# **Review Article**

# State-of-the-art methods for the treatment of severe hemorrhagic trauma: selective aortic arch perfusion and emergency preservation and resuscitation—what is next?

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Trauma is a primary cause of death globally, with non-compressible torso hemorrhage constituting an important part of "potentially survivable trauma death." Resuscitative endovascular balloon occlusion of the aorta has become a popular alternative to aortic crossclamping under emergent thoracotomy for non-compressible torso hemorrhage in recent years, however, it alone does not improve the survival rate of patients with severe shock or traumatic cardiac arrest from non-compressible torso hemorrhage. Development of novel advanced maneuvers is essential to improve these patients' survival, and research on promising methods such as selective aortic arch perfusion and emergency preservation and resuscitation is ongoing. This review aimed to provide physicians in charge of severe trauma cases with a broad understanding of these novel therapeutic approaches to manage patients with severe hemorrhagic trauma, which may allow them to develop lifesaving strategies for exsanguinating trauma patients. Although there are still hurdles to overcome before their clinical application, promising research on these novel strategies is in progress, and ongoing development of synthetic red blood cells and techniques that reduce ischemia-reperfusion injury may further maximize their effects. Both continuous proof-of-concept studies and translational clinical evaluations are necessary to clinically apply these hemostasis approaches to trauma patients.

Key words: Emergency medicine, hemorrhagic shock, ischemia-reperfusion injury, oxygen carrier, torso hemorrhage, traumatic cardiac arrest

# INTRODUCTION

**T** RAUMA CURRENTLY COMPRISES 9% of causes of death worldwide.<sup>1</sup> Approximately 80% of the soldiers without head trauma died because of truncal injury during the Vietnam War, and many of those damages were technically repairable.<sup>2</sup> This trend remains the same today; non-compressible torso hemorrhage (NCTH) is a significant cause of "potentially survivable trauma death."<sup>3-9</sup> Hemorrhage-induced traumatic cardiac arrest (HiTCA) with advanced hemorrhagic shock has an extremely low survival rate and is catastrophic, particularly in cases of blunt

Corresponding: Atsuyoshi lida, MD, PhD, Department of Emergency Medicine, Okayama Red Cross Hospital, Okayama 700-8607, Japan. E-mail: pp7h4al1@okayama-u.ac.jp Received 20 Jan, 2021; accepted 3 Mar, 2021 Funding information No funding information provided. trauma.<sup>10-12</sup> Damage occurs immediately to important organs such as the brain and heart during warm ischemic time commencing with cardiac arrest; therefore, successful resuscitation is expected only within a short time period.<sup>13-</sup>

<sup>16</sup> In addition, chest compressions are less effective in patients with hypovolemia, and blood loss is faster in cases where major vessels are injured. Patients with HiTCA have very poor outcomes; therefore, new management approaches are necessary to improve the tragic outcomes of those patients. To that end, some promising new approaches are currently being developed.

Resuscitative endovascular balloon occlusion of the aorta (REBOA) is a technique used to gain endovascular hemorrhage control for decompensated hemorrhagic shock from subdiaphragmatic bleeding. It is designed to improve the survival rate of trauma patients and has become popular in recent decades.<sup>17</sup> There is currently no strong evidence that REBOA significantly improves survival in patients with severe traumatic hemorrhagic shock; on the contrary, one report

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suggests an association between REBOA and increased mortality.<sup>18</sup> Because REBOA is just a "bridge" until definitive hemostasis, it is important for saving patient to be brought to the suite where vascular embolization and/or surgery can be performed immediately after REBOA.<sup>19</sup> Further development and clinical application of advanced methods are required, and related research is currently progressing, mainly in the United States (US). Selective aortic arch perfusion (SAAP) is one such technique that simultaneously allows aortic occlusion and proximal aortic perfusion resuscitation to protect vital organs until definitive hemostatic surgery.<sup>20</sup> Emergency preservation and resuscitation (EPR) is another technique used to achieve similar organ protection by rapidly cooling the entire body via an aortic cannula to reduce metabolic energy demand.<sup>16</sup>

It should be noted that the development of synthetic oxygen carriers and ischemia-reperfusion injury reduction methods make these resuscitation procedures safer and more effective. To apply these hemostasis control and resuscitation concepts to severe trauma patients, it is necessary to move from concept studies to clinical trials. This article reviews the feasibility and viability of SAAP and EPR and presents the research findings related to integrated strategies and adjunct therapies that will definitely help emergency physicians save severe trauma patients.

