

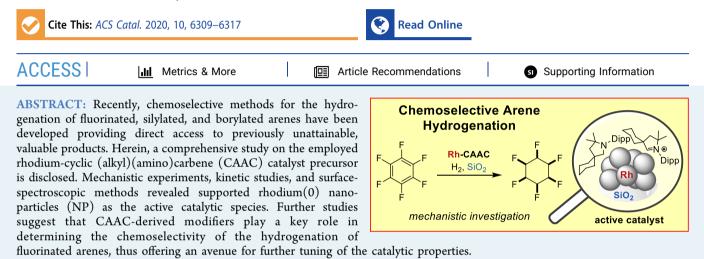
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Research Article

Mechanistic Understanding of the Heterogeneous, Rhodium-Cyclic (Alkyl)(Amino)Carbene-Catalyzed (Fluoro-)Arene Hydrogenation

Daniel Moock, Mario P. Wiesenfeldt,[×] Matthias Freitag,[×] Satoshi Muratsugu, Satoru Ikemoto, Robert Knitsch, Jacob Schneidewind, Wolfgang Baumann, Andreas H. Schäfer, Alexander Timmer, Mizuki Tada, Michael Ryan Hansen, and Frank Glorius^{*}



KEYWORDS: heterogeneous catalysis, rhodium, arene hydrogenation, fluoroarenes, CAAC, nanoparticles, on-surface

rene hydrogenation is a powerful tool to transform readily Available, planar starting materials into complex, threedimensional building blocks.¹ These are of interest, e.g., in pharmaceutical research, and can often not be synthesized efficiently with other methods.² The control of the chemoselectivity, vital for the synthesis of functionalized cyclic saturated building blocks, is difficult, since very few catalysts are able to overcome the aromatic stabilization energy in absence of harsh conditions. Especially functional groups directly attached to the aromatic ring constitute a daunting challenge due to the generally prevalent hydrodefunctionalization side reaction. The bench-stable rhodium cyclic (alkyl)-(amino)carbene (CAAC) precatalyst 1 in combination with a suitable additive (e.g., molecular sieves or silica gel) was essential in our recent studies on the hydrogenation of arenes bearing directly attached fluorine, 3a,b sily l^{3c} and boryl substituents,^{3d} and the consecutive dearomatization-hydrogenation of fluorinated pyridines.⁴ Building on research by the Bertrand group on the synthesis of CAACs⁵ and their rhodium complexes,⁶ this catalyst was first used by Zeng and co-workers in the preferential hydrogenation of arenes in the presence of carbonyl groups, showing a good tolerance of functional groups.⁷ Although some other catalysts have proven competent in our studies, the active catalyst derived from Rh-CAAC 1 has shown a superior functional group preservation, especially for difficult substrates with fluoro, silyl, and boryl moieties.³ Given the high synthetic utility of this precatalyst, we became interested in elucidating the catalytically active species. A general challenge in arene hydrogenation is the distinction

between active homogeneous and heterogeneous species.⁸ In fact, many active catalysts that were initially described as homogeneous, as they are derived from homogeneous precursors, have since been shown to actually be heterogeneous in nature.⁹ The Zeng group originally assumed that the active catalyst derived from 1 is of homogeneous nature due to a mercury droplet test.⁷ However, during the course of our mechanistic investigation, two studies by the Bullock group appeared providing experimental evidence for rhodium nanoparticles (NP) as active catalysts.¹⁰ In their system, AgBF₄ was used to abstract chloride from 1 and initiate NP formation in the absence of another additive. Based on rhodium K-edge X-ray absorption fine structure (XAFS) analysis and IR spectroscopy (among other experiments), the authors determined that rhodium(0) NPs, which are stabilized by pyrrolidinium cation 2 (derived from the CAAC 1a in the precursor), are the active catalyst in their case.^{10a} Although Bullock and co-workers provided a thorough investigation of the active catalytic species derived from activation with AgBF₄, the studied conditions differ significantly from the ones found optimal in our hydrogenation methods. Most importantly, no

