

REVIEW

# Safety of apixaban for venous thromboembolism prophylaxis: the evidence to date

#### Vladimir Trkulja

Department of Pharmacology, Zagreb University School of Medicine, Zagreb, Croatia **Abstract:** Apixaban, a direct orally active anticoagulant (selective, direct factor Xa inhibitor) is approved for (primary) prevention of venous thromboembolism (VTE) in patients undergoing elective total-hip or total-knee arthroplasty, for acute treatment/prevention of recurrent events in patients with VTE, and extended prophylaxis in patients with a history of VTE. Another approved use is prevention of stroke and systemic embolism in patients with nonvalvular atrial fibrillation. The present overview focuses on the safety of apixaban specifically in the VTE setting. Apixaban displays favorable pharmacokinetic properties: simple twice-daily dosing, low inter- and intrasubject variability, dose and time linearity, and multiple elimination pathways not critically dependent on either renal or metabolic mechanisms. An extensive nonclinical program and the overall clinical development program (all approved and tested indications) provided no signal that would indicate any particular specific safety concern related to apixaban apart from the increased risk of bleeding. With regard to the approved VTE indications, safety (and efficacy) was assessed in five large pivotal Phase III trials. In comparison to currently recommended standard treatments, apixaban shows superior efficacy, while at the same time no excess risk of bleeding in patients undergoing total-hip or total-knee arthroplasty. In treatment of VTE, apixaban shows noninferior efficacy and a reduced risk of bleeding, whereas in extended prophylaxis it reduced the risk of VTE/VTE-related deaths, with no increased risk of relevant bleedings in comparison to placebo. Documented clinical experience with apixaban in daily practice is currently sparse. However, its use is progressively increasing, and there has been no signal so far that would materially change the perception of its safety profile as defined in the premarketing trials.

Keywords: apixaban, venous thromboembolism, treatment, prophylaxis, safety

#### Introduction

Apixaban, chemically 1-(4-methoxyphenyl)-7-oxo-6-[4-(2-oxopiperidin-1-yl) phenyl]-4,5,6,7-tetrahydro-1H-pyrazolo[3,4-c]pyridine-3-carboxamide ( $C_{25}H_{25}N_5O_4$ , molecular weight [MW] 459.5), is an orally active direct inhibitor of the activated coagulation factor X (fXa). It falls into a relatively recently established therapeutic class of direct orally active anticoagulants (DOACs) together with the direct thrombin inhibitor dabigatran and two other approved direct fXa inhibitors: rivaroxaban and edoxaban. Apixaban is formulated as immediate-release film-coated tablets containing 2.5 mg or 5.0 mg of the active substance that are dosed twice daily (bis in die [BID]). Apixaban is currently approved for: 1) prevention of venous thromboembolic (VTE) events in patients undergoing total-hip arthroplasty (THA) or total-knee arthroplasty (TKA) (primary prevention); 2) treatment of acute deep vein thrombosis (DVT) and/or

Correspondence: Vladimir Trkulja Department of Pharmacology, Zagreb University School of Medicine, 3 Šalata Ulica, Zagreb 10000, Croatia Tel +385 I 45 66 830 Fax +385 I 200 49 200 Email vtrkulja@mef.hr pulmonary embolism (PE), ie, venous thromboembolism (VTE) and prevention of recurrent events (secondary prevention); and 3) prevention of arterial thromboembolic incidents, ie, stroke and systemic embolism in patients with nonvalvular atrial fibrillation (NVAF) requiring anticoagulation. In these indications, apixaban has been evaluated in comparison to the standard-of-care treatments, ie, enoxaparin, warfarin, or fondaparinux, 4,5 to placebo for extended VTE prevention in patients who had completed a 6- to 12-month anticoagulant treatment after the index event without recurrence and were at equipoise regarding continuation of anticoagulation,5 and to warfarin or aspirin (if warfarin was unsuitable) in patients with NVAF.<sup>6</sup> All approved indications refer to adult patients, since apixaban has not been evaluated in children and adolescents < 18 years of age. Apixaban has not been evaluated in pregnant or breast-feeding women either.<sup>2,3</sup>

During the regulatory clinical development, apixaban was assessed in some other settings as well. One Phase II randomized, double-blind, placebo-controlled, dose-ranging trial has been completed, indicating therapeutic potential of apixaban in primary prevention of VTE in ambulatory patients with cancer receiving chemotherapy.<sup>7</sup> One large Phase III randomized double-blind trial of apixaban 2.5 mg BID over 30 days vs enoxaparin 40 mg once daily over 6–14 days for prevention of VTE in acutely medically ill patients with at least one additional risk factor for VTE provided no evidence of VTE-prevention benefit, while there was increased risk of major bleeding.8 Apixaban was also evaluated for prevention of recurrent ischemic events in patients with acute coronary syndrome over the subsequent 6 months. One Phase II randomized, double-blind, placebo-controlled, dose-ranging trial (apixaban 5-20 mg/day on top of antiplatelets) demonstrated too high a risk of major or nonmajor clinically relevant bleeding with 20 mg/day. Lower doses (5 or 10 mg/day) also showed a higher risk of bleeding, but indicated a trend toward reduced recurrent ischemic events.9 However, a subsequent large Phase III randomized placebocontrolled trial of apixaban 5 mg BID in this indication was stopped prematurely, due to a lack of a beneficial effect and an increased risk of major bleeding. 10 A simultaneous placebocontrolled Phase II trial in this indication in Japanese patients (apixaban 2.5 mg BID or 5 mg BID) was consequently also terminated prematurely. The accumulated data indicated a higher risk of major bleeding with both doses.<sup>11</sup>

The present overview addresses the safety of apixaban, specifically in the prevention of VTE. The focus is on the approved indications, ie, THA/TKA and acute and extended treatment in VTE patients. Data from other settings, ie,

primary VTE prevention in cancer patients and medically ill patients, are in this context considered supportive: the mechanism of action and expected benefit are the same; however, the underlying conditions/comorbidity may affect the safety aspects of apixaban.

VTE subsumes two related entities: DVT, ie, thrombosis of the deep veins of the lower extremities, and PE. Most patients with PE suffer also from DVT (not necessarily symptomatic) and vice versa. 11,12 As pointed out by the practice guidelines on VTE by the American College of Chest Physicians, 13 in the strict sense VTE refers specifically to DVT/PE. Other venous thrombotic events, eg, acute upper-extremity DVT or thrombosis of the splanchnic veins or the hepatic vein, are not embraced by this term. It should be noted that apixaban has been formally evaluated and approved specifically for primary prevention (THA, TKA) and treatment/secondary prevention of VTE in the context of DVT/PE. The risk—benefit relationship for apixaban in other conditions characterized by DVT and potential embolism has not been formally assessed as yet.

# Summary of pharmacological properties of apixaban

Apixaban is a highly potent reversible inhibitor of activated human fXa. It binds to the enzymatically active site of fXa and inhibits free fXa, fXa in the prothrombinase complex and thrombin-associated fXa. Selectivity of apixaban for fXa is illustrated by its greatly lower potency in inhibition of a range of other human enzymes of the coagulation—anticoagulation system. <sup>1,14,15</sup> Table 1 outlines the pharmacodynamic profile of apixaban as established in in vitro assays during its discovery and development. One independent study demonstrated an inhibitory effect of apixaban on platelet and fibrin interactions with damaged endothelium in the rabbit aorta perfusion model. <sup>16</sup> Overall, in vitro data indicate that in addition to direct antithrombotic/anticoagulant activity, apixaban also potently indirectly inhibits platelet aggregation.