#### SAAP

THE SURVIVAL RATE of patients with traumatic cardiac arrest is poor. Attempting resuscitation on HiTCA patients was previously thought to be futile.<sup>21-23</sup>

SAAP is a developing technique for patients with HiTCA for whom hemorrhage control is concurrently performed through thoracic aortic occlusion similar to Zone 1 REBOA and assisted perfusion of the heart and brain using oxygenated perfusate via the catheter to achieve restoration of spontaneous circulation (ROSC) (Fig. 1).<sup>24,25</sup> SAAP was first reported in the 1990s as a technique to improve ROSC in animal models of ventricular fibrillation that undergo non-traumatic cardiac arrest.<sup>24,26,27</sup> It has also been shown to be effective in treating hemorrhagic traumatic cardiac arrest caused by NCTH <sup>25</sup>.

SAAP should be clearly indicated in HiTCA cases because this method simultaneously allows hemorrhage control and resuscitative perfusion of vital organs such as the heart and brain. When implementing SAAP, a large-diameter occlusion balloon catheter is inserted from the femoral artery and advanced to the descending thoracic aorta. Similar to REBOA, in SAAP the balloon is placed between the left subclavian artery and the diaphragm to act as a thoracic aortic cross-clamp, controlling peripheral hemorrhage when

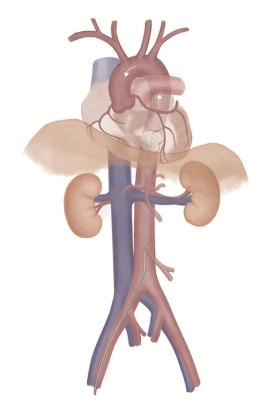


Fig. 1. Selective aortic arch perfusion.

inflated. The SAAP catheter lumen permits extracorporeal circulation with oxygen carrier perfusates such as artificial hemoglobin, fluorocarbons, and natural blood. In previous studies, the SAAP catheter acted as an arterial blood cannula and could be assisted with a flow rate of 500 mL/min.<sup>28</sup> Therefore, extracorporeal circulation support of SAAP using an oxygen carrier such as whole blood may restore the normal electrical activity of the heart and rapidly replenish circulation volume. This catheter can also be used as an intraaortic route for administration of epinephrine or drugs capable of alleviating ischemia-reperfusion injury.<sup>29</sup>

After definitive hemorrhage control is established, the conversion to full-flow extracorporeal life support (ECLS) has been demonstrated to be feasible.<sup>28</sup> Barnard and colleagues described a liver lobectomy-induced HiTCA swine model. They classified transcatheter arterial hemorrhage into three groups to compare ROSC achievement rate and 60-min survival rate: REBOA with fresh whole blood (FWB) intravenous administration (FWB-REBOA group), SAAP using oxygenated Ringer's solution (LR) (LR-SAAP group), and SAAP using oxygenated FWB (FWB-SAAP group).<sup>20</sup> ROSC achievement rates were 0% (95% confidence interval [CI], 0.00–30.9) in the FWB-REBOA group, 60% in the LR-SAAP group (95% CI, 26.2–87.8), and 100% in the

FWB-SAAP group (95% CI, 69.2–100.0), respectively (P < 0.001). The survival rate at 60 min, which was assumed as the transportation time until hospital arrival, was 0% (95% CI, 0.00–30.9) in the FWB-REBOA group, 10% (95% CI, 0.25–44.5) in the LR-SAAP group, and 90% (95% CI, 55.5–99.7) in the FWB-SAAP group (P < 0.001). Of the nine FWB-SAAP survivors, four (44%) achieved ROSC from asystole. SAAP using FWB as the perfusate demonstrated a significantly higher survival rate within 60 min than Zone 1 REBOA.

