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Engineered Fc-glycosylation switch to eliminate antibody effector function

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ABSTRACT

Antibodies mediate effector functions through Fcy receptor (FcyR) interactions and complement activation, causing cytokine release, degranulation, phagocytosis, and cell death. They are often undesired for development of therapeutic antibodies where only antigen binding or neutralization would be ideal. Effector elimination has been successful with extensive mutagenesis, but these approaches can potentially lead to manufacturability and immunogenicity issues. By switching the native glycosylation site from position 297 to 298, we created alternative antibody glycosylation variants in the receptor interaction interface as a novel strategy to eliminate the effector functions. The engineered glycosylation site at Asn298 was confirmed by SDS-PAGE, mass spectrometry, and X-ray crystallography (PDB code 6X3I). The lead NNAS mutant (S298N/T299A/Y300S) shows no detectable binding to mouse or human FcyRs by surface plasmon resonance analyses. The effector functions of the mutant are completely eliminated when measured in antibody-dependent cell-meditated cytotoxicity (ADCC) and complement-dependent cytotoxicity (CDC) assays. In vivo, the NNAS mutant made on an antibody against a human lymphocyte antigen does not deplete T cells or B cells in transgenic mice, in contrast to wild-type antibody. Structural study confirms the successful glycosylation switch to the engineered Asn298 site. The engineered glycosylation would clash with approaching FcyRs based on reported Fc-FcyR co-crystal structures. In addition, the NNAS mutants of multiple antibodies retain binding to antigens and neonatal Fc receptor, exhibit comparable purification yields and thermal stability, and display normal circulation half-life in mice and non-human primate. Our work provides a novel approach for generating therapeutic antibodies devoid of any ADCC and CDC activities with potentially lower immunogenicity.

Introduction

Antibody therapeutics have been extensively developed for treating cancer and autoimmune diseases.¹ Antibodies elicit immune responses through effector functions via the Fc domain by interaction with Fcy receptors (FcyRs) on immune cells, and activation of complement proteins in circulation.² This causes cytokine release and cell death by mechanisms including phagocytosis, antibody-dependent cell-mediated cytotoxicity (ADCC) and complement-dependent cytotoxicity (CDC). While often critical to the biological activity of therapeutic antibodies for cancer, effector functions may increase toxicity and side effects, and are often undesired for the development of antibody antagonists where neutralization or engagement without effector involvement would be ideal.^{3,4} Fcs with silenced effector function can also be incorporated into other antibody formats, such as chimeric antigen receptor (CAR) T-cell therapy, antibody-drug conjugates (ADCs), bispecific antibodies, and Fc-fusion proteins, for high therapeutic index toward many disease targets.5-8

Extensive studies have been carried out to map the amino acids and contact surface in the antibody Fc domain important for binding to Fc γ Rs and complements.^{9,10} The oligosaccharides at the single N-linked glycosylation site at Asn297 were

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found to be critical for maintaining the structure to present the amino acids for the interaction, while providing direct contacts with the other residues.¹¹ Many engineered antibody variants have been generated based on these studies to either enhance or reduce antibody effector functions, depending on therapeutic applications. Approaches to eliminate effector function include isoforms that have lower intrinsic effector function (IgG4 or hybrid IgG2/IgG4 antibodies), aglycosylated antibodies, or variants with extensive mutations introduced at the Fc-FcyR interaction interface.^{8,12-22} While those approaches have been proved successful in effector function reduction, there are potential issues associated with each approach. For example, IgG4 antibodies have been shown to form half-antibody species, which can promote formation of hybrid antibodies in circulation through Fab-arm exchanges, and to have reduced stability at low pH.^{7,23} Reduced stability was also observed with aglycosylated antibodies.²⁰ There are some residual effector functions associated with certain Fc mutations.^{17,18} Other concerns include immunogenicity from extensive mutagenesis and incomplete elimination of the effector function by these variants.¹⁹ We took a completely different approach for the task, by engineering an alternative glycosylation site near Asn297 and the receptor interaction interface to block FcyR

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binding, and thus the effector function. Since the size of an oligosaccharide (about 2,000–3,000 Da) on a N-linked glyco-sylation site is much larger than the side chains of natural amino acids (less than 200 Da), we hypothesize that an engineered glycosylation site in the interaction interface would block IgG from binding to Fc γ Rs and complements, thus eliminating the antibody effector function. Specifically, we performed site-directed mutagenesis to switch the glycosylation from inward-pointing Asn297 to an engineered Asn298 site on the antibody surface in several antibody backbones and characterized the variants *in vitro* and *in vivo* in animal models. We found that our novel mutations result in glycosylation switch with completely silenced ADCC and CDC.

Results

Glycosylation mutant design and preparation

We performed site-directed mutagenesis to introduce the consensus glycosylation sequence Asn-X-Ser/Thr near the native Asn297 site of IgG1 molecules, aiming to switch the glycosylation

from Asn297 to engineered Asn298. IgG has a single N-linked glycosylation site at Asn297, followed by Ser298 and Thr299. Based on published crystal structures of IgG Fc and its complex with FcyRs (1e4k),¹¹ the oligosaccharides attached to Asn297 are located inside the CH2 domain and are partially buried. However, Ser298 is found to sit on a loop on the surface of the CH2 domain in the FcyR binding interface. The mutants with introduced glycosylation sites at Asn298, including NN²⁹⁸A²⁹⁹S³⁰⁰ (NNAS, or S298N/T299A/Y300S), Q²⁹⁷N²⁹⁸TS³⁰⁰ (QNTS, or N297Q/ S298N/Y300S), and NN²⁹⁸TS³⁰⁰ (NNTS, or S298N/Y300S), were prepared on a monoclonal antibody IgG1 (mAb1) molecule. Two aglycosylation mutants, N297Q and T299A, were generated as controls. All mutants were purified from media of transiently transfected Chinese hamster ovary (CHO) cells with yields similar to wild-type antibody (~100% for each mutant as compared to wild-type). They were analyzed by SDS-PAGE (Figure 1a) and mass spectrometry (Table 1). Both tests confirm the successful creation of the alternative glycosylation site at Asn298 with the NNAS or QNTS mutant. As controls, substitution of either Asn297 or Thr299 resulted in an antibody with increased migration on gel electrophoresis, likely due to aglycosylation as



Figure 1. Protein characterization of mAb1 wild-type and various glycosylation mutants. (a) SDS-PAGE analysis of the mutants under reducing condition (lane 1: protein molecular weight, or MW, standards; lane 2: wild-type mAb1; lane 3: N297Q; lane 4: N299A; lane 5: QNTS; lane 6: NNAS; lane 7: NNTS). Asterisk shows migration of the heavy chains of glycovariants under reducing condition. (b) Deconvoluted spectra from intact protein LC-MS analysis of NNAS. The antibody heavy chains with different N-glycans are shown.