The pharmacokinetics (PK) and in vivo pharmacodynamics (PD) of apixaban have been evaluated in extensive nonclinical programs and in around 40 human studies. Additionally, Phase II and III trial data were used for population PK and PK-PD modeling. Data are available from regulatory assessment reports<sup>4–6,17,18</sup> and published studies.<sup>19–43</sup> Table 2 summarizes the main features of apixaban. From the safety standpoint, the fact that apixaban is highly plasma protein-bound and hence nondialyzable might be an impractical property in the case of excess anticoagulant effect. Generally,

**Table I** Outline of the pharmacodynamic profile of apixaban as established in in vitro assays during discovery and preclinical development

| Enzyme inhibition, K <sub>i</sub> (nM) |       |  |
|--|-------|--|
| fXa                                    | 0.081 |  |
| Activated protein C                    | >30   |  |
| Chymotrypsin                           | 3.5   |  |
| flXa                                   | >15   |  |
| fVIIa                                  | >15   |  |
| Plasma kallikrein                      | 3.7   |  |
| Plasmin                                | >25   |  |
| Thrombin                               | 3.1   |  |
| Tissue plasminogen activator           | >40   |  |
| Trypsin                                | >20   |  |

#### Coagulation tests, concentration inducing doubling of time ( $\mu M$ )

| Prothrombin time                      | 3.6  |
|---------------------------------------|------|
| Modified prothrombin time             | 0.37 |
| Activated partial thromboplastin time | 7.4  |
| HepTest*                              | 0.4  |

#### Platelet aggregation in platelet-rich plasma, inhibition

ADP-, collagen-, γ-thrombin-, No direct effect at 1, 3, or

 $\alpha\text{-thrombin-, or TRAP-induced}$  10  $\mu\text{M}$ 

Tf-mediated coagulation pathway- Inhibition (indirect)  $IC_{50} = 4 \text{ nM}$ 

derived thrombin-induced

#### Thrombin generation (thrombogram), inhibition

 $\begin{tabular}{ll} Tf-initiated thrombin generation in platelet-poor plasma & Inhibition (rate) IC_{50} = 50 nM \\ Inhibition (thrombin peak) IC_{50} \\ &= 100 nM \\ Tf-induced thrombin generation in & Inhibition IC_{50} = 37 nM \\ \end{tabular}$ 

platelet-rich plasma

**Notes:** \*Assay system for quantification of heparin based on heparin-mediated fXa inhibition resulting in prolonged clotting time. Data from Wong et al, <sup>1</sup> Wong et al, <sup>14</sup> and Jian et al. <sup>15</sup>

**Abbreviations:** fXa, factor Xa; Tf, tissue factor; TRAP, thrombin receptor-activating peptide;  $IC_{so}$ , half-maximal inhibitory concentration.

however, apixaban displays favorable, predictable PK properties similar in healthy subjects and in patients with approved indications: low inter- and intrasubject variability, modest peak-trough fluctuation, no food interaction, possibility of reduction of total exposure with activated charcoal administered 2–6 hours since dosing, multiple elimination mechanisms not critically depending on either metabolism or renal function, no effect on key metabolizing enzymes or transport proteins, indicating low potential for relevant PK drug-drug interactions, and an elimination half-life that allows for a relatively rapid loss of anticoagulant effect. Dedicated studies and population PK models have demonstrated negligible differences in peak and total exposure or apparent total body clearance between men and women, mild differences between elderly and younger subjects, mild effect of body weight, and no effect of race.

In line with its mechanism of action, apixaban increases anti-fXa activity in a dose/concentration-dependent manner (a strong exposure–effect linear relationship), but also

other routine indicators of the coagulation system (modified prothrombin time, prothrombin time, international normalized ratio [INR], and activated partial thromboplastin time), although with considerably more variability in the exposure—effect relationship rendering these indicators inappropriate for estimation of apixaban plasma concentration/anticoagulant activity. While dose-dependent efficacy of apixaban was demonstrated in dose-ranging trials in some but not all VTE settings (Table 3), the risk of relevant bleeding increases with higher exposure, although the response curve is flat (Table 2).

Based on dedicated studies and PK-PD modeling, only a few dosing restrictions/dose adjustments are required from the safety standpoint in indications related to VTE: 1) no dosing adjustment is required based on age, sex, body weight, or in patients with creatinine clearance (CrCl)  $\geq 30$ mL/min; in patients with CrCl 15–29 mL/min, apixaban is to be used with caution; it should not be used in patients with CrCl <15 mL/min or those on dialysis, due to a lack of clinical experience; 2) no dose adjustment is needed in patients with mild-to-moderate hepatic impairment, it is not recommended in those with severe impairment, and it is contraindicated in patients with hepatic failure associated with coagulopathy and clinically relevant bleeding risk; since patients with transaminases  $>2\times$  or total bilirubin  $>1.5\times$  the upper limit of normal were not included in clinical trials, caution is needed in this population; 3) drugs not recommended for concomitant use with apixaban are strong CYP3A4/P-gp inhibitors ("dual inhibitors"), eg, azole antifungals and HIV protease inhibitors like ritonavir, while it may be used concomitantly with "nonstrong" inhibitors (eg, diltiazem, naproxen, verapamil, amiodarone, quinidine) without dose adjustments; 4) apixaban may be used without dose adjustments concomitantly with strong CYP3A4 and/or P-gp inducers like rifampin, carbamazepine, barbiturates of Saint John's wort, but its use is not recommended, due to potentially reduced efficacy; 5) concomitant use of apixaban and other anticoagulants is contraindicated, due to an increased risk of bleeding; and 6) use of apixaban in patients requiring mono- or dual-antiplatelet therapy requires a careful consideration of risks and benefits. Although formal interaction studies showed no additional effect on platelet aggregation or on clotting tests when apixaban was combined with lowdose aspirin, clopidogrel, or prasugrel, or a combination of clopidogrel + aspirin or prasugrel + aspirin (Table 2), an increased risk of major bleeding with combined treatment vs apixaban alone was observed in clinical trials in patients with NVAF or acute coronary syndromes.<sup>2,3</sup>

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Table 2 Outline of the main clinical pharmacokinetic and pharmacodynamic properties of oral apixaban characterized in premarketing development

| development   |  |
|---|--|
| Pharmacokinetics  |  |
| Absorption, BA, food effect   | Rapidly absorbed in small intestine; enterohepatic and enteroenteric recirculation; "late" $T_{max}$ (~3 hrs) and ~50% absolute BA likely, because substrate to efflux proteins P-gp and BCRA; no food effect  |
| Distribution  | Plasma protein binding ~90% (albumin), no accumulation in erythrocytes; V <sub>D</sub> ~21 L   |
| Biotransformation   | ~32% of dose metabolized; no active metabolites; O-demethylation, hydroxylation, and sulfation of hydroxy-O-methyl apixaban; CYP3A4/5 main, IA2, 2J2, 2C8, 2C9, 2C19, IA3, IEI minor; SULTIA1, IA2   |
| Effect on enzymes/transporters  | No effect on CYP3A4/5, 2B6, 1A2, P-gp activity; not a substrate of and no effect on OATP1B1/3 or OAT1/3  |
| Elimination   | ~27% unchanged via kidneys (metabolites in urine minor), rest by feces (60% unchanged apixaban, mainly by intestinal secretion, minor via bile; metabolites the same); $CL_{T/F} \sim 5$ L/h; $t_{v_3} \sim 13$ hours  |
| Dose and time dependence  | Dose-proportional (5–50 mg single dose); steady state after 3 days of BID dosing; with BID (2.5–25 mg) dosing peak:trough ratio $\sim$ 3; nearly time-proportional, with accumulation index $\sim$ 1.7 across doses  |
| Inter- and intrasubject variability                                   | Intrasubject variability for peak and total exposure ~20%, intersubject 30%–40%  |
| Effect of demographics/morbidity                                      | No effect of sex or race; age $\geq$ 65 yrs vs 18–40 yrs ~30% and 20% $\uparrow$ peak and total exposure; body weight $\leq$ 50 kg or $\geq$ 120 kg vs 65–85 kg ~20% $\uparrow$ or $\downarrow$ total exposure, respectively; pop-PK model CL <sub>T/F</sub> $\downarrow$ 10% or $\uparrow$ 10% at 80 or 40 vs 60 years, respectively; $\downarrow$ 24% early post-TKA/THA, $\downarrow$ 18% if AF patient vs healthy  |
| Effect of renal failure   | Mild (CrCl >50 mL/min), moderate (CrCl 30–50 mL/min), or severe (CrCl 15–29 mL/min) no effect on peak exposure or $t_{1/2}$ , total exposure $\uparrow$ to 40% and CL <sub>T/F</sub> gradually decreases; renal clearance $\downarrow$ 50%–60%; Pop-PK CL <sub>T/F</sub> $\downarrow$ 15% in mild, 25% in moderate, and 44% in severe failure (CrCl <15 mL/min or dialyzed – unknown)  |
| Effect of hepatic failure   | Mild to moderate (Child-Pugh A or B) no effect; severe failure – unknown   |
| Drug-drug interactions  | Drug effects on apixaban: activated charcoal 2–6 hours postdose no effect on peak, total exposure $\downarrow$ 28%–50%, $t_{y_4}\downarrow$ 50% (inhibited enteroenteric recirculation); atenolol, clopidogrel, prasugrel, enoxaparin, famotidine – no effect; strong CYP3A/Pg-p inhibitor ketoconazole peak and total exposure $\uparrow$ 60% and 100%, respectively; moderate CYP3A4 inhibitor diltiazem peak and total exposure $\uparrow$ 40%; P-gp inhibitor naproxen peak and total exposure $\uparrow$ 55%–60%; strong CYP3A4 and P-gp inducer rifampin $\downarrow$ 50% peak and total exposure Apixaban: no effect on digoxin, clopidogrel, prasugrel, naproxen, aspirin, salicylic acid, atenolol, or enoxaparin |
| Pharmacodynamics  |  |
| Measures of anticoagulant activity and exposure–activity relationship | Apixaban increases anti-factor Xa activity, mPT, PT, INR, and aPTT; strong linear relationship between point-plasma concentrations, peak or total exposure, and anti-factor Xa activity (by chromogenic assays); effect-time profile follows the concentration-time profile; considerably more variability in the exposure – mPT, PT, and particularly INR and aPTT relationship; effects on anti-Xa, mPT, PT, INR, and aPTT with rapid onset, full anticoagulant activity after ~6 days of BID dosing   |
| Exposure-major bleeding relationship                                  | PK-PD model: increase in steady-state total exposure over dosing interval (AUC $_{t}$ ) increases the odds of major bleeding (OR 1.118 by 1 $\mu$ g·h/mL increase), but exposure—response curve flat; threefold increase in probability (from ~1.3% to ~3.9%) across a 21-fold increase (0.5–10.5 $\mu$ g·h/mL) in AUC $_{t}$ ; AUC $_{t}$ in healthy subjects with 10 mg BID =2.0 $\mu$ g·h/mL  |
| Effect of demographics/morbidity                                      | Sex and age do not change the exposure to anti-Xa, mPT, PT, or INR relationship; peak and integrated (AUC)   |
| on anticoagulant activity   | anti-Xa effect over time in elderly vs young or men vs women within $\pm 15\%$ , mPT and INR within 15%–20% (the same holds in different races); body-weight effects on anti-Xa in agreement with the effect on total exposure (the same holds for the treated condition [THA/TKA, VTE, NVAF])   |
| Anticoagulation in renal failure                                      | Anti-Xa activity and INR increase in line with modestly increased exposure, with declining renal function  |