Remarkably, it has also been suggested that SAAP could be useful for achieving ROSC from hemorrhagic traumatic asystole, which has previous been considered a non-viable state. Achievement of short-term survival rate and ROSC with SAAP was significantly higher than with conventional cardiopulmonary resuscitation (CPR),<sup>30</sup> emergency thoracotomy,<sup>31,32</sup> and REBOA with balanced transfusion.<sup>18,20,33</sup> Although SAAP has been thoroughly evaluated in preclinical investigations, its effectiveness in clinical cases has not yet been reported. Device development is one of the hurdles for clinical application, as the SAAP circuit used in previous studies is bespoke and requires regulatory approval.<sup>20</sup>

A further important hurdle is the complexity of administering many blood products into the aorta in a short time. Although the ROSC achievement rate is high when allogeneic whole blood or red blood cells (RBCs) are used as the SAAP perfusate, intra-aortic administration of calcium is required to address hypocalcemia associated with citrate anticoagulants. Serum ionized calcium levels must be maintained within normal range to avoid the development of refractory ventricular fibrillation during resuscitation.<sup>34</sup> The concentration of ionized calcium in blood products anticoagulated with citric acid used for preservation is very low, so administration of large amounts causes marked hypo-ionized calcemia.<sup>35,36</sup> In contrast to intravenous administration, with SAAP, perfusion is performed near the coronary arteries, so there is little time for the blood and perfusate to mix. For the calcium concentration to be homogenized, intra-aortic calcium administration during resuscitation is necessary to prevent ventricular arrhythmia. Manning and colleagues demonstrated the need for calcium when using blood products as the perfusate for SAAP using a liver injury and massive hemorrhage HiTCA porcine model.<sup>34</sup> They observed severe hypo-ionized calcium in the FWB-SAAP group without co-administration of calcium, and after SAAP, refractory ventricular fibrillation occurred and ROSC was not achieved. In contrast, in the FWB-SAAP group that was coadministered calcium, the chelating action of citric acid was effectively canceled, severe hypocalcemia was prevented, and spontaneous circulation was restored in all the animals.<sup>34</sup> They reported that the dose required to maintain normal serum ionized calcium levels was 7 mmol per 500 mL FWB, and 2-2.5 mmol for packed RBCs in which plasma components including citric acid were almost removed. However, this study's authors only observed short-term survival and not long-term survival. It should also be noted that implementation of SAAP requires a considerable amount of perfusate with oxygen-carrying capacity such as RBCs. This research demonstrated that SAAP using FWB was very effective, but the amount of oxygenated perfusate used was as much as 1600 mL per porcine with an average weight of 80 kg.<sup>34</sup> Because the ionized calcium in the perfusate is consumed by citric acid during preservation, additional administration of calcium is required; therefore, SAAP using FWB has challenges regarding logistics of preparation and storage.<sup>37</sup>

Several animal studies of SAAP have used fluorocarbon and hemoglobin-based oxygen carriers that are not currently approved for clinical use.<sup>25,26</sup> Artificial hemoglobinbased oxygen carrier (HBOC) as SAAP perfusate achieved ROSC and short-term survival rates similar to FWB with similar physiological adverse effects.<sup>28</sup> Hoops further suggested it may be easier to administer in clinical settings. The only significant difference in physiological derangement between HBOC and FWB was pulmonary hypertension, a known short-term side effect of HBOC because of its vasoconstrictor and nitric oxide scavenging action, which was higher in the HBOC group,<sup>28</sup> and previous studies have shown that sodium nitrate might antagonize these actions.<sup>38</sup> As an artificial oxygen carrier product, HBOC-201 has been used in many studies because it is stable at room temperature for 3 years, and its normal calcium concentration does not require simultaneous administration of perfusate or calcium supplementation.<sup>38</sup> These properties are beneficial when performing SAAP in environments where medical resources are not abundant, especially where blood products are not available.

Although it is difficult to judge if patients benefit clinically from SAAP, it allows for occlusion use such as REBOA before performing perfusion therapy. Therefore, in cases of severe hemorrhage shock progressing to HiTCA, SAAP has potential as a clinical methodology that begins with only occlusion and continues with selective perfusion as needed.<sup>28</sup> SAAP can be clinically applied merely with current technologies from a technical perspective. Before large clinical research trials may begin, a method to reduce ischemia-reperfusion injury distal to the occlusion balloon must be developed. Additionally, there must be proper selection of safe and effective perfusate, and safety mechanisms to prevent air embolism in the circuit must be established.