Table 1. Site-directed mutagenesis to introduce the consensus N-linked glycosylation sequence NXS/T around the native Asn297 site and LC-MS characterization of mAb1 Fc mutant antibodies.

Samples	Mutations	Theoretical mass of HC (Da)	Observed mass of HC (Da)	# of potential N-glycosylation site	# of observed glycosylation site occupied	Major N-linked glycans identified
Wild-type	Wild-type	50021	50021	1 (N297)	1 (N297)	GOF GOF
N297Q T299A NNAS	N297Q T299A S298N/ T299A (V200S	48590 48546 49942	48589 48545 49941	0 0 1 (N298)	0 0 1 (N298)	None None G2FS2 G2FS2
QNTS	N297Q/ S298N /Y300S	49986	49985	1 (N298)	1 (N298)	G3FS3 G3FS3 G2FS2 G2FS2
NNTS	S298N/ Y300S	49972	49972	2 (N297) (N298)	1 (either of N297 or N298)	G3FS3 G3FS3 G0F G0F G1F G1F
						G2FS2 G2FS2

expected. On the other hand, the NNAS and QNTS mutants migrated at the same size as wild-type IgG1, suggesting it is glycosylated at the engineered Asn298 site.

Detailed intact protein LC-MS characterization revealed that the oligosaccharides on the engineered Asn298 site are different from those on the native Asn297 site (Figure 1b). Instead of the typical biantennary G0F (GlcNAc₂Man₃ $GlcNAc_2Fuc_1$) and G1F ($Gal_1GlcNAc_2Man_3GlcNAc_2Fuc_1$) found on the Asn297 site in recombinant IgG1 molecules, the NNAS and QNTS mutants have both biantennary and triantennary oligosaccharides with terminal sialic acids. In contrast, the NNTS mutant contains both non-sialylated and sialylated biantennary structure, suggesting potential attachments of oligosaccharides at either Asn297 or Asn298 site. Aglycosylated species in these glycosylation mutants, including NNAS, QNTS, and NNTS, were not observed at any significant level. All of the antibodies, including wild-type and mutants, have low aggregation (<3.5% aggregates) and maintain similar antigen and neonatal Fc receptor (FcRn) binding (Figure S1). As expected with other aglycosylation mutants, N297Q shows 6.6° C decreased thermal transition temperature (Tm), while the glycosylation mutants display variably reduced thermal stability with a range from 0.7°C to 5.7°C lower than the wild-type antibody (Table 2).

In vitro characterization of FcyR binding by the mutants

Surface plasmon resonance (SPR) was used to test the binding of the engineered mutants to human and mouse FcγRs, including hFcγRII, hFcγRIII and mFcγRIV, which are important for triggering effector function. Human FcγRIIIa is expressed on innate immune cells such as macrophages and nature killer (NK) cells, and it is the most relevant receptors for ADCC of therapeutic antibodies. Both polymorphic forms of the

	Table 2.	Characterization	of v	arious	Fc	mutants	of	mAb1.
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			F	cγR binding	b	
	Antigen binding ^a	FcRn binding ^a	Human FcγRI	Human FcγRIII	Mouse FcγRIV	Stability ^c
Wild-	type	+	+	100	100	100
69.4°C						
N297Q	+	+	22	4	1	62.8°C
T299A	+	+	27	5	9	64.3°C
NNAS	+	+	0	0	0	64.8°C
QNTS	+	+	2	3	0	63.7°C
NNTS	+	+	70	8	7	68.7°C

^aThe antigen and FcRn binding of wild-type and variants were measured using SPR as shown in Figure S1, ^bFcγR binding represents the % of maximal binding response of wild-type antibody as determined by using SPR. ^cStability was measured using DSC for the thermal transition temperature required for unfold-ing of the CH2 domain.

receptor, hFc γ RIIIa V158 and hFc γ RIIIa F158, were used for characterization. The high-affinity hFc γ RI was also tested to better differentiate the effect on binding by the mutants. Mouse Fc γ Rs were included to correlate the binding results with lymphocyte depletion in mouse models.

The two glycosylation mutants NNAS and QNTS show no binding to any of the human or mouse FcγRs being tested, including the high-affinity hFcγRI (Figure S2). The two aglycosylation mutants, N297Q and T299A, exhibit some intermediate binding to hFcγRI and hFcγRII (T299A), but no detectable interaction with hFcγRIII. Moreover, there is little or no binding of glycosylation mutants to mouse FcγRs, including mFcγRI, mFcγRIIb, mFcγRIII, and mFcγRIV (Figure S3).

Based on results from FcyR bindings and other characterizations as described above, NNAS mutant was selected for further studies.

The NNAS mutant was also prepared in another recombinant human IgG1, mAb2, by transient transfection of both CHO cells and HEK293 cells. The N-linked oligosaccharides e1814583-4 👄 Q. ZHOU ET AL.

from these antibodies were analyzed (Figure S4). The mutant was produced together with a few other mutants with reduced effector function as reported in literature, including IgG4 PE (S228P/L235E), IgG1 LALA (L234A/L235A), and IgG1 N297A, another aglycosylation mutant.^{16,17} The Fc γ R binding of the NNAS and other mutants was determined using SPR (Figure 2a).

