1 Title: 2 *Listeria monocytogenes* infection in intestinal epithelial Caco-2 cells with exposure to 3 progesterone and estradiol-17beta in a gestational infection model. 4 5 Running Title: Sex hormone effects on *Listeria* infection in Caco-2 cells 6 7 Summary sentence: Progesterone and estradiol inhibit infection of Caco-2 intestinal 8 epithelial cells by *Listeria monocytogenes*. 9 10 Keywords: pregnancy, listeriosis, intestinal epithelium, Caco-2, progesterone, estradiol 11 Authors: Anna Marie Hugon^{1,2,} and Thaddeus G. Golos^{1,3,4} 12 13 14 ¹Wisconsin National Primate Research Center, University of Wisconsin-Madison, Madison, 15 WI, USA 16 ²Department of Pathology and Laboratory Medicine, University of Wisconsin-Madison, 17 Madison, WI, USA 18 ³Department of Comparative Biosciences, University of Wisconsin-Madison, Madison, WI, 19 USA 20 ⁴Department of Obstetrics and Gynecology, University of Wisconsin-Madison, Madison, WI, 21 USA 22

24

25

26

27

28

29

30

31

32

33

34

35

36

37

38

39

40

41

42

43

44

45

Grant support: This research was funded by NIH grants R25 GM 83252-11 and Advanced Opportunity Fellowship through SciMed Graduate Research Scholars at the University of Wisconsin-Madison to A.M.H., R01 AI107157 to T.G.G, and P51 OD011106 to the Wisconsin National Primate Research Center. Correspondence: Thaddeus G. Golos, Ph.D. University of Wisconsin-Madison Wisconsin National Primate Research Center 1223 Capitol Ct. Madison, WI 53715-1299 U.S.A. golos@primate.wisc.edu Contributions: TGG, and AMH designed the study. AMH conducted experiments and analyzed the data. AMH and TGG drafted the manuscript. AMH created all figures and tables. All authors read and approved the final manuscript. Conflict of Interest: There are no conflicts of interest to declare. Disclaimer: The contents of this manuscript are solely the responsibility of the authors and do not represent the official views of the NIH. Data Availability: The authors confirm all data supporting these findings are available within the manuscript or cited materials.

Abstract

46

47

48

49

50

51

52

53

54

55

56

57

58

59

60

61

62

63

64

65

66

67

68

Listeria monocytogenes (Lm) is a food-borne pathogen associated with serious pregnancy complications, including miscarriage, stillbirth, preterm birth, neonatal sepsis, and meningitis. Although Lm infection within the gastrointestinal (GI) tract is well studied, little is known about the influence sex hormones may have on listeriosis. Estradiol (E2) and progesterone (P4) not only have receptors within the GI tract but are significantly increased during pregnancy. The presence of these hormones may play a role in susceptibility to listeriosis during pregnancy. Caco-2 cell monolayers were grown on trans-well inserts in the presence of E2, P4, both E2 and P4, or no hormones (control). Cells were inoculated with Lm for 1 hour, before rinsing with gentamycin and transfer to fresh media. Trans-epithelial resistance was recorded hourly, and bacterial burden of the apical media, intracellular lysates, and basal media were assessed at 6 hours post inoculation. There were no significant differences in bacterial replication when directly exposed to sex steroids, and Caco-2 cell epithelial barrier function was not impacted during culture with Lm. Addition of P4 significantly reduced intracellular bacterial burden compared to E2 only and no hormone controls. Interestingly, E2 only treatment was associated with significantly increased Lm within the basal compartment, compared to reduction in the intracellular and apical layers. These data indicate that increased circulating sex hormones alone do not significantly impact intestinal epithelial barrier integrity during listeriosis, but that addition of P4 and E2, alone or in combination, was associated with reduced epithelial cell bacterial burden and apical release of Lm.

Introduction

69

70

71

72

73

74

75

76

77

78

79

80

81

82

83

84

85

86

87

88

89

90

91

A ubiquitous environmental bacterium. Listeria monocytogenes (Lm) is primarily acquired via consumption of contaminated food that can cause gastrointestinal illness, meningitis, and sepsis [1]. The elderly, immunocompromised, neonates and pregnant people have increased susceptibility to listeriosis [2]. Gestational listeriosis is associated with serious pregnancy complications, including miscarriage, stillbirth, preterm birth, and neonatal sepsis and meningitis [3-6]. While Lm is known to cause significant fetal morbidity, it often goes unrecognized in the mother until infection at the maternal-fetal interface (MFI) causes adverse pregnancy outcomes (APOs) [7]. Furthermore, the determinants of increased susceptibility to infection with Lm during pregnancy remain undefined. Within the GI tract, the gram-positive organism relies upon its dense peptidoglycan coating to survive the highly acidic environment of the stomach, until it reaches the intestinal villi where initial infection of the intestinal epithelium occurs [8, 9]. Within the intestinal epithelium, Lm must overcome multiple barriers to infection including a host associated layer of mucus, the layers of epithelial enterocytes, immune cells, cytokines, metabolites, hormones, and other endogenous microorganisms, to achieve infection of the tissues lining the GI tract. Epithelial tissues infected by Lm contain polarized cells that form a tight barrier to pathogen translocation. Tight junctions are essential for establishing a barrier to restrict the mixing of the two compartments [10]. Within the gut lumen, the intestinal epithelium forms a cellular layer between gastric contents and the circulatory systems of the body. Lm invades host cells through utilization of the bacterial surface proteins internalin A (InlA) and internalin B (InlB) [11]. Upon binding to epithelial cells, InlA and InlB activate signaling