Anticoagulation in renal failure Anticoagulation in hepatic failure Drug-drug interactions and effect on anticoagulation Anti-Xa activity and INR increase in line with modestly increased exposure, with declining renal function Child-Pugh A or B: no difference in peak or integrated anti-Xa or INR vs healthy subjects

PK-PD model indicates a relatively 70% increased risk of major bleeding when apixaban coadministered with ketoconazole; no major increase in risk with diltiazem or naproxen; additive anti-Xa activity with enoxaparin; reduced efficacy anticipated with rifampin; coadministration with aspirin, clopidogrel, prasugrel, or combination (aspirin + clopidogrel) – no additional effect on platelet aggregation, anti-Xa, mPT, or INR In a dedicated study, apixaban 10 or 50 mg once daily over 3 days did not prolong QTc

Note: Data taken from studies.4-6,17-43

Effect on QTc interval

**Abbreviations:** AF, atrial fibrillation; aPTT, activated partial thromboplastin time; AUC, area under the curve; BA, bioavailability; CL<sub>1/P</sub> apparent total body clearance after oral administration; CrCl, creatinine clearance; INR, international normalized ratio; mPT, modified prothrombin time; NVAF, nonvalvular atrial fibrillation; OR, odds ratio; PK-PD, pharmacokinetic—pharmacodynamic; Pop-PK, population pharmacokinetic modeling; QTc, QT interval corrected for heart rate (Fridericia method); THA, total-hip arthroplasty; TKA, total-knee a

# Summary of clinical efficacy in prevention/treatment of VTE

Anticoagulants are specific in that their clinical utility depends equally on two facets of their biological activity: prevention of thromboembolic effects and the risk of bleeding. Therefore, consideration of the safety of apixaban requires that its efficacy is at least briefly addressed. Table 3 summarizes the main particulars and efficacy outcomes in Phase II and Phase III trials of apixaban for prevention/treatment of VTE in the approved and tested indications. In patients

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| Phase/design   | Patients                              | Treatments (ITT)                  | Main efficacy outcomes   |
|--|---------------------------------------|-----------------------------------|--|
| Prevention of VTE in THA/TKA   |                                       |                                   |  |
| II, RCT, DB, parallel-group, dose-ranging <sup>4,44</sup>                                | Elective unilateral TKA               | Start: all treatments 12–24 hours | Composite: all-cause mortality/all VTE (all patients with adjudicated        |
|  | Main exclusion: high risk of          | Dostsurgery                       | venograms on days 10–14; all followed up 30 days after the last dose)        |
|  | VTE high risk of bleeding             | Duration: 10–14 days              | Apixaban (mg): 2.5 BID =9 9%, 5 OD =11.3%, 5 BID =4.8%, 10 OD =12.4%         |
|  | anticoagnlants or antiplatelets for   | Anixaban 2.5 BID (n≡112)          | 10 BID =5 5% 20 OD =8 2% enoxaparin = 15 6% warfarin =26 6%                  |
|  | other reasons liver disease           | Δ O O C                           | Anivaban dose-denendent reduction in death/VTF vs enovanarin or              |
|  | Octier reasons, liver disease         | Anivaban 5 mg RID (n=17)          | Aprilabelly accepted RID than OD: anixaban 2 5 mg RID better than enovanarin |
|  |                                       | Apixaban 10 mg OD (n=105)         | or warfarin, and no major bleeding   |
|  |                                       | A pixaban 10 mg BID (n=110)       |  |
|  |                                       | Apixabali 10 IIIg BiD (II=110)    |  |
|  |                                       | Apixaban 20 mg QD (n=110)         |  |
|  |                                       | Enoxaparin 30 mg BID (n=109)      |  |
|  |                                       | Wartarin INK 1.8–3.0 (n=109)      |  |
| III, RCT, DB, parallel-group, noninferiority   | Elective uni- or bilateral TKA,       | Start: all treatments 12–24 hours | Composite: all-cause mortality/all VTE (all patients with adjudicated        |
| Margin: 1.25 for RR, 5.6% for ARD <sup>4,45</sup>  | including revisions                   | postsurgery                       | venograms on days 10–14; all assessed at 30 and 60 days after the last dose) |
|  | Main exclusion: high risk of          | Duration: 10–14 days              | During treatment: apixaban 9.0%, enoxaparin 8.8%                             |
|  | VTE, high risk of bleeding,           | Apixaban 2.5 mg BID (n=1,157)     | RR 1.02 (95% CI 0.78–1.32), P=0.06 (not noninferior)                         |
|  | anticoagulants or antiplatelets       | Enoxaparin 30 mg BID (n=1,130)    | ARD 0.11 (95% CI -2.22 to 2.44), P<0.001 (noninferior)                       |
|  | for other reasons. liver disease.     |                                   | During follow-up: apixaban 0.2% DVT. 0.1% PE: enoxaparin 0.1% DVT. 0.3% PE   |
|  | significant renal impairment          |                                   |  |
| Prevention of VTE in THA/TKA   | 0.5                                   |                                   |  |
| III PCT DB rangilal grant and TOT III  | Flooring or bilatoral TKA             | Ctart: anivaban 12-24 hours       | Compositor all course montality/all VTE (all participate with adjuding       |
| Mi, N.C.1, J.B., Paramer-Stoup, Hollingshort,  | including contribute Main evolutions  | Jean t. apixabali 12–24 ilouis    | composite: an early and talk of the fall patients with adjusticated          |
| Margin: 1.25 for KK, 5.6% for ARD ""   | including revisions I lain exclusion: | postsurgery; enoxaparin perore    | venograms on days IV-I4; all assessed at 30 and 60 days after the last dose) |
|  | high risk ofVTE, high risk of         | (12 hours) surgery                | During treatment: apixaban 15.1%, enoxaparin 24.4%                           |
|  | bleeding, anticoagulants or           | Duration: 10–14 days              | RR 0.62 (95% CI 0.51–0.74), P<0.001 (noninferior, superior)                  |
|  | antiplatelets for other reasons,      | Apixaban 2.5 mg BID (n=976)       | ARD -9.3 (95% CI -12.7 to -5.8) (noninferior, superior)                      |
|  | liver disease, significant renal      | Enoxaparin 40 mg QD (n=997)       | During follow-up: apixaban 0.14% DVT, 0.21% PE; enoxaparin 0.07% DVT,        |
|  | impairment                            |                                   | 0.07% PE   |
| III, RCT, DB, parallel-group, noninferiority   | Elective THA, including revisions     | Start: apixaban 12–24 hours       | Composite: all-cause mortality/all VTE (all patients with adjudicated        |
| Margin: 1.25 for RR <sup>4,47</sup>  | Main exclusion: high risk of          | postsurgery; enoxaparin before    | venograms on days 32–38; all assessed at 30 and 60 days after the last dose) |
|  | VTE, high risk of bleeding,           | (12 hours) surgery                | During treatment: apixaban 1.4%, enoxaparin 3.9%                             |
|  | anticoagulants or antiplatelets       | Duration: 32–38 days              | RR 0.36 (95% CI 0.22–0.54), P<0.001 (noninferior, superior)                  |
|  | for other reasons, liver disease,     | Apixaban 2.5 mg BID (n=1,949)     | ARD –2.5 (95% CI –3.5 to –1.5) (noninferior, superior)                       |
|  | significant renal impairment          | Enoxaparin 40 mg QD (n=1917)      | During follow-up: apixaban 0 DVT, 0 PE; enoxaparin 0.1% DVT, 0.2% PE         |
| Acute treatment/prevention of recurrence (over 3-6 months) in patients with incident VTE | e (over 3-6 months) in patients wit   | h incident VTE                    |  |
| II, RCT, DB, parallel-group, dose-ranging <sup>5,48</sup>                                | Symptomatic DVT                       | Duration: 84–91 days              | Composite: symptomatic recurrent VTE or asymptomatic deterioration of        |
|  | Main exclusion: symptomatic PE,       | Apixaban 5 mg BID (n=117)         | thrombotic burden (by compression ultrasound/perfusion lung scan)            |
|  | advanced renal failure (CrCl <30      | Apixaban 10 mg BID (n=125)        | Apixaban (mg): 5 BID 6.0%, 10 BID 5.6%, 20 OD 2.6%, LMWH/VKA 4.2%            |
|  | mL/min), impaired liver function,     | Apixaban 20 mg OD (n=116)         | Apixaban no dose response, no bleeding dose response, comparable to          |
|  | anticoagulants or antiplatelets       | LMWH (any)/fondaparinux           | standard with respect to both  |
|  | for other reasons, high risk of       | (therapeutic) ≥5 days, then VKA   |  |
|  | hlaeding                              | to INR 2 0-3 0 (n=118)            |  |
|  | 2                                     | (0.1-1.1) 0:0 0:1 1:1 0:          |  |

