



# Nomograms for Predicting the Lymph Node Metastasis in Early Gastric Cancer by Gender: A Retrospective Multicentric Study

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Sui W, Chen Z, Li C, Chen P, Song K, Wei Z, Liu H, Hu J and Han W (2021) Nomograms for Predicting the Lymph Node Metastasis in Early Gastric Cancer by Gender: A Retrospective Multicentric Study. Front. Oncol. 11:616951. doi: 10.3389/fonc.2021.616951 **Background:** Lymph node metastasis (LNM) has a significant impact on the prognosis of patients with early gastric cancer (EGC). Our aim was to identify the independent risk factors for LNM and construct nomograms for male and female EGC patients, respectively.

**Methods:** Clinicopathological data of 1,742 EGC patients who underwent radical gastrectomy and lymphadenectomy in the First Affiliated Hospital, Second Affiliated Hospital, and Fourth Affiliated Hospital of Anhui Medical University between November 2011 and April 2021 were collected and analyzed retrospectively. Male and female patients from the First Affiliated Hospital of Anhui Medical University were assigned to training sets and then from the Second and Fourth Affiliated Hospitals of Anhui Medical University were enrolled in validation sets. Based on independent risk factors for LNM in male and female EGC patients from the training sets, the nomograms were established respectively, which was also verified by internal validation from the training sets.

**Results:** Tumor size (odd ratio (OR): 1.386, p = 0.030), depth of invasion (OR: 0.306, p = 0.001), Lauren type (OR: 2.816, p = 0.000), lymphovascular invasion (LVI) (OR: 0.160, p = 0.000), and menopause (OR: 0.296, p = 0.009) were independent risk factors for female EGC patients. For male EGC patients, tumor size (OR: 1.298, p = 0.007), depth of invasion (OR: 0.257, p = 0.000), tumor location (OR: 0.659, p = 0.002), WHO type (OR: 1.419, p = 0.001), Lauren type (OR: 3.099, p = 0.000), and LVI (OR: 0.131, p = 0.000) were independent risk factors. Moreover, nomograms were established to predict the risk of LNM for female and male EGC patients, respectively. The area under the ROC curve of nomograms for female and male training sets were 87.7% (95% confidence interval (CI): 0.8397–0.914) and 94.8% (95% CI: 0.9273–0.9695), respectively. For the validation set, they were 92.4% (95% CI: 0.7979–1) and 93.4% (95% CI: 0.8928–0.9755), respectively. Additionally, the calibration curves showed good agreements between the bias-corrected

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prediction and the ideal reference line for both training sets and validation sets in female and male EGC patients.

**Conclusions:** Nomograms based on risk factors for LNM in male and female EGC patients may provide new insights into the selection of appropriate treatment methods.

Keywords: early gastric cancer (EGC), lymph node metastasis (LNM), risk factors, nomogram, premenopause

### INTRODUCTION

Early gastric cancer (EGC) is classified as a gastric tumor confined to the mucosa or submucosa, regardless of lymph node metastasis (LNM). In recent years, endoscopic resection (ER), as an effective and safe minimally invasive approach, has been widely used in patients with EGC without LNM (1-3). Therefore, assessing the status of LNM is essential prior to ER or surgery. At present, computed tomography (CT), B-ultrasonography, enhanced CT, and endoscopic ultrasonography are the main examinations used to assess the clinical tumor-node-metastasis stage, including depth of invasion, LNM, and distant metastasis (4, 5). However, small metastatic lymph nodes or metastatic lymph nodes that have not increased in size cannot be accurately observed by these imaging methods. According to the recommendation of the Japanese Gastric Cancer Treatment Guidelines (6), the absolute indications for ER are as follows: differentiated adenocarcinoma, depth of invasion limited to the mucosa, tumor size of <2 cm, and without ulcers, thereby indicating an extremely low rate of LNM. A different study has also shown that the prognosis of patients with EGC can be affected by the incidence of LNM (7). Therefore, more factors need to be identified to evaluate LNM status.

