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Comparing two neurocognitive models of self-control during dietary decisions

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Abstract

Self-control is the process of favoring abstract, distal goals over concrete, proximal goals during decision-making and is an important factor in health and well-being. We directly compare two prominent neurocognitive models of human self-control with the goal of identifying which, if either, best describes behavioral and neural data of dietary decisions in a large sample of overweight and obese adults motivated to eat more healthfully. We extracted trial-by-trial estimates of neural activity during incentive-compatible choice from three brain regions implicated in self-control, dorsolateral prefrontal cortex, ventral striatum and ventromedial prefrontal cortex and assessed evidence for the dual-process and value-based choice models of self-control using multilevel modeling. Model comparison tests revealed that the value-based choice model outperformed the dual-process model and best fit the observed data. These results advance scientific knowledge of the neurobiological mechanisms underlying self-control-relevant decision-making and are consistent with a value-based choice model of self-control.

Key words: self-control; dual-process; value-based choice; health; decision neuroscience

Introduction

Self-control is central to health and well-being and thus is a promising target for preventive interventions. Designing effective interventions requires a precise neurocognitive model self-control, but identifying a working model of self-control that maps onto underlying brain systems has proven challenging (Fujita *et al.*, 2018). One barrier to progress is that neural models of self-control are almost always tested in isolation, not directly compared to one another. Here, we define self-control as the process of favoring abstract, distal goals over concrete, proximal goals during decision-making (Fujita, 2011) and compare two prominent neurocognitive models of self-control with the goal of identifying which, if either, best describes behavioral and neural data of dietary self-control-relevant decisions. The overarching purpose of this work is to develop a more-refined neurocognitive model of self-control to enable translational interventions to improve health outcomes.

We focus on two prominent models of self-control: dual-process models and value-based choice models. There are many variations on each class of models within the fields of social psychology and neuroeconomics; we draw hypotheses from the features that are most common within each model family. As such, it should be noted that the statistical models we compare in this paper are just several of many possible model instantiations. In general, dual-process models describe self-control as a battle between 'hot' affective states (e.g. craving) and 'cold' cognitive states (e.g. inhibitory control). In these models, self-control outcomes are the product of an antagonistic, seesaw relationship between affect and cognitive control (Kotabe and Hofmann, 2015). Though the precise neural location of these states differs substantially across studies (Berkman, 2017), dorsolateral prefrontal cortex (dlPFC) is posited as a key node for flexibly maintaining goal representations (Braver *et al.*, 2009) and implementing cognitive control across a variety of cognitively

demanding tasks (MacDonald *et al.*, 2000; Miller and Cohen, 2001; Duncan, 2013; Shenhav *et al.*, 2013; Buhle *et al.*, 2014). On the other hand, regions in the mesolimbic dopamine system, such as ventral striatum (VS), are posited as key nodes for reward processing (McClure *et al.*, 2007). According to these models, 'cold' regions inhibit activity in 'hot' regions (Figner *et al.*, 2010), and therefore the balance, or difference, between lateral and subcortical activity should predict self-control-relevant outcomes (Heatherton and Wagner, 2011; Lopez *et al.*, 2014; Lopez *et al.*, 2017). This is because these regions are hypothesized to serve opposing functions during self-control-relevant choices.

In value-based choice models, subjective values from a range of choice attributes are integrated into a single accumulated expected value (or utility) for each choice (Berkman *et al.*, 2017a). Attributes are not limited to just 'hot' and 'cold'—they contribute separately to an accumulation process rather than compete with each other directly. Evidence for choice options is accumulated over time until one choice reaches the threshold for enactment, determining behavior and therefore self-control-relevant outcomes (Harris *et al.*, 2018; Tusche and Hutcherson, 2018). Although it's possible to classify outcomes as self-control 'successes' or 'failures' (c.f. Hare *et al.*, 2009), value-based choice models often focus on the weights assigned to choice attributes (e.g. taste and health) during decision-making rather than the outcomes *per se*. This is because choices are a combination of both signal and noise, but with enough choices available, it is possible to estimate parameters for relevant choice attributes that are more stable and drive behavior in the long run. For example, even if an individual 'fails' to exert self-control by choosing to eat an unhealthy snack, that specific choice may occur in the presence of a relative shift in the value of healthiness or tastiness, which may accumulate over time to impact the probability of selecting healthy foods overall.

