

Since January 2020 Elsevier has created a COVID-19 resource centre with free information in English and Mandarin on the novel coronavirus COVID-19. The COVID-19 resource centre is hosted on Elsevier Connect, the company's public news and information website.

Elsevier hereby grants permission to make all its COVID-19-related research that is available on the COVID-19 resource centre - including this research content - immediately available in PubMed Central and other publicly funded repositories, such as the WHO COVID database with rights for unrestricted research re-use and analyses in any form or by any means with acknowledgement of the original source. These permissions are granted for free by Elsevier for as long as the COVID-19 resource centre remains active. Contents lists available at ScienceDirect



## American Journal of Otolaryngology–Head and Neck Medicine and Surgery

journal homepage: www.elsevier.com/locate/amjoto



# Protocol for percutaneous tracheostomy and prevention of COVID-19 transmission

Nicolás Avalos<sup>a,b,c,\*</sup>, Kristian Grego<sup>a,b</sup>

<sup>a</sup> Instituto Chileno de Cabeza y Cuello, Chile

<sup>b</sup> Hospital de la Fuerza Aérea de, Chile

<sup>c</sup> Profesor asociado U. de Valparaiso y U. de Santiago, Chile

ARTICLE INFO	A B S T R A C T
Keywords: Tracheostomy Percutaneous COVID-19	Introduction: Currently we are faced with countless patients with prolonged invasive mechanical ventilation as a result of the COVID-19 pandemic, with the consequent increase in the need for tracheostomies and the risks that this includes for both patients and staff. Objective: It is necessary to establish a safety protocol for the performance of percutaneous tracheostomies in order to reduce the associated infections. <i>Material and methods</i> : 77 patients underwent tracheostomies between March 2020 and March 2021, evaluating the safety of the protocol and the rate of contagion among the staff. <i>Results</i> : Percutaneous tracheostomy was performed according to the protocol in 72 patients, 5 were excluded due to unfavorable anatomy or other reasons. There were no cases of SARS COVID-19 contagion among health personnel attributable to the procedure during the three-week follow-up period. There were no surgical complications in this series. <i>Conclusion:</i> The authors recommend implementing security protocols such as the one discussed in this work given its low contagion rate and ease of implementation.

### 1. Introduction

Percutaneous tracheostomy has become routine procedure in intensive care wards, as it is a fairly quick and low-cost procedure [1]. In addition, it is safe in selected patients and has a low risk of complications with good patient selection [1,2]. During the SARS COVID-19 pandemic hospitals have collapsed with patients who have been on mechanical ventilation for a long time, some for over 45 days. There is a great need to perform tracheostomies in this scenario. The generation of aerosols while intervening the airways of COVID-19 patients poses a high risk of contagion for health personnel. International standards advocate the percutaneous tracheostomy over the conventional open one to reduce the risk of infection with COVID-19 [3–5]. We present our experience.

### 2. Materials and methods

We compiled the tracheostomies performed on COVID-19 patients with mechanical ventilation at the Air Force Hospital during the peak of the epidemic, from March 2020 to March 2021. All of them were tracheostomized al least after day 12 of mechanical ventilation without the

https://doi.org/10.1016/j.amjoto.2021.103090 Received 22 April 2021; Available online 25 May 2021 0196-0709/© 2021 Elsevier Inc. All rights reserved. possibility of weaning within the next 48 h. The percutaneous tracheostomy protocol was applied as described before [1]. The coagulation study and coordination with the attending medical team were fundamental, as many of these serious patients were on anticoagulants. There were no exclusion criteria for entering the study. Cook's Blue Rhino percutaneous tracheostomy set was used.

Special measures were taken to avoid aerosols while intervening in the airway, according to Table 1.

#### 3. Results

Seventy-seven percutaneous tracheostomies were performed between March 2020 and March 2021. Using the Avalos' chart protocol [1], only 5 patients were excluded for anatomy and one of them also had severe coagulation problems. The protocol was used to its indicated maximum to avoid an open tracheostomy with the risk of aerosol. The COVID-19 protection measures described in Table 1 were applied, stressing the protection of health staff [6–9]. There were no cases of SARS COVID-19 contagion among health personnel attributable to the procedure during the three-week follow-up period. There were no

<sup>\*</sup> Corresponding author at: Instituto Chileno de Cabeza y Cuello, Chile. *E-mail address:* contacto@cabezaycuello.cl (N. Avalos).

#### Table 1

Percutaneous TQT protection protocol in COVID-19 patients.

- 1. Pre-oxygenate the patient, Fi02 to 100%, Peep no greater than 8 mmHg.
- 2. Protection of the health team with N95 face mask, face shield, disposable apron, and gloves.
- Cleaning of oral cavity using a Yankauer cannula, removing all oropharyngeal secretions.
- 4. Gauze is placed in the mouth, sealing it to prevent aerosols.
- 5. The ventilator is turned off, air flow 0, once the trachea has been punctured and the cuff deflated, thus minimizing aerosols.
- 6. Installation of dilatational tracheostomy.
- 7. Connect ventilator to tracheostomy cannula immediately.
- Restart ventilator and confirm pressure/volume curve, auscultation of lung fields to confirm adequate ventilation.

surgical complications in this series.