Previous studies have explored the risk factors for LNM of EGC patients and established corresponding prediction models (8-11). Previous study reported that the female sex is an independent risk factor for LNM in patients with EGC (12). Besides, there is a difference in the incidence of EGC between male and female. For women, estrogen is higher during premenopause than during menopause (13). Estrogen has been shown to promote the development of GC (14). However, whether menopause is a new risk factor in GC remains unclear. In addition, it is necessary to establish a model for predicting the LNM of patients with EGC by gender. Due to its simple operation and intuitive image, nomogram is widely used to evaluate the prognosis of patients with a variety of diseases. In the present study, based on clinicopathologic data of 1,742 patients with EGC from three clinical centers, we established an effective nomogram prediction model for LNM in male and female EGC patients, respectively, assisting to choose a more precise treatment for EGC patients.

## MATERIALS AND METHODS

#### Patients

The clinical and pathological data of 16,281 GC patients who underwent radical gastrectomy and lymphadenectomy in three clinical centers (The First Affiliated Hospital, Second Affiliated Hospital, and Fourth Affiliated Hospital of Anhui Medical University, Hefei, China) between November 2011 and April 2021 were retrospectively collected. The exclusion criteria were as follows: (1) patients without complete clinical and pathological data; (2) patients with gastric stump carcinoma; (3) patients who had received neoadjuvant therapy; and (4) multiple primary tumors. Finally, a total of 1,742 patients with EGC were enrolled in the present study. Among them, 494 female and 1,248 male patients with EGC were identified. This study was approved by the Ethics Committee of The First Affiliated Hospital of Anhui Medical University.

#### **Clinicopathological Parameters**

To determine the independent risk factors for LNM in EGC, the associations between different clinicopathological characteristics and LNM were analyzed. The following factors were examined in this study: age, sex, invasion depth, tumor size, tumor location, histological type, lymphovascular invasion (LVI), perineural invasion, LNM, ulcer, carcinoembryonic antigen (CEA), carbohydrate antigen 199 (CA199), carbohydrate antigen 125 (CA125), menopausal status, smoking, drinking alcohol, and family history of cancer. According to the World Health Organization classification for GC, the WHO types are polypoid, tubular, poorly differentiated, signet-ring cell, and mucinous adenocarcinoma (11). Besides, the Lauren type (intestinal, diffuse, and mixed type) was also included in this study. In addition, CEA, CA199, and CA125 were considered abnormal at over 5 ng/ml, 27 U/ml, and 35 U/ml, respectively.

#### **Statistical Analysis**

Statistical analysis was performed using SPSS software (Version 22.0; IBM Corp.) and R software (Version 4.0.5). Measurement data are presented as the mean  $\pm$  standard deviation. In univariate analysis, Pearson's  $\chi^2$  or Fisher's exact test was performed to analyze categorical variables, and the Students' *t*-test or rank-sum test was used to examine continuous variables. Logistic regression was used for multivariate analysis to screen out the independent risk factors for LNM in EGC.

Furthermore, female and male patients with EGC from the First Affiliated Hospital of Anhui Medical University were assigned to the training sets, respectively. The independent risk factors in the training set were screened out by logistic regression. Based on the above independent risk factors, the nomogram prediction models were constructed to predict the risk of LNM in female and male patients with EGC, respectively. Additionally, the 246 patients from the Second Affiliated Hospital and Fourth Affiliated Hospital of Anhui Medical University were assigned to the test sets for external validation. The reliabilities of the nomogram prediction models were evaluated based on its discrimination and calibration. The concordance index, which is similar to the area under the receiver operating characteristic (ROC) curve, was calculated using the bootstrap resampling method to evaluate the discrimination ability of the model. Calibration curves were used to detect consistency between the actual LNM and the predicted LNM probability from the nomogram. The nomogram model was constructed using the "plotROC" package. The ROC curve was plotted using the "PROC" package, and the calibration curve was prepared using the "RMS" function package. p < 0.05 was considered statistically significant.

#### RESULTS

### Characteristics of 1,742 Patients With EGC From Three Clinical Centers

A total of 16,281 patients with GC were collected, among which 1,742 (10.7%) patients with EGC meeting the requirements were screened out. One thousand four hundred ninety-six (85.9%) of these patients enrolled into the training set were from the First Affiliated Hospital of Anhui Medical University and 246 (14.1%) of these patients enrolled into the validation set were from the Second and Fourth Affiliated Hospital of Anhui Medical University (**Figure 1**). Among the female patients, 435 (88.1%)

were included in the training set and 59 (11.9%) were included in the validation set. Among the male patients, 1,061 (85.0%) were included in the training set and 187 (15.0%) were included in the validation set. Of the EGC patients, 12.3% (214/1742) were diagnosed with LNM totally, 10.4% (130/1248) for men and 17.0% (84/494) for women, respectively. The LNM rates of EGC patients in males and females were 10.2% (108/1061) and 17.7% (77/435) in the training sets and 11.8% (22/187) and 11.9% (7/59) in the validation sets, respectively (**Table 1**).