In value-based choice models, dlPFC and VS are also implicated as important nodes during dietary decisions, but their relationship is not expected to be antagonistic. Instead, these regions are hypothesized to independently encode the subjective value (i.e. goal value) derived from different choice attributes relevant to the decision-making process (e.g. health and taste of food options), which are ultimately integrated in ventromedial prefrontal cortex (vmPFC). Although dlPFC is expected to contain information about the subjective value of health while individuals with goals to eat healthfully make dietary decisions, it is unclear whether dlPFC computes goal values, implements cognitive control to modulate the value of health or plays some other role (Plassman *et al.*, 2010; Shenhav, 2017). In any case, within the value-based choice framework, (a) dlPFC is expected to show increased activation to healthy foods, (b) VS is expected to show increased activation to tasty, unhealthy foods and (c) both regions are expected to positively correlate with vmPFC. Notably, options that are tasty and healthy are expected to elicit activity in both dlPFC and VS. In contrast to dual-process models, which predict that the balance between dlPFC and VS predicts self-control, value-based choice models theorize that self-control-relevant choices should be recoverable from activity in vmPFC. Although it remains unclear what precise role vmPFC plays in decision-making and whether it may serve as a final common pathway for choice, it has been implicated in choice regardless of stimulus type (e.g. food or money) or motivation (Rangel and Hare, 2010; Hare *et al.*, 2010; Hare *et al.*, 2009; for meta-analyses, see Clithero and Rangel, 2013; Bartra *et al.*, 2013) and is thought to serve functions such as contributing to the integration or comparison of value signals (Lim *et al.*, 2011; Padoa-Schioppa and Conen, 2017; Levy and Glimcher, 2012) or

alternatively, the construction of integrated meaning of the self in context (Roy *et al.*, 2012) or situational processing (Lieberman *et al.*, 2019). Consequently, value-based choice models suggest that the choice that evokes the greatest activation in vmPFC is likely to be enacted, and activation in vmPFC is driven by inputs from regions such as dlPFC, VS and potentially their interaction. However, in contrast to dual-process models, which imply that lateral prefrontal and subcortical regions should be negatively correlated during decision-making, value-based choice models posit that there is not necessarily a directional relationship between neural activity in brain regions representing the subjective value of choice attributes, which are subsequently integrated in vmPFC (i.e. these value signal inputs might be positively, negatively or not related).

Despite these different predictions about how neural activity in dlPFC, VS and vmPFC relate to self-control-relevant decisions, these predictions are rarely compared within the same study. Researchers typically test the neural predictions of dual-process (Lopez *et al.*, 2017) and value-based choice models (Hare *et al.*, 2009; Hare *et al.*, 2011) separately, with notably few attempts to directly compare the models (Hutcherson *et al.*, 2012). This may be because traditional univariate analyses are not well suited to model comparison.

Here, we employ a trial-by-trial modeling approach that allows us to relate neural activity to self-control-relevant decisions in the dieting domain on a finer time scale than has previously been possible and provides relative model fit indices. We recruited a large sample of overweight and obese participants ($N = 94$; BMI $M = 31.33$, BMI SD = 3.95) who had explicit dieting goals and examined neural responses in three brain regions of interest (ROIs)—dlPFC, VS and vmPFC—while they made real dietary decisions about healthy and unhealthy snack foods during a food auction task (Hutcherson *et al.*, 2012). Because participants enrolled in this study to improve healthy eating habits, there is an implicit self control dilemma between distal health goals and proximal hedonic goals during the task. On each trial, we extracted the average blood-oxygenation-level-dependent (BOLD) signal within each ROI, regressed bid value on these BOLD signal estimates and assessed the relative evidence for each model of self-control using multilevel modeling. Dual-process models predict an association between the balance between activity in dlPFC and VS with bid value, whereas value-based choice models predict that vmPFC should be associated with bid value, even when dlPFC and VS are included in the statistical model. Our primary hypotheses and related analytic decisions were preregistered and are available in Supplementary material and online: https://osf.io/8bvxe/registrations.

Methods

Participants

Participants were 94 adults aged 35–46, (77 females, 16 males, 1 declined to respond; age $M = 39.2$, age SD = 3.5) with goals to eat healthfully, recruited as part of a 6-month longitudinal intervention study to improve healthy eating habits during middle age. The present study is a secondary analysis of the data. The sample size was determined based on the power analysis accompanying the original grant application (7R21CA175241–03) and constrained by the grant budget and award period. The data were collected before participants were randomized into intervention groups. To ensure all participants shared a healthy eating goal, only interested participants who endorsed readiness to change their eating habits were enrolled. We excluded four

Fig. 1. Task design. Each trial consisted of a 4 s snack food presentation, followed by a 4 s bid period. Snack foods were either healthy (e.g. carrot sticks) or unhealthy (e.g. candy). All trials ended with a jittered fixation cross presented for 3–6 s (M = 4.38 s).

Fig. 2. ROIs in volumetric space.

participants: two due to excessive motion, one due to technical failure and one due to an incidental finding, yielding a total of 90 participants for statistical analyses. This study was approved by the University of Oregon Institutional Review Board; all participants gave written informed consent and were compensated for their participation.