93

94

95

96

97

98

99

100

101

102

103

104

105

106

107

108

109

110

111

112

113

pathways of the host surface cell receptors and recruitment of materials needed for endocytosis. The signaling cascade leads to recruitment of actin and actin polymerization. During bacterial entry interactions with E-cadherin favor interaction of the E-cadherin cytoplasmic tail and the actin cytoskeleton, while entry via c-Met stimulates a signaling cascade to promote depolymerization of actin, which completes the process of bacterial internalization. It is worth noting that both of these receptors have been documented in colonic tissues [12, 13]. Within the host cell, expression of ActA aids in polymerization of actin and generation of an actin tail to propel the bacterium through the cell cytoplasm and through membrane protrusions to enter neighboring cells[11]. Spreading within polarized epithelial cell layer is dependent upon internalin C (InlC) which promotes the formation of protrusions through inhibition of cellular Tuba and N-WASP which have been shown to modulate the structure apical junctions[14, 15]. By successfully invading i the GI epithelial barrier through a cycle of replication and shedding into the gut lumen, Lm gains access to the lymphatic system through a process known as transcytosis [8, 11, 16]. Transcytosis is a form of cellular trafficking that Lm utilizes to move from one membrane to another, in this case from the apical layer to the basal layer of the GI epithelium, to ultimately enter the bloodstream [17]. Once in the bloodstream, Lm may circulate until it can access the intervillous space of the placenta, where molecules are transferred from mother to fetus including amino acids, fatty acids, glucose, and oxygen to underpin fetal development [18]. Lm in the intervillous space of the MFI is able to establish severe placental infection which ultimately causes acute inflammation, chorioamnionitis, and necrosis [19].

115

116

117

118

119

120

121

122

123

124

125

126

127

128

129

130

131

132

133

134

135

136

While the invasion and intracellular phases of Lm at the intestinal epithelial layer have been well described, the determinants of increased susceptibility to listeriosis during pregnancy remain unknown [20-25]. To evaluate these determinants, it is important to consider potential influences of the maternal gut environment on listeriosis. During the course of a normal and healthy pregnancy, there are dramatic changes in the mother's hormonal, metabolic, and immunological homeostasis. An important component of the pregnant state is elevation in the levels of the sex hormones E2 and P4 [26]. Circulating levels of P4 are elevated during the three trimesters of pregnancy, peaking during the third trimester, while the levels of E2 increase slowly before a rapid increase near the end of gestation [27]. These changes also are thought to alter immune responses during pregnancy [26, 28-30]. During gestation, there is an overall decrease in proinflammatory cytokines and increase in counterregulatory cytokines [31]. These immune changes allow tolerance to potentially immunogenic paternal antigens in order to maintain a successful pregnancy. However, the loss in inflammatory signaling during specific stages of pregnancy creates a permissive state in which pathogen invasion does not trigger inflammation and a prompt robust immune response [26]. The modulation of inflammation plays an important role in not only the MFI, but also the GI tract. Researchers have demonstrated that sex steroids and theirs receptors serve an important role in the GI tract and contribute to the progression of a number of GI diseases, including inflammatory bowel disease (IBD), irritable bowel syndrome (IBS) and a variety of GI tract cancers [32-35]. IBD is an incompletely understood intestinal inflammatory disease, and is clinically characterized by a "leaky" GI tract, hypothesized to permit bacterial product translocation and inflammation [36]. Studies suggest that E2 may

138

139

140

141

142

143

144

145

146

147

148

149

150

151

152

153

154

155

156

157

158

protect against acute colitis through the activation of ERB [37, 38]. One study demonstrated that treatment with E2 reduced inflammation in the colon in mice [39]. Research has also shown progesterone to inhibit IBD disease by improving gastrointestinal barrier function during pregnancy [40]. The presence of receptors for these hormones in intestinal epithelial cells has been long known [41-43], however their effects on epithelial barrier function during disease remains unclear. While studies have shown alterations to GI motility during pregnancy, evidence supports a mostly direct effect of P4 on intestinal smooth muscle, rather than progesterone-mediated pathways of gastric motility [33]. P4 has been documented to increase transepithelial electrical resistance in primary human colon tissues and Caco-2 cells through upregulating expression of the tight junction protein occludin [44]. In addition, E2 has been shown to decrease permeability through modulation of paracellular permeability and tight junctions [45]. However, whether sex steroids affect the transcellular pathway in the intestinal epithelium remains undefined. It is possible that circulating sex hormones impact the maternal gut microenvironment susceptibility to infection with Lm through incompletely defined mechanisms. We hypothesized that the presence of P4 alone would increase TEER, while E2 alone would decrease TEER. We also hypothesized that treatment with both P4 plus E2 would have decreased Lm within the intracellular and basal compartments. Receptors for both hormones have been documented in the human epithelial colorectal cancer (Caco-2) cell line making it an ideal model for examining the direct effects of P4 and E2 on Lm replication within the GI tract [32, 41, 44, 46]. Our study aimed to build upon the existing

160

161

162

163

164

165

166

167

168

169

170

171

172

173

174

175

176

177

178

179

knowledge by evaluating the impact of E2 and P4 during Lm infection of intestinal epithelial monolayers using a Caco-2 model. Methods Cell Culture Human Caco-2 cells (HTB37; American Type Culture Collection) purchased from ATCC (Manassas, VA, USA) were maintained in Dulbecco's Modified Eagle's medium (DMEM, phenol red-free, Thermo Fisher Scientific, Waltham, MA, USA) supplemented with 20% charcoal-stripped FBS, 2 mM L-glutamine, 10 mM HEPES, 100 unit/mL penicillin and 100 µg/mL streptomycin (Thermo Fisher Scientific, Waltham, MA, USA) at 37°C under room air/5 % CO₂ in a humidified incubator. Cells were grown in 25 cm² culture flasks (Corning, Corning, NY, USA). The medium was changed every three days. The cells were harvested for passage or plating with 0.25% trypsin-EDTA (Thermo Fisher Scientific, Waltham, MA, USA). To initiate infection experiments, the cells were harvested from confluent cell cultures and suspended in DMEM containing 10% fetal bovine serum and 1% non-essential amino acids. 24-well tissue culture plates containing 8 µm pore Polyethylene (PET) membrane inserts (catalogue number: 25-289, Corning, Corning City, NY, USA) were seeded with 3.5×10^4 cells per well and cultured to confluence with a final density of approximately 4×10^5 cells per well. Cells were then differentiated into a monolayer using Corning BioCoat Intestinal Epithelial Environment and protocol (catalog number: 355057, Corning, Corning City, NY, USA).

