

Neutrophil Activation and Neutrophil Extracellular Trap Formation in Dialysis Patients

Scott Bieber, Kimberly A. Muczynski, and Christian Lood



Rationale & Objective: The removal of metabolic waste by passing blood through synthetic tubing and membranes generates an immune response, even with the most biocompatible materials available. We evaluated blood levels of neutrophil activation and cell death during dialysis to devise a set of markers by which future dialysis interventions might be measured for biocompatibility.

Study Design: Observational, case control.

Setting & Participants: 30 patients with end-stage kidney disease in Seattle, WA, evaluated during 30 dialysis procedures in out- and inpatient settings were compared with 27 healthy (negative) controls and 20 nondialysis patients with systemic lupus erythematosus as positive controls.

Predictor(s): Blood levels of neutrophil activation (calprotectin and peroxidase activity) and cell death (cell-free DNA and neutrophil extracellular traps) were assayed.

Outcome(s): Markers of neutrophil activation and cell death can be used to assess immune response during dialysis.

Analytical Approach: Descriptive analysis and group comparisons.

Results: Intradialytic levels of neutrophil activation markers are higher than prehemodialysis levels ($P < 0.05$), demonstrating neutrophil activation during hemodialysis. Less neutrophil activation occurs with peritoneal dialysis ($P < 0.05$). Immunosuppressive treatment and anticoagulant therapy did not seem to affect the capacity of neutrophils to undergo activation with hemodialysis. Finally, levels of hemodialysis-induced neutrophil activation correlated with markers of endothelial activation ($r = 0.44$; $P = 0.01$).

Limitations: Low sample size with heterogeneous patient cohort.

Conclusions: Neutrophil activation occurs during hemodialysis, potentially contributing to endothelial inflammation and damage. Neutrophil activation markers are novel and sensitive measures of biocompatibility for improving dialysis.

Visual Abstract included

Complete author and article information provided before references.

Correspondence to C. Lood (loodc@uw.edu)

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Dialysis revolutionized the care of patients with end-stage kidney disease (ESKD). Removal of metabolic waste by passing blood through synthetic tubing and membranes allowed patients with kidney failure to live. However, circulating blood through synthetic dialyzers is not a natural design. The innate immune system, poised to protect us from harm, becomes activated in current kidney replacement therapies, with evidence of monocyte, neutrophil, and platelet activation; complement system activation; and production of inflammatory cytokines, among others.¹⁻³ Biocompatibility of materials used for dialysis has been a focus in the history of kidney replacement therapies.⁴⁻⁷ Improvements in dialyzer membrane polymers and sterilization techniques virtually eliminated first-use syndrome immune responses.⁸ A continual goal of improving dialysis should be reduction in innate immune system and platelet activation. This is particularly relevant given the contribution of immune responses and platelet activation to cardiovascular disease and infections, which are major causes of death and morbidity for patients with kidney disease.⁹ Of note, neutrophils, through several mechanisms including activation and damage to endothelium, are thought to be main contributors to cardiovascular disease in several diseases, including systemic lupus erythematosus (SLE).¹⁰

The Advancing American Kidney Health Initiative ushers in the opportunity to make dialysis better. Improvements in dialysis can be expected in many areas. Our focus is to reduce the immune system activation that occurs with dialysis. This requires sensitive measures of immune activation for evaluating modifications to dialysis. Although we have progressed beyond the first-use reactions that occurred in the early days of dialysis, assays to measure the more subtle immune responses that are likely to cause long-term sequela for dialysis patients are needed.

In the current study, we investigated whether neutrophil activation, in particular neutrophil extracellular trap (NET) formation, could be useful when evaluating the immune-activating properties of dialyzer membranes. Reduction of neutrophil activation during the dialysis procedure is particularly attractive because of the multiple roles of these cells. Not only do neutrophils migrate to areas of infection to phagocytose microbes and release granules to kill extracellular microbes, they also extrude NETs.¹¹ NETs are extracellular chromatin containing a variety of granular proteins. They have been implicated in vascular inflammation¹² and occlusion, for example, thrombosis^{13,14} and sterile inflammation; and by exposing intracellular antigens, in autoimmunity.¹⁵ Hence reducing

PLAIN-LANGUAGE SUMMARY

Dialysis keeps patients with kidney failure alive by removing metabolic waste and fluid through synthetic filters and tubing. The materials used for dialysis and the process itself, by their nature of being foreign to the body, activate the immune system. We study the response of the immune cells known as neutrophils to dialysis by very sensitive measures. Neutrophils become activated with each hemodialysis treatment and this in turn may lead to injury of blood vessels and cardiovascular disease. Less neutrophil activation occurs with peritoneal dialysis. Improvements in dialysis need to reduce immune activation. The neutrophil activation markers we measured can be used to assess future improvements in dialysis.

neutrophil activation with dialysis has the potential benefit of preventing both acute and chronic inflammatory conditions for patients with kidney disease.

