

## Epidemiology of Oropharyngeal Candidiasis in Human Immunodeficiency Virus/Acquired Immune Deficiency Syndrome Patients and CD4+ Counts

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### Abstract:

**Background:** The present study was directed to evaluate the forms of oropharyngeal candidiasis (OPC) and their correlation with CD4+ cell counts in human immunodeficiency virus (HIV) patients.

**Materials and Methods:** This was a descriptive and analytical cross-sectional study carried out for a 2-year period, in which quantitative data collection methods were used. 50 patients with HIV infection were evaluated. Relationship between OPC and CD4+ was investigated.

**Results:** Five different clinical forms were noticed on examination: pseudomembranous candidiasis 20/38 (P) was the most common one (52.6%) followed by erythematous 5/38 (13.15%), angular cheilitis 5/38 (13.15%) (AC), a combination of AC and E 4/38 (10.52%) or AC, E and P 4/38 (10.52%). *Candida albicans* was the most frequent specie isolated in 35 cases of OPC (92%). *Candida tropicalis* was isolated in 2 cases (5.26%) and *Candida glabrata* in 1 case (2.64%). The majority of patients with OPC had cell counts 28/38 (73%) <200 cells/mm<sup>3</sup>, followed by 9/38 (23%) at CD4+ cell counts of 201-499 cells/mm<sup>3</sup>.

**Conclusion:** Oral *Candida* colonization and invasive infection occur more frequently in HIV-positive patient and is significantly more common in patients with CD4+ cell counts <200 cell/mm<sup>3</sup>.

**Key Words:** Acquired immune deficiency syndrome, candidiasis, CD4+, human immunodeficiency virus, oral lesions

### Introduction

Oral cavity is colonized by *Candida albicans* or other *Candida* species in 40-60% of healthy persons. In immune-compromised patients, *Candida* species can trigger a variety of

disease manifestations ranging from localized mild oral lesion to a disseminated candidiasis.<sup>1</sup>

Many factors contribute to the development of oropharyngeal candidiasis (OPC) including malnutrition, poor oral hygiene, dental malocclusion, and immunosuppression.<sup>2</sup>

Diagnosis and treatment of oral lesions caused by *Candida* species are of utmost importance in human immunodeficiency virus (HIV)-positive patients who, despite the initiation of triple antiretroviral therapy (ART), continue to suffer from significant *Candida* associated morbidity.<sup>3-5</sup>

According to the Joint United Nations Program on HIV/acquired immune deficiency syndrome (AIDS) as of 2013, approximately 35.3 millions people have HIV worldwide with the number of new infections that year being about 2.1 millions.<sup>6</sup>

OPC has been described as the most frequent opportunistic fungal infection among HIV-positive patients, and it has been estimated that more than 90% of HIV-positive patients develop this infection at some time during the progression of their disease.<sup>7-9</sup> OPC is an opportunistic infection of soft buccal mucosa. OPC can appear as erythematous patches or white, scrapable lesions and is often one of the first clinical signs of HIV infection.<sup>9,10</sup> OPC is observed with a higher prevalence in patients with CD4+ counts below 200/mm<sup>3</sup> or a high viral load (>10,000 copies/mL).<sup>4,5,7-9</sup> OPC caused by *C. albicans* is generally managed by judicious use of fluconazole.<sup>2,4,7-9</sup> A rise in resistant organisms may be due to prolonged or frequent treatment with azoles.<sup>10</sup> An epidemiologic shift of *Candida* species could significantly impact the utility of fluconazole as empiric treatment for candidiasis in patients with HIV/AIDS.<sup>11</sup>

The present study was directed to evaluate the forms of OPC and their correlation with CD4+ cell counts in HIV patients. Counts in HIV patients.

### Materials and Methods

This was a descriptive and analytical cross-sectional study carried out for a 2-year period, in which quantitative data collection methods were used. 50 patients with HIV infection were evaluated. The relationship between OPC and CD4+ was investigated. Ethical clearance was obtained, and every participant signed informed consent. Patient records, available

at the Odontology unit of Saint-Antoine Hospital, were initially studied and then the patients were asked to visit the clinic for a further evaluation. A complete medical history was taken and a physical examination of the oral cavity, head, and neck area was performed on each patient. The variables studied, including medical history, physical examination, socio-demographic characteristics, socio-behavioral factors, experience with oral lesions, and laboratory tests, were reviewed. Based on the findings of a physical examination and laboratory tests, patients were prescribed essential medication and repeated examination, and follow-up visits were considered.