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ARD (5.0 mg) -7.4% (95% CI -10.0% to -3.8%) (superior) ARD (2.5 mg) -7.8% (95% CI -10.3% to -5.5%) (superior)

RR (2.5 mg) 0.33 (95% CI 0.22-0.48), P<0.001 (superior) RR (5.0 mg) 0.36 (95% CI 0.25-0.53), P<0.001 (superior)

Placebo (n=829)

Main exclusion: advanced renal

failure (CrCl <25 mL/min), impaired liver function,

| 20    | Table 3 (Continued)   |                                      |                                       |   |
|-------|---|--------------------------------------|---------------------------------------|---|
|       | Phase/design  | Patients                             | Treatments (ITT)                      | Main efficacy outcomes  |
|       | Acute treatment/prevention of recurrence (over 3-6 months) in patients with incident VTE  | ce (over 3–6 months) in patients wit | h incident VTE                        |   |
| uhm   | III, RCT, DB, parallel-group, noninferiority  | Symptomatic proximal DVT and/or      | Duration: 6 months                    | Composite: symptomatic recurrent VTE or VTE-related death (all patients   |
| it vo | Margin: 1.80 for RR, 3.5% for ARD <sup>5.49</sup>   | PE Main exclusion: advanced renal    | Apixaban 10 mg BID first 7 days       | assessed repeatedly and followed up to 30 days after the last dose)       |
| ur m  |   | failure (CrCl <25 mL/min),           | (ie, until INR $\geq$ 2.0), then 5 mg | During treatment: apixaban 2.3%, "standard" 2.7%                          |
| anue  |   | impaired liver function,             | BID (n=2,691) Enoxaparin 1 mg/kg      | RR 0.84 (95% CI 0.60-1.18), P<0.001 (noninferior)                         |
| crint |   | anticoagulants or antiplatelets for  | BID ≥5 days, then warfarin to         | ARD -0.4% (95% CI -1.3 to 0.4) (noninferior)                              |
| l was |   | other reasons, high risk of bleeding | INR 2.0-3.0 (n=2,704)                 | VTE during follow-up: apixaban 0.2%, "standard" 0.3%                      |
| w.do  | Extended prevention of recurrent VTE in patients who had completed 6-12 months of anticoagulant treatment after the index event | patients who had completed 6-12 i    | months of anticoagulant treatment a   | fter the index event  |
| epror | III, RCT, DB, parallel-group, superiority <sup>5,50</sup>   | PE/DVT treated for 6–12 months       | Duration: I year                      | Composite: all-cause mortality or symptomatic recurrent VTE (all patients |
| s.com |   | without recurrence and equipoise     | Apixaban 2.5 mg BID (n=840)           | assessed repeatedly and followed up to 30 days after the last dose)       |
|       |   | about continuation                   | Apixaban 5.0 mg BID (n=813)           | 2.5 mg BID 3.8%, 5 mg BID 4.2%, placebo 11.6%                             |

other reasons, high risk of bleeding anticoagulants or antiplatelets for

| Primary prevention of VTE in patients with metastatic cancer receiving chemotherapy | vith metastatic cancer receiving chen | notherapy                    |  |
|---|---------------------------------------|------------------------------|--|
| II, RCT, DB, parallel-group, dose-ranging <sup>7</sup>                              | Advanced metastatic cancer            | Duration: 3 months           | Efficacy was secondary: symptomatic DVT or PE (confirmed by              |
|   | Main exclusion: history of DVT/       | Apixaban 5 mg QD (n=32)      | compression ultrasound, perfusion lung scan/spiral CT, followed up to    |
|   | PE, advanced renal failure            | Apixaban 10 mg QD (n=29)     | 30 days after last dose)   |
|   | (CrCl <30 mL/min), impaired           | Apixaban 20 mg QD (n=32)     | 5 mg 0, 10 mg 0, 20 mg 0, placebo 10.3%                                  |
|   | liver function, anticoagulants or     | Placebo (n=29)               | Apixaban: no dose response, trend of superiority to placebo, no bleeding |
|   | antiplatelets for other reasons,      |                              | dose response  |
|   | high risk of bleeding                 |                              |  |
| Primary prevention of VTE in medically ill patients                                 | ill patients                          |                              |  |
| III, RCT, DB, parallel-group, superiority   | Acutely ill (nonmalignant)            | Apixaban 2.5 mg BID over 30  | Primary: composite – VTE-related death, PE, or any VTE $<\!30$ days (all |
| and noninferiority for secondary efficacy,  | hospitalized patients with            | days (n=2,211)               | patients underwent adjudicated venograms on day 30; all followed up to   |
| Margin: 1.43 for RR <sup>8</sup>  | additional risk factors for VTE       | Enoxaparin 40 mg QD while in | days 60 and 90)  |
|   | Main exclusion:                       | hospital (≥6 days) (n=2,284) | Key secondary: composite – VTE-related death or any VTE over 10 days     |

| Acutely III (nonmalignant)                | hospitalized patients with                 | additional risk factors for VTE  | Main exclusion: |
|---|--|----------------------------------|-----------------|
| III, RCI, UB, parallel-group, superiority | and noninferiority for secondary efficacy, | Margin: 1.43 for RR <sup>8</sup> |                 |

