metastases compared to primary carcinomas may point to two possibilities: tumours with a higher proliferative activity may tend to a more aggressive biological behaviour, or the higher proliferative activity of metastasized tumour cells is caused by a more favourable environment. In support of the first possibility is the prospective study of Tubiana *et al.* (1984) which showed that the ³H-thymidine labelling index is related to the probability of metastatic dissemination. In addition, Meyer *et al.* (1983) showed that the ³H-thymidine labelling index of primary breast tumours and their corresponding axillary metastases were not significantly different. Thus, it seems unlikely that the higher proliferative activity of metastasized tumours can be attributed to microenvironmental influences. Nevertheless,

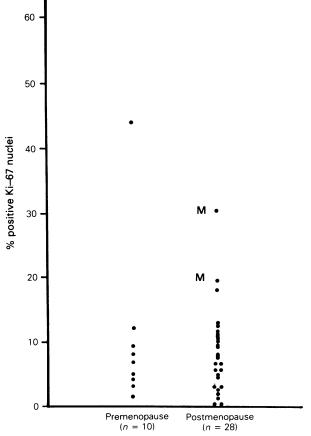


Figure 3 Percentage of Ki-67 stained nuclei of the primary carcinomas versus menopausal status. M = medullary carcinoma.

it would be interesting to compare the Ki-67 immunostaining results of the aspirates of the primary tumours and the metastases from the same patient.

Further studies are indicated to demonstrate the prognostic relevance of the immunocytochemical assessment

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