181

182

183

184

185

186

187

188

189

190

191

192

193

194

195

196

197

198

199

200

201

Hormone stocks of E2 or P4 were dissolved in ethanol to a stock concentration of 1 mg/mL, with further dilutions made in Modified Eagle's medium (DMEM, phenol red-free. Thermo Fisher Scientific, Waltham, MA, USA) supplemented with 20% charcoal-stripped FBS, 2 mM L-glutamine, and 10 mM HEPES with no antibiotics. Wells were then treated with 2.50 ng/ml E2, 40 ng/ml P4, both, or no hormones as a control. Hormone concentrations were based upon circulating concentrations during pregnancy as reported in humans [47]. Since both the P4 and E2 were dissolved in absolute ethanol then diluted into media, an appropriate amount of ethanol was added to control wells. No impact of ethanol addition alone (<0.1%) was noted in preliminary studies. Cells were incubated in hormones for 24 hours prior to experimentation. **Bacterial Culture** L. monocytogenes 2203S (wild type [WT]; serovar 4b [48]) was cultured overnight at 37°C in Tryptic Soy Broth (TSB) (Becton Dickinson, Sparks, MD). Bacterial concentration was estimated using optical density measurements at a wavelength of 595 nm. Fresh bacterial cultures were washed and resuspended in Dulbecco's modified Eagle medium (DMEM. phenol red-free, Thermo Fisher Scientific, Waltham, MA, USA) containing 10% fetal bovine serum (D10F) before addition to Caco-2 monolayers at a multiplicity of infection (MOI) of

Fisher Scientific, Waltham, MA, USA) to kill extracellular bacterial cells (Fig 1). Gentamycin

1. The infectious dose was confirmed retrospectively by culturing tenfold serial dilutions of

the inoculum in PBS on blood agar plates. Cells were incubated with Lm for 1 hour, then

media were removed and replaced by D10F containing 50 μg/mL gentamicin (Thermo

203

204

205

206

207

208

209

210

211

212

213

214

215

216

217

218

219

220

221

222

223

224

media were then removed, cells were washed, and transepithelial electrical resistance and infection studies were initiated. **Barrier Function** Barrier integrity was assessed using Trans-Epithelial Electrical Resistance (TEER) of polarized monolayers measured using the Millicell-Electrical Resistance System (ERS, Millipore, Billerica, MA, USA) and those with a minimum TEER of 200 Ω/cm^2 (range, 200 to $600 \Omega/\text{cm}^2$) were used for translocation experiments. Pilot experiments were conducted to determine the appropriate length of time for monitoring bacterial translocation through Caco-2 monolayers, and hourly timepoints for 6 hours following exposure were chosen to capture the full progression of replication and translocation. Inserts with no cells as well as seeded inserts with no bacteria were used as negative controls for TEER. Each hormone treatment group was performed in duplicate wells and the experiment was replicated three times. At 6 hours post exposure, the apical and basal media were separately collected, serially diluted, and plated on blood agar for the enumeration of Lm following 24 and 48 hours. The cells were resuspended in media and mechanically disrupted using the freezethaw method to release intracellular bacteria [49]. Lysates were serially diluted in PBS and plated on blood agar (Fig 1). Statistical analysis All data analysis and graphs were prepared using Prism 9 software (Graph-Pad Software Inc., San Diego, CA, USA). Data from repeated experiments are presented as mean and

226

227

228

229

230

231

232

233

234

235

236

237

238

239

240

241

242

243

244

245

246

standard error (SEM). Where appropriate, Tukey's multiple comparison test was used to identify statistically significant differences (P<0.05). Results Bacterial Replication First, we cultured Lm in TSB Media with 2.5 ng/ml E2, 40 ng/ml P4, both hormones, or no hormones (serving as control) to determine the impact of each treatment on survival and replication of Lm. 10⁴ CFU Lm were added followed by incubation at 37 °C. Bacterial levels (CFU/ml) of Lm was assessed using OD595 hourly for 6 hours following addition of Lm to hormone treated media. ANOVA with Turkey's multiple comparison test found no significant differences in bacterial levels between hormonal treatment groups during 6h of culture (Fig 2). **Barrier Function** We then examined the impact of hormone treatment on intracellular replication and barrier function with transcytosis of Lm by culturing cells on Transwell filter inserts on which Caco-2 cells were cultured to confluence. Culture plates were treated with media containing E2, P4, both, or neither hormone. Following 24 hours, 10⁵ CFU (1 MOI) of bacteria were added to the apical wells. The CFU of the inoculum was confirmed using culture-based methods. Plates were incubated for 1 hour, and then washed with medium containing 50 µg/mL gentamicin to kill extracellular Lm. Filter inserts were then transferred into 24-well tissue culture plates containing fresh media with the same hormones as prior to inoculation. Trans-epithelial resistance (TEER) was recorded hourly

248

249

250

251

252

253

254

255

256

257

258

259

260

261

262

263

264

265

266

267

268

269

at 1 - 6 hours post-exposure (Fig 3). 2-way ANOVA and Tukey's multiple comparisons test of each treatment group compared with controls (no hormones) revealed no significant changes in barrier function with hormone treatment. Bacterial burden by Compartment Following 6 hours incubation, the apical and basal compartment media were collected and plated to determine bacterial burden, the inserts were removed, and epithelial cells were lysed to determine intracellular bacterial burden (Fig 4). In the apical media, there was significantly less bacterial burden with P4 treatment only, compared to E2 only (p = 0.0014), E2 plus P4 (p = 0.0004), or no hormone controls (p = <0.0001). Both the E2 only (p = 0.0034) and both hormone treatment groups (p = 0.0112) also had significantly lower bacterial burden compared to no hormone controls. The intracellular lysate had similar results, with significantly lower bacterial burden with P4 treatment only, compared to E2 only (p = <0.0001), E2 plus P4 (p = 0.0001), or no hormone controls (p = <0.0001). Within the lysates, the E2 only treatment group also had significantly more Lm compared to E2 plus P4 (p = 0.0002), but significantly less intracellular bacteria than the no hormone controls (p = <0.0001); cells treated with both hormones also displayed significantly lower bacterial burden than controls (p = <0.0001). Within the basal media, the P4 only treatment group had significantly less bacteria compared to the E2 only treated group (p = <0.0001) and was lower than the E2 plus P4 or no hormones controls, although that difference did not achieve significance. Furthermore, the E2 only treated basal media had significantly increased bacterial burden when compared to either P4 only, E2 plus P4, or no hormone controls (p = <0.0001).