#### Clinical and microbiological assessment of subjects

One dental surgeon, who was blinded to the clinical staging, carried out all oral examinations. Patients were examined while seated in the dental chair and a well-illuminated room. Extra oral and perioral areas were examined first, followed by intraoral tissues for any abnormalities. Diagnosis of oral lesions was implemented using European Community clearinghouse guidelines for presumptive diagnosis of OPC.<sup>12</sup>

Blood samples were obtained on the same day as the oral examinations, and their results were recorded onto each participant's questionnaire.

*Candida* colonization was defined as isolation of *Candida* species from the oral cavity. A single oral swab was collected from each study participant by passing a sterile swab firmly across buccal mucosa, floor of mouth, dorsal tongue in cases of asymptomatic patients, and from the base of the oral lesion in cases of symptomatic patients. Swabs were cultured on Sabouraud's dextrose agar with chloramphenicol 0.5g/l, then incubated at 37°C and observed daily for 7 days. Pure growth of *Candida* species was considered for analysis. *Candida* was identified by conventional tests and species identification was performed using the germ tube test, growth on CHROM agar *Candida* Medium (DRG International Inc. Dehydrated media, Springfield, U.S.A), and sugar assimilation tests.<sup>12,13</sup>

All patients who had oral lesions and from whom *Candida* species were isolated were considered to have OPC. Recent CD4+ levels were analyzed by the flow cytometer method, and a history of intake of ART was noted. Based on the WHO classification<sup>14</sup> the CD4+ cell counts  $\geq 500$  cells/mm<sup>3</sup> was classified as "type 1," CD4+ cell count of  $>201$  to  $<499$  cells/mm<sup>3</sup> as "type 2" and CD4+ cell count of  $\leq 200$  cells/mm<sup>3</sup> as "type 3."

#### Statistical analysis

Patient data, microbiological results, and CD4+ counts were collected and protected with a password. Data included age, gender, socio-behavioral factors, OPC, antifungal use, ART, CD4+ count, were entered in Excel data sheet (Microsoft

Corporation Seattle, USA). All statistical calculations were performed using SPSS software version 20 (IBM SPSS, Chicago, USA).

#### Results

Of the 50 consenting participants, 5 (10%) were females and 45 (90%) males.

The median age in this study group was 39 years (28-57). Of the participants, 38 (76%) had a history of sexual contamination, 11 (22%) had a history of sharing intravenous needles, and one (2%) had a history of homosexuality and sharing intravenous needles.

Most participants had CD4+ count (cells/mm<sup>3</sup>) was  $<200$ , 201-499, and  $>500$  in 32 cases (64%), 16 cases (32%) and 2 cases (4%), respectively, and the mean CD4+ count (cells/mm<sup>3</sup>) was 167.12. Median duration of ART was 2 years (range, 1.5 months to 6 years). Overall, *Candida* species was isolated from the oral cavity in 38/50 (76%). HIV-associated oral lesions were observed in all patients (periodontal disease, herpetic lesions, hairy leukoplakia, gingivitis, oral ulceration, Kaposi's sarcoma, and Non-Hodgkin lymphoma). Five different clinical presentations of OPC were noticed on examination. Pseudomembranous candidiasis 20/38 (P) was the most common clinical presentation of OPC (52.6%) followed by erythematous 5/38 (13.15%), angular cheilitis 5/38 (13.15%) (AC) and a combination of AC and E 4/38 (10.52%) or AC, E and P 4/38 (10.52%) (Figure 1).

*C. albicans* was the most frequent species isolated in 35 cases of OPC (92%). *Candida tropicalis* was isolated in 2 cases (5.26%) and *Candida glabrata* in 1 case (2.64%). The distribution of oral lesions based on the CD4+ count of HIV-infected patients



**Figure 1:** Clinical forms of oropharyngeal candidiasis: (a) pseudomembranous, (b) erythematous (c) angular cheilitis.

showed that 62% of the oral lesions occurred at CD4+ count <200 cells/mm<sup>3</sup> (mean CD4+), about 26% oral lesions were seen at CD4+ count of 201-499 cells/mm<sup>3</sup> (mean CD4+) whereas 12% cases of oral lesions were seen at CD4+ count >500 cells/mm<sup>3</sup> (mean CD4). The majority of patients with OPC had cell counts 28/38 (73%) <200 cells/mm<sup>3</sup>, followed by 9/38 (23%) at CD4+ cell counts of 201-499 cells/mm<sup>3</sup> (Table 1).