#### Construction and Validation of the Prediction Model for Female EGC Patients

In the training set of female EGC patients, univariate analysis suggested that age, tumor size, tumor location, WHO type, Lauren type, LVI, depth of invasion, presence of ulcers, and premenopause were associated with LNM (**Table 2**). Multivariate analysis indicated that tumor size (odd ratio (OR): 1.386, p = 0.030), depth of invasion (OR: 0.306, p = 0.001), Lauren type (OR: 2.816, p = 0.000), LVI (OR: 0.160, p = 0.000), and menopause (OR: 0.296, p = 0.009) were independent risk factors for female EGC patients (**Table 3**).

Risk factors screened out by multivariate analysis were used to construct nomogram prediction model for LNM in female EGC patients. In nomogram, the first line (points) ranged from 0 to 100, providing corresponding points for the variables of the following lines. Patients' points in each variable were added up to



#### TABLE 1 | Characteristic of 1,742 patients with EGC from three clinical centers.

Variables	No. 1 affiliated ho	ospital (n = 1,496)	Nos. 2 and 4 affiliated hospital ( $n = 246$ )		
	LNM (–), <i>n</i> = 1,311	LNM (+), <i>n</i> = 185	LNM (-), <i>n</i> = 217	LNM (+), <i>n</i> = 29	
Age (years old)	61.2 ± 11.2	58.6 ± 12.2	63.8 ± 10.1	59.4 ± 12.5	
Tumor size (cm)	2.2 ± 1.1	2.7 ± 1.4	2.2 ± 1.3	2.7 ± 1.0	
Gender					
Female	358 (27.3%)	77 (41.6%)	52 (24.0%)	7 (24.1%)	
Male	953 (72.7%)	108 (58.4%)	165 (76.0%)	22 (75.9%)	
Depth of invasion				· · · · · ·	
Mucosa	651 (49.7%)	37 (20.0%)	104 (47.9%)	6 (20,7%)	
Submucosa	660 (50.3%)	148 (80.0%)	113 (52.1%)	29 (79.3%)	
Ulceration				· · · · · ·	
No	662 (50,5%)	60 (32,4%)	141 (65.0%)	21 (72.4%)	
Yes	649 (49.5%)	125 (67.6%)	76 (35.0%)	8 (27.6%)	
Tumor location			× ,	· · · · ·	
Upper	429 (32.7%)	23 (12.4%)	90 (41.5%)	10 (34.5%)	
Middle	217 (16.6%)	27 (14.6%)	33 (15.2%)	5 (17.2%)	
Lower	665 (50.7%)	135 (73.0%)	94 (43.3%)	14 (48.3%)	
WHO type			× ,	· · · · · ·	
Polypoid adenocarcinoma	78 (5.9%)	3 (1.6%)	8 (3.7%)	1 (3.4%)	
Tubular adenocarcinoma	870 (66.4%)	75 (40.5%)	154 (71.0%)	15 (51.7%)	
Poorly differentiated	165 (12.6%)	44 (23.8%)	22 (10.1%)	5 (17.2)	
Mucinous adenocarcinoma	106 (8.1%)	29 (15.7%)	14 (6.5%)	3 (10.3%)	
Signet-ring cell carcinoma	92 (7.0%)	34 (18.4%)	19 (8.8%)	5 (17.2%)	
Lauren type	02 (11070)	0 1 (101 170)	10 (01070)	0 (111270)	
Intestinal	1.033 (78.8%)	18 (9.7%)	182 (83.9%)	4 (13.8%)	
Diffuse	135 (10.3%)	132 (71.4%)	12 (5.5%)	19 (65.5%)	
Mixed	143 (10.9%)	35 (9.7%)	23 (10.6%)	6 (20.7%)	
LVI				- ( /-)	
No	1,262 (96,3%)	132 (71.4%)	198 (91,2%)	15 (51,7%)	
Yes	49 (3.7%)	53 (28.6%)	19 (8.8%)	14 (48.3%)	
CEA			(======)		
<5 ng/ml	1 191 (90.8%)	163 (88 1%)	202 (93 1%)	23 (79 3%)	
>5 ng/ml	120 (9.2%)	22 (11.9%)	15 (6.9%)	6 (20 7%)	
CA199	120 (01270)	22 (111070)		0 (2011 /0)	
<27 U/ml	1,256 (95.8%)	167 (90.3%)	210 (96.8%)	25 (86,2%)	
>27 U/ml	55 (4.2%)	18 (9 7%)	7 (3.2%)	4 (13.8%)	
CA125	00 (11270)	10 (011 /0)	1 (012 /0)	. (10.070)	
<35 U/ml	1 292 (98 6%)	176 (95 1%)	214 (98.6%)	18 (62 1%)	
>35 U/ml	19 (1 4%)	9 (4 9%)	3 (1.4%)	11 (37 9%)	
Family-tumor history	10 (111/0)	0 (1.070)	0 (111/0)	11 (01.070)	
No	1 266 (96 6%)	179 (96.8%)	211 (97 2%)	29 (100 0%)	
Yes	45 (3.4%)	6 (3 2%)	6 (2.8%)	0 (0 0%)	
Drinking	10 (0.170)	0 (0.270)	0 (2.070)	0 (0.070)	
No	1,060 (80,9%)	151 (81.6%)	189 (87 1%)	26 (89 7%)	
Yes	251 (19 1%)	34 (18.4%)	28 (12 9%)	3 (10 3%)	
Smoking	201 (10.170)	04 (10.470)	20 (12.070)	0 (10.070)	
No	1 009 (77 0%)	147 (79 5%)	187 (86 2%)	27 (73 1%)	
Yes	302 (23 0%)	38 (20 5%)	30 (13.8%)	2 (6 9%)	
Perineural invasion	002 (20.070)	00 (20.070)	00 (10.070)	2 (0.370)	
No	1 296 (08 0%)	176 (95 1%)	209 (96 3%)	26 (80 7%)	
Yes	15 (1 1%)	9 (4 9%)	8 (3 7%)	20 (03.770)	
100	10 (1.170)	0 (070)	0 (0.770)	0 (10.070)	