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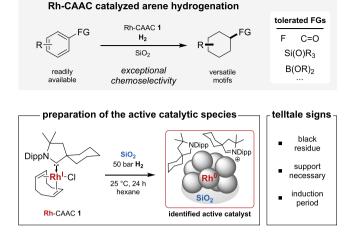
hydrogenation of fluorinated arenes was attempted and a silver salt was used to activate the precatalyst via a cationic pathway as opposed to molecular sieves or silica gel in our case. The resulting species derived from activation with $AgBF_4$ was evaluated by us for the hydrogenation of fluorinated arenes and proved inefficient. In fact, multifluorinated substrates such as hexafluorobenzene failed to provide any product when using an $AgBF_4$ -activated precatalyst (see page S17).

Hence, a complementary study on the identity and understanding of the active catalyst system obtained in the presence of an additive like silica gel was needed. Ideally, such a study would provide a more detailed view on the chemoselective arene hydrogenation and allow for rational modifications of the catalyst in the future.

DIFFERENTIATION BETWEEN A HOMOGENEOUS AND A HETEROGENEOUS ACTIVE CATALYST

Inspired by the extensive work of the Finke group on the differentiation between heterogeneous and homogeneous active catalysts in arene hydrogenation,^{8a,b,9} we began our studies with mechanistic experiments that aimed to distinguish an active heterogeneous from an active homogeneous catalyst (Figure 1). Our initial assumption of a heterogeneous reaction pathway was driven by various "telltale" signs observed during our previous studies, including the need of a stabilizing additive such as molecular sieves or silica gel, formation of a dark precipitate, and an observed induction period. In contrast to Zeng et al., we have observed a loss of catalytic activity in the presence of mercury, albeit under different reaction conditions. For the droplet test, see page S20. The conditions of the two droplet tests are adapted from the standard reaction conditions of either method, respectively, which are considerably different from one another, although both methods start with precatalyst 1. However, the challenging reproducibility and strong dependence on reaction conditions is a known, inherent problem for mercury droplet tests and thus neither of the two possible outcomes can be used to unequivocally differentiate hetero- from homogeneous catalysis pathways and should be complemented with further experiments.^{8a,b,11} Substoichiometric poisoning with tetrahydrothiophene showed the loss of catalytic activity in the reaction (see Table S3).¹² This points towards a heterogeneous active catalyst, since reactive sites would be buried inside the particles in such a system, thus requiring less than one equivalent for complete poisoning of reactivity. Furthermore, the dark gray to black solid residue obtained after hydrogenation (denoted as the residue 4) was isolated by filtration and washed extensively with the reaction solvent. The colorless supernatant was concentrated in vacuo, and no remaining residue could be detected. The residue 4 was used as catalyst in a new reaction (Maitlis' test,¹³ see Table S4) and showed fully preserved yield and selectivity, suggesting that this solid residue contained the active catalyst. Furthermore, a 3-phase-test (Collman's test)¹⁴ was performed, in which methyl 4-hydroxybenzoate was attached to a Wang-resin and submitted to hydrogenation conditions (see page S26). No hydrogenation of the phenyl groups in the solid resin phase occurred. This is the expected outcome for a heterogeneous catalysis pathway, since a reaction is highly unlikely to occur between three phases.

We proceeded to investigate the kinetic behavior of the in situ prepared active catalyst derived from 1 and the preformed catalyst 4a by monitoring characteristic signals of the standard substrate 5 and of the corresponding product 6 as a function of



experimental tests for heterogeneity

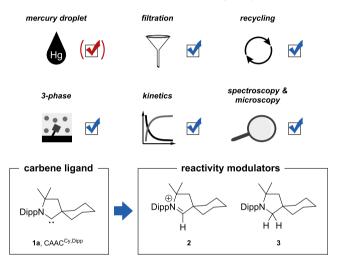


Figure 1. Overview of the established Rh-CAAC catalyzed arene hydrogenation and the studies performed in this work in order to elucidate its active catalyst species. Dipp: di*iso*propylphenyl. FG: functional group.