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#### **EPR**

RAUMA SURGEONS WHO attempt to control hemor-I rhage of major injured vessels for a moribund patient often experience a situation where treatment is not applied in time for rapid exsanguination, resulting in the patient's physiological collapse. If therapeutic hypothermia can be rapidly introduced during the initial treatment, such injuries could be treated before the patient cannot be resuscitated. EPR is an experimental resuscitation method in which rapid cooling of the whole body is used to reduce metabolic demand in patients with prolonged circulatory collapse. During the cooling period, surgical hemostatic repair is conducted, followed by delayed resuscitation using full cardiopulmonary bypass (CPB).<sup>16,39</sup> Hypothermia has long been known to prolong the intact survival time of  $\operatorname{organs}^{40}$ ; cardiac surgeons and neurosurgeons take advantage of this technique in their daily clinical practice. In clinical settings, especially in the emergency department, it is not possible to introduce full CPB within 5 min of cardiac arrest and fatal damage. However, even after ischemic conditions have already occurred, hypothermia minimizes oxygen demand, suppresses metabolism, and renders systemic resistance to ischemia.41

The EPR resuscitation approach used in ongoing clinical research is described as follows (Fig. 2).<sup>42,43</sup> Patients undergo a left thoracotomy to occlude the descending thoracic aorta if REBOA has not been previously performed. To ensure a proper surgical field for EPR, it is necessary to transect the sternum from the left to the right, a procedure defined as a clamshell thoracotomy. The EPR arterial cannula (17-21 Fr) is then placed in the aorta via the Seldinger technique by a trauma surgeon, and the cannula is connected to the CPB system. The CPB roller pump rapidly injects ice cold 0.9% normal saline into the aorta at a flow rate of 2 L/ min. The flow rate is then increased within a tolerable range to a maximum of 5 L/min. The total amount of normal saline typically required for sufficient cooling of a medium-sized adult is 40-100 L. After the start of rapid infusion, the right atrial appendage is incised, and the perfusate is drained out of the body. When the tympanic temperature or nasopharyngeal temperature reaches 20°C, the aortic occlusion/clamp is slowly released, and cooling of the whole body is continued under CPB flow monitoring. After the injured sites are surgically controlled, whole-body rewarming by reperfusion is initiated at a rate of 0.5°C/min. Ideally, the total time for deep hypothermic circulatory arrest should be <1 h.

EPR, which is currently undergoing clinical trials, requires a great deal of medical resources including surgeons, anesthesiologists, nurses, and technicians involved in trauma care who work closely with the cardiac surgery team.

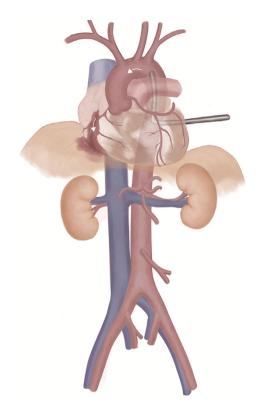


Fig. 2. Emergency preservation and resuscitation.

Unified team formation and careful training are essential for the implementation and success of EPR and to ensure the smooth performance of this novel method to save the lives of HiTCA patients.<sup>42</sup> EPR is a novel approach in the management of traumatic cardiac arrest patients, especially in cases of major intrathoracic hemorrhage or penetrating heart injury where conventional CPR, REBOA, or SAAP may be limited or even harmful.<sup>44</sup> Pioneering studies of EPR use the following case inclusion criteria<sup>42–44</sup>: age = 18-65 years, penetrating trauma with clinical suspicion of exsanguinating hemorrhage, at least one sign of life at the scene (respiratory efforts, pulse, or reactive pupil spontaneous movements), loss of pulse <5 min before emergency room arrival or in the emergency or operating room, and thoracotomy performed in the emergency room or without immediate return of a palpable pulse. Because EPR is an emergency experimental method performed on patients with sudden, unexpected, severe injuries, prior ethics committee reviews, consultation with the local community, and information disclosure are important and essential.42

It is well known that clinical settings have many complex factors that differ from the controlled laboratory environment, including significant organ injury, which is always associated with massive hemorrhage in trauma patients. A

canine study using the 1-h EPR model demonstrated that animals with additional organ injury survived similarly to the group without organ injury, but had significantly more coagulopathy and multiple organ failures after resuscitation.<sup>45</sup> Furthermore, hypothermia is generally suggested to be independently associated with an increased adjusted odds of death following major trauma because core temperatures below 34°C cause generalized physiologic deceleration and homeostatic disturbances in all organ systems.<sup>46,47</sup> However, the profound hypothermia of 10°C–15°C introduced by EPR may be clinically and biochemically different from the hypothermia caused after trauma <sup>48</sup>.