LNM, lymph node metastasis; EGC, early gastric cancer; LVI, lymphovascular invasion; CEA, carcinoembryonic antigen; CA199, carbohydrate antigen199; CA125, carbohydrate antigen 125.

the total points. The scale aligned with the total points on the risk line is the predicted risk of EGC patients occurring LNM. In the nomogram, total points ranged from 0 to 350 for female EGC patients. A female patient with a tumor reaching 2 cm got 17.5 points, and the risk for LNM was about 5%. Among the categorical variables, the effect of Lauren type (mixed and diffuse type) on female patients was the most significant factor (**Figure 2**). For internal validation from the training

set and external validation from the validation set, the area under the ROC curve was 87.7% (95% confidence interval (CI): 0.8397–0.914) and 92.4% (95% CI: 0.7979–1), respectively (**Figures 3A, C**). The calibration curve which compared the predicted probability of LNM with the actual probability, showed good agreements between the bias-corrected prediction and the ideal reference line for both training set and validation set (**Figures 3B, D**). TABLE 2 | Predictive variables for LNM in EGC patients of training set by gender.

LNM (-), $n = 358$ LNM (+), $n = 77$ $p$ LNM (-), $n = 953$ LNI           Age $59.5 \pm 13.0$ $54.8 \pm 12.02$ $<0.001$ $61.9 \pm 10.4$ $67$ Tumor size $2.06 \pm 1.0$ $2.6 \pm 1.3$ $0.004$ $2.2 \pm 1.1$ $67$ Depth of invasion $<0.001$ $<0.001$ $<0.001$ $<0.001$ $<0.001$ Mucosa $193 (53.9\%)$ $20 (26.0\%)$ $458 (48.1\%)$ $<0.005$ Submucosa $165 (51.9\%)$ $57 (74.0\%)$ $495 (51.9\%)$ $<0.005$ No $184 (51.4\%)$ $26 (33.8\%)$ $478 (50.2\%)$ $<0.005$ Yes $174 (48 6\%)$ $51 (66 2\%)$ $475 (49 8\%)$ $<0.005$	A (+), n = 108         p           1.3 ± 11.6         0.619           2.8 ± 1.5         <0.001           17 (15.7%)         <0.001           11 (84.3%)         <0.001           14 (31.5%)         '4 (68.5%)
Age $59.5 \pm 13.0$ $54.8 \pm 12.02$ $<0.001$ $61.9 \pm 10.4$ $€$ Tumor size $2.06 \pm 1.0$ $2.6 \pm 1.3$ $0.004$ $2.2 \pm 1.1$ Depth of invasion $<0.001$ $<0.001$ Mucosa $193 (53.9\%)$ $20 (26.0\%)$ $458 (48.1\%)$ $<0.001$ Submucosa $165 (46.1\%)$ $57 (74.0\%)$ $495 (51.9\%)$ $<0.005$ No $184 (51.4\%)$ $26 (33.8\%)$ $478 (50.2\%)$ $<0.005$ Yes $174 (48.6\%)$ $51 (66.2\%)$ $475 (49.8\%)$ $<0.005$	11.3 ± 11.6     0.619       2.8 ± 1.5     <0.001       <0.001     <0.001       17 (15.7%)        31 (84.3%)     <0.001       14 (31.5%)     '4 (68.5%)
Tumor size     2.06 ± 1.0     2.6 ± 1.3     0.004     2.2 ± 1.1       Depth of invasion     <0.001	2.8 ± 1.5 <0.001 <0.001 17 (15.7%) 31 (84.3%) <0.001 34 (31.5%) '4 (68.5%)
Depth of invasion         <0.001           Mucosa         193 (53.9%)         20 (26.0%)         458 (48.1%)         193           Submucosa         165 (46.1%)         57 (74.0%)         495 (51.9%)         93           Ulceration         0.005         0.005         0.005           No         184 (51.4%)         26 (33.8%)         478 (50.2%)         33           Yes         174 (48.6%)         51 (66.2%)         475 (49.8%)         33	<0.001 17 (15.7%) 31 (84.3%) <0.001 34 (31.5%) '4 (68.5%)