the reaction time using kinetic ¹H NMR measurements under hydrogen pressure (Figure 2). When using 1 as precatalyst in presence of silica gel, an induction period of 120-180 min was observed, which was absent when using preformed catalyst $4a^{15}$ (Figure 2), thus indicating that the insoluble black residue obtained after hydrogenation contains the active catalyst. In agreement with all other performed experiments, this result strongly indicates that the active catalyst is heterogeneous in nature.¹⁶

OBSERVATION AND CHARACTERIZATION OF NANOPARTICLES IN CATALYTIC RESIDUE 4A

The preformed catalyst **4a** was further analyzed to deeply investigate the actual structure. High-resolution transmission electron microscopy (TEM) and scanning transmission electron microscopy (STEM) with energy dispersive spectroscopy (EDS) analysis of **4a** showed the presence of rhodium NPs (Figure 3a–d). The observed lattice distances of 0.19 and 0.22 nm in the particles were attributed to a Rh(200) and Rh(111) plane, respectively. This shows that the rhodium is in metallic form (Figure 3b and page S57). The average particle size of **4a** was estimated to be 6.3 ± 2.1 nm from TEM particle

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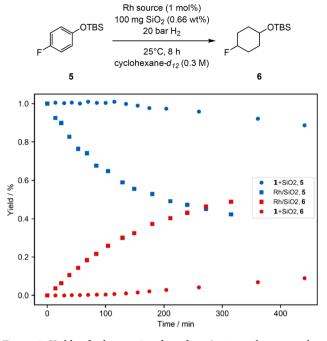


Figure 2. Yields of substrate 5 and product 6 using either precatalyst $1 + SiO_2$ or preformed catalyst 4a as a function of the reaction time. The reactions were performed in pressurized NMR tubes under hydrogen pressure and the yields were determined from the characteristic signals of 5 (proton signal at 6.77 ppm, 2H) and 6 (3.71 ppm, 1H, see pages S50–S52).

size distribution histograms (Figure 3c). X-ray diffraction (XRD) of 4a (Figure S8) also exhibited two diffraction peaks at 41.0° and 47.4° , which were attributed to Rh(111) and Rh(200) planes of rhodium nanoparticles, respectively. The size of the rhodium NPs was also calculated by the Debye–Scherrer equation for the Rh(111) peak. The obtained value of 4.9 nm is in agreement with the TEM analysis. Rhodium K-edge X-ray absorption near edge structure (XANES, Figure 3e) indicated that the oxidation state of rhodium was close to zero. The Rh-Rh bond distance analyzed by rhodium K-edge extended X-ray fine structure (EXAFS, Figure 3f, Figure S7) was estimated to be 0.269 \pm 0.003 nm (coordination number of rhodium being 8.7 ± 0.7). This is in accordance with that of rhodium metal (0.269 \pm 0.001 nm), also supporting its metallic state. The Brunauer-Emmet-Teller (BET) surface area of 4a was measured to be $398 \text{ m}^2 \text{ g}^{-1}$, which was comparable to that of the used silica gel $(422 \text{ m}^2 \text{ g}^{-1})$, implying that no severe aggregation of support occurred after the formation of rhodium NPs.

PROCESS OF NANOPARTICLE FORMATION FROM RHODIUM-CAAC COMPLEX 1

In order to study the influence of the reaction time on the catalytic properties of the resulting rhodium NPs, preformed catalysts were prepared using reaction times of 3 h (4b) and 24 h (4c), respectively (Figure 4). In addition to chloride abstraction, the support is likely needed to stabilize and suppress the aggregation of growing NPs after the Rh(I) species are reduced to Rh(0).⁸⁶ A comparison of the sizes of the formed particles with usual parameters such as the mean diameter was not possible, because the obtained size distributions were not uniform (Figure 4c). To extract information from the gathered data, we instead determined