The 2 patients who were rejected received bedside tracheostomies using the conventional open technique.

77 tracheostomies (72 with the protocol, 5 bedside)

4 rejection: short neck (anatomy) and anticoagulated

1 rejection: short neck with ankylosis of the cervical spine

0 evidence of health personnel contagion

0 surgical complications using protocol

19 days of mechanical ventilation was the average for percutaneous tracheostomy

#### 4. Discussion

There has been a veritable avalanche of serious patients in need of tracheostomies for airway management. A special feature of this pandemic is airborne contagion due to aerosols, which places health personnel at high risk of infection. A characteristic of the COVID-19 infections is the long periods of mechanical ventilation as compare with another pneumonia cases [6]. Another difficult in this patient is the demonstrated need of prone ventilation. It is feasible to ventilate a patient in prone position, but it dangerous in the case of obstruction of the canula. This is the reason why we perform tracheostomy in more stable patients, so that the reason why the average of tracheostomy is in 19 days of mechanical ventilation.

Use of the percutaneous tracheostomy technique facilitates protection of staff, as it significantly reduces aerosol levels compared to the open tracheostomy. The difficulty that may emerge is that to ensure it is a safe procedure for patients, they must meet certain conditions, as shown in the previous study [1].

Furthermore, in this study we added a protocol to reduce the production of aerosols coming mainly from the pharynx and oral cavity because air begins to pass from the mechanical ventilator to the pharynx when the endotracheal tube cuff is deflated or damage at the start of the procedure.

This protocol emphasizes cleaning of the oral cavity and pharynx, which are then sealed with gauze, and the ventilator is turned off when the trachea has been located and the guide inserted, taking advantage of American Journal of Otolaryngology-Head and Neck Medicine and Surgery 42 (2021) 103090

the patient's functional reserve for 1 min while the cannula itself is installed [11-13]. We always install tracheal cannula number 8 to facilitate cleaning of the airway.

No staff were infected and there were no surgical complications with proper case selection and protection measures to avoid producing aerosols [14].

#### 5. Conclusion

The COVID-19 pandemic presents us with a series of challenges and difficulties, given its high rate of contagion, it is necessary to establish clear protocols when carrying out procedures that expose health personnel to infection.

Infected patients require long stays in critical care units and prolonged mechanical ventilations that will require interventions such as tracheostomies, which is why it is urgent to provide prevention strategies for personnel in these types of procedures.

In our series of cases there were no incidents or infection rates among health personnel, being a safe and replicable protocol for the medical community.

#### References

- [1] Avalos N. Am. J. Otolaryngol. 2018. https://doi.org/10.1016/j. amjoto.2018.11.001.
- [2] McGrath BA, Brenner MJ, et al. Tracheostomy in the COVID-19 era: global and multidisciplinary guidance. Lancet Respir. Med. 2020. https://doi.org/10.1016/ s2213-2600(20)30230-7.
- [3] Hiramatsu M, et al. Anesthetic and surgical management of tracheostomy in a patient with COVID-19. Auris Nasus Larynx 2020. https://doi.org/10.1016/j. anl.2020.04.002.
- [4] Chiang SS, et al. Controversies in tracheostomy for patients with COVID-19: the when, where, and how. Respir. Care 2020:08100. https://doi.org/10.4187/ respcare.08100.
- [5] Prabhakaran K, et al. Open tracheostomy for covid19 positive patients. J. Trauma Acute Care Surg. 2020. https://doi.org/10.1097/ta.00000000002780.
- [6] Ferri E, et al. Indications and timing for tracheostomy in patients with SARS CoV2related. Eur. Arch. Otorhinolaryngol. 2020. https://doi.org/10.1007/s00405-020-06068-7.
- [7] Parekh RM, & amp; Lai, Y. H.. COVID-19 patients for tracheostomy: anesthetic and team considerations. J. Clin. Anesth. 2020. https://doi.org/10.1016/j. iclinane.2020.109883.
- [8] Hur K, Price CPE, Gray EL, et al. Factors associated with intubation and prolonged intubation in hospitalized patients with COVID-19. Otolaryngol. Head Neck Surg. 2020. https://doi.org/10.1177/0194599820929640.
- [9] Chiesa-Estomba CM, Lechien JR, et al. Systematic review of international guidelines for tracheostomy in COVID-19 patients. Oral Oncol. 2020. https://doi. org/10.1016/j.oraloncology.2020.104844.
- [11] Riestra-Ayora J, Yanes-Diaz J, et al. Safety and prognosis in percutaneous vs surgical tracheostomy in 27 patients with COVID-19. Otolaryngol. Head Neck Surg. 2020. https://doi.org/10.1177/0194599820931801.
- [12] Miles BA, Schiff B, et al. Tracheostomy during the COV-SARS-CoV -2 pandemic: recommendations from the New York Head and Neck Society. Head Neck 2020. https://doi.org/10.1002/hed.26166.
- [13] Zhong Y, Xiao H, Varvares MA. How to avoid nosocomial spread during tracheostomy for Covid-19 patients. Head Neck 2020. https://doi.org/10.1002/ hed.26167.
- [14] Vargas M, Servillo G. Improving staff safety during tracheostomy in COVID-19 patients. Head Neck 2020. https://doi.org/10.1002/hed.26163.