

Elective orthopedic surgery during COVID-19

Haoyan Zhong,¹ Jashvant Poeran ,² Jiabin Liu ,^{1,3} Brian D Sites,⁴ Lauren A Wilson,⁵ Stavros G Memtsoudis ,^{1,3,5,6}

► Additional material is published online only. To view, please visit the journal online (<http://dx.doi.org/10.1136/rapm-2021-102490>).

¹Department of Anesthesiology, Critical Care & Pain Management, Hospital for Special Surgery, New York, NY, USA

²Institute for Healthcare Delivery Science, Department of Population Health Science & Policy, Icahn School of Medicine at Mount Sinai, New York, NY, USA

³Department of Anesthesiology, Weill Cornell Medicine, New York, NY, USA

⁴Department of Anesthesiology and Orthopaedics, Geisel School of Medicine at Dartmouth, Lebanon, New Hampshire, USA

⁵Department of Anesthesiology, Critical Care & Pain Management, Perioperative Medicine and Intensive Care Medicine, Paracelsus Medical University, Salzburg, Austria

⁶Department of Health Policy and Research, Weill Cornell Medical College, New York, NY, USA

Correspondence to

Dr Stavros G Memtsoudis, Department of Anesthesiology, Critical Care & Pain Management, Hospital for Special Surgery, New York, NY 10021-4898, USA; memtsoudis@hss.edu

Received 8 January 2021

Revised 1 February 2021

Accepted 2 February 2021

Published Online First

15 February 2021



© American Society of Regional Anesthesia & Pain Medicine 2021. No commercial re-use. See rights and permissions. Published by BMJ.

To cite: Zhong H, Poeran J, Liu J, et al. *Reg Anesth Pain Med* 2021;**46**:825–827.

INTRODUCTION

During the first wave of the COVID-19 pandemic in the USA (March and April 2020) various organizations—including the American Academy of Orthopaedic Surgeons¹—provided guidance on postponement or cancelation of elective and non-urgent surgeries to conserve healthcare resources. However, as these guidelines left the interpretation of “elective” and “non-urgent” largely to local healthcare delivery systems, it remains unknown how elective orthopedic surgeries and outcomes were affected.

We hypothesized that patients undergoing elective orthopedic surgery during the COVID-19 outbreak (compared with the previous year) would differ in terms of patient outcomes, specifically 30-day readmission given restraint healthcare resources during the COVID-19 outbreak.

METHODS

We retrospectively analyzed patients captured in the Premier Healthcare database who underwent common elective orthopedic procedures during March and April of 2019 (“pre-COVID-19”) and 2020 (during the first COVID-19 wave in the USA). Premier Healthcare Database is a Health Insurance Portability and Accountability Act (HIPAA)-compliant administrative database providing information from over 700 US hospitals with comprehensive billing, cost, device, medication, and procedure information. Premier Healthcare Database is the largest acute care database in the USA, accounting for 20% of inpatient discharges. Procedures included foot and ankle surgery, primary and revision total hip arthroplasty or total knee arthroplasty, laminectomy, spine fusion, and shoulder and elbow arthroplasty (see online supplemental appendix 1 for definitions). Of note, we appreciate that revision surgery may be classified as elective or non-elective based on the indication; we only focused on surgeries labeled as elective in this dataset.

Our primary outcome of interest was 30-day hospital readmission. Other outcomes included intensive care unit (ICU) admission, length of ICU (and hospital), length of stay (LOS), use of invasive ventilation, composite complication (online supplemental appendix 2) and in-hospital mortality. Covariates of interests included age, sex, race, comorbidity burden as measured by the Charlson-Deyo index,² hospital location, bed size, teaching status, region, hospital LOS, and discharge disposition.

Wilcoxon rank-sum tests and χ^2 tests were applied as well as a multivariable logistic regression measuring the association between year and specifically 30-day readmission while adjusting for covariates. Covariates in the model were a priori determined based on clinical relevance; here, hospital LOS was treated as both

an outcome in univariable comparisons as well as a covariate in our multivariable model with 30-day readmission. ORs and 95% CIs were reported. A *p* value <0.05 was determined as statistically significant.

RESULTS

Overall, 38,741 and 12,245 elective orthopedic surgeries were included reflecting March/April 2019 and 2020, respectively. Compared to 2019, procedures in the March/April 2020 period reflected somewhat younger, more frequently women, non-white, and more comorbid patients while they were more commonly performed in teaching and larger hospitals. The distribution of surgeries performed in different regions was relatively skewed during the COVID-19 outbreak as the percentage of cases performed in the south increased, while percentage of cases done in other regions remained relatively stable, which may reflect the degree of spread of the first wave of COVID-19 in different parts of the country (table 1).

The crude readmission rate increased from 1.2% in 2019 to 1.7% in 2020. After adjustment for relevant covariates, there was 27% increase in the likelihood of readmission during COVID-19 period (adjusted OR: 1.27, 95% CI 1.07 to 1.5, *p*=0.006) (figure 1).

