Review Article Genetic Markers Used for Risk Stratification in Multiple Myeloma

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While no specific genetic markers are required in the diagnosis of multiple myeloma (MM), multiple genetic abnormalities and gene signatures are used in disease prognostication and risk stratification. This is particularly important for the adequate identification of the high-risk MM group, which does not benefit from any of the current therapies, and novel approaches need to be proposed. Fluorescence in situ hybridization (FISH) has been employed for establishing risk-based stratification and still remains the most used genetic technique in the clinical routine. The incorporation of gene expression profiling (GEP) in the study of MM has shown to be a very powerful test in the patient stratification, but its incorporation in clinical routine depends on some technical and logistic resolutions. Thus, FISH still remains the gold standard test for detecting genomic abnormalities and outcome discrimination in MM.

1. Background

Multiple Myeloma (MM) is a malignancy characterized by accumulation of clonal antibody-secreting plasma cells [1]. While no specific genetic markers are used for MM diagnosis, multiple genetic abnormalities have been associated with malignant transformation and disease progression [2–5]. The identification of genetics aberrations was greatly improved after the implementation of analytic tools capable to overcome the technical limitations related to low proliferation of the myeloma cell. Thus, several classifications have been proposed based on the identification of the genomic changes that help to discriminate between different genetic groups of MM patients [3, 6–9].

Overall, MM is divided into two main genetic groups: (1) the hyperdidploid group (H-MM), which can be defined mainly by the gain of odd chromosomes 3, 5, 7, 9, 11, 15, 19, and 21 and (2) the nonhyperdiploid group (NH-MM), characterized by the presence of chromosomal translocations involving the immunoglobulin H (*IgH*) locus with several chromosomal partners (4, 8, 11, 16) [10–12]. Each category includes approximately half of cases, with a very low number of overlapping cases.

Of interest, the dissection of the genetic landscape has provided important genetic markers with demonstrated clinical and disease stratification value [5, 13–15].

2. Cytogenetic Prognostic Markers—FISH

2.1. t(4;14)(p16;q32). This translocation affects the telomeric portion of chromosome 4p leading to the dysregulation of two protooncogenes, *FGFR3* in derivate chromosome 14 (der14) and multiple myeloma SET domain (*MMSET*) in derivative chromosome 4 (der4) [16]. The t(4;14) is seen in 15–20% of primary MM [17]. The translocation is cryptic and detectable only by FISH or reverse transcriptase—PCR [17].

Several groups have associated the t(4;14) with inferior outcome and more aggressive disease irrespective of the treatment modality [2, 5, 18, 19] (Table 1). It has been suggested that this group of patients can benefit from bortezomib-based therapy [20]. However, two recent studies showed that, although bortezomib-based therapy shows better results than previous therapies (vincristine, adriamycin, and dexamethasone) in patients with t(4;14),

Abnormalities Outcome Test FISH* t(4;14)(p16;q32) Poor t(14;16)(q32;q23) Poor FISH Good? FISH t(6;14)(p21;q32) Good/neutral FISH t(11;14)(q13;q32) FISH Deletion 17p13 Poor Conventional Deletion 13 Poor cytogenetics Chromosome 1 Poor FISH abnormalities Hyperdiploidy Good FISH or FCM** (if not associated with deletion 17p13)

TABLE 1: Abnormalities associated with outcome in MM and techniques used for detection.

* Fluorescence in situ hybridization (FISH). ** Flow cytometry.

this translocation still has prognostic implications in a great group of patients treated with this drug [18, 19].

2.2. t(14;16)(q32;q23) and Other MAF Translocations. The t(14;16) is found in 5–7% of all MM cases [4, 5, 21]. The presence of t(14;16) has been associated with more aggressive disease and shorter survival among the patients treated with either conventional or high-dose chemotherapy [5, 6] (Table 1). The prognosis of this translocation was recently challenged by a study that suggests a neutral effect in a large series of patients [21]. Given the very low prevalence of the MAF abnormalities, the test to detect the presence of these translocations has not been universally incorporated in the clinical routine.

The upregulation of *CCND3* (cyclin D3), as a result of t(6;14)(p21;q32), is identified in only 3% of MM [5] (Table 1). Until now, there is no known clinical or prognostic information for this translocation.

2.3. t(11;14)(q13;q32). This translocation results in the juxtaposition of *CCND1* proto-oncogene with the *IgH* locus and as consequence an ectopic expression of cyclin D1 [22]. Of all MM, the t(11;14) has been described in 15% of cases and is associated with CD20 expression, lymphoplasmacytic morphology, hyposecretory disease, and Ig light chain usage [22, 23].