Mucosa         193 (53.9%)         20 (26.0%)         458 (48.1%)           Submucosa         165 (46.1%)         57 (74.0%)         495 (51.9%)         9           Ulceration         0.005         0         478 (50.2%)         0           No         184 (51.4%)         26 (33.8%)         478 (50.2%)         0           Yes         174 (48.6%)         51 (66.2%)         475 (49.8%)         0	17 (15.7%) 31 (84.3%) <0.001 34 (31.5%) '4 (68.5%)
Submucosa         165 (46.1%)         57 (74.0%)         495 (51.9%)         9           Ulceration         0.005           No         184 (51.4%)         26 (33.8%)         478 (50.2%)         3           Ves         174 (48.6%)         51 (66.2%)         475 (49.8%)         3	01 (84.3%) <0.001 34 (31.5%) '4 (68.5%)
Ulceration         0.005           No         184 (51.4%)         26 (33.8%)         478 (50.2%)         3           Ves         174 (48.6%)         51 (66.2%)         475 (49.8%)         3	<0.001 34 (31.5%) '4 (68.5%)
No         184 (51.4%)         26 (33.8%)         478 (50.2%)         57           Yes         174 (48.6%)         51 (66.2%)         475 (49.8%)         57	34 (31.5%) 74 (68.5%)
Yes 174 (48 6%) 51 (66 2%) 475 (49 8%)	′4 (68.5%)
	( )
Tumor location 0.001	<0.001
Upper 83 (23.2%) 5 (6.5%) 346 (36.3%)	8 (16.7%)
Middle 75 (20.9%) 12 (15.6%) 142 (14.9%)	5 (13.9%)
Lower 200 (55.9%) 60 (77.9%) 465 (48.8%)	75 (69.4%)
WHO type <<0.001	<0.001
Polynoid adenocarcinoma 13 (3.6%) 1 (1.3%) 65 (6.8%)	2 (1 9%)
Tubular adenocationama         193 (53.9%)         20 (26.0%)         677 (71.0%)         //	55 (50 9%)
Poorty differentiated 64 (17.9%) 23 (20.9%) 101 (11.6%)	21 (19.4%)
Mucingue adapogarinoma 45 (12.5%) 15 (10.5%) 661 (6.4%)	(13.9%)
$\begin{array}{cccccccccccccccccccccccccccccccccccc$	(10.070) (6 (14 8%)
	<0.001
Latient type COUL	5 (4.6%)
$\begin{array}{cccc} \text{Intestinal} & 239 (12.5\%) & 15 (10.9\%) & 174 (01.2\%) \\ \text{Diffuse} & 60 (47.0\%) & 40 (60.0\%) & 72 (7.7\%) & 1 \end{array}$	3(4.0%)
Diffuse 02 (17.3%) 49 (03.0%) 73 (7.1%) 0	33 (70.9%)
Nilked 37 (10.3%) 15 (19.3%) 105 (11.1%) 2	20 (18.5%)
	<0.001
NO 342 (95.5%) 53 (68.8%) 920 (96.5%)	9 (73.1%)
Yes 16 (4.5%) 24 (31.2%) 33 (3.5%) 2	<u>'</u> 9 (26.9%)
CEA 0.335	0.211
<5 ng/ml 340 (95.0%) 71 (92.2%) 851 (89.3%) 9	32 (85.2%)
≥5 ng/ml 18 (5.0%) 6 (7.8%) 102 (10.7%)	6 (14.8%)
CA199 0.028	0.031
<27 U/ml 340 (95.0%) 68 (88.3%) 916 (96.1%) 9	)9 (91.7%)
≥27 U/ml 18 (5.0%) 9 (11.7%) 37 (3.9%)	9 (8.3%)
CA125 0.051	0.027
<35 U/ml 354 (98.9%) 73 (94.8%) 938 (98.4%) 1	03 (95.4%)
≥35 U/ml 4 (1.1%) 4 (5.2%) 15 (1.6%)	5 (4.6%)
Family-tumor history 0.559	0.735
No 335 (93.6%) 74 (96.1%) 931 (97.7%) 1	05 (97.2%)
Yes 23 (6.4%) 3 (3.9%) 22 (2.3%)	3 (2.8%)
Drinking 0.591	0.205
No 353 (98.6%) 77 (100.0%) 707 (74.2%)	'4 (68.5%)
Yes 5 (1.4%) 0 (0%) 246 (25.8%) 3	34 (31.5%)
Smoking 0.297	0.695
No 356 (99.4%) 75 (97.4%) 653 (68.5%)	2 (66.7%)
Yes 2 (0.6%) 2 (2.6%) 300 (31.5%)	36 (33.3%)
Perineural invasion 0.051	0.005
No 354 (98.9%) 73 (94.8%) 942 (98.8%) 1	03 (95.4%)
Yes 4 (1.1%) 4 (5.2%) 11 (1.2%)	5 (4.6%)
Menopause <0.001	· · · · · · · · /
Premenopause 77 (21.5%) 41 (53.2%) –	_
Postmenopause 281 (78 5%) 36 (46 8%) –	_