Further, patients were more frequently admitted to ICU (crude rate 2.7% in 2019 vs 3.4% in 2020, *p*<0.001), discharged home (crude rate 84.3% in 2019 vs 87.8% in 2020, *p*<0.001), experienced higher composite complication rates (crude rate 4.8% in 2019 vs 5.5% in 2020, *p*=0.002), and had higher cost (median (IQR): 16,686 (13,237, 23,181) in 2019 vs 18,441 (13,655, 27,040) in 2020, *p*<0.001) during the COVID-19 period. There were no significant group differences in terms of mechanical ventilation use, and ICU LOS (table 2).

DISCUSSION

In this analysis, patients admitted for elective orthopedic surgery during the COVID-19 period were younger, with higher comorbidity burden, and more likely re-admitted within 30 days of discharge (in the presence of a somewhat higher home discharge rate), compared with the same time period in the previous year.

These observations bring up various questions, including those related to elective hospital stays and subsequent COVID-19 exposure risks and related ethical concerns about performing elective surgery during a time with limited resources, especially if they are associated with worse outcomes. While younger patients are less likely to require ICU admission and/or mechanical ventilation,³ their initial prioritization for surgery during the COVID-19 period makes intuitive sense. However, a higher hospital readmission

Table 1 Patient and healthcare characteristics before and during COVID-19

	Pre-COVID-19	During COVID-19	P value
N (%)	38 741 (76.0)	12 245 (24.0)	
Age, median (IQR)	67 (59, 73)	66 (57, 73)	<0.001
Female, n (%)	22 858 (59.0)	6943 (56.7)	<0.001
Race, n (%)			
Black	3256 (8.4)	1088 (8.9)	0.038
White	31 940 (82.4)	9971 (81.4)	
Other	3545 (9.2)	1186 (9.7)	
Deyo index, n (%)			<0.001
0–1	35 743 (92.2)	11 139 (91.0)	
2	2018 (5.2)	707 (5.8)	
3+	980 (2.5)	399 (3.3)	
Urban hospital, n (%)	33 521 (86.5)	10 556 (86.2)	0.155
Teaching hospital, n (%)	20 171 (52.1)	6350 (51.9)	<0.001
Hospital bed size, n (%)			<0.001
0–300	15 037 (38.8)	4521 (36.9)	
300–500	11 856 (30.6)	3849 (31.4)	
500+	11 848 (30.6)	3875 (31.6)	
Region, n (%)			<0.001
Midwest	9072 (23.4)	2941 (24.0)	
Northeast	10 706 (27.6)	3035 (24.8)	
South	15 346 (39.6)	5186 (42.4)	
West	3617 (9.3)	1083 (8.8)	
Procedure, n (%)			<0.001
TKA	14 791 (38.2)	3751 (30.6)	
THA	10 871 (28.1)	2733 (22.3)	
Laminectomy	740 (1.9)	511 (4.2)	
Spinal fusion	7393 (19.1)	3290 (26.9)	
Shoulder and elbow arthroplasty	3658 (9.4)	1442 (11.8)	
Foot and ankle	259 (0.7)	99 (0.8)	
Hip revision	363 (0.9)	181 (1.5)	
Knee revision	666 (1.7)	238 (1.9)	

THA, total hip arthroplasty; TKA, total knee arthroplasty.

rate was noticed among this younger patient cohort during the COVID-19 period after controlling for sociodemographic factors, health status, and postoperative factors. It is possible that medical or staff resources were strained during the COVID-19 period and treatment might have been hindered.⁴ Additionally, risks of COVID-19 transmission during institutionalized care were likely to be weighed carefully against risks of suboptimal rehabilitation (which may in

Table 2 Secondary patient outcomes before and during COVID-19

	Pre-COVID-19	During COVID-19	P value
LOS in hospital, median (IQR)*	2 (1, 3)	2 (1, 3)	<0.001
LOS>3 days, n (%)*	4911 (12.7)	1737 (14.2)	<0.001
Post-op ICU admission, n (%)*	1063 (2.7)	422 (3.4)	<0.001
LOS in ICU, median (IQR)*	2 (1, 3)	2 (1, 3)	0.860
Post-op mechanical ventilation, n (%)*	155 (0.4)	51 (0.4)	0.803
Discharge disposition, n (%)*			<0.001
Facility	6056 (15.6)	1487 (12.1)	
Home	32 670 (84.3)	10 750 (87.8)	
In-hospital mortality	15 (0)	8 (0.1)	0.227
Composite complication, n (%)* †	1858 (4.8)	671 (5.5)	0.002
Patient cost, median (IQR)* ‡	16 686 (13 237, 23 181)	18 441 (13 655, 27 040)	<0.001

*For secondary outcomes, continuous outcomes (LOS in hospital, LOS in ICU, and patient cost) were compared using Wilcoxon rank-sum tests and binary outcomes (LOS>3 days, post-op ICU admission, post-op mechanical ventilation use, in-hospital mortality, and composite complication) were compared using χ^2 tests.