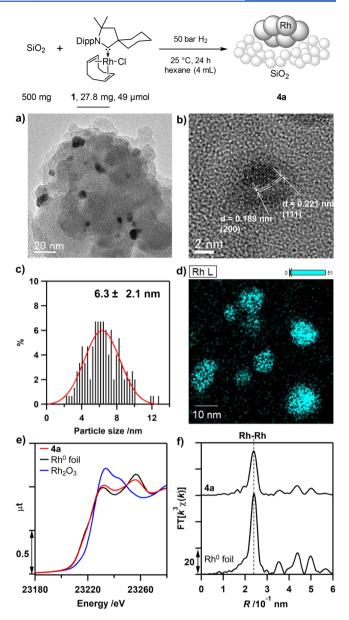


Figure 3. (a,b) TEM images of **4a** with visible lattice structure (0.19 nm Rh(200), 0.22 nm Rh(111)). (c) Histogram showing the particle size distribution of **4a**. (d) STEM-EDS image of **4a** (blue, Rh L_{α} characteristic X-rays). (e) Rh K-edge XANES spectra of **4a** (red), Rh(0) foil (black), and Rh₂O₃ (blue). (f) Rh K-edge EXAFS Fourier transforms of **4a** and Rh(0) foil.

the fraction of small nanoparticles, which would be the most reactive catalytic species (blue-highlighted area in Figure 4c), by defining the following parameter: $N_{s/all} = (number of$ counted particles with sizes below 3 nm)/(number of allcounted particles). The fraction of the most reactive small $particles was considerably larger after 3 h (4b, <math>N_{s/all} = 32\%$, Figure 4c, left) than after 24 h reaction time (4c, $N_{s/all} = 12\%$, Figure 4c, center). This fresh preparation of small reactive particles may explain the excellent reproducibility of our catalyst system in comparison with some commercial heterogeneous catalysts, which often show batch-dependent results for difficult reactions due to a varying particle size distribution. We also tested the influence of the amount of silica gel on the size of the rhodium NPs. Catalyst 4d, prepared with a 3-fold excess of silica gel compared to 4b and 4c, and pubs.acs.org/acscatalysis

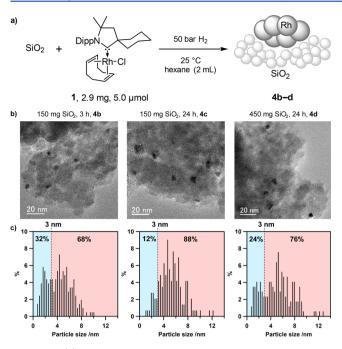


Figure 4. (a) Preparation of silica-supported NPs using different reaction times (catalysts 4b, 4c) or amounts of silica (catalyst 4d). (b) Representative TEM images of 4b-4d. Black dots represent areas of higher density and correspond to Rh, gray background is the silica support. (c) Histograms showing the particle size distribution of the prepared systems. Particle size distributions for all synthesized catalyst systems in this study are given in the Supporting Information.

24 h reaction time, showed a considerably increased fraction of small NPs ($N_{s/all} = 24\%$) compared to catalyst 4c ($N_{s/all} = 12\%$). The larger number of small NPs when using more silica gel could offer one possible explanation for the observed and previously reported positive effect of increased amounts of silica gel for the hydrogenation of especially challenging highly fluorinated arenes such as hexafluorobenzene.^{3d} In this previous study, increased amounts of silica led to lower amounts of defluorination and, thus, higher product yields (for a comparison see also Table S12). BET results of 4b (395 m²g⁻¹), 4c (373 m²g⁻¹), and 4d (379 m²g⁻¹) are very similar to those of catalytic residue 4a. As a result, it is highly unlikely that the observed effect is caused by a surface area difference after rhodium NP formation.