271

272

273

274

275

276

277

278

279

280

281

282

283

284

285

286

287

288

289

290

291

292

Discussion Sex Steroids and Bacterial Replication In this study, we tested the ability of Lm to infect, and alter the barrier resistance of intestinal epithelial cell monolayers in the presence of P4 and E2 to elucidate the potential impact of pregnancy sex steroids on passage of Lm across the epithelium of the GI tract. While the intracellular phase of Lm infection has been extensively studied, little is known about the impact of sex steroids during infection of Lm within the GI tract. To rule out any effect of sex hormones directly on Lm replication, the impact of P4 and E2 on bacterial growth was first assessed in TBS culture. The data indicated that the replication of Lm is not directly affected by these hormones (Fig 2), confirming that sex steroids used in subsequent culture experiments do not directly impact Lm replication. Sex Steroids and Barrier Function To asses barrier function, we utilized a cell line derived from a colon adenocarcinoma (Caco-2), which differentiates into enterocyte-like cells under specific culture conditions and is a widely used model to assess intestinal epithelial permeability [50]. These cells develop into a polarized monolayer which form a border between the apical and basal compartments. Polarized epithelial cells are characterized by their ability to conduct endocytosis at either the apical or basal membranes [51]. The cell monolayer is organized similar to a honeycomb pattern, with junctions along all sides of the cells [50]. Tight junctions form the border at the basolateral cell surface domains in polarized epithelia, and support the maintenance of cell polarity by restricting intermixing of apical and basolateral transmembrane components [10]. Tight junctions include occludins and

294

295

296

297

298

299

300

301

302

303

304

305

306

307

308

309

310

311

312

313

314

315

claudins, forming the zonula occludens [52]. These junctions modulate permeability between the intestinal epithelial cells, as well as intersect with signaling mechanisms that direct epithelial-cell polarization and the formation of apical and basal domains that are morphologically and functionally distinct [10]. Furthermore, the basal extracellular matrix constructed by epithelial cells in coordination with their junctions creates a barrier of separation between GI contents and circulatory networks of the body and is a barrier to bacterial dissemination into the bloodstream. This epithelial layer separates the contents of the intestinal lumen microenvironment of the GI tract including the GI contents, hostassociated mucus, and microbial presence from the underlying circulatory system and lymphatic network of the intestinal villi. Each of these compartments has unique properties and represents various stages of Lm replication and release. The epithelial layer is where Lm replicates, and upon release of Lm from the cells the bacterium can freely reenter the lumen via the apical surface or escape the basolateral membrane to access the host circulation. In our model, Lm readily infected Caco-2 cells and multiplied intracellularly but did not significantly decrease TEER of the Caco-2 monolayer as compared to uninfected cells. While there was no clear impact of sex steroids or Lm on transepithelial resistance, we found that within the apical and intracellular compartments, treatment with E2 or P4 independently led to a decrease in Lm burden. This effect of P4 was confirmed in the basal layer, with decreased Lm burden with P4 treatment, however treatment with E2 led to significantly increased Lm within the basal compartment, suggesting a more complex action of hormones on Lm passage across the epithelial barrier.

317

318

319

320

321

322

323

324

325

326

327

328

329

330

331

332

333

334

335

336

337

338

Sex Steroids and Barrier Function Through use of an intestinal epithelium cell culture model, we further found that the presence of P4 and/or E2 had no significant impact by themselves on transepithelial barrier resistance during the experimental period (Fig 3). These data indicate that the presence of sex steroids alone does not significantly impact epithelial barrier resistance during Lm infection of the GI tract, although limitations of this culture system include that the epithelial environment in the gut *in vivo* is much more complex, including exposure to hormones over months, and that the adenocarcinoma cells may not accurately recapitulate the *in vivo* enterocytes. Other studies examining the effects of P4 and E2 on Caco-2 barrier function found conflicting results. Salomon et. al examining Caco-2 cell brush-border membranes with P4 or E2 treatment found no effect on TEER of hormone treatment [46]. That study examined TEER function 5-, 10-, and 30-days following hormone treatment with P4 (310ng/mL) or E2 (270 ng/mL), with no differences in barrier function occurring at each of the time points. However, Zhou et al treated Caco-2 cells with 20 and 125 ng/mL of P4 for 24 h, and those authors found that TEER values were significantly increased following treatment with both P4 doses [44]. These data suggest that a longer treatment period is not required, but that increased concentrations of P4 may promote changes to barrier permeability. While we selected the dose of hormones in our experiments based on circulating levels in human pregnancy, future studies could examine the impact of other hormone doses prior to exposure to Lm. One potential mechanism through which sex steroids could indirectly impact permeability is through modulation of cytokine signaling and inflammation. In the

340

341

342

343

344

345

346

347

348

349

350

351

352

353

354

355

356

357

358

359

360

361

aforementioned study, the authors also examined serum from pregnant women during early gestation, late gestation, and post-partum stages and found that progesterone with pro-inflammatory cytokine levels and showed that TNF- α , IL-6 and IL-1 β were significantly reduced during the third trimester compared to postpartum [44]. These results indicate that the production of pro-inflammatory cytokines might be impacted through an indirect progesterone-mediated mechanism. A progesterone-mediated inhibition of proinflammatory cytokines could lead to decreased inflammation, diminished pathogen recognition, and immune cell activation, thus dampening the host response to infection. The impact of pro-inflammatory cytokines extends beyond immunologic activities and have been shown to affect epithelial barrier function [36, 53, 54], a key restraint on dissemination of Lm across the GI tract. Increased levels of P4 during pregnancy may prevent dissemination of Lm or reduce systemic inflammation through currently undefined mechanisms. We may not see an impact of hormones on TEER in our study as the Caco-2 cells are a limited model of the GI tract, i.e., only the intestinal epithelium. This does not account for changes to circulating cytokines as well as many other factors, including the complexity of the intestinal mucosa. Sex Steroids and Bacterial Burden Through quantification of Lm within the distinct basal, intracellular, and apical compartments of the Transwell culture system, we found a complex and significant impact of sex steroids on Lm replication and burden (Fig 4). In the apical media, addition of E2 or P4 independently led to a significantly decreased burden of Lm compared to controls. The combination of both hormones decreased Lm replication and release into the apical layer

compared to controls, but the combination of E2 and P4 was not different from E2 alone, although significantly greater than P4 alone.