LMN, lymph node metastasis; EGC, early gastric cancer; LVI, lymphovascular invasion; CEA, carcinoembryonic antigen; CA199, carbohydrate antigen199; CA125, carbohydrate antigen 125. Red font text means statistically significant.

# Construction and Validation of the Prediction Model for LNM in Male EGC Patients

As for the training set, univariate analysis showed that tumor size, tumor location, LVI, depth of invasion, histological types, presence of ulcers, WHO type, Lauren type, CA199, CA125, and perineural invasion had an association with LNM (**Table 2**).

Multivariate analysis demonstrated that tumor size (OR: 1.298, p = 0.007), depth of invasion (OR: 0.257, p = 0.000), tumor location (OR: 0.659, p = 0.002), WHO type (OR: 1.419, p = 0.001), Lauren type (OR: 3.099, p = 0.000), and LVI (OR: 0.131, p = 0.000) were independent risk factors for male EGC patients (**Table 4**). Tumor size, Lauren type, LVI, and invasion depth were independent risk factors for both male and female EGC patients.

Variables	В	SE	Wald	df	Sig.	Exp ( <i>B</i> )
Age	-0.005	0.018	0.066	1	0.797	0.995
Tumor size	0.326	0.150	4.736	1	0.030	1.386
Depth of invasion (submucosa)	-1.183	0.370	10.207	1	0.001	0.306
Ulceration (positive)	-0.029	0.347	0.007	1	0.932	0.971
Tumor location (lower)	-0.481	0.266	3.256	1	0.071	0.618
WHO type	0.185	0.137	1.810	1	0.179	1.203
Lauren type (mixed and diffuse)	1.035	0.209	24.495	1	0.000	2.816
LVI (positive)	-1.832	0.479	14.638	1	0.000	0.160
CA199 (over 27 U/ml)	0.659	0.575	1.313	1	0.252	1.932
CA125 (over 35 U/ml)	0.957	0.965	0.982	1	0.322	2.603
Perineural invasion (positive)	0.578	1.048	0.304	1	0.581	1.783
Menopause (premenopause)	-1.217	0.463	6.918	1	0.009	0.296

Red font text means statistically significant.



Independent risk factors were incorporated into the construction of nomogram to obtain a risk prediction model for male EGC patients. In the nomogram, total points ranged from 0 to 220 for male EGC patients. When the LVI was positive, male patients with EGC scored 100 points (**Figure 4**). Among the categorical variables, Lauren type (diffuse) scores the highest. The area under the ROC curve was 94.8% (95% CI: 0.9273–0.9695) for the training set and 93.4% (95% CI: 0.8928–0.9755) for the validation set, respectively (**Figures 5A, C**). The calibration curve showed good agreements between the bias-corrected prediction and the ideal reference line for both training set and validation set (**Figures 5B, D**).

# DISCUSSION

Currently, GC is the fifth most common type of cancer and the fourth most common cause of cancer-related mortality

worldwide (15). With the advancements in the diagnosis and treatment of GC, GC morbidity and mortality have declined in recent years (15–17). In Japan, the early diagnosis rate of GC is >50%; by contrast, the same rate in China is only ~10%, which may lead to a poor 5-year survival rate (18, 19). More screening programs may help improve the diagnostic rate of EGC and lead to an improved prognosis, which may also influence the results of studies further exploring independent risk factors for LNM in EGC.