We assessed neutrophil activation and cell death in patients undergoing peritoneal dialysis (PD) and hemodialysis (HD) by measuring plasma peroxidase activity, cell-free DNA (cfDNA), NET (myeloperoxidase [MPO]-DNA complexes), and calprotectin (proteins S100A8 and S100A9).

METHODS**Patient Characteristics**

Blood samples (3 mL in EDTA-containing tubes) were collected during 30 dialysis procedures (24 HD and 6 PD) in patients with ESKD and hemoglobin levels > 6 g/dL (Table 1). PD patients used either 1.25% or 2.5% glucose solutions for their dialysis. Additional patient characteristics on treatment and underlying medical conditions are summarized in Table 1. Our initial plan was to obtain blood samples immediately before and after the dialyzer at 2 and 4 hours into a dialysis treatment. However, in our initial samples, we did not find significant differences in neutrophil activation across the dialyzer, possibly due to the dialyzer absorbance or clearance of activation products. Hence, we simplified our approach to collecting blood before and during HD. For PD patients, a single blood sample was obtained when they had dialysate in their abdomen. HD was performed using polysulfone membranes. The blood was processed immediately upon blood draw with the plasma being stored at -80°C until analyzed for neutrophil activation markers. Twenty-seven healthy controls without kidney disease served as negative controls and 20 patients with SLE served as positive controls. Among the patients with SLE, 3 (15%) had active disease (SLE Disease Activity Index score > 6). Informed consent was obtained according to Institutional Review Board

Table 1. Patient Characteristics

Patient Group	HD	PD	HC	SLE
N	24	6	27	20
Female sex	13 (54%)	3 (50%)	23 (85%)	15 (75%)
Age, y	58 (12)	54 (17)	52 (15)	47 (17)
Citrate ^a	12 (50%)	NA	0 (0%)	0 (0%)
Warfarin	3 (12%)	NA	0 (0%)	2 (10%)
Heparin	16 (67%)	NA	0 (0%)	0 (0%)
Immunosuppression	6 (25%)	2 (33%)	0 (0%)	16 (80%)
Diabetes mellitus type 2	6 (25%)	1 (17%)	0 (0%)	1 (5%)
Failed kidney transplant	4 (17%)	2 (33%)	0 (0%)	0 (0%)
Amyloid A amyloidosis	3 (12%)	0 (0%)	0 (0%)	0 (0%)
Polycystic kidney disease	2 (8%)	0 (0%)	0 (0%)	0 (0%)
Liver transplant	4 (17%)	0 (0%)	0 (0%)	0 (0%)
Glomerulonephritis	1 (4%)	0 (0%)	0 (0%)	0 (0%)
Interstitial nephritis	1 (4%)	0 (0%)	0 (0%)	0 (0%)
Nephrectomy	1 (4%)	0 (0%)	0 (0%)	0 (0%)
MesoAmerican nephropathy	0 (0%)	1 (17%)	0 (0%)	0 (0%)
IgA nephropathy	0 (0%)	1 (17%)	0 (0%)	0 (0%)
Cardiomyopathy	1 (4%)	0 (0%)	0 (0%)	0 (0%)
ANCA vasculitis	1 (4%)	0 (0%)	0 (0%)	0 (0%)
SLE	1 (4%)	0 (0%)	0 (0%)	20 (100%)
Childhood teratoma	1 (4%)	0 (0%)	0 (0%)	0 (0%)
COPD	1 (4%)	0 (0%)	0 (0%)	0 (0%)
Ischemia	1 (4%)	0 (0%)	0 (0%)	0 (0%)
Tacrolimus toxicity	0 (0%)	1 (17%)	0 (0%)	0 (0%)

Note: Values expressed as number (percent) or mean (standard deviation). Abbreviations: ANCA, antineutrophil cytoplasmic antibody; COPD, chronic obstructive pulmonary disease; HC, healthy controls; HD, hemodialysis; IgA, immunoglobulin A; NA, not applicable; PD, peritoneal dialysis; SLE, systemic lupus erythematosus.