With intracellular lysates, either P4 or E2 treatment alone led to significantly reduced cellular Lm burden compared to controls. Treatment with both hormones also led to a significantly greater decrease in intracellular Lm compared to E2 only. This suggests that inhibition of Lm replication by P4 was additive to inhibition by E2, although the effect of P4 in the absence of E2 was also significantly greater than the effect of E2 plus P4.

The impact of sex steroids on Lm in the basal compartment was different from that seen in the apical or intracellular compartments. Lm burden in cells treated with E2 alone was statistically significantly higher than the other treatment groups. Lm burden in the P4 only group was similar to the apical levels, however the relatively lower control or E2 plus P4 groups resulted in no significant effect of P4 on Lm levels. This implies that there is differential release of Lm from the basal and the apical surfaces of the Caco-2 cells in this transwell chamber system. Collectively, across the apical, intracellular and basal compartments, these data confirm that P4 decreases Lm intracellular replication which is reflected by reduced release of Lm into the apical layer and reduced bacterial burden in the intracellular compartment.

In considering why the release of Lm into the basal compartment differs from the apical or intracellular compartments, one needs to consider Lm movement between and within epithelial cells. Motility of Lm within the epithelial layer is dependent on the host cell actin cytoskeleton and access to surface proteins such as E-cadherin and c-Met, which are normally on the basal side of intestinal cells [11]. Lm has been shown take advantage of apoptoic extrusion, which is a mechanism to remove dying or unwanted cells from an

386

387

388

389

390

391

392

393

394

395

396

397

398

399

400

401

402

403

404

405

406

407

epithelium layer while preserving the barrier function [55]. Lm takes advantage of the temporarily exposed basal surface binding proteins to gain entry into the cell. Lm virulence factors such as InIC have been shown to further promote formation of cell protrusions, which aids in bacterial replication, comet tail assembly, and disrupts the structure of apical junctions in epithelial cells [15]. It is possible that E2 supports increased release of Lm into the basal compartment by indirectly altering and exposing tight junctions by increasing epithelial cell renewal and junction remodeling. E2 has been shown to have a protective effect on the gut epithelium, reducing inflammation through activation of Estrogen receptor-8 (ERB) [37-39]. ERB expression in the GI tract has been reported to be higher in females compared to males [42]. Further, ER β signaling has been shown to modulate epithelial barrier function [38, 40, 45]. One study demonstrated a reduction in ERB mRNA expression and an increase in gut permeability prior to the onset of colitis in two animal models of spontaneous colitis [38]. The authors also used RT-PCR and electric cellsubstrate impedance sensing of HT-29 and T84 colonic epithelial monolayers and found increased barrier resistance with E2 treatment. These data suggest that not only is more advanced modeling required, but that alterations to intestinal permeability are dependent on signaling pathways which are indirectly affected by the presence of sex steroids and their receptors. These data contribute to the beginning of our understanding of the determinants of susceptibility to listeriosis during pregnancy, as they examine the direct effect of sex

epithelial cells and their lateral intercellular junctions creates a barrier of separation between GI contents and circulatory networks of the body. In our studies, the presence of Lm within the basal layer represents successful intracellular replication and transcytosis across the intestinal epithelial monolayer.

Conclusions

In summary, we have demonstrated that Caco-2 cells are readily infected with *L. monocytogenes* in vitro and that infected monolayers do not exhibit decreased monolayer integrity, as measured by TEER. In addition, there were no significant differences on the epithelial barrier function during listeriosis with exposure to sex steroids. However, the data indicate that treatment with either E2 or P4 generally decreases Lm replication and release, although there may be differential steroid hormone regulation release of Lm into the apical and basal compartments.

These data suggest that any impact of elevated circulating sex hormones in pregnancy on increased susceptibility to listeriosis does not rely solely on their impact on intestinal epithelial cell barrier function. Indeed, sex steroids actually appear to inhibit Lm replication in intestinal epithelial cells. Our study expands upon our understanding of the complexities of Lm infection and susceptibility of the maternal GI tract. It is well known that pregnancy is a risk factor for listeriosis, and that listeriosis in pregnancy is associated with a spectrum of adverse pregnancy outcomes. We have also recently confirmed susceptibility to listeriosis in a pregnant macaque model, and that pregnancy also impacts susceptibility to gut dysbiosis in gestation [56]. It is possible that the maternal gut microenvironment may play a role in dispersion of Lm outside of the intestinal tract, with

commensal microbes influencing Lm survival and invasion of epithelial tissue. Further studies are needed into the possibility that sex steroid-induced changes in the intestinal microbiome during pregnancy, or hormonal impact on other elements of the intestinal wall or immune system, may be involved on conferring increased susceptibility to listeriosis.

Acknowledgements

We thank Dr. Chuck Czuprynski, UW-Madison Dept. of Pathobiological Sciences for helpful discussion on experimental design, the Dr. Federico Rey Laboratory in the UW-Madison Dept. of Pathobiological Sciences for use of their equipment for determining TEER, and we thank Dr. Sophia Kathariou of North Carolina State University for generous donation of clinical strain LM2203S.

441

442

443

444

445

446

447

448

449

450

451

452

453

454

455

456

457

458

459

460

2475.

Supplemental Data Supplemental Table 1 Statistical Analyses Citations Hof H. Listeria monocytogenes: A Causative Agent of Gastroenteritis? European 1. Journal of Clinical Microbiology and Infectious Diseases 2001; 20:369-373. 2. Régis Pouillot, Karin Hoelzer, A. Jackson K, L. Henao O, J. Silk B. Relative Risk of Listeriosis in Foodborne Diseases Active Surveillance Network (FoodNet) Sites According to Age, Pregnancy, and Ethnicity. Clinical Infectious Diseases 2012; 54:S405-S410. 3. Silk BJ, Mahon BE, Griffin PM, Gould LH, Tauxe RV, Crim SM, Jackson KA, Gerner-Smidt P, Herman KM, Henao OL. Vital Signs: Listeria Illnesses, Deaths, and Outbreaks -- United States, 2009-2011. MMWR: Morbidity & Mortality Weekly Report 2013; 62:448-452. 4. Charlier C, Disson O, Lecuit M. Maternal-neonatal listeriosis. Virulence 2020; 11:391-397. 5. Lamont RF, Sobel J, Mazaki-Tovi S, Kusanovic JP, Vaisbuch E, Kim SK, Uldbjerg N, Romero R. Listeriosis in human pregnancy: a systematic review. Journal of Perinatal Medicine 2011; 39. 6. Sleator RD, Watson D, Hill C, Gahan CG. The interaction between Listeria monocytogenes and the host gastrointestinal tract. Microbiology 2009; 155:2463-