In contrast to wild-type antibody, which has strong binding, IgG1 NNAS shows no detectable binding to hFc γ RI, although residual receptor interactions were observed with other mutants including IgG4 PE, IgG1 LALA, and IgG1 N297A. As for hFc γ RII, no binding was detected with IgG1 NNAS or N297A, while IgG1 LALA demonstrates residual receptor interaction. Interestingly, there is significant



Figure 2. Comparison of FcyR binding of mAb2 wild-type, NNAS and other Fc mutants. (a) The interactions of wild-type IgG1, IgG4 PE, IgG1 LALA, IgG1 N297A, and IgG1 NNAS with human and mouse FcyRs were investigated using SPR. The sensorgrams show the binding profiles of IgG antibodies at various concentrations to different FcyRs. (b) The sensorgrams reveal the interactions of different subclasses of wild-type and NNAS mutants with human and mouse FcyRs. FcyRlla (R131) and FcyRllla (V158) allotypes were used in the characterization.

binding of IgG4 PE to hFcyRII, especially to hFcyRIIb/c. Since hFcyRIIIa and mFcyRIV are responsible for ADCC activity in human and mouse, respectively, the receptor binding of wild-type and mutants was investigated. No interaction was detected with IgG1 NNAS or IgG1 N297A for these two receptors. Some residual bindings were observed with both IgG1 LALA and IgG4 PE, although the levels are minimal with the latter. The effects of NNAS mutation on FcyR interaction were also investigated with different IgG subclasses (Figure 2b). Both wild-type IgG1 and IgG3 show strong bindings to FcyRs, including hFcyRI, hFcyRIIa, hFcyRIIb/c, hFcyRIIIa, and mFcyRIV. However, as expected, there is no or very low binding of wild-type IgG2 and IgG4 P (S228P) to hFcyRIIIa and mFcyRIV, and wild-type IgG2 to hFcyRI. Significant residual bindings of wild-type IgG2 and IgG4 P were observed with hFcyRII, including FcyRIIa and FcyRIIb/c, while the latter antibody also has significant interaction with hFcyRI. The parent antibody IgG4, from which the S228P mutation was generated for reduced Fab-arm exchange, also shows similar interactions compared to the mutant. In contrast, there is no binding of NNAS mutant in any of IgG subclass to any of the human and mouse FcyRs tested.

In vitro ADCC and CDC activity

The IgG1 NNAS mutant mAb2 was tested for ADCC and CDC activities, two of the most important mechanisms for antibody effector functions, and compared to wild-type IgG1 and IgG1 LALA, a mutant with reduced effector function.¹⁷

In the ADCC assay, antigen-expressing target cells were incubated with various amounts of antibodies and effector cells before the specific lysis was determined using radioactive chromium-release assay. As shown in Figure 3a, there is no or very low specific target cell lysis for either IgG1 NNAS or IgG1 LALA, although significant target cell lysis is present with the wild-type antibody. The result of no ADCC activity obtained with the NNAS mutant is consistent with its lack of $Fc\gamma RIIIa$ binding as described above.

Specific target cell killing via complement activation was monitored after the cells were incubated with various amounts of wild-type or mutant antibodies as well as complements. In contrast to the wild-type antibody, which showed strong CDC activity, there is no specific target cell lysis with the IgG1 NNAS mutant at concentration up to 10 μ g/ml after incubation with complement (Figure 3b). Significant amounts of CDC activity were observed with the IgG1 LALA mutant. In addition, strong interaction of the wild-type mAb2 with C1q was observed (Figure S5). In contrast, the NNAS mutant does not bind to this complement, suggesting that lack of CDC of the mutant is due to loss of C1q interaction.

The ADCC and CDC activities of mAb1 wild-type and NNAS mutant were also determined. Human NK cells and CD3-positive T cells expressing mAb1-reactive antigen purified from peripheral blood mononuclear cells (PBMCs) were used as effector cells and target cells, respectively. The result from radioactive chromium-release assay shows no ADCC of NNAS mutant, although the wild-type antibody shows significant activity (Figure S6a). In CDC assay, the wild-type



Figure 3. Comparison of the *in vitro* effector functions of mAb2 wild-type, NNAS and LALA mutants. (a) ADCC activities represent percent specific target cell lysis by effector cells in the presence of various concentrations of antibody mAb2. Monoclonal human cytotoxic T lymphocytes transduced with human FcyRIIIa (V158) was used as effector cells. (b) CDC activities show the percentage of specific target cell lysis by complement in the presence of various amounts of antibody mAb2. Data are presented as mean and standard deviation from triplicates.

antibody induces significant lysis of human Pfeiffer B cells as target cells, which express mAb1-reactive antigen, in an antibody dose-dependent manner (Figure S6b). In contrast, there is no target cell lysis by mAb1 NNAS mutant when human complements were used.

Lymphocyte depletion in transgenic mice

In order to examine the impact of the NNAS mutation on effector function in vivo, we tested lymphocyte depletion in the wild-type and the NNAS mutant mAb1 in transgenic mice expressing a mAb1-reactive human antigen, a classification determinant (CD; also called cluster of differentiation) on leukocytes.²⁴ A single dose of 1 mg/kg was intravenously (IV) administered to the transgenic animals, and whole blood samples were collected 2, 24, and 72 hours post-dose. Flow cytometry was used to determine the number of murine T and B cells in blood from the transgenic mice, monitoring lymphocyte depletion across time points. The wild-type antibody significantly depletes T and B cells (Figure 4) as early as 2 hours after injection, resulting in 94% and 85% of depletions, respectively, at 72 hours post-dose. In contrast, there is no depletion of these cells in animals treated with the NNAS mutant at any time points. The results indicate that the NNAS mutant has no



Figure 4. Comparison of the *in vivo* lymphocyte depletion of wild-type and NNAS mutant. (a) T cell depletion was determined in human antigen-specific transgenic mice (n = 10 per group) at different timepoints after injected with mAb1 wild-type and NNAS mutant. (b) B cell depletion was measured in human antigen-specific transgenic mice (n = 10 per group) at various timepoints after injected with mAb1 wild-type and NNAS mutant.

in vivo effector function, as demonstrated by a lack of lymphocyte depletion in transgenic mice.