LIGAND EFFECT ON CATALYSIS

We wondered if the observed high selectivity toward preservation of C-F bonds is introduced by the active ligand species derived from 1a or if a similar selectivity could be achieved with rhodium nanoparticles of similar size and loading within the identical support material. To test this, rhodium NPs 4e-4g on silica gel were prepared by impregnation of Rh(NO3)3·H2O on silica gel, followed by H₂ reduction (synthesis page S6, characterization page S68; rhodium loadings were 0.87 wt % for 4e, 5.1 wt % for 4f, and 9.9 wt % for 4g, respectively). No organic ligand species were attached to these rhodium NPs. When using this method, the fractions of small NPs (<3 nm) $(N_{s/all}(4e) = 58\%, N_{s/all}(4f) =$ 41%, and $N_{s/all}(4g) = 27\%$) were somewhat higher than those obtained when using catalysts derived from Rh-CAAC 1 as precursor (e.g., 4a-d). It is worth noting that we observed that an increased fraction of small NPs affects higher yields and that the fraction of small NPs decreases with an increased amount of rhodium down to 27%, which is less than that observed for catalyst **4b**. The average particle size of **4e** was estimated to be 2.8 \pm 0.7 nm determined from particle size distribution histograms (Figure S12), which is slightly smaller than that of **4a** with similar Rh loading. When the rhodium loading was increased, average particle sizes were slightly increased (3.2 \pm 0.8 nm for **4f** and 3.5 \pm 1.0 nm for **4g**, respectively).

Catalytic reaction results for the hydrogenation of the model substrate 5 are summarized in Table 1 (see also Table S11).

Table 1. Comparison of Catalytic Performance of Different
Rh/SiO_2 Systems for the Hydrogenation of 5 ^{<i>a</i>}

F OTBS -	5 mol% catalyst 50 bar H ₂	F OTBS +	OTBS
	25 °C, 24 h hexane (0.1 M)		\smile
5 0.1 mmol		6 cis/trans	7
catalyst	Rh amount [wt %]	yield of 6 [%] (d. r.)	yield of 7 [%]
Rh-CAAC 1/SiO	1.0	90 (94:6)	3
Rh-CAAC 1/SiO	5.1	86 (94:6)	4
Rh/SiO_2 4a	1.0	89 (94:6)	3
Rh/SiO_2 4e	0.87	66 (87:13)	18
Rh/SiO_2 4f	5.1	59 (89:11)	23
Rh/SiO_2 4g	9.9	68 (88:12)	23

^{*a*}Yields were determined via GC-FID against mesitylene as internal standard. Numbers do not add up to unity, because cyclohexane and cyclohexanol are formed as byproducts, which coelute with the solvent and thus cannot be detected.

4e-4g all showed a significantly increased amount of defluorination when compared to the standard system (precursor 1 used, in situ generation of 4). In addition, the *cis*-selectivity of the NP systems is decreased. Therefore, the selectivity of the investigated system cannot be solely dependent on the NP size or rhodium loading.

OBSERVATION AND IDENTITY OF LIGAND SPECIES ON THE CATALYST SURFACE

The comparison of the catalytic results obtained using 4a and 4e-4g suggested that catalyst performance is influenced by a ligand species. Therefore, we proceeded to characterize catalyst 4a and investigate if ligand species were present on the surface of the NPs. X-ray photoelectron spectroscopy (XPS) of catalyst 4a revealed a broad nitrogen signal at 400-402 eV (Figure 5). The best fitting to the given data is obtained with two signals in a 1:1 ratio, separated by more than 2 eV. While the intensity of the nitrogen signal (as well as that of the rhodium signal) is low due to the sparse dispersion within the silica, the significant distance between the fitted signals strongly suggests the existence of two different nitrogen species. Given that the CAAC ligand 1a is the sole nitrogen containing species in the precursor, two different nitrogencontaining species must have formed from that ligand under the reaction conditions. To elucidate the identity of the two unknown nitrogen-containing species in the sample, we turned to solid-state NMR spectroscopy. To overcome the problem of the sparse density of possible residues, complex 1 was prepared with a ¹³C-enriched CAAC precursor. The synthesis of the labeled CAAC precursor was conducted starting from commercially available isotope-labeled benzoic acid, using

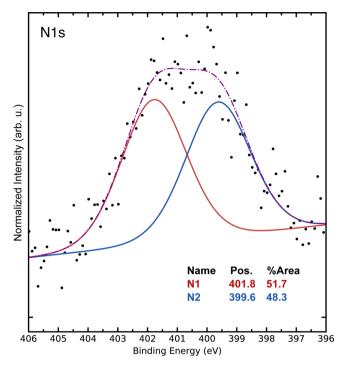


Figure 5. Plotted signal and fitting of the nitrogen-containing species, determined by XPS.