Previous EPR-related studies are preclinical studies, and there evidence of the efficacy of EPR in humans is insufficient. Based on data from these preclinical studies, the US Food and Drug Administration approved the emergency preservation and resuscitation cardiac arrest from trauma (EPRCAT) study (NCT01042015), a clinical trial on EPR safety and feasibility in HiTCA patients.<sup>42</sup> The primary endpoint is survival to hospital discharge without significant neurological sequelae. The secondary endpoints are 28-day survival, neurologic function outcome at 6 and 12 months as measured by tools such as the Extension of Glasgow Outcome Scale and the 36-item short-form health survey, the presence of organ failure, and the complication of EPR procedures. The initial results of this study will be published in 2021.

### INTEGRATED STRATEGY AND VITAL SUPPLEMENTS

THE RESUSCITATIVE ENDOVASCULAR maneuvers described above are a "toolkit" for complementary lifesustaining therapeutic interventions and to stabilize trauma patients with severe hemorrhagic shock or HiTCA patients with specific injury types such as penetrating trauma that do not respond to conventional resuscitation measures.<sup>49,50</sup> The application of these therapeutic interventions should be customized to the needs of each individual trauma patient.<sup>39</sup> According to the proposed integrated strategy, for trauma patients who show signs of decompensated circulatory insufficiency because of subdiaphragmatic NCTH, REBOA, and intravenous fluid resuscitation are first attempted to stabilize the hemodynamic state. The device that implements REBOA should be one that can be switched to SAAP later. In cases where trauma patients remain in impending refractory shock or progress to HiTCA despite REBOA and fluid resuscitation, SAAP is performed to achieve ROSC and quickly restore circulation to vital organs such as the brain and heart. The SAAP catheter balloon can also function as REBOA by continuing inflation after ROSC until surgical hemostasis is performed. If the patient is still unresponsive after SAAP or is not well-adapted to it but may still survive, then EPR is indicated. The aim of this integrated strategy is to present a set of resuscitation choices that can be used based on the needs of individual trauma patients to increase their survival potential.

These procedures and strategy may also be applicable to hemorrhagic diseases other than trauma that are followed by cardiac arrest. Given the widespread use of endovascular devices, ruptured abdominal aortic aneurysms that require urgent aortic replacement may also be a reasonable indication. Similarly, they may be applicable in other uncontrolled hemorrhage cases such as aortoenteric fistula, postpartum hemorrhage, and massive gastrointestinal hemorrhage. SAAP and EPR may save patients that would have otherwise died of hemorrhage by prolonging the survival time to surgical hemostasis of the vascular injury and other sources of bleeding. However, these new methods alone would not be able to achieve dramatic improvements in survival rates. Further development of the environment and ancillary products would be needed for this new therapeutic strategy to be used effectively and improve survival rates. A well-trained and coordinated response team would be essential. In addition to the usual trauma staff, emergency physicians, trauma surgeons, and paramedics, the resuscitation team would likely require help from cardiovascular surgeons, anesthesiologists, and cardiopulmonary technicians, especially for EPR. Improving the operating environment is also important, and a "hybrid" design that allows for better integration of endovascular procedures and open surgery would support a much wider and more complex surgical procedure than is currently possible.51

Moreover, current medical practice relies on donated blood to replenish blood lost from trauma, and there is a strong demand to find a suitable replacement. Storage and deployment of perfusate may be problematic, considering that a perfusate with oxygen-carrying capability is required immediately for SAAP at 10 mL/kg/min and for EPR at the reperfusion phase.<sup>29,42</sup> Furthermore, there may be side effects associated with large-scale use of the perfusate. Allogeneic donated blood products have multiple limitations, so it is important to develop effective oxygen carriers that can be used as an alternative to blood in an emergency. HBOCs both provide hemodynamic support and have oxygen-carrying capacity. HBOCs could help mitigate the distribution limitations of whole blood products by acting as an "oxygen bridge" therapy in cases where transfusion is required because of severe acute anemia, but is not immediately available. Macko and colleagues used a severe hemorrhagic model to demonstrate that rats resuscitated with a PEGylated carboxyhemoglobin had a significantly better survival rate