Abbreviations: ARD, absolute risk difference; CI, confidence interval; CrCl, creatinine clearance; CT, computed tomography; DB, double-blind; DVT, deep vein thrombosis; INR, international normalized ratio; ITT, intent-to-treat; LMWH, Key secondary: apixaban 1.73%, enoxaparin 1.61% RR 1.06 (95% Cl 0.69–1.63) (not noninferior) antiplatelets for other reasons, high risk of bleeding

liver function, anticoagulants or

(CrCl <30 mL/min), impaired

advanced renal failure

RR 0.87 (95% CI 0.62-1.23); P=0.44 (not superior) Primary: apixaban 2.71%, enoxaparin 3.06%

low-molecular-weight heparin; PE, pulmonary embolism; RCT, randomized controlled trial; RR, relative risk; THA, total-hip arthroplasty; TKA, total-knee arthroplasty; VKA, vitamin K antagonist; VTE, venous thromboembolism.

undergoing THA or TKA, apixaban is both noninferior and superior in prevention of all-cause mortality and all VTE vs the "European-style enoxaparin" treatment (40 mg once daily [quaque die {QD}] subcutaneously), whereas a comparison with the "American-style enoxaparin" (30 mg BID subcutaneously) failed to demonstrate noninferiority regarding the relative effect (relative risk), but demonstrated it based on absolute risk difference (Table 3). The efficacy of apixaban in acute treatment/recurrence prevention in patients with VTE was demonstrated by noninferiority to a standard procedure (enoxaparin followed by titrated warfarin) regarding recurrent VTE or VTE-related deaths, whereas extended prevention efficacy was demonstrated through superiority to placebo regarding all-cause mortality or incidence of symptomatic recurrent VTE (Table 3). On the other hand, the attempt to demonstrate superior efficacy of a 30-day apixaban regimen vs in-hospital enoxaparin in reduction of 30-day incidence of VTE or VTE-related death in medically ill patients failed (Table 3).

### **Safety of apixaban for VTE** General overview

Nonclinical pharmacology and toxicology safety studies have not indicated any particular safety signal that would raise a concern about the safety of apixaban with doses selected for human studies, apart from the expectations of increased risk of bleeding. During clinical development, some concerns arose related to its potential to cause liver injury. However, the overall experience in clinical trials in the approved, tested, but not approved and currently evaluated indications (beyond VTE) demonstrated that apixaban was

not hepatotoxic.<sup>4-6,17,18</sup> In a trial of apixaban for extended treatment (prophylaxis) of VTE (Table 3), apixaban 2.5 mg BID or 5 mg BID was comparable to placebo regarding all adverse events (type, incidence, severity), apart from a trend toward a greater incidence of bleeding.<sup>50</sup> Therefore, as with other DOACs, the risk of bleeding is the only specific safety concern associated with the use of apixaban in the VTE setting.

### Risk of bleeding in clinical trials Patient exposure

The main features of clinical trials of apixaban in the VTE setting are depicted in Table 3. Table 4 summarizes data on exposure to apixaban and control treatments in these trials. The number of patients differs from that in Table 3, since safety assessment refers to "safety data sets", ie, all patients who received at least one dose of the assigned treatments. Overall, 14,680 patients were exposed to apixaban over different treatment periods: 9,121 to enoxaparin, mainly 40 mg QD (80%); 2,966 to warfarin, almost exclusively (95%) after initial short-term low-MW heparin (LMWH) treatment with dose titration to INR 2.0–3.0; and 858 to placebo (Table 4).

#### Phase II dose-ranging trials

Dose-ranging trials (prevention of VTE in TKA patients, acute treatment of VTE, prevention of VTE in cancer patients) provided no signal of dose dependence of adverse effects of apixaban between 2.5 mg BID/5 mg QD and 10 mg BID/20 mg QD (Table 5). All-cause mortality, incidence of serious adverse events, major

**Table 4** Exposure to apixaban and control treatments in Phase II and Phase III randomized, double-blind, parallel-group, controlled trials in the setting of prophylaxis/treatment of VTE (study details depicted in Table 3): number of patients who received at least one dose of the assigned treatments ("safety data sets")

| Indication, trial phase                                | Apixabaı | n (mg) |                    |       | Enoxaparin (mg)    |       | Warf/LMWH-<br>Warf (INR) <sup>a</sup> |       | РВО     |                    |     |
|--|----------|--------|--------------------|-------|--------------------|-------|---------------------------------------|-------|---------|--------------------|-----|
|  | 2.5 BID  | 5 QD   | 5 BID              | I0 QD | 10 BID             | 20 QD | 30 BID                                | 40 QD | 1.8-3.0 | 2.0-3.0            |     |
| TKA, 10-14 days, II <sup>4,44</sup>                    | 154      | 151    | 153                | 155   | 153                | 151   | 149                                   | _     | 151     | _                  |     |
| TKA, 10-14 days, III <sup>4,45</sup>                   | 1,596    | _      | _                  | _     | _                  | _     | 1,588                                 | _     | _       | _                  | _   |
| TKA, 10-14 days, III <sup>4,46</sup>                   | 1,501    | _      | _                  | _     | _                  | _     | _                                     | 1,508 | _       | _                  | _   |
| THA, 32-38 days, III <sup>4,47</sup>                   | 2,673    | _      | _                  | _     | _                  | _     | _                                     | 2,659 | _       | _                  | _   |
| Acute VTE, 84–91 days, II <sup>5,48</sup>              | _        | _      | 128                | _     | 133                | 124   | _                                     | _     | _       | 126                | _   |
| Acute VTE, 6 months, III <sup>5,49</sup>               | _        | _      | 2,676 <sup>b</sup> | _     | 2,676 <sup>b</sup> | _     | _                                     | _     | _       | 2,689 <sup>b</sup> | _   |
| Extended VTE, <sup>c</sup> I year, III <sup>5,50</sup> | 840      | _      | 815                | _     | _                  | _     | _                                     | _     | _       | _                  | 829 |
| Cancer, 3 months, II <sup>7</sup>                      | _        | 32     | _                  | 29    | _                  | 32    | _                                     | _     | _       | _                  | 29  |
| Medically ill, 30 days, III8                           | 3,184    | _      | _                  | _     | _                  | _     | _                                     | 3,217 | _       | _                  | _   |
| Total  | 9,948    | 183    | 3,772              | 184   | 286                | 307   | 1,737                                 | 7,384 | 151     | 2,815              | 858 |

Notes: "Used as mono-treatment titrated to INR 1.8–3.0 to prevent VTE after TKA or to 2.0–3.0 following initial treatment with LMWH in acute treatment of VTE. "The apixaban regimen was 10 mg BID for the first 7 days, followed by 5 mg BID to a total of 6 months; control treatment was LMWH for the first 7 days, ie, to INR 2.0–3.0 followed by titrated warfarin. "Extended (after the initial 6–12 months) prophylactic treatment. Dash indicates that the dose was not evaluated in this setting.

Abbreviations: INR, international normalized ratio; LMWH, low-molecular-weight heparin; PBO, placebo; THA, total-hip arthroplasty; TKA, total-knee arthroplasty; VTE, venous thromboembolism; Warf, warfarin.

**Table 5** Main safety outcomes in Phase II dose-ranging randomized double-blind controlled trials of apixaban in the setting of prophylaxis/treatment of VTE (percentage of safety population)