^aNote that some patients were treated with 2 or more anticoagulants.

standards at University of Washington, Seattle, WA (STUDY00002529). No patient refused consent.

Neutrophil and Endothelial Activation Markers

Markers of neutrophil activation and cell death were analyzed as described previously.^{16,17} Briefly, levels of calprotectin were analyzed using an enzyme-linked immunosorbent assay kit according to the manufacturer's instruction (R&D Systems). The lower detection limit of the assay is 94 pg/mL. For the detection of NETs, a 96-well microtiter plate (Corning) was coated with a mouse monoclonal anti-MPO antibody (4 $\mu\text{g}/\text{mL}$; Biorad, clone 4A4) overnight at 4°C , followed by blocking with 1% bovine serum albumin in phosphate-buffered saline for 2 hours at room temperature. After blocking, plasma samples (10%) were added and incubated overnight at 4°C . For detection, anti-double-stranded DNA-horseradish peroxidase antibody (diluted 1/100; Roche Diagnostic) was added for 2 hours at room temperature. The reaction was

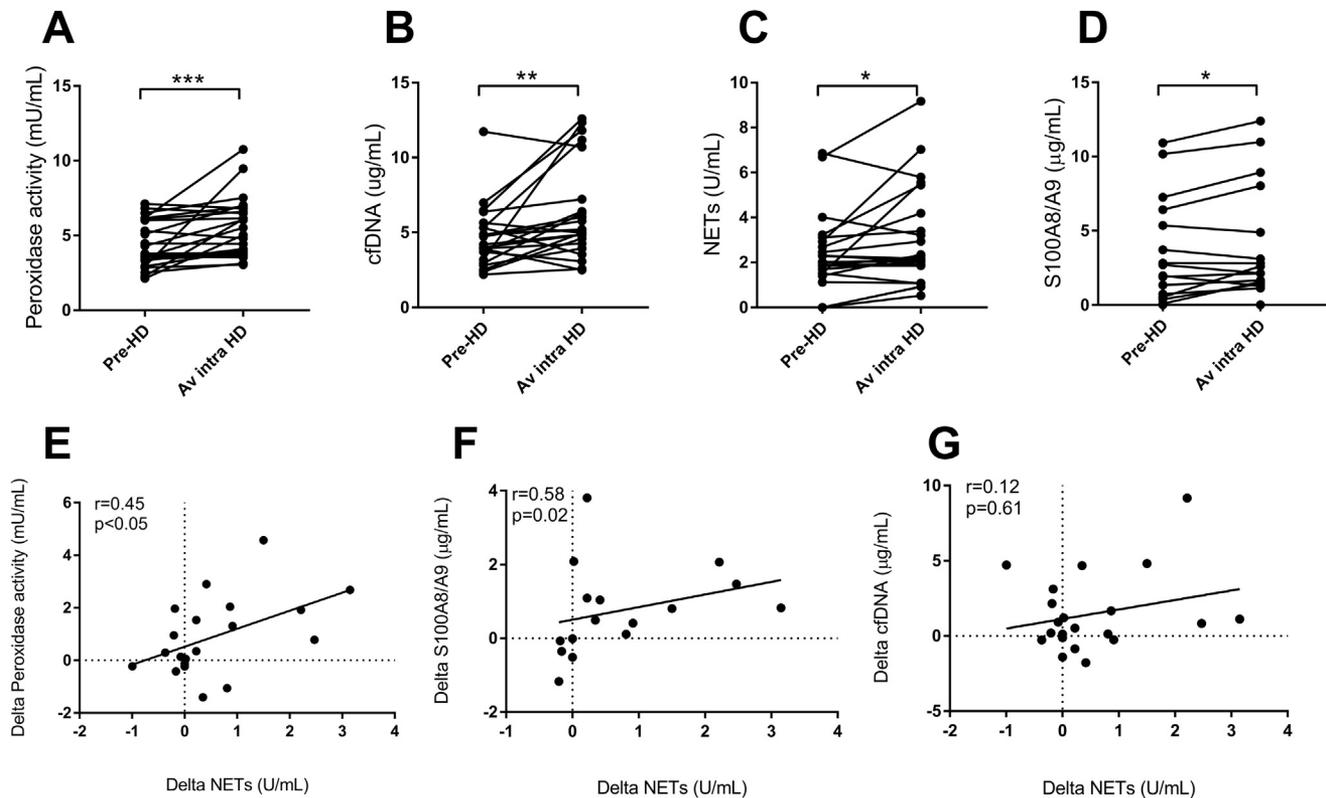


Figure 1. Neutrophil activation during hemodialysis (HD). Levels of neutrophil activation markers: (A) peroxidase activity, (B) cell-free DNA (cfDNA), (C) neutrophil extracellular traps (NETs), and (D) S100A8/A9 (calprotectin) were analyzed in HD patients ($n = 24$) before (pre-HD) and at least 2 hours into the dialysis procedure. (E-G) Delta levels of NETs, comparing pre-HD values with intradialysis values, were correlated with delta levels of (E) peroxidase activity, (F) calprotectin, and (G) cfDNA. For statistical analysis, Mann-Whitney U test and Spearman correlation test were used, with $*P < 0.05$, $**P < 0.01$, and $***P < 0.001$. Abbreviation: Av, average.

developed with 3,3',5,5'-tetramethylbenzidine (TMB; BD Biosciences), and ended by the addition of 2 N of sulfuric acid. Absorbance was measured at 450 nm by a plate reader (Synergy; BioTek). Isolated NETs were used as a standard curve with 1 U/mL equaling NETs released by 10,000 neutrophils.