our own protocol for arene hydrogenation (see page S9 for the full synthetic route).^{3e,17}

Samples for ¹³C{¹H} CP/MAS NMR spectroscopy were prepared by stirring the labeled complex with silica gel under hydrogen atmosphere (cf. Figure 4a).¹⁸ The obtained ¹³C{¹H} CP/MAS NMR spectrum shows two intense ¹³C signals at ~186 and ~65 ppm (Figure 6). Comparison with the spectrum of the labeled complex 1 confirmed that the signal at 186 ppm cannot be assigned to a ligated carbene species. Given our extensive experience in the field of carbenes on surfaces and given the high strength of carbene–metal bonds, this result was unexpected at first.¹⁹ However, the excellent overlap with the labeled signal of the ¹³C-enriched pyrrolidinium salt 2 suggested that this iminium cation is likely one of the two nitrogen-containing species.

That cation is likely generated by a reductive elimination of carbene and hydrogen from an intermediate carbene metalhydride complex, as previously proposed by the Ananikov group.²⁰ An alternative pathway could be the dissociation of the carbene ligand and subsequent protonation by acidic silanol groups present within the silica gel. However, a dissociation of a free carbene is unlikely regarding the strength of carbene-metal bonds. Chloride is most likely the counteranion, although deprotonated silanol groups are also imaginable. Given that the iminium moiety present in 2 is reducible under hydrogenation conditions, we assumed that the remaining species corresponding to the $^{13}\mathrm{C}$ signal at ~65 ppm might be the amine 3. Hence, pyrrolidine 3 was synthesized from pyrrolidinium 2 (with chloride as a counteranion) by reduction with LiAlH₄. In agreement with our assumption, pyrrolidine 3 showed a distinct signal at 64.9 ppm in deuterated chloroform. It is worth noting that amines are known ligands for nanoparticles.²¹ Comparison of spectra of labeled and unlabeled pyrrolidinium 2 further suggests that the smaller signals between 5 and 0 ppm are

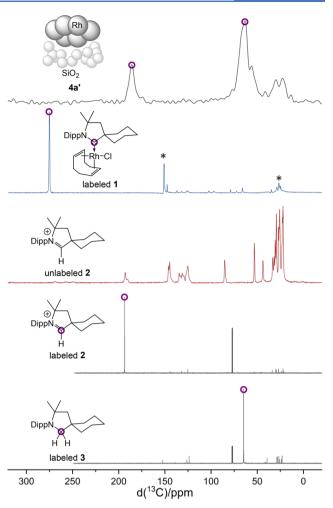


Figure 6. ¹³C{¹H} CP/MAS NMR spectra of 4a' prepared from the ¹³C-labeled complex 1 (black), labeled complex 1 (blue), and unlabeled 2 (red). Peaks corresponding to the labeled carbon are highlighted. The two bottom spectra allow for a comparison with to the pure, ¹³C-labeled compounds 2 and 3 in solution. *Spinning side bands of the main signal.

derived from the backbone of the pyrrolidinium cation and the pyrrolidine.

BOTTOM-UP SYNTHESIS OF A RELATED SELECTIVE HYDROGENATION CATALYST

Given the previous finding that the observed high chemoselectivity of the hydrogenation of fluorinated arenes is not solely determined by the particle size of the used rhodium catalyst, we wondered if the species 2 and 3 may have an influence on the catalytic activity and selectivity. In previous studies on the influence of ligands such as phosphines and phosphites on reactions catalyzed by Rh NPs, significant effects on the reactivity and selectivity of hydrogenation reactions were observed.^{22a-c}