## Discussion

OPC is the most common fungal infection in HIV patients and fluconazole efficiency in treating this infection has been proven. However, certain non-*albicans* species (*C. glabrata*, *Candida Krusei*) proved to be less susceptible to fluconazole than *C. albicans*. Since they were isolated in HIV patients.<sup>7,13</sup>

Impact of ART on opportunistic infections in HIV patients is continuously evolving: Patel and co-workers (2012) studied the changing aspect of OPC epidemiology in 215 HIV/AIDS patients by assessing yeast colonization from oral rinse samples. *C. albicans* was the most prevalent and *C. glabrata* and *Candida dubliniensis* were identified in 29% of cultures. Decrease susceptibility to fluconazole was studied and detected in 10% of isolates.<sup>9</sup>

In a prospective cross-sectional study of predisposing factors for oropharyngeal colonization of yeasts in HIV-infected patients, Lin *et al.* (2013) reviewed 105 patients, among whom, 54 (51.4%) were colonized with yeasts and among the 68 isolates, *C. albicans* accounted for 73.5%, *C. tropicalis* for 5.95%, *C. glabrata* for 5.9%, and *C. dubliniensis* for 4.4%, and there 7.5% *Candida* isolates resistant to fluconazole and a higher prevalence of yeast colonization was observed in patients with CD4+ count <200 cells/mm<sup>3</sup>.<sup>15</sup>

**Table 1: Profile of HIV patients with OPC form distribution, CD4+ count and *Candida* species**

Demographic data	HIV positive patients (n=50)
Gender	
Male	45
Female	5
OPC forms (%)	
P	52.60
E	13.15
AC	13.15
AC+E	10.52
AC+E+P	10.52
OPC and CD4+cell count (%)	
<200 cells/mm <sup>3</sup>	73
201-499 cells/mm <sup>3</sup>	23
>500 cells/mm <sup>3</sup>	4
<i>Candida</i> species (%)	
<i>C. albicans</i>	92
<i>C. tropicalis</i>	5.26
<i>C. glabrata</i>	2.64

P: Pseudomembranous, E: Erythematous, AC: Angular cheilitis, OPC: Oropharyngeal candidiasis, *C. albicans*: *Candida albicans*, *C. tropicalis*: *Candida tropicalis*, *C. glabrata*: *Candida glabrata*

Thompson *et al.* (2013) studied OPC in 122 HIV-infected patients in whom infection was observed in one-third: *C. albicans* was the most implicated pathogen and oral yeast colonization in 81.1%, despite the availability of ART, and resistant yeasts occurred in 25.3% of patients.<sup>4</sup>

In an observational cohort, Arribas *et al.* (2000) determined the relationship between ART and changes in prevalence and amount of OPC. They found that the majority of 99 observed patient presented OPC with a CD4+ count <200 cells/mm<sup>3</sup>.<sup>16</sup>

In our study, the mean age was 39 years, and male gender was 90%, and female was 10%.

About 76% of our patients were contaminated with HIV by sexual transmission and 22% by sharing intravenous needles and 2% by a combination of sexual and intravenous sharing needles.

*Candida* species was isolated from the oral cavity in 76%. Similar figures were recorded by Thompson *et al.*,<sup>4</sup> Castro *et al.*<sup>13</sup> and Lin *et al.*<sup>15</sup>

Earlier studies<sup>2,5,15,16</sup> showed that pseudomembranous candidiasis was the most common in clinical appearance of OPC. These findings shared our clinical observation.

*C. albicans* was isolated in 92% of our cases followed by *C. tropicalis* and *C. glabrata*. Some of the recent report<sup>1,4,8</sup> have also reported the same species while others<sup>12,13</sup> described other species as *C. dubliniensis* beside the mentioned species.

These variations could be related to the differences in the socio-demographic characteristics and socio-behavioral factors or may be due to increased identification of the species, which can be mistaken phenotypically as *C. albicans*.<sup>17</sup>

Distribution of OPC lesions and the CD4+ cell counts, 73% associated with <200 cells/mm<sup>3</sup> is a common observation with other studies.<sup>4,5,18</sup> The only given explication may be the decrease of the immunodeficiency of the immune system.

Fluconazole therapy shows efficacy in the treatment of OPC. Current guidelines note that for AIDS patients, 200 mg/day of fluconazole is acceptable and does not lead to resistance.<sup>19</sup>

## Conclusion

Oral *Candida* colonization and invasive infection occur more frequently in HIV-positive patient and are significantly more common in patients with CD4+ cell counts <200 cell/mm<sup>3</sup>. ART reduces OPC. *C. albicans* continues to be the most frequently isolated species in OPC. Fluconazole therapies still the treatment of choice in OPC. Our results emphasize the

need of continued awareness of OPC as a major clinical sign in HIV population.

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