Peroxidase activity was analyzed as previously described. Briefly, plasma samples (10%) were incubated with TMB at a final volume of 100 μ L for 30 minutes at room temperature. The reaction was ended by the addition of 2 N of sulfuric acid. The absorbance was analyzed by a plate reader at 450 nm. Values are reported as mU/mL using horseradish peroxidase (Sigma) as standard curve. cfDNA was analyzed using the DNA-binding dye SytoxGreen (Invitrogen). Values are reported as μ g/mL using isolated DNA as standard curve. Levels of soluble intercellular adhesion molecule 1 (sICAM1) were analyzed according to the manufacturer's instruction (R&D Systems).

Statistical Analysis

For statistical analyses, Mann-Whitney U test and Wilcoxon were used for nonpaired and paired group analyses, respectively. $P < 0.05$ was considered statistically significant. Results are presented as median with 25th to 75th percentiles.

RESULTS

HD and Neutrophil Activation

To assess whether neutrophil activation occurs with HD, levels of neutrophil activation and cell death markers, for example, NETs, calprotectin, peroxidase activity, and cfDNA, were measured in pre-HD samples and compared with samples obtained 2 to 4 hours into HD. The average intradialytic levels of NETs (median, 2.16; 25th-75th percentiles, 1.86-4.19 vs 2.00; 25th-75th percentiles, 1.51-2.93; $P = 0.02$), calprotectin (median, 2.61; 25th-75th percentiles, 1.50-6.47 vs 1.96; 25th-75th percentiles, 0.53-5.89; $P = 0.04$), peroxidase activity (median, 5.51; 25th-75th percentiles, 3.83-6.81 vs 3.78; 25th-75th percentiles, 2.95-6.08; $P < 0.001$), and cfDNA (median, 5.17; 25th-75th percentiles, 4.26-7.22 vs 4.19; 25th-75th percentiles, 3.18-5.32; $P = 0.007$) were higher than pre-HD levels (Fig 1A-D). We did not follow up patients postdialysis to determine when this activation resolved. However, for patients for whom more than 1 HD procedure was assessed, neutrophil activation measures generally returned to lower levels before the start of subsequent treatments. The change in NET levels between pre-HD and intradialysis correlated well with the change in peroxidase activity and calprotectin ($r = 0.45$; $P < 0.05$, and $r = 0.58$; $P = 0.02$, respectively,