Crystal structure of the engineered Fc mutant

Recombinant antibody IgG1 Fc NNAS mutant was expressed in HEK293 cells in media containing kifunensine, a potent αmannosidase I inhibitor, to produce an Fc with more homogeneous oligomannose-type glycans, which is better suited for crystallization. The NNAS mutant Fc was purified to homogeneity by a combination of protein A and size-exclusion chromatography. Crystal structures of the oligomannose containing NNAS mutant Fc were solved to a resolution of 2.3 angstroms (Figure 5a, Table S1). Electron densities for up to three sugars can be clearly observed on the engineered Asn298, but not on Asn297 in the NNAS mutant, confirming the successful switching of glycosylation from the inwardpointing Asn297 in the wild-type antibody to the solventexposed Asn298 in the NNAS mutant. The NNAS mutant Fc was crystallized with a single Fc chain in each asymmetric unit. Symmetry operation produced the Fc dimer in the classic "horseshoe" shape. We overlaid the PDBs of NNAS Fc with wild-type Fc (PDB: 1FC1, 3AVE), and afucosylated wild-type Fc (PDB: 2DTS) (Figure 5b).^{25,26} In general, both CH3 domains and one CH2 domain in the Fc dimer superpose very well. The second CH2 domain shows a shift as large as 3Å between the corresponding β strands. The largest local conformational change occurs at the C'E loop where the NNAS mutation sits. The overall root-mean-square deviation (r.m.s.d) of the NNAS Fc dimer with the first-ever solved IgG1 Fc (PDB: 1FC1) was 1.4Å, and 0.7Å with more recently solved Fc structures of similar resolutions (PDB:3AVE and 2DTS).^{25,26} We superposed the NNAS Fc structure onto the co-crystal structures of the previously published Fc-FcyRIII (PDB: 1E4K, 3AY4) and Fc-FcyRII (PDB:3RY6) to examine how the glycosylation switch affects the binding.^{11,27,28} The engineered glycosylation on NNAS sits right in the middle of the Fc-FcyR binding interface, with the glycans clashing into FcyRIII and FcyRII, respectively (Figure 5c and 5d). Therefore, the NNAS Fc mutant can no longer interact with its receptors, and thus eliminating the downstream signaling cascade.

Pharmacokinetics study in mice and non-human primates

The elimination half-lives of the NNAS mutants were evaluated in vivo for potential pharmacokinetic (PK) impact in circulation due to the mutations or oligosaccharides derived from the introduced glycosylation site. In a mouse PK study, the human mAb1 concentrations in serum were measured using an enzyme-linked immunosorbent assay (ELISA). After a single IV dose of 1 mg/kg, blood was collected from CD-1 mice at the following sampling times: 0.25, 4, 25, 48, 96, 168, and 336 hours. Results show that the NNAS mutant has a long circulation half-life in mice comparable to the wild-type antibody (Figure 6a). In addition, the clearances of the wild-type antibody and NNAS mutant were also compared in transgenic mice expressing the human antigen that is reactive to mAb1. The study was performed similarly as described above with CD-1 mice. There is no significant difference in elimination half-life between mAb1 wild-type and the NNAS mutant (Figure S7).

The PK profiles of mAb2 wild-type antibody and NNAS mutant were also compared in non-human primates (NHP). In the study, after a single IV dose of 10 mg/kg, blood was collected from cynomolgus monkeys at 0.08, 4, 24, 72, 168, and 336 hours post injection for quantification by bottom-up liquid chromatography-mass spectrometry (LC-MS/MS) assay method. The NNAS mutant shows comparable PK profiles to the wild-type antibody in NHP (Figure 6b). It has a similar half-life and clearance (Table S2). Our results suggest that the engineered glycosylation site at Asn298 does not have any effect on the PK of mAbs.

Discussion

Antibody effector functions trigger inflammatory signaling and cell death, which in turn can lead to side effects and toxicities for therapeutic antibodies. We report here design, preparation, and characterization of novel glycoengineered IgG1 variants to completely eliminate the effector functions. The lead NNAS

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Figure 5. Crystal structure of NNAS Fc mutant. (a) Overall structure of NNAS Fc. The two Fc chains are colored in cyan and green. Visible glycans at N298 are shown in stick representation, with carbon atoms in gray. (b) Superposition of NNAS Fc with wildtype Fc (PDB 1FC1, 3AVE, and 2DTS.^{25,26} The carbon atoms in 1FC1, 3AVE, and 2DTS Fc are colored in different shades of gray. NNAS Fc is colored as in A. (c) Superposition of NNAS Fc with Fc-FcyRIII. For simplification, only PDB 3AY4²⁷ was shown, since PDB 1E4K¹¹ gave near identical results. NNAS is colored as in A. Wild-type Fc was colored in white while FcyRIII was colored in light pink. (d) Superposition of NNAS Fc with Fc-FcyRII (PDB:3RY6).²⁸ The color scheme is same as C. The glycans on N298 of the NNAS Fc all clash into the respective receptors. All oxygen atoms are colored in red and nitrogen atoms colored in dark blue. All figures are generated in Pymol.²⁹

mutant shows lack of binding to any human or mouse FcγRs being tested, including high-affinity hFcγRI, resulting in an absence of cell lysis in ADCC and CDC *in vitro*, and loss of lymphocyte depletion *in vivo*. The variant has a similar binding affinity to antigen and FcRn, as well as similar elimination halflife compared to wild-type antibodies in mouse and NHP. We have further established that the glycosylation switch can be applied to different IgG1 antibodies and IgG subclasses. There are multiple existing Fc variants and platforms that have been applied to therapeutic antibodies and Fc fusions for reducing effector functions. They include aglycosylated antibodies,^{30,31} modified IgG1, IgG2 and IgG4,^{14,17,18,21,22} and IgGs with extensive mutagenesis.^{14,19} We believe that our glycosylation switched variants reported here are superior to those approaches by completely blocking the FcγR bindings, and thus silencing the effector functions.