461 7. Pal M, Shuramo MY, Shiferawu F, Parmar BC. Listeriosis: An emerging food-borne 462 disease of public health concern. Journal of Advances in Microbiology Research 463 2022; 3:29-33. 464 8. Lilliana Radoshevich, Pascale Cossart. Listeria monocytogenes: towards a complete 465 picture of its physiology and pathogenesis. Nature Reviews Microbiology 2018; 466 16:32-46. 467 9. Gahan CGM, Hill C. Gastrointestinal phase of Listeria monocytogenes infection. 468 Iournal of Applied Microbiology 2005: 98:1345-1353. 469 10. Zihni C, Mills C, Matter K, Balda MS. Tight junctions: from simple barriers to 470 multifunctional molecular gates. Nature Reviews Molecular Cell Biology 2016; 471 17:564-580. 472 11. Pizarro-Cerda J, Kuhbacher A, Cossart P. Entry of Listeria monocytogenes in 473 mammalian epithelial cells: an updated view. Cold Spring Harb Perspect Med 2012; 474 2. 475 12. Kermorgant S, Cadiot G, Lewin MJ, Lehy T. Expression of hepatocyte growth factor 476 and its receptor. C-Met in human digestive tissues and different gastric and colonic 477 cancer cell lines. Gastroenterol Clin Biol 1996; 20:438-445. 478 13. El-Bahrawy M, Poulsom SR, Rowan AJ, Tomlinson IT, Alison MR. Characterization of 479 the E-cadherin/catenin complex in colorectal carcinoma cell lines. International 480 Journal of Experimental Pathology 2004; 85:65-74. 481 14. Rajabian T, Gavicherla B, Heisig M, Müller-Altrock S, Goebel W, Gray-Owen SD, 482 Ireton K. The bacterial virulence factor InlC perturbs apical cell junctions and 483 promotes cell-to-cell spread of Listeria. Nature Cell Biology 2009; 11:1212-1218.

484	15.	Otani T, Ichii T, Aono S, Takeichi M. Cdc42 GEF Tuba regulates the junctional
485		configuration of simple epithelial cells. Journal of Cell Biology 2006; 175:135-146.
486	16.	Simone Becattini, Eric Pamer. Multifaceted Defense against Listeria monocytogenes
487		in the Gastro-Intestinal Lumen. Pathogens 2017; 7:1.
488	17.	Nikitas G, Deschamps C, Disson O, Niault T, Cossart P, Lecuit M. Transcytosis of
489		Listeria monocytogenes across the intestinal barrier upon specific targeting of
490		goblet cell accessible E-cadherin. Journal of Experimental Medicine 2011; 208:2263-
491		2277.
492	18.	Lamond NM, Freitag NE. Vertical Transmission of Listeria monocytogenes: Probing
493		the Balance between Protection from Pathogens and Fetal Tolerance. Pathogens
494		2018; 7.
495	19.	Bakardjiev AI, Theriot JA, Portnoy DA. Listeria monocytogenes traffics from
496		maternal organs to the placenta and back. PLoS Pathog 2006; 2:e66.
497	20.	Corr SC, Gahan CGM, Hill C. Impact of selected Lactobacillus and Bifidobacterium
498		species on Listeria monocytogenes infection and the mucosal immune response.
499		FEMS Immunology & Medical Microbiology 2007; 50:380-388.
500	21.	J. Quereda J, Olivier Dussurget, Marie-Anne Nahori, Amine Ghozlane, Stevenn Volant,
501		Marie-Agnès Dillies, Béatrice Regnault, Sean Kennedy, Stanislas Mondot,
502		Barbara Villoing, Pascale Cossart, Javier Pizarro-Cerda. Bacteriocin from epidemic
503		Listerias trains alters the host intestinal microbiota to favor infection. Proceedings
504		of the National Academy of Sciences 2016; 113:5706-5711.

505 22. Jaradat ZW, Bhunia AK. Adhesion, Invasion, and Translocation Characteristics of 506 Listeria monocytogenes Serotypes in Caco-2 Cell and Mouse Models. Applied and 507 Environmental Microbiology 2003; 69:3640-3645. 508 23. Lee S. Bacteriocins of Listeria monocytogenes and Their Potential as a Virulence 509 Factor. Toxins 2020; 12:103. 510 24. Mathipa MG, Thantsha MS, Bhunia AK. Lactobacillus casei expressing Internalins A 511 and B reduces Listeria monocytogenes interaction with Caco-2 cells 512 in vitro. Microbial Biotechnology 2019; 12:715-729. 513 25. östling CE, Lindgren SE. Inhibition of enterobacteria and Listeria growth by lactic, 514 acetic and formic acids. Journal of Applied Bacteriology 1993; 75:18-24. 515 Alba Munoz-Suano, B. Hamilton A, G. Betz A. Gimme shelter: the immune system 26. 516 during pregnancy. Immunological Reviews 2011; 241:20-38. 517 27. Smith R, Smith JI, Shen X, Engel PJ, Bowman ME, McGrath SA, Bisits AM, McElduff P, 518 Giles WB, Smith DW. Patterns of Plasma Corticotropin-Releasing Hormone, 519 Progesterone, Estradiol, and Estriol Change and the Onset of Human Labor. The 520 Iournal of Clinical Endocrinology & Metabolism 2009: 94:2066-2074. 521 Luk J. Seval Y. Ulukus M. Ulukus EC, Arici A, Kayisli UA. Regulation of monocyte 28. 522 chemotactic protein-1 expression in human endometrial endothelial cells by sex 523 steroids: a potential mechanism for leukocyte recruitment in endometriosis. Reprod 524 Sci 2010; 17:278-287. 525 29. Aydin Arici LMS, Emre Seli, Mert O. Bahtiyar, Grace Kim Regulation of Monocyte 526 Chemotactic Protein-1 Expression in Human Endometrial Stromal Cells by Estrogen 527 and Progesterone. Biology of Reproduction 1999; 61:85-90.