| Trial/outcomes                        | Apixaban (mg) |      |       |       |        |       | Enoxaparin (mg) |       | Warf/LMWH-<br>Warf (INR) |         | РВО |
|---------------------------------------|---------------|------|-------|-------|--------|-------|-----------------|-------|--------------------------|---------|-----|
|                                       | 2.5 BID       | 5 QD | 5 BID | I0 QD | 10 BID | 20 QD | 30 BID          | 40 QD | 1.8-3.0                  | 2.0-3.0 |     |
| TKA, 10-14 days <sup>4,44</sup>       |               |      |       |       |        |       |                 |       |                          |         |     |
| All-cause mortality                   | 0.6           | 0    | 0     | 0     | 0      | 0     | 0               | _     | 0                        | _       | _   |
| Major bleeding                        | 0             | 2.6  | 2.6   | 0.6   | 2.6    | 3.3   | 0               | _     | 0                        | _       | _   |
| CRNM bleeding                         | 0             | 0    | 0     | 0.6   | 0      | 0     | 1.3             | _     | 0                        | _       | _   |
| At least one SAE                      | 7.8           | 13.2 | 5.9   | 9.0   | 7.8    | 8.6   | 6.7             | _     | 6.0                      | _       | _   |
| Myocardial infarction                 | 1.2           | 0.7  | 0     | 0     | 0      | 0     | 0               | _     | 0.7                      | _       | _   |
| Stroke                                | 0.7           | 0.7  | 0.7   | 0.7   | 0      | 0.7   | 0               | _     | 0                        | _       | _   |
| Acute VTE, 84-91 days <sup>5,48</sup> |               |      |       |       |        |       |                 |       |                          |         |     |
| All-cause mortality                   | _             | _    | 2.3   | _     | 0.7    | 8.0   | _               | _     | _                        | 0       | _   |
| Major bleeding                        | _             | _    | 0.8   | _     | 0      | 1.6   | _               | _     | _                        | 0       | _   |
| CRNM bleeding                         | _             | _    | 7.8   | _     | 4.5    | 7.0   | _               | _     | _                        | 7.8     | _   |
| At least one SAE                      | _             | _    | NR    | _     | NR     | NR    | _               | _     | _                        | NR      | _   |
| Myocardial infarction                 | _             | _    | NR    | _     | NR     | NR    | _               | _     | _                        | NR      | _   |
| Stroke                                | _             | _    | NR    | _     | NR     | NR    | _               | _     | _                        | NR      | _   |
| Cancer, 3 months <sup>7</sup>         |               |      |       |       |        |       |                 |       |                          |         |     |
| All-cause mortality                   | _             | 3.1  | _     | 0     | _      | 0     | _               | _     | _                        | _       | 6.9 |
| Major bleeding                        | _             | 0    | _     | 0     | _      | 6.3   | _               | _     | _                        | _       | 3.4 |
| CRNM bleeding                         | _             | 3.1  | _     | 3.4   | _      | 6.3   | _               | _     | _                        | _       | 0   |
| At least one SAE                      | _             | NR   | _     | NR    | _      | NR    | _               | _     | _                        | _       | NR  |
| Myocardial infarction                 | _             | NR   | _     | NR    | _      | NR    | _               | _     | _                        | _       | NR  |
| Stroke                                | _             | NR   | _     | NR    | _      | NR    | _               | _     | _                        | _       | NR  |

Note: Dash indicates that the dose was not evaluated in this setting.

Abbreviations: CRNM, clinically relevant nonmajor (bleeding); NR, international normalized ratio; LMWH, low-molecular-weight heparin; NR, not reported; PBO, placebo; SAE, serious adverse event; TKA, total-knee arthroplasty; VTE, venous thromboembolism; Warf, warfarin.

bleeding, clinically relevant nonmajor (CRNM) bleeding, myocardial infarction, and stroke were comparably low across the tested doses and control treatments (warfarin, LMWH followed by warfarin or placebo). However, as already mentioned (see Table 2), a PK-PD model based on data from Phase II trials in TKA and treatment of VTE indicated a higher risk of major bleeding with increasing total exposure.

#### Pivotal Phase III trials

In pivotal trials of apixaban for VTE prevention in patients undergoing major orthopedic surgery (the ADVANCE program),<sup>4,45–47</sup> all-cause mortality was considered as both a part of the composite primary efficacy end point (Table 3) and a safety outcome.<sup>4</sup> As depicted in Table 6, only sporadic deaths occurred during treatment (±2–3 days after the last dose) and over a subsequent 60-day follow-up in apixabantreated and enoxaparin-treated patients. In ADVANCE-3,<sup>4,49</sup> relative risk-point estimates for all-cause death during the treatment period and overall duration of the study (plus follow-up) indicated a trend toward higher mortality in apixaban-treated patients (Table 6). However, there were only a few events: in the apixaban arm, three patients died on treatment and two during follow-up, whereas in the

enoxaparin arm, one patient died on treatment and one during follow-up. The only death adjudicated as VTE- or bleeding-related referred to an apixaban-treated patient who died of PE on day 9 of treatment. Other causes of death in the apixaban arm were abdominal compartment syndrome (one), colonic neoplasm (one), ileal perforation (one), and pancreatic cancer (one). In the enoxaparin arm, causes of death were fat embolism (one) on treatment and stroke (one) during follow-up. 4,49 Overall, the slightly higher allcause mortality in the apixaban arm was most likely due to chance. This is supported by the fact that no such trend was seen in trials in TKA patients or in acute VTE treatment vs enoxaparin followed by titrated warfarin (AMPLIFY trial)<sup>5,49</sup> (Table 6), whereas in the extended VTE prophylaxis (AMPLIFY-EXT trial)<sup>5,50</sup> all-cause mortality over a 1-year treatment period was lower with apixaban (cumulatively 2.5 mg BID and 5 mg BID) than with placebo (Table 6).

In all trials, 4.5,8,45-47,49,50 bleeding events were defined in line with the criteria of the International Society on Thrombosis and Hemostasis (the same or slightly modified definitions were used in all depicted Phase II and III trials).<sup>51</sup> The rate of major bleeding overall was low across all trials (Table 6). In acute conditions, ie, orthopedic surgery, acute VTE, and acutely medically ill patients, the rate of

Table 6 Main safety outcomes in Phase III trials of Apix for VTE (percentage of safety population)

|                           | TKA, I   | ollow-up<br>ys<br>5 mg<br>Enox | TKA,<br>days, f<br>+60 da<br>Apix 2 | ollow-up   | ADVANCE-3 <sup>4,47</sup> THA, 32–38 days, follow-up +60 days Apix 2.5 mg BID vs Enox 40 mg QD  Apix Ctrl |            | AMPLIFY <sup>5,49</sup> Acute VTE, 6 months, follow- up +30 days Apix 10 mg BID 7 days → 5 mg BID vs Enox/Warf  Apix Ctrl |                   | AMPLIFY-EXT <sup>5,50</sup> Extended VTE after 6–12 months, I year, follow-up +30 days Apix 2.5 (low)/5 mg (high) BID vs placebo  Apix Apix Ctrl |                   |                   | ADOPT <sup>8</sup> Medically ill, 30 days, follow-up +60 days Apix 2.5 mg BID vs Enox 40 mg QD 10 days  Apix Ctrl |            |
|---------------------------|----------|--------------------------------|-------------------------------------|------------|---|------------|---|-------------------|--|-------------------|-------------------|---|------------|
|                           | Apix     | Ctrl                           | Apix                                | Ctrl       | Apix  | Ctrl       | Apix  | Ctrl              | Apix<br>low  | Apix<br>high      | Ctrl              | Apix  | Ctrl       |
| All deaths                |          |                                |                                     |            |   |            |   |                   |  |                   |                   |   |            |
| Treatment                 | 0.2      | 0.2                            | 0.1                                 | 0          | 0.1   | <0.1       | 1.5   | 1.9               | 8.0  | 0.5               | 1.7               | NR  | NR         |
| Follow-up                 | 0        | 0.2                            | 0.1                                 | 0.1        | 0.1   | <0.1       | 0.3   | 0.2               | 0.1  | 0.4               | 0.2               | NR  | NR         |
| RR treatment <sup>c</sup> | 0.99 (0. | .13–7.45)                      | _                                   |            | 2.97 (0   | 0.24–156)  | 0.71 (  | 0.59-1.22)        | All Ap   | ix 0.39 (         | 0.16-0.93)        | _   |            |
| RR total <sup>c</sup>     | 0.50 (0. | .08–2.34)                      | 2.0 (0.                             | I-I18)     | 2.48 (0   | 0.41–26.0) | 0.83 (  | 0.56–1.24)        | All Ap   | ix 0.47 (         | 0.22-1.01)        | _   |            |
| Major bleed               |          |                                |                                     |            |   |            |   |                   |  |                   |                   |   |            |
| Treatment                 | 0.7      | 1.4                            | 0.6                                 | 0.9        | 8.0   | 0.7        | 0.6   | 1.8               | 0.2  | 0.1               | 0.5               | 0.5   | 0.2        |
| RR treat <sup>c</sup>     | 0.50 (0. | .22-1.07)                      | 0.65 (0                             | 0.25-1.60) | 1.21 (0   | 0.62-2.40) | 0.31 (  | 0.17–0.55)        | All Ap   | ix 0.37 (         | 0.05-2.18)        | 2.58 (  | 1.02-7.24) |
| CRNMB                     |          |                                |                                     |            |   |            |   |                   |  |                   |                   |   |            |
| Treatment                 | 2.2      | 3.0                            | 2.9                                 | 3.8        | <b>4</b> . I  | 4.5        | 3.8   | 8.0               | 3.0  | 4.2               | 2.3               | 2.2   | 1.9        |
| RR treat <sup>c</sup>     | 0.74 (0. | .46–1.17)                      | 0.76 (0                             | 0.50–1.15) | 0.90 (0   | 0.69–1.17) | 0.48 (  | 0.38–0.60)        | All Ap   | ix 1.53 (         | 0.90-2.72)        | 1.16 (0   | 0.81–1.66) |
| Any SAE                   |          |                                |                                     |            |   |            |   |                   |  |                   |                   |   |            |
| Total time                | 8.5      | 8.6                            | 6.0                                 | 7.0        | NR  | NR         | 15.6⁵   | 15.2 <sup>b</sup> | 13.3 <sup>b</sup>  | 13.2 <sup>b</sup> | 19.1 <sup>b</sup> | NR  | NR         |
| RR total <sup>c</sup>     | 0.99 (0  | .77–1.26)                      | 0.92 (0                             | 0.68-1.25) | -   |            | 1.02 (  | 0.89–1.17)        | All Ap   | ix 0.69 (         | 0.56–0.86)        | -   |            |
| MI                        |          |                                |                                     |            |   |            |   |                   |  |                   |                   |   |            |
| Total time                | 0.1      | 0.3                            | <1.0                                | <0.1       | 0.3   | 0.2        | 0.2   | 0.15              | 0.2 <sup>b</sup>   | 0.4 <sup>b</sup>  | 0.5⁵              | NR  | NR         |
| RR total <sup>c</sup>     | 0.40 (0. | .04–2.43)                      | 1.00 (0                             | 0.01–79)   | 2.23 (0   | 0.61–9.91) | 1.51 (0   | 0.36–7.26)        | All Ap   | ix 0.63 (         | 0.13–3.16)        | _   |            |
| Stroke                    |          |                                |                                     |            |   |            |   |                   |  |                   |                   |   |            |
| Total time                | 0        | 0.1                            | 0.1                                 | 0          | 0.1   | 0.2        | 0.5 <sup>b</sup>  | 0.3 <sup>b</sup>  | 0.1 <sup>b</sup>   | 0.1b              | 0.6 <sup>b</sup>  | NR  | NR         |
| RR total <sup>c</sup>     | _        |                                | _                                   |            | 0.60 (0   | 0.09-3.06) | 1.51 (0   | 0.57-4.25)        | All Ap   | ix 0.30 (         | 0.02-1.22)        | _   |            |