Although several structures of Fc variants have been reported, the mechanisms of their reduced effector functions are not very clear except for some changes near the hinge region and the orientation of Pro329 in an IgG2 variant for FcγRs.^{14,32} As for aglycosylation mutants, early structural studies suggest the relevance of "closed" Fc conformation to reduced FcγR interaction. However, later work indicates the significant disorder in the C'E loop, which contains Asn297, has a strong correlation with reduced FcγRIIIa affinity.^{33,34} The

high-resolution crystal structure of our NNAS mutant reveals unique mechanisms for completely silenced effector functions. First, the removal of glycosylation at Asn297 creates a structural disorder in the loop, as in the aforementioned aglycosylated antibodies. Second, the addition of an alternative glycosylation site to the neighboring engineered Asn298 residue results in changed orientation of oligosaccharides from facing inward between the two Fc chains to facing outwards, directly into the FcyR binding interface (Figure 5).^{11,27,28} The combination of the two mechanisms, especially the introduction of a bulky carbohydrate group in the binding interface, likely leads to the observed elimination of effector functions. The oligosaccharides at the Asn298 site are, on average, about 2000 to 3000 Da in mass as measured by mass spectrometry, at least 10 times greater than the average mass of an amino acid (less than 200 Da). This would completely block the interaction of the glycosylation switched variant with FcyRs and complements compared to that from a single or combination of amino acid mutations. Indeed, our results show that the NNAS mutant compared favorably in lowering binding to FcyRs than aglycosylation mutant N297Q and T299A.³⁵ While some of the existing Fc formats are presumed to have silenced effector functions, the commonly used aglycosylated IgG1 and the IgG4 with PFALA backbone still bind FcyRI and engage in phagocytosis of opsonized cells.¹⁴ Indeed, we also



Figure 6. Comparison of pharmacokinetic profiles of wild-type and NNAS mutant. (a) PK profile in mouse (n = 10 per group) injected with 1 mg/kg of mAb1 wild-type and NNAS mutant. (b) PK profiles in cynomolgus monkeys (n = 3 per group) following administration of mAb2 wild-type and NNAS mutant. The wild-type and NNAS mutant of mAb1 and mAb2 were produced from CHO cells.

observed some interactions of both aglycosylated IgG1 and IgG1 with LALA mutation to the Fc γ RI receptor, although with reduced affinity as expected.³⁰ Our glycosylation switched NNAS mutant shows no detectable binding under the same conditions, and thus is likely to be more effective in eliminating phagocytosis. Moreover, although significant CDC activity was observed with IgG1 LALA, there is no C1q binding and complement-mediated cytotoxicity with the NNAS mutant (Figure 3b).

Due to the fact that the NNAS mutant has the same antigen binding, FcRn interaction, and PK profile as the wild-type antibody, it is likely that undetectable Fc γ R binding as well as silenced ADCC and CDC activities of the glycosylation variant contribute to the lack of *in vivo* effector function or lymphocyte depletion in transgenic mice.

Removal of glycosylation from Asn297 has been a concern for reduced thermal stability and increased propensity to aggregation when it was tested at high temperatures *in vitro.*-^{36,37} Our differential scanning calorimetry (DSC) study did show a 4.6°C decrease in melting temperature of the NNAS mutant, slightly less than 6.6°C decrease of aglycosylated N297Q. However, the structures of oligosaccharides at the engineered Asn298 site are different from those on the native Asn297 of IgG antibody. Although glycans derived from Asn297 are mainly biantennary GOF and G1F, the oligosaccharides at Asn298 are found to be predominantly biantennary and triantennary structures with terminal sialic acids. The oligosaccharides containing sialic acid residues with negative charge on the protein surface could also potentially reduce the surface hydrophobicity.

Our data also effectively addresses the question of whether carbohydrate receptors can significantly affect the clearance of this engineered glycosylation variant. Similar to the wild-type antibody, the engineered NNAS mutants have long circulation half-life in both mouse and NHP, likely due to the fact that the glycosylation variants maintain the same FcRn binding. Our results are consistent with the reports by others on antibodies with reduced effector function.^{12,20,22} Bas *et al.* reported that Fc-sialylation also displays synergistically prolonged PK profile of antibody when it was combined with mutation for enhanced FcRn binding.³⁸ Therefore, our NNAS Fc could be a very useful fusion partner for half-life extension of wild-type antibodies and other Fc mutants, especially if combined with such Fc mutations.

The potential immunogenicity of many engineered Fc, including our NNAS mutant, is not completely clear. It is a valid concern, especially when extensive mutagenesis is involved to reduce the effector functions. The NNAS mutation could generate two new epitopes, the one exposed by removal of glycosylation at Asn297, and the other introduced with the new glycosylation site at Asn298. However, no adverse immunogenicity effects have been reported in the ongoing clinical trials of several aglycosylated antibodies, indicating that the unmasking of the region around N297 does not seem to result in the formation of a neoepitope.³⁹ The second potential new epitope could be from the new Asn298 glycosylation site with three amino acid substitutions at residues 298 (Ser to Asn), 299 (Thr to Ala), and 300 (Tyr to Ser). This is unlikely an immunogenicity concern for the following reasons. First, carbohydrates have been shown to be able to shield the underlying protein sequences from the immune system.⁴⁰ As a matter of fact, it is a common protein engineering practice to introduce a glycosylation site to hot spots of an immunogenic protein to reduce its immunogenicity.41 Secondly, the terminal sialic acids on the oligosaccharides of the engineered Asn298 residue could be particularly beneficial for masking any possible new epitopes due to the fact that Fc sialylation is inversely correlated with autoimmunity.^{42–44} The sialylation of pathogenic antibodies in vivo has been reported to attenuate autoimmune disease in mouse models.⁴⁵ Thus, increased sialylation in the NNAS would mutant potentially benefit in immunosuppression.

We have previously reported site-specific antibody conjugation through glycans attached to Asn297 site.⁴⁶ The same strategy can be applied to the engineered Asn298 glycosylation as reported here. The potential advantage of the NNAS mutant over the wild-type IgGs could be its multi-antennary structures and open access to solvent and conjugation chemistries, leading to a higher drug-to-antibody ratio and more efficient conjugation than those with wild-type antibody.

In summary, the glycosylation switched NNAS mutant completely ablates antibody effector functions through a novel mechanism. This work provides a new platform that can be applied to therapeutic antibodies and many antibody formats, including Fc fusion proteins, ADCs, and bispecific antibodies, to improve safety profiles or to increase therapeutics index.