Most studies have suggested that the presence alone of t(11;14) may confer a favorable outcome (Table 1), but this effect is not strong enough to be statistically significant (probably because of small magnitude of this translocation) [22–24]. Moreover, due to heterogeneity within patients with t(11;14) there exists a difficulty in establishing a favorable outcome for patients with this genetic aberration. For instance, the presence of K-RAS mutations in patients with t(11;14) is also more prevalent (50%) than in patients with other primary IgH translocations (10%) [25]. In addition, the presence of t(11;14) is associated with an aggressive phenotype such as plasma cell leukemia [23]. A recent study with a larger series of patient with t(4;14) has suggested

that the effect of t(11;14) on prognosis remains neutral [24] (Table 1).

2.4. Ploidy Status. In MM, aneuploid is frequently observed [11, 12] and delineates the disease into two main genetic subtypes, H-MM and NH-MM. H-MM is more common among males, has a higher incidence of MM bone disease, and carries a more favorable outcome [6] (Table 1). Among patients with H-MM, 13 deletion and chromosome 1 abnormalities have not apparent prognostic significance but the presence of deletions of 17p13 in remains an important prognostic factor. In addition, a study showed that most of the prognostic value of H-MM was related to the gain of chromosome 5 [24, 26].

2.5. Deletion of 17p. The deletion of 17p13 remains the most important molecular prognostic factor in MM [5, 6, 20]. The deletion 17p13 is generally monoallelic and includes *TP53*. The abnormality is detected in only 10% of new diagnosis MM cases, but its prevalence increases in later stages of the disease. Patients with 17p13 deletions often have more aggressive and extramedullary disease (such as plasmacytomas), center nervous system involvement, and hypercalcemia [6, 27]. This abnormality is associated with a shorter survival irrespective of the treatment modality, including the novel bortezomib and IMiDs-based therapies [5, 6, 14, 27] (Table 1).

2.6. Chromosomes 1 and 13. Chromosome 1 abnormalities are found in almost half of MM cases [28]. There is an enrichment of genes associated with proliferation in the affected region [8]. Although the poor prognosis value of this abnormality has been recently demonstrated, its incorporation into standard clinical practice has not been implemented yet [28] (Table 1).

The deletion of chromosome 13 is found in 50% of MM cases [4–6, 8, 29]. Although this abnormality was originally identified as negative prognostic factor in MM, several studies had proved the association of chromosome 13 monosomy with the t(4;14) [6, 30, 31]. Even in the absence of this association or other high-risk markers, the chromosome 13 alone is not a predictive of poor prognosis when identified by FISH (Table 1). On the other hand, its identification by conventional cytogenetics is a surrogate of high proliferation and is used as a poor prognostic marker [32] (Table 1).

3. Comprehensive Genomic Tools in MM Risk Stratification

The advent of high-resolution genomics tools provided a remarkable revolution in the analysis of MM and the identification of genomic signatures able to identify high-risk patients and to predict patient outcome [6, 8, 33]. Several high-resolution available tests provide a comprehensive analysis at the DNA (aCGH, single-nucleotide polymorphism (SNP) arrays, and whole-genome sequencing (WGS)) and RNA levels (gene expression profiling (GEP)). Among these technologies, the use of GEP is the most promising risk stratification tool in MM. The use of GEP has been successfully implemented in MM, and several genetic signatures have been proposed [8, 33, 34]. The most used signatures are based on the analysis of proliferation markers or in centrosome index and successfully detected the 15–20% of worse prognosis patients [35].

The prognostic classification, using genetic analysis as outcome discrimination, has been used in several cohorts of MM treated with the conventional and high-dose chemotherapy followed by stem cell transplant (SCT) [5, 8, 35]. Moreover, the ongoing studies involving patients with MM are focused on the use of these genetic markers provided by genetic changes, as predictors of outcome in those treated with proteasome inhibitors. Although GEP is still mainly used for research purposes, some groups have successfully implemented its use in the routine clinical care [35]. Other approaches such as aCGH and WGS have not been implemented in the clinical routine yet, being used in the research laboratory.

4. Conclusion

Genetic studies have played a crucial role in the determination of the risk-based stratification of MM. Nowadays, FISH and GEP are the most powerful tools for successfully identifying disease subgroups with different outcomes.

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