Food auction task

To measure individual subjective value of healthy and unhealthy snack foods, participants completed a willingness-to-pay task (Hutcherson *et al.*, 2012; http://github.com/UOSAN/WTP/tree/chives) while undergoing functional neuroimaging. The task is an incentive-compatible economic auction in which participants view images of thirty healthy and thirty unhealthy snack foods and choose how much they are willing to pay for each item. Foods that are energy-dense and high-sugar or contain processed or red meat were classified as unhealthy, whereas foods that are not energy-dense and are high-fiber, low-fat and low-sugar were classified as healthy. Participants were endowed with $2.00 to buy a snack and were told that one trial would be randomly selected and enacted. Bids greater than or equal to a randomly selected bid resulted in the participant getting the snack, whereas lower bids resulted in participants receiving the money, but not the snack. The optimal strategy is to bid the true amount one is willing to pay for each item. The task utilized an event-related design (Figure 1), and food image order was randomized for each subject.

Neuroimaging data acquisition

Data were acquired using a 3 T Siemens Skyra scanner at the University of Oregon's Lewis Center for Neuroimaging. High resolution anatomical volumes were acquired using a T1-weighted MP-RAGE pulse sequence and functional volumes were acquired using a T2∗-weighted echo-planar sequence (voxel size = 2 mm^3). Scan parameters are listed in Supplementary material.

Neuroimaging data preprocessing and analysis

Neuroimaging data were pre-processed and analyzed using SPM12 (Wellcome Department of Cognitive Neurology; http://www.fil.ion.ucl.ac.uk/spm). For each participant, functional images were realigned, coregistered to the high-resolution anatomical image, unwarped to reduce susceptibility artifacts and smoothed using a 2 mm^3 FWHM Gaussian smoothing kernel. First-level statistical analyses were conducted in native
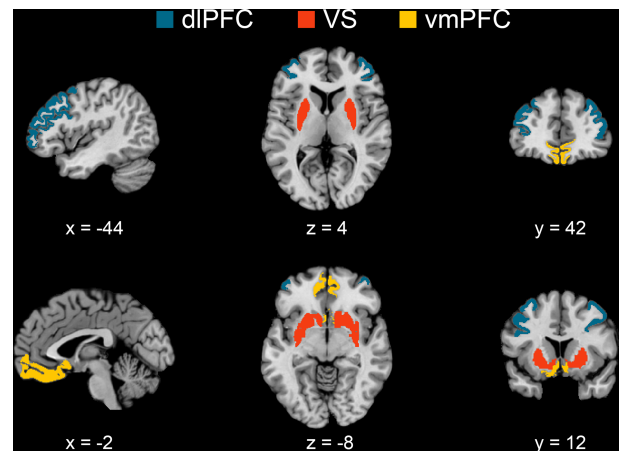
space. Each trial was entered in the model as a separate regressor (rather than grouped by condition). Trial duration was specified as 8 s from food image onset to fixation (Figure 1). Realignment parameters were transformed into five motion regressors, including absolute displacement from the origin in Euclidean distance and the displacement derivative for both translation and rotation and a single trash regressor for images with motion artifacts (e.g. striping) identified using automated motion assessment (Version v0.2-alpha; Cosme *et al.*, 2018) and visual inspection. These regressors were included as covariates of no interest. Two participants were excluded for having >10% unusable volumes, which was more than three standard deviations from the median (Mdn = 1.57%, SD = 3.21%). The resulting statistical maps for each trial were concatenated to create a beta-series (Rissman *et al.*, 2004). Preprocessing and analysis scripts are available online (https://osf.io/pevmy).

ROI definition and parameter extraction

We defined bilateral ROIs for dlPFC, vmPFC and VS (Figure 2) using the Desikan-Killiany (Desikan *et al.*, 2006) and Destrieux (Destrieux *et al.*, 2010) cortical parcellation atlases and the FreeSurfer segmentation atlas (Fischl *et al.*, 2002) and mapped these ROIs to participants' T1-weighted anatomical scans using FreeSurfer 6 (Fischl, 2012). To determine which cortical parcels to use, we inspected meta-analytic association test maps from NeuroSynth (Yarkoni *et al.*, 2011) for the following terms: cognitive control, dlPFC, value and vmPFC and identified overlapping FreeSurfer parcels (Supplementary Figure S1). To create the dlPFC ROI, we concatenated the middle frontal gyrus and the inferior frontal sulcus parcels. We created the vmPFC ROI using the medial orbitofrontal cortex parcels and the VS ROI by concatenating the nucleus accumbens and putamen segments. All ROIs were concatenated and binarized using the fslmaths function in FSL 5.0.10 (Jenkinson *et al.*, 2012) and resliced to 2 mm^3 using SPM12. This process yielded individually defined dlPFC, vmPFC and VS ROIs for each participant. To calculate the mean BOLD signal across the voxels in each ROI, we use the 3dmaskave function in AFNI 18.2.04 (Cox, 1996). For each participant, we extracted the mean parameter estimate of BOLD signal within each ROI for each trial in the beta-series. To account for differences in variability between individuals and ROIs, parameter estimates were standardized within participant and ROI.