†Composite complication includes acute renal failure, acute myocardial infarction, other cardiovascular complications, delirium, deep vein thrombosis, sepsis, fall, hemorrhage/hematoma, pulmonary embolism, pulmonary complications, pneumonia infection, wound, and central nervous system complications.

‡Cost was adjusted for inflation (2020 US dollars).

ICU, intensive care unit; LOS, length of stay.

turn have led to higher readmission risks) at home. The presence of higher readmission risks in 2020 alongside somewhat higher home discharge suggests that this mechanism may have played a role in our observations.

Importantly, we were not able to identify “hotspots” during the COVID-19 period given the missing granularity of geographic data. Additionally, the Premier dataset does not yet contain long-term follow-up information of the COVID-19 cohort. Finally, there may be an underestimate of readmission rate, as our data were limited to readmissions to Premier hospitals only. Without being able to draw causal inferences from our data further research is warranted.

In conclusion, despite younger patients being prioritized for elective surgery during the COVID-19 period of March/April 2020, patient outcomes were worse. This questions the decision to perform elective orthopedic surgeries during pandemic without first understanding the drivers for these outcomes.

Correction notice This article has been corrected since it published Online First. The author order and affiliations have been updated.

Twitter Jashvant Poeran @jashvant_p, Jiabin Liu @jbluijb, Brian D Sites @sites_brian and Stavros G Memtsoudis @sgmemtsoudis

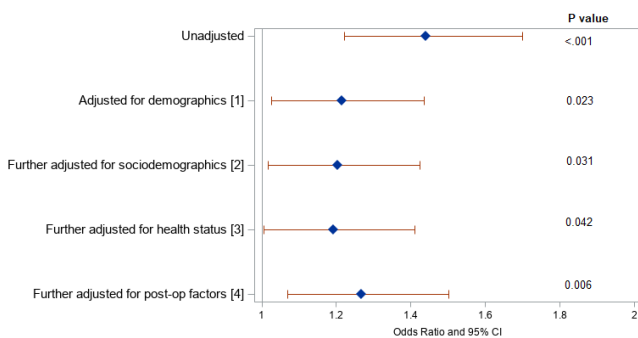
Contributors HZ: this author helped in study design/planning, data analysis, interpretation of results, manuscript preparation, and review. LAW: this author helped in study design/planning, data analysis, interpretation of results, manuscript preparation, and review. JL: this author helped in study design/planning, interpretation of results, and manuscript review. JP: this author helped in study design/planning, interpretation of results, manuscript preparation, and review. BDS: this author helped in study design/planning, interpretation of results, manuscript preparation, and review. SGM: this author helped in study design/planning, interpretation of results, manuscript preparation, and review.

Funding The authors have not declared a specific grant for this research from any funding agency in the public, commercial or not-for-profit sectors.

Competing interests None declared.

Patient consent for publication Not required.

Ethics approval This study was approved by Institutional Review Board (IRB#2016-436).



[1] Adjusted for age, sex, race, and procedure
 [2] Further adjusted for hospital location, teaching status, bed size, and region
 [3] Further adjusted for Deyo comorbidity index
 [4] Further adjusted for hospital length of stay and discharge disposition

Figure 1 ORs for the associations between time (during COVID-19 vs pre-COVID-19) and 30-day readmission.

Provenance and peer review Not commissioned; externally peer reviewed.

This article is made freely available for use in accordance with BMJ's website terms and conditions for the duration of the covid-19 pandemic or until otherwise determined by BMJ. You may use, download and print the article for any lawful, non-commercial purpose (including text and data mining) provided that all copyright notices and trade marks are retained.

ORCID iDs

Jashvant Poeran <http://orcid.org/0000-0001-7058-5102>

Jiabin Liu <http://orcid.org/0000-0002-1029-2786>

Stavros G Memtsoudis <http://orcid.org/0000-0001-9093-0030>

REFERENCES

- 1 American Academy of Orthopaedic Surgeons. AAOS guidelines for elective surgery during the COVID-19 pandemic. Available: <https://www.aaos.org/globalassets/about/covid-19/georgia-surgery-invasive-elective-procedure-guidelines.pdf> [Accessed 29 Oct 2020].
- 2 Deyo RA, Cherkin DC, Ciol MA. Adapting a clinical comorbidity index for use with ICD-9-CM administrative databases. *J Clin Epidemiol* 1992;45:613–9.
- 3 Wilson LA, Zhong H, Liu J, *et al*. Return to normal: prioritizing elective surgeries with low resource utilization. *Anesth Analg* 2020;131:e99–102.
- 4 Randau TM, Jaenisch M, Haffer H, *et al*. Collateral effect of COVID-19 on orthopedic and trauma surgery. *PLoS One* 2020;15:e0238759.