528	30.	Fuhler GM. The immune system and microbiome in pregnancy. Best Practice &
529		Research Clinical Gastroenterology 2020; 44-45:101671.
530	31.	Denney JM, Nelson EL, Wadhwa PD, Waters TP, Mathew L, Chung EK, Goldenberg
531		RL, Culhane JF. Longitudinal modulation of immune system cytokine profile during
532		pregnancy. Cytokine 2011; 53:170-177.
533	32.	Chen C, Gong X, Yang X, Shang X, Du Q, Liao Q, Xie R, Chen Y, Xu J. The roles of
534		estrogen and estrogen receptors in gastrointestinal disease (Review). Oncology
535		Letters 2019.
536	33.	Alqudah M, Al-Shboul O, Al-Dwairi A, Al-U´Dat DG, Alqudah A. Progesterone
537		Inhibitory Role on Gastrointestinal Motility. Physiological Research 2022:193-198.
538	34.	Ueo H, Matsuoka H, Sugimachi K, Kuwano H, Mori M, Akiyoshi T. Inhibitory Effects of
539		Estrogen on the Growth of a Human Esophageal Carcinoma Cell Line. Cancer
540		Research 1990; 50:7212-7215.
541	35.	Jacenik D, Cygankiewicz AI, Fichna J, Mokrowiecka A, Małecka-Panas E, Krajewska
542		WM. Estrogen signaling deregulation related with local immune response
543		modulation in irritable bowel syndrome. Molecular and Cellular Endocrinology
544		2018; 471:89-96.
545	36.	Raffaella MG, Karel JvE, Bas O, Ellen CLW, Willem R, Stefania M, Leo WJK, Peter DS,
546		Marguerite EIS, Silvio D, Giuseppe P, Gilles L, et al. Farnesoid X receptor activation
547		inhibits inflammation and preserves the intestinal barrier in inflammatory bowel
548		disease. Gut 2011; 60:463.

549	37.	Saleiro D, Murillo G, Benya RV, Bissonnette M, Hart J, Mehta RG. Estrogen receptor- $\!\beta$
550		protects against colitis-associated neoplasia in mice. International Journal of Cancer
551		2012; 131:2553-2561.
552	38.	Looijer-van Langen M, Hotte N, Dieleman LA, Albert E, Mulder C, Madsen KL.
553		Estrogen receptor- $\!\beta\!$ signaling modulates epithelial barrier function. American
554		Journal of Physiology-Gastrointestinal and Liver Physiology 2011; 300:G621-G626.
555	39.	Armstrong CM, Allred KF, Weeks BR, Chapkin RS, Allred CD. Estradiol Has
556		Differential Effects on Acute Colonic Inflammation in the Presence and Absence of
557		Estrogen Receptor β Expression. Digestive Diseases and Sciences 2017; 62:1977-
558		1984.
559	40.	van der Giessen J, van der Woude C, Peppelenbosch M, Fuhler G. Pregnancy in IBD:
560		direct effect of sex-hormones on epithelial barrier function. Journal of Crohn's and
561		Colitis 2017; 11:S87-S88.
562	41.	Thomas ML, Xu X, Norfleet AM, Watson CS. The presence of functional estrogen
563		receptors in intestinal epithelial cells. Endocrinology 1993; 132:426-430.
564	42.	Nilsson S, Gustafsson J-Å. Estrogen Receptors: Therapies Targeted to Receptor
565		Subtypes. Clinical Pharmacology & Therapeutics 2011; 89:44-55.
566	43.	Asavasupreechar T, Saito R, Miki Y, Edwards DP, Boonyaratanakornkit V, Sasano H.
567		Systemic distribution of progesterone receptor subtypes in human tissues. The
568		Journal of Steroid Biochemistry and Molecular Biology 2020; 199:105599.
569	44.	Zhou Z, Bian C, Luo Z, Guille C, Ogunrinde E, Wu J, Zhao M, Fitting S, Kamen DL, Oates
570		JC, Gilkeson G, Jiang W. Progesterone decreases gut permeability through

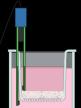
571 upregulating occludin expression in primary human gut tissues and Caco-2 cells. Scientific Reports 2019: 9. 572 573 45. Braniste V, Leveque M, Buisson-Brenac C, Bueno L, Fioramonti J, Houdeau E. 574 Oestradiol decreases colonic permeability through oestrogen receptor β-mediated 575 up-regulation of occludin and junctional adhesion molecule-A in epithelial cells. The 576 Journal of Physiology 2009; 587:3317-3328. 577 46. Salomon R, Levy E, Levesque D, Szilagyi A, Seidman E. Caco-2 cell disaccharidase 578 activities are unaffected by gestational hormones. Canadian Journal of Physiology 579 and Pharmacology 1996; 74:1126-1131. 580 Schock H. Zeleniuch-Iacquotte A. Lundin E. Grankvist K. Lakso H-Å. Idahl A. Lehtinen 47. 581 M, Surcel H-M, Fortner RT. Hormone concentrations throughout uncomplicated 582 pregnancies: a longitudinal study. BMC pregnancy and childbirth 2016; 16:1-11. 583 48. Tett A, Huang KD, Asnicar F, Fehlner-Peach H, Pasolli E, Karcher N, Armanini F, 584 Manghi P, Bonham K, Zolfo M, De Filippis F, Magnabosco C, et al. The Prevotella 585 copri Complex Comprises Four Distinct Clades Underrepresented in Westernized 586 Populations. Cell Host & Microbe 2019: 26:666-679.e667. 587 49. Didovyk A, Tonooka T, Tsimring L, Hasty J. Rapid and Scalable Preparation of 588 Bacterial Lysates for Cell-Free Gene Expression. ACS Synthetic Biology 2017; 589 6:2198-2208. 590 50. Sun H, Chow ECY, Liu S, Du Y, Pang KS. The Caco-2 cell monolayer: usefulness and 591 limitations. Expert Opinion on Drug Metabolism & Toxicology 2008; 4:395-411. 592 51. Apodaca G. Endocytic Traffic in Polarized Epithelial Cells: Role of the Actin and 593 Microtubule Cytoskeleton. Traffic 2001; 2:149-159.