In addition to gastrectomy, ER is the main treatment method to treat EGC and is appropriate for EGC with a low LNM rate, including endoscopic submucosal dissection (ESD) and endoscopic mucosal resection (EMR). According to the Japanese Gastric Cancer Treatment Guidelines 2018 (5th edition) (6), the absolute indications for ESD and EMR are a differentiated-type EGC with an infiltration level limited to the mucosa, a tumor size of  $\leq 2$  cm, and no presence of ulcers.



training set of female EGC patients; the AUC was 87.7% (95% CI: 0.8397-0.914). (B) Calibration curve of the nomogram prediction model for the training set of female EGC patients. (C) ROC curve of the nomogram prediction model for female EGC patients from the validation set; the AUC was 92.4% (95% CI: 0.7979-1). (D) Calibration curve of the nomogram prediction model in the validation set of female EGC patients. LNM, lymph node metastasis; EGC, early gastric cancer; ROC, receiver operating characteristic; AUC, area under the ROC curve.

Absolute indications of ESD also include a differentiated-type mucosal EGC without the prevalence of ulcers with a tumor size of >2 cm, and a differentiated-type mucosal EGC with a prevalence of ulcers and a tumor size of ≤2 cm. Compared with gastrectomy, EMR and ESD are more minimally invasive, significantly improving EGC patients' quality of life (20, 21). EMR and ESD have been widely used in recent years with the gradual indication expansion. However, the use of ER in patients with expanded indications is controversial, due to the lack of long-term evidence of its safety (20-23). In the present study, 512 patients met the absolute indications and 15 (2.9%) had LNM, whose possibility was higher than the 1% possibility required for

TABLE 4   Multivariate analysis for LNM in male training set with EGC.							
Variables	В	SE	Wald	df	Sig.	Exp ( <i>B</i> )	
Tumor size	0.261	0.096	7.394	1	0.007	1.298	
Depth of invasion (submucosa)	-1.359	0.302	20.282	1	0.000	0.257	
Tumor location (lower)	-0.417	0.154	7.323	1	0.002	0.659	
WHO type	0.35	0.112	9.77	1	0.001	1.419	
Lauren type (mixed and diffuse)	1.131	0.146	60.335	1	0.000	3.099	
LVI (positive)	-2.035	0.367	30.742	1	0.000	0.131	
CA125 (over 35 U/ml)	0.001	0.006	0.023	1	0.879	1.001	
CA199 (over 27 U/ml)	0.000	0.003	0.012	1	0.914	1.000	
Perineural invasion (positive)	0.270	0.716	0.142	1	0.707	1.310	

Red font text means statistically significant.



absolute indications (6). Compared with Japan, the diagnostic rate of EGC in China is relatively low, resulting in a relatively low sample size. In addition, different from the trials in Japan using ER (24), all patients in this study underwent radical gastrectomy, and the differences in the corresponding inclusion criteria may also lead to differences between the results.