Furthermore, imidazolium based ionic liquids, which were covalently anchored to the Al_2O_3 -support, were shown to influence the catalytic hydrogenation of benzene with dispersed Ru NPs.^{22d} Driven by these reports, we set out to prepare a catalyst system similar to 4a by adding 2 and 3 to synthesized rhodium NPs on silica (4e-4g) and to commercial rhodium on alumina (Table S9). These were tested for the hydrogenation of model substrate 5 using a

catalyst loading of 1 mol %. We started by investigating the optimal ratio of both additives at a combined loading of 1.0 equivalents relative to the used rhodium but no clear trend emerged (see Table S11). The use of one equivalent of a single species only led to high amounts of defluorination (for pyrrolidine 3) or incomplete conversion (for pyrrolidinium 2). These results strongly suggest that both species 2 and 3 seem to play an important role in modifying the catalytic activity. For further experiments, we therefore kept a 1:1-ratio of these species, as suggested by the XPS studies. Next, varying equivalents of that 1:1 mixture with regard to rhodium were investigated (Figure 7). The studies showed an increase in

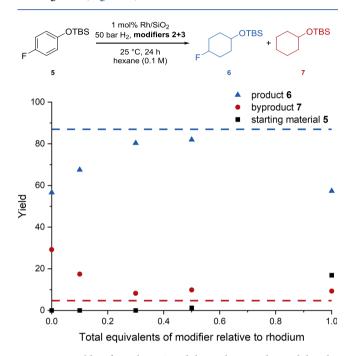


Figure 7. Yields of product 6 and byproduct 7 obtained by the hydrogenation of substrate 5 with Rh NPs on silica gel 4e as a function of the total equivalents of a 1:1 mixture of pyrroldinium 2 and pyrrolidine 3, which were added to the Rh NPs. Yields were determined by GC-FID analysis vs mesitylene.

conversion with decreased amounts of the modifiers. Full conversion was still achieved with as little as 0.3 equiv of the modifier mixture. Further decreasing the amount of the modifiers resulted in a significant increase in defluorination. The amount of defluorination and the obtained yield with 0.3 equivalents of a 1:1 modifier mixture was almost identical to the values observed when using the precursor 1 with silica gel and in situ generation of the active catalytic system (4).

As additional control experiments, preformed rhodium particles, obtained by submitting Rh(COD)Cl and silica gel to hydrogenation conditions, were tested untreated and treated with the modifiers 2 and 3. While reactivity was observed for the former case, a complete loss of activity was noted with one equivalent of the modifier mixture, irrespective of their ratio (see Table S10). We wondered whether the identity of the rhodium ligand, in this case CAAC 1a, may also influence the NP formation. To investigate this, different CAAC ligands 8 and 9 were used during the preparation of rhodium particles 4h and 4i, respectively (Figure 8). As expected, the size distribution of those rhodium particles differed from that of the active catalyst 4a derived from ligand 1a in the precursor

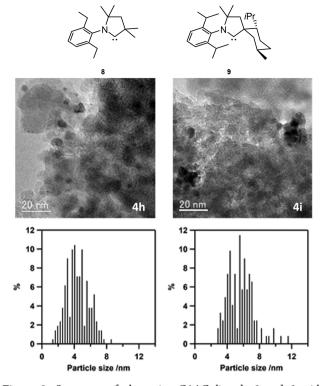


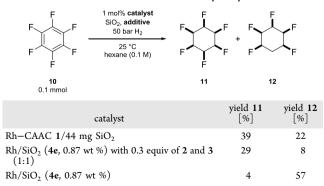
Figure 8. Structures of alternative CAAC ligands 8 and 9 with representative TEM images of corresponding rhodium particles obtained from complex precursors and the size distribution.

complex. More importantly, the catalytic results differed significantly as well (see Figure S1, Table S6) with the residues 4h and 4i showing either strongly reduced or no activity at all (see page S29 for a more detailed discussion).

The preformed catalyst **4e** (with and without modifiers) was also investigated for the hydrogenation of hexafluorobenzene **10**, since for this substrate the difference in chemoselectivity compared to other catalysts was especially pronounced. When applying the determined optimized amounts of modifiers to the preformed NPs the yield for hexafluorocyclohexane **11** could be increased from 4% (no modifiers) to 29% with modifiers. This constitutes 74% of the yield obtained with the established precursor Rh–CAAC **1** under otherwise identical conditions (Table 2).