and increased mean arterial pressure than those with lactated Ringer's solution, and there were no significant differences compared with the FWB group.52 Additionally, Guo and colleagues reported that synthetic "rebuilt red blood cells" (RRBCs), based on the silica cell bioreplication approach, extensively and completely simulated the characteristics of natural RBCs.53 RRBCs possess all the characteristics of natural RBCs, such as size, shape, oxygen transport ability, deformability to achieve long-term circulation, and function of the membrane surface, which may be resistant to phagocytosis by immune cells. They also have the potential to acquire therapeutic drug delivery ability, magnetic manipulation capability, and ATP biosensors that could sense and detect toxins. In short, RRBCs are a class of artificial hybrid materials that have been shown to have a wide range of potential applications. Hagisawa and colleagues demonstrated that combination therapy with fibrinogen  $\gamma$ -chain peptide-coated, ADP-encapsulated liposomes and hemoglobin vesicles can effectively and completely control hemorrhage from the liver for trauma-induced massive hemorrhage in thrombocytopenic rabbits.54 This product could be stored at normal temperature for more than a year and be used regardless of the patient's blood type. Although there are promising candidates, all synthetic blood product treatments still have a long way to go before reaching clinical application. Extensive research is necessary to ensure that the substances carried by synthetic RBCs are released in target organs, and the cell manufacturing process needs to be commercially scalable. However, a large amount of research focuses on rebuilding synthetic blood cells, and there is no doubt that further progress will be made in this area in the future.

There must also be a focus on techniques to effectively control the adverse events associated with ischemia-reperfusion injury in patients with HiTCA. Potential techniques include "post-conditioning," which aids in cytoprotection through the addition of lactate to the perfusate during post-ischemia reperfusion to prevent rapid changes in lactate concentration,<sup>55</sup> targeted temperature management after resuscitation,<sup>56–58</sup> drugs with antioxidant effects such as edaravone<sup>59</sup> and melatonin,<sup>60</sup> TEMPOL (C9H18NO2),<sup>61</sup> and medical gases such as hydrogen and carbon monoxide.<sup>62–64</sup> These techniques may greatly contribute to the development of integrated therapeutic strategies for control-ling ischemia-reperfusion injury.<sup>65</sup>

Drabek *et al.* and Alam *et al.* have been conducting research on the biological mechanisms underlying ischemia and reperfusion injury to maximize neuroprotective effects.<sup>66–73</sup> Studies of gene phenotypic changes caused by severe hypothermia may highlight new therapeutic targets for ischemic reperfusion injury, such as interleukins, the Akt

survival pathway, and the mitogen-activated protein kinase pathway.<sup>69,70</sup> The Pittsburgh research group is also investigating the biochemical mechanisms of EPR, focusing on inflammation and microglia.<sup>71,72</sup> More advanced methods that stimulate a specific hypothalamic neuronal circuit to induce a hibernation-like state while introducing passive profound hypothermia such as EPR may have a clinical application in medicine in the future.<sup>74</sup>

Treatment methods and strategies for patients with severe traumatic shock and HiTCA are changing, with clinical trials becoming more feasible as laboratory studies demonstrate promising results from these novel pharmacological approaches and special solutions. Novel devices that help accelerate the practice of SAAP and EPR also merit further research. In summary, "buying time" from the injury to definitive hemorrhage control and effectively suppressing adverse events after resuscitation will have significant effects on the prognosis and outcomes of severe trauma patients with exsanguination.

#### **CONCLUSION**

THIS ARTICLE HAS comprehensively described the content, evidence, and limitations of cutting edge trauma resuscitation procedures, such as SAAP and EPR, with plentiful data from preclinical studies and for which clinical research is beginning. This review also discussed for the first time, the main hurdles for the clinical application of each novel approach and ways to address them. Because these novel exploratory approaches have revolved around preclinical studies, unknown adverse events may be discovered when applied clinically. However, current findings suggest that these strategies will effectively improve the survival of those with severe NCTH and HiTCA who have never had a way to be resuscitated. Appropriately designed multicenter clinical trials are necessary to establish the appropriate and optimal use of these complementary therapeutic approaches for patients with severe traumatic hemorrhage. This review aims to provide physicians with a basic knowledge of these novel therapeutic strategies, including SAAP and EPR, which may help save the lives of trauma patients with massive hemorrhage and HiTCA.

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#### DISCLOSURE

Approval of Research Protocol: Not applicable.

Informed Consent: Written informed consent was obtained from the patient for publication of this case report and accompanying images. A copy of the written consent is available for review by the Editor-in-Chief of this journal on request.

Conflict of Interest: None declared.

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