The incidence of male GC is known to be higher than that of female GC, but the mortality rate of female patients with GC is higher than that of male patients (15-17). In this study, female patients with EGC had a higher LNM ratio than male patients (17.0% vs. 10.4%), which was consistent with previous studies (8, 10, 25). It is therefore necessary to analyze the risk factors for LNM in male and female patients with EGC separately. Menopausal status is a critical characteristic in female compared with male patients. However, few studies have reported the effect of menopausal status on LNM in EGC. In the present study, it was found that the LNM ratio of premenopausal female patients (32.6%, 47/144) was higher than that of male (10.4%, 130/1248) and postmenopausal female patients (10.6%, 37/350). Age is associated with menopausal status, indicating younger age might complicate the relationship between menopausal status and LNM. Therefore, through multivariate analysis, it was identified that premenopausal status, not age, was an independent risk factor for LNM in female patients with EGC. Zhang et al. demonstrated that estrogen can stimulate the secretion of IL-6 from GCassociated fibroblasts, and then activate the STAT3 signaling pathway, resulting in enhanced GC cell proliferation and invasion (26). Furthermore, the expression of estrogen receptor- $\alpha$ 36 has been reported to be highly correlated with LNM in GC (14), which may be helpful for predicting the risk of LNM in GC in

the future. Further studies on the mechanism of estrogen and its receptors will provide new insights for the treatment of GC. Due to the limitations of retrospective studies on data collection, it was regrettable that sex hormone levels and use of oral contraceptives cannot be analyzed in this study.

In the male population with EGC, tumor size, depth of invasion, tumor location, WHO type, Lauren type, and LVI were independent risk factors for LNM. Among the WHO type and Lauren type, signet-ring cell carcinoma and diffuse type owned the greatest risk of LNM, respectively (Figure 4) due to their high lymph tropism and infiltrating behavior. Therefore, the extension of gastric resection might be more beneficial for EGC patients with diffuse type and signet-ring cell carcinoma (27). LVI, as another contraindication for ER, is easily ignored before surgery. In the EGC patients with LVI, the risk for LNM reached >10% and >70% in male and female EGC patients, respectively (Figures 2 and 4), which was similar with the results from Ren et al. (28), and LVI might be considered an evaluation index for effective removal of EGC after ER. When LVI is positive, the extension of gastric resection and lymph node dissection are necessary.

### CONCLUSIONS

In the present study, we analyzed the independent risk factors for LNM in female and male EGC patients, respectively. Importantly, menopausal status was firstly identified as an independent risk factor for LNM in female population with EGC. Additionally, based on the above risk factors, the



FIGURE 5 | Internal and external validations for the nomogram prediction model in male EGC patients. (A) ROC curve of the nomogram prediction model in the training set of male EGC patients; the AUC was 94.8% (95% CI: 0.9273–0.9695). (B) Calibration curve of the nomogram prediction model for the training set of male EGC patients. (C) ROC curve of the nomogram prediction model for male EGC patients in the validation set; the AUC was 93.4% (95% CI: 0.8928–0.9755). (D) Calibration curve of the nomogram prediction model in the validation set of male EGC patients. LNM, lymph node metastasis; EGC, early gastric cancer; ROC, receiver operating characteristic; AUC, area under the ROC curve.

nomograms were established for predicting risk of LNM in female and male EGC patients, which might be beneficial for selecting a more precise treatment.

provided their written informed consent to participate in this study.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material. Further inquiries can be directed to the corresponding author.

## ETHICS STATEMENT

The studies involving human participants were reviewed and approved by the Ethics Committee of The First Affiliated Hospital of Anhui Medical University. The patients/participants

# **AUTHOR CONTRIBUTIONS**

WH and ZC designed this study and revised this manuscript. WS, ZC, and CL collected and analyzed the data and drafted and revised this manuscript. PC, KS, ZW, HL, and JH were engaged in the collection of the clinical data. All authors contributed to the article and approved the submitted version.

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