**Notes:** \*Standard initial therapeutic dose of enoxaparin with concurrent titration of warfarin to international normalized ratio 2.0-3.0, then titrated warfarin; bduring treatment (follow-up data not available). \*Relative risk is given with 95% confidence intervals in parenthesis. Dash indicates that the RR could not be calculated. Arrow means followed by.

**Abbreviations:** SAE, serious adverse event; Apix, apixaban; CRNMB, clinically relevant nonmajor bleeding; Ctrl, control; Enox, enoxaparin; MI, myocardial infarction; NR, not reported; RR, relative risk; THA, total hip arthroplasty; TKA, total knee arthroplasty; VTE, venous thromboembolism; Warf, warfarin.

major bleeding across the apixaban arms was consistently between 0.5% and 0.8% (Table 6), and was lower, practically negligible, in the extended prophylaxis of VTE: 0.2% and 0.1% over 1 year in the 2.5 mg BID and 5.0 mg BID arms (Table 6). When the rates in the control treatments were relatively high, eg, 1.4% with enoxaparin 30 mg BID in prevention of VTE in TKA patients<sup>4,45</sup> or 1.8% in the acute treatment of VTE with enoxaparin and subsequent titrated warfarin<sup>49</sup> (mean percentage time in therapeutic range [TTR] was 60.9%, median 59.1%),<sup>5</sup> then the rates with apixaban tended to be lower (TKA) or were clearly lower, indicating a benefit of apixaban. On the other hand, when the control rates were low, eg, with enoxaparin 40 mg QD in acutely medically ill patients, the rates with apixaban were significantly higher (Table 6).8 A similar pattern is observed considering CRNM bleedings: incidence was lower with apixaban vs enoxaparin followed by warfarin in the acute treatment of VTE<sup>5,49</sup> and tended to be lower with 2.5 mg BID apixaban vs enoxaparin 30 mg BID in VTE prevention in one trial in TKA patients,<sup>4,45</sup> whereas no difference was observed in other trials (Table 6).

In the active-controlled trials in approved indications, the incidence of serious adverse events was comparable for apixaban vs control treatments (Table 6), whereas it was lower for apixaban 2.5 mg BID or 5 mg BID (or cumulatively) vs placebo in extended prophylaxis of VTE (Table 6). The reported incidence of myocardial infarction and stroke was very low across all trials, with no clear indication of benefits or harms of apixaban vs control (Table 6). It should be noted that in all trials, occurrence/recurrence of VTE (or composite outcomes of all-cause- or VTE-related deaths and VTE) during follow-up periods after discontinuation of treatment did not differ between apixaban and control treatments (Table 3), and that no excess myocardial infarction, stroke, or any serious adverse event was observed with apixaban during the overall study duration, ie, including follow-up (Table 6), indicating no rebound hypercoagulation or prolonged anticoagulation after apixaban discontinuation.

## Specifics related to prevention of VTE in major orthopedic surgery

Within the European Union, two trials of apixaban for prevention of VTE in major orthopedic surgery are considered pivotal - ADVANCE-24,46 (TKA patients) and ADVANCE-34,47 (THA patients) – since they compared apixaban 2.5 mg to a "standard European" enoxaparin schedule (40 mg QD). A prespecified subgroup analysis was performed for joint data (8,454 patients evaluated for bleeding),52 demonstrating consistently no difference between apixaban and enoxaparin regarding major bleeding on treatment across the age-groups (<65, 65–74, and  $\geq$ 75 years), sex, body weight ( $\leq$ 60 or  $\geq$ 60 kg), body mass index (<25, 25-29, and  $\ge 30 \text{ kg/m}^2$ ) and creatinine clearance ( $\leq$ 50, 51–80, and >80 mL/min). A trial comparing apixaban to the "American enoxaparin protocol" (30 mg BID) in TKA patients provided no subgroup data. 45 Even when prespecified, subgroup analyses are of limited meaning, as they typically lack power for the evaluated effect and even more so for the test of the interaction term. However, in consideration of the number of evaluated subjects, it is fair to say that there seems to be no relevant moderator of the risk of major bleeding associated with the use of apixaban in this setting.

While it might be tempting to try to estimate the overall effect of apixaban vs enoxaparin in the setting of VTE prevention in major orthopedic surgery using a meta-analytical approach, clinical heterogeneity should be taken into consideration (regardless of a possible statistical homogeneity): different enoxaparin protocols in TKA resulted in different control bleeding rates (Table 6), whereas TKA and THA settings differ in the standard duration of treatment. It thus seems that individual trial data, with their clinical particulars, are the best indicators of the relative effect of apixaban vs enoxaparin. Clinical inconsistency seems to present an even greater problem in attempts to compare DOACs indirectly. An early indirect comparison of dabigatran and rivaroxaban pointed out the problem of differences in TKA/THA protocols, enoxaparin schedules, adjudication criteria for VTE, and definitions and counting of bleeding events.53 The same was emphasized in a more recent network meta-analysis of dabigatran, rivaroxaban, and apixaban (all compared to enoxaparin) for VTE prevention in major orthopedic surgery.<sup>54</sup> Consequently, in VTE prevention vs enoxaparin 30 mg BID in TKA patients, apixaban 2.5 mg BID provided nonrelevantly different clinical efficacy (although the relative risk for the primary efficacy end point fell slightly over the limit of noninferiority; Table 3). The trial report<sup>45</sup> suggested that at the same time, apixaban provided a benefit of less major or CRNM bleeding (using the pooled events). However, it seems more appropriate to consider major and CRNM bleedings separately, since their clinical relevance is different. Therefore, it appears plausible to state that in this setting, apixaban might confer a benefit of somewhat-lower risk of major bleeding and of CRNM bleeding. In comparison to enoxaparin 40 mg QD in either TKA or THA patients, apixaban clearly yields better efficacy (Table 3), while at the same time it apparently does not increase the risk of either major or CRNM bleeding (Table 6).

On the other hand, a reliable and accurate evaluation of apixaban with respect to other DOACs in this setting will have to await direct comparative trials.