Materials and methods

Materials

The recombinant proteins, except for those made in-house, were obtained from R&D systems: recombinant human FcγRI (1257-Fc), recombinant human FcγRIIa (1330-CD), recombinant human FcγRIIb/c (1875-CD), recombinant human FcγRIIIa (4325-Fc), recombinant mouse FcγRI (2074-Fc), recombinant mouse FcγRIIb (1460-CD), recombinant mouse FcγRIII (1960-Fc), and recombinant mouse FcγRIV (1974-CD). All chemicals were purchased from Sigma-Aldrich unless stated otherwise.

Site-directed mutagenesis

Mutations were introduced by QuikChange II site-directed mutagenesis kit (Agilent) in pENTR vector encoding antibody constant domains CH1-3. Full-length antibody was created by LIC cloning in pENTR, which was subcloned into the expression vector pCEP4 (-E + I)Dest or pTT5. Mutations were confirmed by DNA sequencing.

Expression and purification of antibody mutants

HEK293 cells or CHO cells were transiently transfected with the plasmids containing antibody cDNAs. mAb1 wild-type and mutants were expressed from NRC CHO cells, while mAb2 wild-type and mutants were generated from both CHO and HEK293 cells. Conditioned media was harvested and filtered through a 0.22 μ m filter. Antibodies were purified using Protein A columns (GE Healthcare) and were bufferexchanged into phosphate-buffered saline (PBS) pH 7.2 using Amicon ultra centrifugal filters (EMD Millipore) before they were concentrated.

Fc NNAS mutant was also expressed and purified for crystallization. Its N-terminus starting from TCPPCP in the hinge region, was purified using Protein A and gel-filtration columns. Supernatant from Expi HEK293 cells treated with kifunensine was centrifuged and filtered through 0.22 μ m filter before loading onto a 5 mL HiTrap Protein A column (GE Healthcare). The Protein A column was pre-equilibrated and run in PBS, and the protein was eluted with 0.1 M glycine pH 3.0. The eluate was fractionated and immediately mixed with an equal volume of 1 M HEPES pH 7.5 to neutralize. The fractions corresponding to the Fc mutant were pooled, concentrated, and loaded onto a 24 mL Superdex 200 10/300 GL column (GE Healthcare) in 20 mM HEPES pH 7.4 containing 50 mM sodium chloride. On gel-filtration, the Fc mutant gave a single symmetric UV absorbance peak.

SDS-PAGE

Antibody samples were heated for 10 min at 70°C in reducing sample buffer before they were applied to a 4–20% Tris-Glycine

gel. After the run, the gel was stained with Coomassie blue. The gel image was acquired using a scanner.

Size exclusion chromatography

The size exclusion chromatography was performed on an Agilent 1100 HPLC system with a ChemStation software. Antibody samples (25 μ g) were diluted in mobile phase (40 mM NaPO₄ pH 6.0; 500 mM NaCl) and loaded onto a TSK Gel G3000SWXL column. The HPLC was performed under isocratic conditions in the mobile phase as described above at 0.5 mL/min for 35 min.

Differential scanning calorimetry

The samples were diluted to 0.5 mg/mL in PBS pH 7.2, centrifuged at 1,000 x g for 15 min to remove large particles and microscopic bubbles, and analyzed using a Microcal VPcapillary DSC system equipped with an autosampler. Sample and reference capillaries (containing matching buffer) were equilibrated at 10°C for 15 min and then heated to 110°C at a rate of 1°C/min. Data were acquired using passive feedback and an 8 sec filter. Raw scans were corrected for buffer/buffer scan signal and protein concentration, adjusted with a linear chemical baseline set visually from the pre- and post-transition baselines, and then qualitatively deconvoluted using the nontwo state model contained in the analysis software package provided by the instrument manufacturer.

LC-MS intact protein analysis

Antibodies were partially reduced with 20 mM dithiothreitol for 30 min at 37°C at 0.5 mg/mL. The reaction was quenched with 0.1% trifluoroacetic acid. Samples were run on an Acquity/XevoG2 QToF UPLC/MS system using a Waters BEH C4 UPLC column (2.1 mm x 100 mm, 1.7 μ m) held at 55°C with mobile phases A (0.1% formic acid in water) and B (0.1% formic acid in acetonitrile) within m/z range 500–3600 Da. Data were processed using Masslynx 4.1 software. The five most intense charge states in each spectrum were used for deconvolution using MaxEnt 1 algorithm (resolution:1.0 Da; model: uniform Gaussian 0.5 Da; minimum intensity ratios: 33%, iterate to convergence) in the ranges LC at 20–25 kDa and HC at 40–60 kDa.

FcyR, FcRn, and antigen-binding using surface plasmon resonance

Analysis of antibody binding to Fc γ Rs was performed on a Biacore T200 using anti-His capture or Biacore 3000 using protein A/G capture. Anti-tetra His (Qiagen) was immobilized to a series S CM5 chip to a surface density of 10,000 RU using the amine coupling kit provided by GE Healthcare. Recombinant human and mouse Fc γ Rs were diluted to 0.5 µg/mL in HBS-EP+ (10 mM HEPES pH 7.4, 150 mM NaCl, 3 mM ethylenediaminetetraacetic acid or EDTA, 0.05% surfactant P20) and injected for 30 sec at 10 µL/min flowrate. Antibodies were serially diluted threefold from 3000 to 37 nM and injected over the captured receptors for 2 min in duplicate. For binding to $hFc\gamma RI$, serial dilutions were made from 300 nM to 1.2 nM. The surface was regenerated with 10 mM glycine pH 1.5 for 30 sec.

For results in supplemental figures, each antibody was diluted to 5 μ g/mL and injected for 30 sec onto a CM5 chip immobilized with 3500 RU of protein A/G (Sigma). Recombinant human and mouse Fc γ Rs in HBS-EP (10 mM HEPES pH 7.4, 150 mM NaCl, 3 mM EDTA, 0.005% Tween 20) were injected over the captured antibodies. Receptor binding responses were normalized to antibody capture level by dividing the binding response by a capture level report point and multiplied by 100.