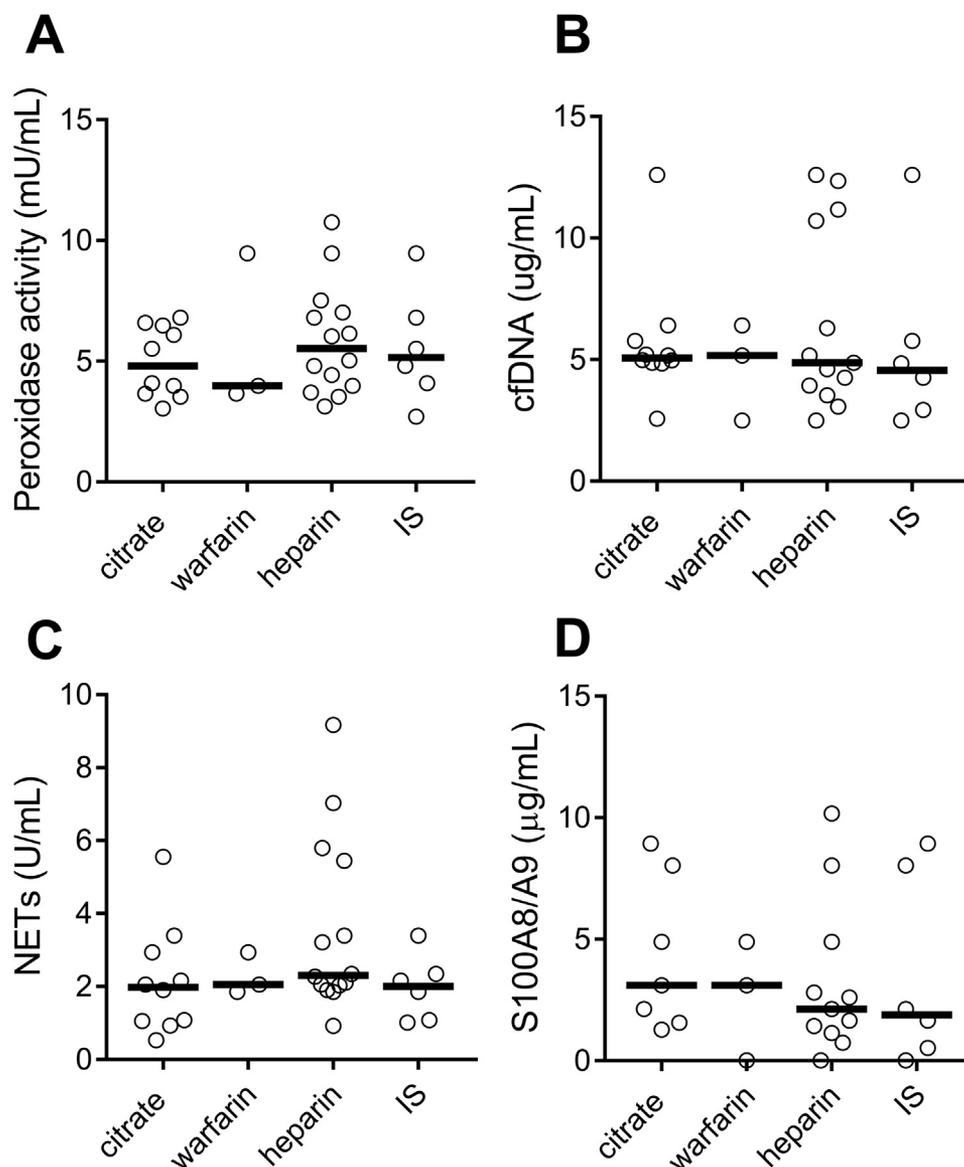


Figure 2. Levels of neutrophil activation markers are not affected by anticoagulant. Levels of neutrophil activation markers: (A) peroxidase activity, (B) cell-free DNA (cfDNA), (C) neutrophil extracellular traps (NETs), and (D) S100A8/A9 (calprotectin) were analyzed in hemodialysis patients ($n = 24$) treated with Citrasate ($n = 10$), warfarin ($n = 3$), heparin ($n = 13$), and immunosuppressive treatment (IS; $n = 6$). No statistical differences between any of the groups.

Fig 1E and F), but not with the nonspecific marker cfDNA ($r = 0.12$; $P = 0.61$; Fig 1G).

Anticoagulants and Immunosuppressive Treatment

Considering the important interplay between platelets, coagulation, and neutrophil activation,¹⁸ we next asked whether the anticoagulant used affected neutrophil activation during HD. The use of Citrasate (Fresenius Medical Care) dialysate, heparin, and warfarin showed similar levels of intradialytic neutrophil activation (Fig 2), suggesting that anticoagulation did not affect neutrophil activation. Further, use of immunosuppressive treatment, due to solid-organ transplant, was not associated with reduced neutrophil activation (Fig 2).