594	52.	Cox AJ, West NP, Cripps AW. Obesity, inflammation, and the gut microbiota. The
595		Lancet Diabetes & Endocrinology 2015; 3:207-215.
596	53.	Blaschitz C, Raffatellu M. Th17 Cytokines and the Gut Mucosal Barrier. Journal of
597		Clinical Immunology 2010; 30:196-203.
598	54.	Elshaer D, Begun J. The role of barrier function, autophagy, and cytokines in
599		maintaining intestinal homeostasis. Seminars in Cell & Developmental Biology 2017;
600		61:51-59.
601	55.	Gudipaty SA, Rosenblatt J. Epithelial cell extrusion: Pathways and pathologies.
602		Seminars in Cell & Developmental Biology 2017; 67:132-140.
603	56.	Anna Marie H, Thaddeus G. Listeria monocytogenes infection in pregnancy
604		macaques alters the maternal gut microbiome. bioRxiv
605		2023:2023.2006.2018.545418.
606		



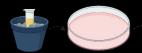




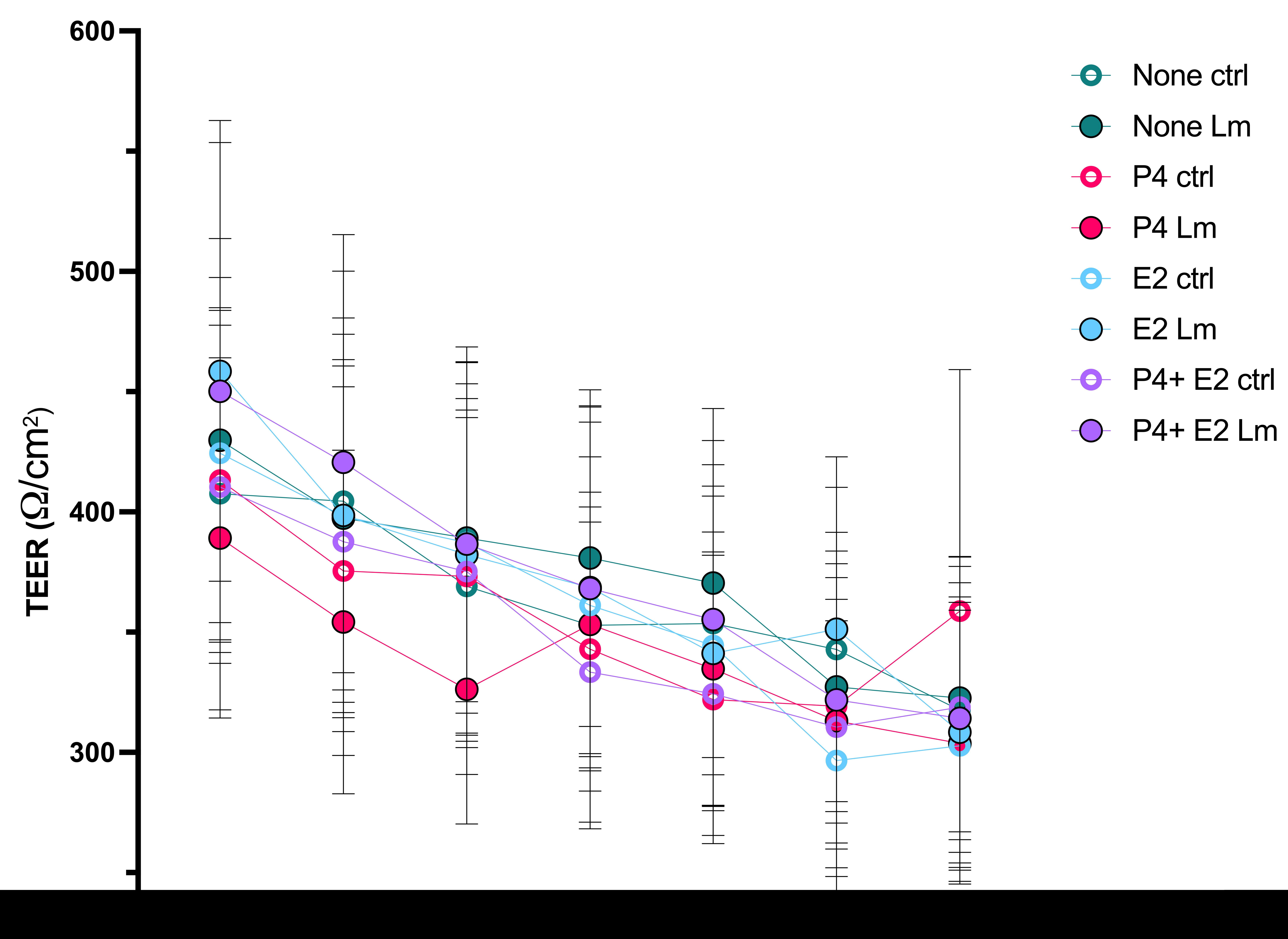


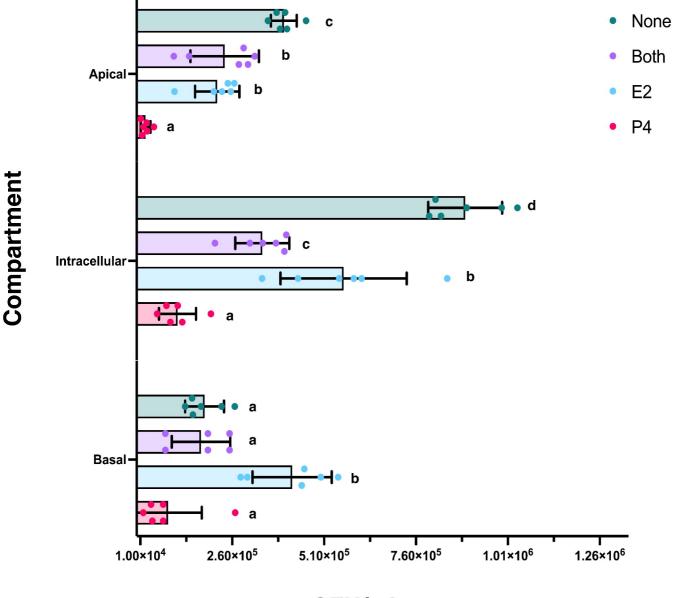












CFU/ml