For FcRn binding, a CM5 chip was immobilized with 715 RU mouse FcRn (prepared in-house) using amine chemistry. Each antibody was diluted to 200 nM with PBS-P pH 6.0 (50 mM sodium phosphate pH 6.0, 150 mM NaCl, 0.05% Tween 20) and injected over the immobilized FcRn at 30 μ L/min flowrate. The surface was regenerated with 10 mM sodium tetraborate, 1 M NaCl pH 8.5 for 30 sec at 50 μ L/min flowrate.

To measure antigen binding, a low level of human antigen was immobilized on a CM5 chip. Antibodies were diluted in HBS-EP running buffer to 90 nM for relative binding comparison. The diluted antibodies were injected for 3 min over the surface to monitor binding at 30 μ L/min flowrate.

C1q binding

The C1q binding was determined using ELISA according to a report by Schlothauer et al. with minor modification.¹⁸ Briefly, antibodies in eight dilutions (0 to 50ug/mL) in triplicates were immobilized in a 96-well plate overnight before the plate was blocked with SuperBlock blocking buffer in PBS (Thermo Fisher Scientific) containing 0.05% Tween-20. Human C1q (Sigma, C1740) was added to each well and incubated for 1.5 hours at room temperature. After washing, the wells were then incubated with mouse anti-human C1q (Thermo Fisher Scientific, MA183963) for 1 hours. Finally, goat anti-mouse IgG conjugated with horseradish peroxidase (HRP) (Jackson ImmunoResearch,115-035-164) was incubated for 1 hoursbefore TMB substrate was added. The absorbance at 405 nm, which is proportional to the amount of bound C1q, was determined using a plate reader with BD-SpectraMax software.

ADCC assay

ADCC potency of mAb2 wild-type and mutants was evaluated using chromium (51 Cr) release assay with the ratio of effector cells to target cells at 10 to 1. The target cells were generated by transfecting CHO cells with the gene encoding a membranebound antigen.⁴⁷ The cells were grown in Ham's F12 medium containing 10% heat-inactivated fetal bovine serum and 1 mg/ mL G418. The effector cells were monoclonal human cytotoxic T lymphocytes transduced with human FcγRIIIa (V158) as described by Clemenceau et al.⁴⁸ The cytotoxic T cell clone was cultured in RPMI medium containing 5% fetal calf serum. In the assay, ⁵¹Cr labeled target cells expressing specific antigen were mixed with both antibody (mAb2) and effector cells. After being incubated for 4 hoursat 37°C, the supernatants were transferred into LumaPlates. The radioactivity, corrected count per minute (CCPM), was measured for gamma counting in triplicates using MicroBeta Jet (Perkin Elmer). The percent specific lysis was calculated using the formula (experimental CCPM – spontaneous CCPM)/(maximum CCPM – spontaneous CCPM) × 100; where maximum CCPM was the radioactivity from the labeled target cells treated with 0.75% Triton X-100 and spontaneous CCPM represents the one from labeled target cells alone without antibody and effector cells.

The ADCC of mAb1 wild-type antibody and NNAS mutant was performed similarly as described for mAb2 except that NK cells purified from human PBMCs, which were isolated using Ficoll-Hypaque-gradient centrifugation from whole blood (Red Cross), were used as effector cells and CD3-positive T cells expressing mAb1-reactive antigen as target cells. After incubation for 5 hours, radioactivity released from ⁵¹Cr labeled target cells was determined.

CDC assay

The CDC assay of mAb2 wild-type and mutants was performed similarly except that a fixed quantity of complements was used instead of effector cells. In the assay, ⁵¹Cr labeled target cells were incubated with various amount of antibody and 1 CH50 guinea pig complement (Tebubio) for 4 hoursat 37°C before the radioactivity was determined as described above. Percentage of specific lysis was calculated by the formula: (experimental CCPM – spontaneous CCPM)/(maximum CCPM – spontaneous CCPM) x 100. Data given are the means of triplicate determinations. The spontaneous CCPM represents the one from labeled target cells alone without antibody and complement.

The CDC activity of mAb1 wild-type and NNAS mutant was determined using protocol exactly as described by Qiu et al.⁴⁹ The normal human serum complement (Quidel Corporation, A112) was used in the assay, while Pfeiffer B cells expressing mAb1-reactive antigen (ATCC, CRL-2632) was used as target cells.

Animal experiments

All *in vivo* studies were conducted in compliance with the Sanofi institutional animal care policy and in accordance with the Helsinki Declaration of 1975. The monkey studies were approved by the French "Ministère de l'Enseignement Superieur et de la Recherche." The mouse studies were reviewed and approved by the Institutional Animal Use and Care (IACUC) committee at Sanofi. Receipt, acclimation, room temperature, relative humidity, and light/dark cycle were in accordance with Sanofi's Comparative Medicine standards. The mice were group-housed in rodent cages. Water and rodent diet (PicoLab[®] Rodent Diet 20) were provided ad libitum throughout the course of the study.

In vivo lymphocyte depletion

The study utilized mAb1 reactive human antigen-expressing transgenic mice. The mice were obtained from Charles River Labs. Heterozygous human antigen expressing transgenic mice were generated by breeding homozygous (+/+) transgenic female mice to wild type (CD-1) male mice. Mice were 8–14 weeks of age at study initiation. Animals (10 per group) were administered a single dose of vehicle (PBS, Thermo Fisher Sci) as well as mAb1 wild-type antibody and NNAS mutant at 1 mg/kg via the lateral tail vein. Doses were based on the animal's most recent bodyweight.

After injection, serial blood samples in the volume of $100 \ \mu L$ were collected from the retro-orbital plexus of anesthetized transgenic mice at baseline (-3 days), 2, 24, and 72 hours post dose. All samples were collected into tubes containing EDTA and processed the same day.