### Specifics related to acute treatment/prevention of recurrence in patients with VTE

A prespecified subgroup analysis was also conducted in a trial of apixaban vs enoxaparin/warfarin for acute treatment of VTE (AMPLIFY).<sup>5,49</sup> The apixaban vs control differences in the primary efficacy end point (overall noninferiority, Table 3) were consistent across age, sex, body weight, body mass index, renal function, timing of enoxaparin commencement, whether index event was PE or DVT, location of DVT, and extent of PE, and fell in most of these subgroups within the limit of noninferiority (except when the stratum or the number of events was small). The same was observed across the strata of TTR (<51.5%, 51.5%–59%, 59.1%–68%, and >68%).

The difference in the risk of major bleeding was also consistent, indicating significant reduction (except in small strata/rare events) across the strata and clearly at all levels of TTR. Overall, in consideration of the size of the trial (and having in mind all the limitations of the subgroup analyses), as in the case of VTE prevention in orthopedics, it appears reasonable to conclude that there is no major moderator of the risk of major bleeding associated with the use of apixaban in this setting.

As recently reviewed by Bacchus and Schulman,<sup>55</sup> several network (mixed-treatment) meta-analyses have attempted to indirectly compare the DOACs in this setting, but were limited by only partial inclusion of the major trials. However, even with all the relevant trials and all technical requirements met, exclusively indirect comparisons should be viewed as only exploratory (and not confirmatory) efforts. Three such analyses included all pivotal Phase III trials of apixaban, rivaroxaban, dabigatran, and edoxaban (all vs a standard LMWH–vitamin K antagonist treatment; n=6) to conclude no relevant difference between DOACs regarding efficacy, but the greatest reduction in major or major and CRNM bleedings with apixaban.<sup>56–58</sup> A similar conclusion was drawn in a comprehensive network meta-analysis of 45 trials of all treatments for acute VTE.<sup>59</sup>

### Specifics related to extended prophylactic treatment of VTE

Finally, consistent differences between apixaban 2.5 mg or 5.0 mg BID vs placebo in extended prophylactic treatment of VTE (AMPLIFY-EXT)<sup>5,50</sup> were demonstrated regarding efficacy (prevention of recurrent VTE/VTE-related death) across age, sex, type of index event, body weight, body mass index, and renal function. Bleedings were considered a composite of major and CRNM bleedings, with a consistently nonsignificant difference vs placebo. Since the trial was relatively small (considering the low event rates, ~800 patients per treatment arm), some estimates were highly imprecise. However, the position and direction of point estimates and supportive data referring to acute treatment of VTE justify a claim that there seems to be no major moderator of the apixaban-associated bleeding risk in this indication. A network meta-analysis of 12 trials comparing different treatments (placebo/observation; low-dose aspirin, low-dose or titrated vitamin K antagonists, idraparinux, dabigatran, rivaroxaban, and apixaban) indicated that only apixaban (both doses) and aspirin did not increase the risk of major/CRNM bleeding (vs placebo/observation). The risk with apixaban was also significantly lower than with rivaroxaban, idraparinux, dabigatran, and vitamin K antagonists. 60 Another network meta-analysis including the same 12 trials and an additional trial with ximelagatran vs placebo came to the same conclusion: apixaban 2.5 mg or 5.0 mg was the only treatment that did not increase the risk of major bleeding compared to placebo or observation. The estimated absolute risk difference, presented as a number of major bleeding episodes per 100 patients treated over 1 year, was -0.26 (95% confidence interval -0.32 to 0.2) for apixaban 2.5 mg BID and -0.2 (95% confidence interval -0.3 to 0.6) for apixaban 5.0 mg BID.61

# A few considerations about safety in daily practice

The first regulatory approval for apixaban was in 2011 for prevention of VTE in patients undergoing THA/TKA, and was followed by approval for stroke prevention in patients with NVAF in 2012 and approval for acute and extended treatment/prophylaxis of VTE in 2014. Considering its relatively brief history on the market, published data referring to apixaban's safety apart from the premarketing trials are sparse. As recently reviewed, however, the utilization of apixaban in daily practice is steadily increasing. In the period May–November 2014 in the US, 5.1% of all prescriptions (new and refills) for anticoagulants were for apixaban (indicating an increase from a previous period), whereas when only new prescriptions were considered, 17.1% were for apixaban.

The fact that the approved label (summary of the product characteristics) for apixaban has not undergone any major revision regarding safety (apart from the specific updates pertinent for each of the approved indications) suggests that its safety profile in regular use agrees with that delineated through premarketing trials.

As with anticoagulants in general, inappropriate use of apixaban may result in either highly undesirable lack of efficacy or equally serious harmful effects, and hence it is essential that it is used in line with the prescribing information (patient selection, assessment of hepatic and renal function, consideration of comedication and potential interactions, and appropriate dosing and compliance). A recent comprehensive review on various aspects of the use of DOACs identified several surveys demonstrating high prevalence (10%-20%) of nonadherence to product information (eg, use of a lower dose of a drug in patients with an absolute contraindication, inappropriate concomitant use of DOACs and antiplatelets, and poor patient accountability for dispensed tablets).<sup>63</sup> Besides the product information, professional guidelines in anesthesia, cardiology, surgery, and pulmonary medicine have developed strategies to handle DOACs in specific situations, eg, switching from DOACs to classical anticoagulants and vice versa or periprocedural management of patients undergoing regional anesthesia or surgery, and prescribing physicians should be aware of them.<sup>64</sup>

Although apixaban, in common with other DOACs, does not require continuous coagulation monitoring, in certain situations (eg, a need for emergency procedures) a rapid and reliable assessment of its anticoagulant activity (ie, risk of intervention-provoked bleeding) would be desirable. 65 In the comprehensive pharmacological assessment of apixaban, a strong direct linear relationship has been established between apixaban plasma concentrations (exposure) and anti-Xa activity assessed by a chromogenic assay and between exposure and the risk of major bleeding (see Table 2). A less firm relationship was observed between plasma apixaban concentrations and modified prothrombin time, and particularly between plasma apixaban concentrations and prothrombin time, INR, and activated partial thromboplastin time. These tests are thus uninformative in regard to the level of anticoagulant activity of apixaban.65 It has been repeatedly demonstrated that chromogenic anti-Xa assays calibrated with specific apixaban calibrators can accurately measure apixaban (validated against liquid chromatography-tandem mass spectrometry direct concentration measurements) across a wide range of concentrations, but chromogenic anti-Xa assays are not readily available and critical cutoff values clearly predictive of the risk of bleeding have not been defined. 65,66

Finally, management of apixaban-associated bleedings is an important aspect of the drug's safe use. The standard general measures, such as transient drug discontinuation (a few doses), and local measures (eg, mechanical compression) suffice for the management of minor bleedings. Major or life-threatening bleedings require complete drug discontinuation, mechanical compression, surgical hemostasis, transfusion (red blood cells, platelets [if antiplatelets are concomitantly used]) and the use of activated charcoal if apixaban was ingested 2-6 hours previously. For the time being, there is no specific highly effective antidote for apixaban. As with other fXa inhibitors, dialysis is useless, since apixaban is highly protein-bound, and prothrombin-complex concentrates, FEIBA (factor eight inhibitor bypassing activity), or recombinant activated fVII have a limited efficacy. However, two drugs in development should be reasonably expected to provide reliable antagonism not only against apixaban but also against other fXa inhibitors: recombinant human fXa (andexanet alfa) in vitro and in early human studies rapidly reverses the effects of apixaban (and other direct fXa inhibitors) by competitively binding them; ciraparantag, a small synthetic molecule, binds heparins, dabigatran, and direct fXa inhibitors through hydrogen bonding and in vitro reverses their effect. 67 A specific issue yet to be resolved in order to get a full view on the safety profile of apixaban in the VTE setting is to gain experience with its use in patient subsets that do not fully fit the description of patients included in the premarketing clinical trials.

#### Conclusion

Apixaban is a novel DOAC: a direct fXa inhibitor. In an extensive premarketing clinical development program, apixaban was evaluated with respect to the standard recommended treatments and displayed superior efficacy with no excess risk of bleeding in prevention of VTE in patients undergoing THA or TKA. In patients with acute VTE (treatment), apixaban showed noninferior efficacy with reduced bleeding risk. Finally, apixaban was shown to be effective with no excess bleeding risk compared to placebo in extended VTE prophylaxis. To what extent this favorable safety profile will be transferred to daily practice depends largely on its adequate use.

#### **Disclosure**

The author reports no conflicts of interest in this work.

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