HD Compared With PD

Finally, we assessed whether neutrophil activation was higher in dialysis patients compared with healthy controls and how it compared with patients with SLE, who are known to have increased levels of neutrophil activation.^{17,19} Consistent with prior work, our study confirmed elevated levels of NETs (median, 1.98 [25th-75th percentiles, 1.29-4.25] vs 1.45 [25th-75th percentiles, 0.98-1.86]; $P = 0.03$), calprotectin (median, 0.34 [25th-75th percentiles, 0.18-0.86] vs 0.18 [25th-75th percentiles, 0.01-0.44]; $P = 0.02$), and peroxidase activity (median, 5.80 [25th-75th percentiles, 4.96-6.69] vs 3.06 [25th-75th percentiles, 2.54-3.50]; $P < 0.001$), but not cfDNA (median, 2.12 [25th-75th percentiles, 1.80-2.67] vs 2.20

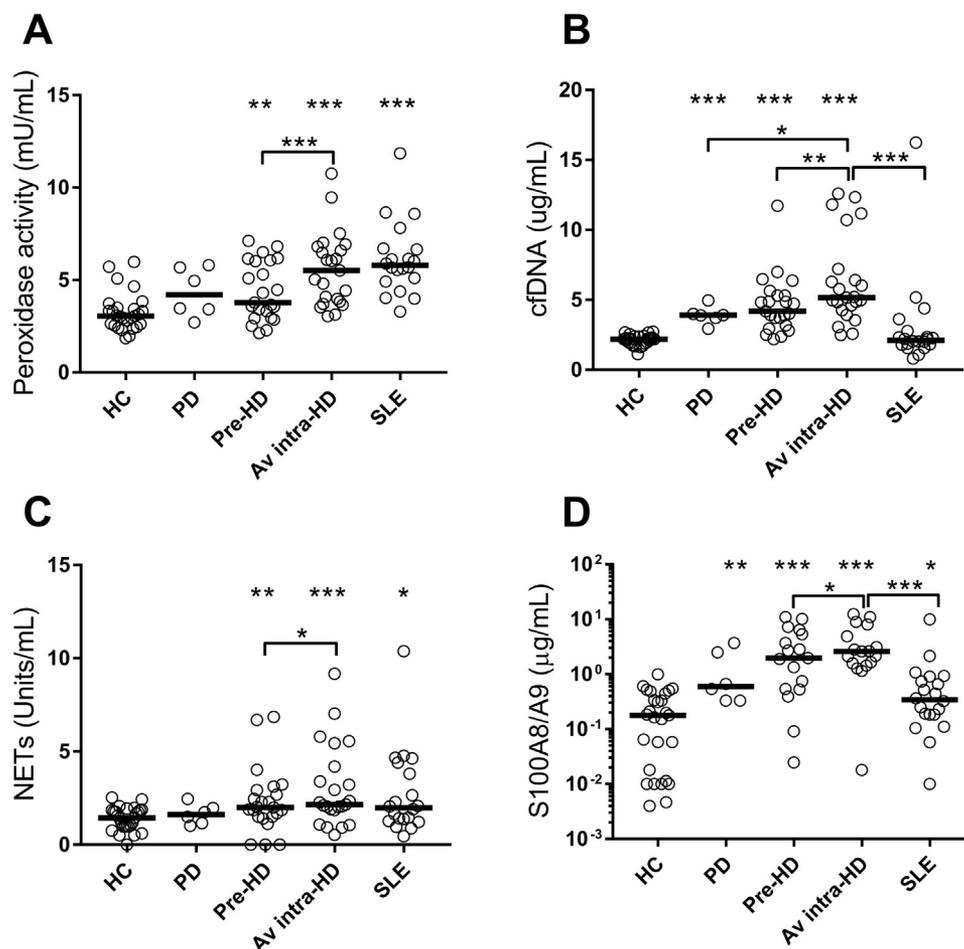


Figure 3. Comparison of neutrophil activation markers in healthy controls (HCs), peritoneal dialysis (PD) patients, hemodialysis (HD) patients, and patients with systemic lupus erythematosus (SLE). Levels of neutrophil activation markers: (A) peroxidase activity, (B) cell-free DNA cfDNA, (C) neutrophil extracellular traps (NETs), and (D) S100A8/A9 (calprotectin) were analyzed in HCs (n = 27), PD patients (n = 6), matched pre- and intra-HD patients (n = 24), and patients with SLE (n = 20). For statistical analyses, Mann Whitney *U* test and Wilcoxon paired *t* test were used, with **P* < 0.05, ***P* < 0.01, and ****P* < 0.001. Unless noted, all analyses are compared with HCs. Abbreviation: Av, average.