In conclusion, we have elucidated the active catalyst derived from a molecular Rh–CAAC precursor, which has previously

Table 2. Comparing the Performance of Synthesized and Modified NPs with the Standard Catalyst System^{23,a}



^aIsolated yields are given.

been shown to enable a highly chemoselective hydrogenation of a broad scope of (fluorinated) arenes and heteroarenes. By combining mechanistic and kinetic studies, we confirmed that the active catalyst is heterogeneous in nature. Various imaging, spectroscopic, and surface analysis techniques revealed silica gel-supported rhodium(0) NPs as active catalytic species. After excluding the presence of a metal-bound carbene species on the NPs, we further determined that CAAC-derived pyrrolidinium 2 and pyrrolidine 3 act as modifiers that are key in controlling the chemoselectivity of the hydrogenation of fluorinated arenes. Furthermore, the chemical identity of these modifiers and the amount of the silica gel support influence the size distribution of the NPs and thereby the catalytic properties. Finally, we were able to synthesize an active catalyst in a bottom-up approach that has a very similar reactivity compared to the established catalyst. We believe that this approach will enable much more facile means of tuning the catalytic activity of that catalyst system. Hence, the development of next-generation catalysts derived from the studied system is the subject of ongoing research in our laboratories.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acscatal.0c01074.

Experimental procedures and spectroscopic and microscopic data (PDF)

AUTHOR INFORMATION

Corresponding Author

Frank Glorius – Organisch-Chemisches Institut, Westfälische Wilhelms-Universität Münster, 48149 Münster, Germany;
orcid.org/0000-0002-0648-956X; Email: glorius@unimuenster.de

Authors

- Daniel Moock Organisch-Chemisches Institut, Westfälische Wilhelms-Universität Münster, 48149 Münster, Germany
- Mario P. Wiesenfeldt Organisch-Chemisches Institut, Westfälische Wilhelms-Universität Münster, 48149 Münster, Germany; © orcid.org/0000-0002-7142-9336
- Matthias Freitag Organisch-Chemisches Institut, Westfälische Wilhelms-Universität Münster, 48149 Münster, Germany
- Satoshi Muratsugu Department of Chemistry, Graduate School of Science, Nagoya University, Nagoya 464-8602, Aichi, Japan; orcid.org/0000-0002-3596-7380
- Satoru Ikemoto Department of Chemistry, Graduate School of Science, Nagoya University, Nagoya 464-8602, Aichi, Japan
- **Robert Knitsch** Institut für Physikalische Chemie, Westfälische Wilhelms-Universität Münster, 48149 Münster, Germany
- Jacob Schneidewind Leibniz-Institut für Katalyse e. V., 18059 Rostock, Germany; o orcid.org/0000-0002-5328-6626
- Wolfgang Baumann Leibniz-Institut für Katalyse e. V., 18059 Rostock, Germany
- Andreas H. Schäfer nanoAnalytics GmbH, 48149 Münster, Germany
- Alexander Timmer nanoAnalytics GmbH, 48149 Münster, Germany
- Mizuki Tada Department of Chemistry, Graduate School of Science and Research Center for Materials Science (RCMS) and

Integrated Research Consortium on Chemical Science (IRCCS), Nagoya University, Nagoya 464-8602, Aichi, Japan

Michael Ryan Hansen – Institut für Physikalische Chemie, Westfälische Wilhelms-Universität Münster, 48149 Münster, Germany; orcid.org/0000-0001-7114-8051

Complete contact information is available at: https://pubs.acs.org/10.1021/acscatal.0c01074

Author Contributions

[×]M.P.W. and M.F. contributed equally.

Notes

The authors declare the following competing financial interest(s): The authors declare no conflict of interest. However, please, note that we patented the Process for Synthesizing Fluorinated Cyclic Aliphatic Compounds employing the investigated catalyst system: PCT/EP2018/054554.

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