The lymphocyte depletion was measured using flow cytometric analysis. Cells were incubated with anti-CD16/32 (Fcblock 2.4G2, BD Biosciences) followed by staining with a combination of anti-CD45R/B220 PE Cy7 (RA3-6B2, BD Bioscience) and anti-CD3 ϵ APC (145–2C11, Biolegend) for 10 min at room temperature. Erythrocytes were lysed with BD FACS Lysing Solution (BD Biosciences) and fixed with 0.5% formaldehyde. Prior to acquisition, CountBrightTM Absolute Counting Beads (Life Technologies) were added to the samples for cell concentration calculations. Data were collected on a Becton Dickinson FACSCanto II with Diva 6.1.3 and equipped with 488, 405, and 633 nm lasers, and analyzed with FlowJo software (TreeStar).

Pharmacokinetics studies

For the PK study using mouse, animals (ten per group) were administered a single dose of antibody mAb1 at 1 mg/kg via the lateral tail vein. Serial blood samples in the volume of 60 μ L were collected from each human antigen-specific transgenic mouse or CD-1 mouse at 0.25, 4, 24, 48, 96, 168, and 336 hours post dose. All samples were collected into microhematocrit capillary tubes and spun in a centrifuge at 10,000 rpm for 5 min. The serum was then separated into an eppendorf tube, transported on dry ice, and stored at $\leq -60^{\circ}$ C until processed. At the end of the study, all surviving animals were euthanized by CO₂ asphyxiation.

The amounts of antibody in mouse serum were determined using ELISA. Ninety-six-well plates were coated with goat antihuman IgG primary antibody (Jackson ImmunoResearch, 109-005-003) in 50 mM carbonate/bicarbonate buffer pH 9.6 and incubated for at least 12 hours at 2-8°C. The plates were washed and blocked with 1% bovine serum albumin (BSA) in PBS for at least 1 hourat 37°C while shaking. Primary antibody incubations were done with either samples (diluted 1:25 to 1:1000) or serial dilutions of the appropriate standard (in PBS containing 0.05% Tween 20 and 0.1% BSA). Detection was carried out with the relevant secondary antibody, goat antihuman IgG biotin conjugated (Sigma-Aldrich, AP112B) after incubation for 1 hourat 37°C with shaking, followed by incubation with HRP-conjugated Streptavidin (KPL, 5950-0004) for 30 minutes, and then with tetramethylbenzidine substrate (KPL, Sure-Blue, 5120-0075). Optical density was measured at 450 nm using a plate reader (Molecular Devices).

For PK studies conducted in cynomolgus monkeys, all animals obtained from Noveprim were male monkeys aged between 1 and 3 years of age at study start, weighing between 3 kg and 5 kg. All antibodies were prepared in 10 mM histidine pH 6.0 and 150 mM NaCl, and dosed at 10 mg/kg as a single intravenous administration with a dose at 1.5 mL/kg, to saturate target binding. A total of three animal replicates were evaluated for each antibody utilizing a serial sampling approach across the study duration of 14 days. Blood samples (0.5 mL) were collected into the tubes containing potassium EDTA as anticoagulant by venipuncture of the saphenous vein at six sampling times post dosing. Once collected, blood samples were centrifuged at 4°C for 10 minutes at 1500 x g and stored at -80° C.

Plasma concentrations of antibodies were determined using a generic LC-MS/MS approach. The concentration of each antibody at each time point was determined by a bottom-up LC-MS/MS assay. After precipitation of a plasma aliquot, the plasma pellet was subjected to protein denaturation, reduction, alkylation, trypsin digestion, and solid-phase extraction prior to analysis of surrogate peptides. Calibration standards were prepared by spiking the antibody into the plasma at 1, 2, 5, 10, 20, 50, 100, 200, and 400 µg/mL. Peptide separation was performed on a Waters Acquity UPLC system with a reverse phase XBridge BEH C18 column (2.1x150 mm, 3.5 µM, 300 Å, Waters) at a flow rate of 300 μ L/min in a stepwise gradient of 0.1% formic acid in water and 0.1% formic acid in acetonitrile. For detection, a SCIEX API5500 mass spectrometer was used in positive ion mode, with the source temperature at 700°C, the ionspray voltage at 5500 V, curtain, and nebulizer gases at 40, and the collision gas at mid. Dwell times were 20 ms and the entrance potential was 10 V for each transition. The multiple reaction-monitoring transitions for two unique surrogate peptides of the antibody were used for concentration determination relative to the standards and controls, using the peak area from the MQIII integration algorithm of the Analyst software.

PK parameters were determined from individual animal data using non-compartmental analysis in Phoenix WinNonlin version 6.4 (Certara).

NNAS Fc mutant crystallization, data collection, and structure determination

Purified NNAS Fc was concentrated to 12 mg/mL and set up for sparse matrix crystal screening at 21°C. Crystal clusters were observed from well condition 0.1 M HEPES pH 7.4% and 16% polyethylene glycol 20,000. After microseeding and optimization, single crystals were obtained suitable for diffraction data collection under 30% polyethylene glycol 1,500. Crystals were cryo frozen in corresponding well conditions plus 20% ethylene glycol, and diffraction data sets were collected at CMCF-08ID at Canadian light source. Crystals diffracted to 2.27 angstrom, and data were processed with HKL2000.⁵⁰ Crystals belonged to space group C222₁, with cell dimensions a = 52.1 Å, b = 142.5 Å, c = 75.2 Å, and $\alpha = \beta = \gamma = 90.0^\circ$.

The NNAS Fc structure was determined by molecular replacement with PHASER⁵¹ as implemented in the Phenix software package⁵² using chain A of PDB 3AVE²⁶ as initial search model. The model was refined in Phenix.refine program using default protocols.⁵² Manual modification to the model between refinement cycles was made with the program Coot.⁵³ Statistics of diffraction data and refinement are summarized in Table S1. The structure factors and coordinates have been deposited into RCSB with PDB code 6X3I.

Abbreviations

ADCC	Antibody-dependent cell-meditated cytotoxicity
CDC	Complement-dependent cytotoxicity
SPR	Surface plasmon resonance
NHP	Non-human primate
DSC	Differential scanning calorimetry
SEC	Size exclusion chromatography
HPLC	High-performance liquid chromatography
r.m.s.d	Root-mean-square deviation
ССРМ	Corrected count per minute
PBMCs	Peripheral blood mononuclear cells
NK	Natural killer
HRP	Horseradish peroxidase

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