[25th-75th percentiles, 1.93-2.37]; *P* = 0.92) in patients with SLE as compared with healthy controls (Fig 3). Neutrophil activation was higher by all parameters in patients with ESKD maintained on HD compared with healthy controls (NET levels; median, 2.00 [25th-75th percentiles, 1.51-2.93] vs 1.45 [25th-75th percentiles, 0.98-1.86]; *P* = 0.009), calprotectin (median, 1.96 [25th-75th percentiles, 0.53-5.89] vs 0.18 [25th-75th percentiles, 0.01-0.44]; *P* < 0.001), peroxidase activity (median, 3.78 [25th-75th percentiles, 2.95-6.08] vs 3.06 [25th-75th percentiles, 2.54-3.50]; *P* = 0.007), and cfDNA (median, 4.19 [25th-75th percentiles, 3.18-5.32] vs 2.20 [25th-75th percentiles, 1.93-2.37]; *P* < 0.001). Neutrophil activation was less with PD compared with HD. Finally, intradialytic levels of neutrophil activation markers were similar or higher than the levels found in patients with SLE (Fig 3).

Neutrophil Activation Association With Endothelial Activation

Prior work has established a causal link between neutrophil activation, in particular NET formation, and endothelial damage and activation, thereby contributing to vascular impairment, inflammation, and atherosclerosis.^{13,14,20,21} In patients undergoing dialysis, independent of HD or PD, elevated sICAM1 levels were found (healthy controls: median, 104.5 [25th-75th percentiles, 93.7-130.4]; PD: median, 159.5 [25th-75th percentiles, 127.2-227.5]; *P* = 0.001; pre-HD: median, 161 [25th-75th percentiles, 116.2-193.2]; *P* = 0.0002; and intradialytic HD: median, 185.5 [25th-75th percentiles, 116-225.4]; *P* < 0.001), indicative of endothelial activation (*P* < 0.001; Fig 4A). Of note, sICAM1 levels correlated with levels of neutrophil activation markers, including NETs (*r* = 0.44; *P* = 0.01; Fig 4B).

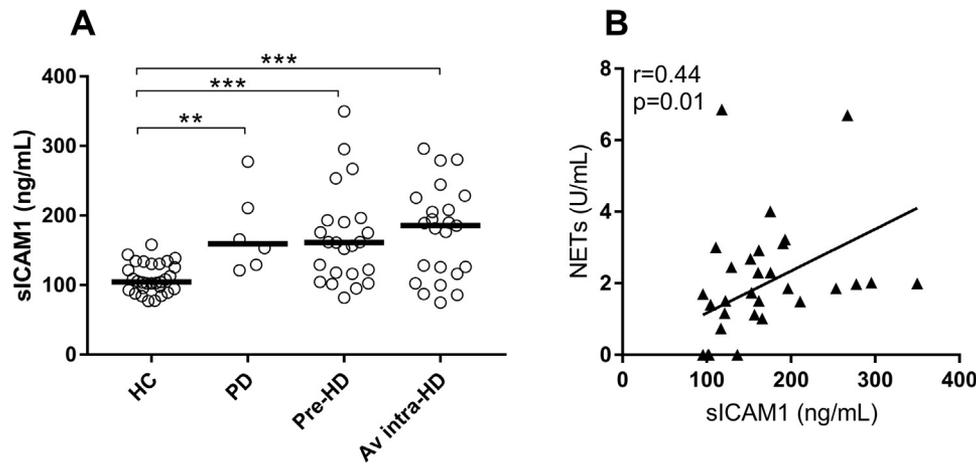


Figure 4. Endothelial activation markers are associated with neutrophil extracellular trap (NET) formation. Levels of endothelial activation marker soluble intercellular adhesion molecule 1 (sICAM1) were (A) analyzed in healthy controls (HCs; $n = 27$), peritoneal dialysis (PD) patients ($n = 6$), and matched pre- and intra-hemodialysis (HD) patients ($n = 24$), and (B) intradialytic levels of sICAM1 correlated with NET levels. For statistical analyses, Mann Whitney U test and Wilcoxon paired t test were used, with $**P < 0.01$ and $***P < 0.001$. Abbreviation: Av, average.

DISCUSSION

As we work to improve dialysis therapies, an area of focus should be reducing immune activation induced with the mode of kidney replacement. We show that neutrophil activation, assessed by peroxidase activity, cfDNA, NET, and calprotectin (proteins S100A8 and S100A9) levels, occurred with each HD procedure, consistent with prior findings on MPO and reactive oxygen species.^{22,23} Although specifics of the long-term clinical effects of this are unknown and difficult to decipher from other problems of patients with kidney disease, it is reasonable to assume that neutrophil activation is undesirable given the well-known contribution to inflammation and organ damage.^{19,24} Neutrophils, through release of NETs and calprotectin, contribute to vascular inflammation and cardiovascular disease.^{13,14,25,26}

In this study, less neutrophil activation was associated with PD compared with HD. HD differs from PD in that large volumes of blood are rapidly and directly exposed to extracorporeal components of the dialysis circuit, which are foreign to the immune system. In PD, the amount of foreign material is limited to the catheter and the peritoneal fluid used for the dialysis. Although the slow exchange of fluid in and out of the abdomen may induce a localized immune response on peritoneal surfaces in direct contact with peritoneal fluids and catheter materials, the potential for a systemic inflammatory response is less (in the uninfected patient).

Although reduced neutrophil activation potentially weighs favorably toward PD, we recognize that many factors need to be considered in selecting the optimal mode of kidney replacement for an individual patient. Our study did not evaluate markers of neutrophil activation in the peritoneal space or peritoneal membrane. It is possible that neutrophil activation occurs locally in PD patients with

effects on the function of the peritoneal membrane. Further study of neutrophil activation in peritoneal membranes could help determine this risk and could have an effect in the selection of more biocompatible peritoneal dialysate solutions. Additionally, in our study, there may be confounding factors at the level of patient selection among the PD population that were not controlled.

We did not find a difference in the degree of neutrophil activation based on the type of anticoagulant used for the procedure. Hence, reduction of neutrophil activation might focus on other undetermined variables such as blood and dialysate flows, temperature, dialysate composition, and tubing or dialyzer structure and composition. Of note, we did not account for the effectiveness of the anticoagulation strategies used in this study. Our investigation was not designed to evaluate the relationship between clotting and neutrophil activation.

Interestingly, the 5 patients who were receiving immunosuppression for their nonkidney solid-organ transplants and others receiving immunosuppression for nontransplant medical problems showed similar neutrophil activation to those not receiving immunosuppression. This finding is most likely because long-term immunosuppression therapy is generally focused on preventing lymphocyte activation, not neutrophil activation. Steroids, antimetabolites, and calcineurin inhibitors in this limited study do not appear to reduce neutrophil activation.

Attempts to measure calprotectin levels in patients with ESKD have been reported by others with conflicting results. Malickova et al²⁷ found calprotectin levels elevated in patients with ESKD predialysis and unchanged after dialysis; intradialytic levels were not obtained. The focus of their study was not on neutrophil activation per se and the multiple parameters we assessed were not measured. We believe our data to be more robust and suggest that new

dialysis technology innovations be evaluated for neutrophil activation, as we have done, to assess whether innovations represent an immune improvement for patients.

ARTICLE INFORMATION

Authors' Full Names and Academic Degrees: Scott Bieber, DO, Kimberly A. Muczynski, MD, and Christian Lood, PhD.

Authors' Affiliations: Divisions of Nephrology (SB, KAM) and Rheumatology (CL), University of Washington, Seattle, WA.

Address for Correspondence: Christian Lood, PhD, University of Washington, Division of Rheumatology, 750 Republican St, Rm E-545, Seattle, WA 98109. E-mail: loodc@uw.edu

Authors' Contributions: Study design: KAM, SB, CL; patient recruitment: KAM, SB; experiments: CL; data analysis: CL. All authors approved the final version of the manuscript and accept accountability for the overall work by ensuring that questions pertaining to the accuracy or integrity of any portion of the work are appropriately investigated and resolved.

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How do neutrophils respond to hemodialysis?



Methods and cohort



Observational Case control



Seattle



Patients on dialysis
n = 30



Evaluated during 30 dialysis procedures
In- and outpatient



Compared with negative and positive controls

Negative Controls



Healthy patients
n = 27

Positive Controls



Non-dialysis patients with SLE
n = 20

Predictors



Blood levels of:



Neutrophil activation

Calprotectin
Peroxidase activity



Cell death

Cell-free DNA
Neutrophil extracellular traps (NETs)

Outcomes



Intradialysis



Pre-dialysis

Neutrophil activation markers were higher intradialysis compared to pre-dialysis ($p < 0.05$)

This demonstrates neutrophil activation during hemodialysis



Less neutrophil activation occurs with peritoneal dialysis ($p < 0.05$)



Immunosuppression and anticoagulation did not affect capacity of neutrophil activation on hemodialysis



Levels of hemodialysis-induced neutrophil activation correlated with markers of endothelial activation ($r = 0.44$, $p = 0.01$)

Conclusion: Neutrophil activation occurs during hemodialysis potentially contributing to endothelial inflammation and damage. Neutrophil activation markers are novel and sensitive measures of biocompatibility for improving dialysis.

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Visual Abstract by Kartik Kalra, MD

@kkalra_22