Multilevel modeling

Evidence for the dual-process and value-based choice models of self-control was assessed by inspecting parameter estimates from a series of multilevel models. Statistical analyses were conducted in R 3.5.1. (R Core Team, 2018; https://www.r-project.org/) using the lme4 package (Bates *et al.*, 2015). For each theoretical model, we compared a series of nested statistical models in which bid value was the criterion and neural predictors were added to a base model that included only the fixed effect of Food Type (healthy or unhealthy). For all models, only participant intercepts were treated as random effects. We compared nested models using chi-square difference tests; models were treated as significantly improving model fit if $P < 0.05$. To determine the best fitting model across theoretical models, we inspected the Akaike information criterion (AIC). Because AIC improves as the predictive value of a model increases (Aho *et al.*, 2014), this comparison reveals which of the models maximizes accuracy in predicting bid value (where smaller AIC indicates better prediction accuracy). To estimate multilevel model effect sizes, we calculated R^2 according to the guidelines in Lorah (2018). To estimate correlations between ROIs and account for the nested structure of trials within participants, we calculated repeated measures correlations using the rmcorr package (Bakdash and Marusich, 2017) in R. Because these models are only several of many possible ones, we also run additional, non-preregistered models and compared model fit using a specification curve (Simonsohn *et al.*, 2015). The results of this analysis can be found in Supplementary material.

Dual-process model comparison

To characterize the competitive nature of dlPFC and VS posited by the dual-process model, we created a 'balance' score (Lopez *et al.*, 2017) by subtracting estimates of activity in VS from dlPFC on each trial. Positive values indicate relatively greater dlPFC activity, whereas negative values indicate relatively greater VS activity. To test dual-process predictions, we compared model fit among the following models. As stated in our preregistration, if DP1 is the best fitting model and the balance score (dlPFC—VS) is significantly associated with bid value, we will interpret this as evidence for the dual-process model of self-control. However, if DP2 is the best fitting model, suggesting that vmPFC is significantly associated with bid value, we will interpret this as evidence for the value-based choice model, which is the only model that predicts a critical role for vmPFC in self-control.

First level models:

Base model:　Y_{ij} (Bid value of trial i by person j) $= \beta_{0j} + \beta_{1j}$Food Type$_{ij} + \varepsilon_{ij}$

DP1:　Y_{ij} (Bid value of trial i by person j) $= \beta_{0j} + \beta_{1j}$Food Type$_{ij} + \beta_{2j}($dlPFC$_{ij} -VS_{ij}) + \varepsilon_{ij}$

DP2: Y_{ij} (Bid value of trial i by person j) $= \beta_{0j} + \beta_{1j}$Food Type$_{ij} + \beta_{2j}($dlPFC$_{ij} -VS_{ij}) + \beta_{3j}$vmPFC$_{ij} + \varepsilon_{ij}$

Second level equations:

$\beta_{0j} = \gamma_{00} + \mu_{0j}$
$\beta_{1j} = \gamma_{10}$
(In DP1 and DP2):　$\beta_{2j} = \gamma_{20}$
(in DP2):　$\beta_{3j} = \gamma_{30}$

Value-based choice model comparison

To assess evidence for this theoretical model, we compared the following statistical models. As stated in our preregistration,

if VB1—which specifies terms for dlPFC and VS to represent subjective value of relevant choice attributes and vmPFC as the value integrator—is the best fitting model and the neural predictors are significantly associated with bid value, we will interpret this as evidence for the value-based choice model. To mirror the model comparison for the dual-process models, we also planned to test whether adding the balance score (dlPFC—VS) to the model (VB2) would improve model fit. However, this model did not converge because the balance score is a linear combination of dlPFC and VS and was therefore inestimable.

First level equations:

Base model:　Y_{ij} (Bid value of trial i by person j) $= \beta_{0j} + \beta_{1j}$ Food Type$_{ij} + \varepsilon_{ij}$

VB1: Y_{ij} (Bid value of trial i by person j) $= \beta_{0j} + \beta_{1j}$ Food Type$_{ij} + \beta_{2j}$dlPFC$_{ij} + \beta_{3j}$VS$_{ij} + \beta_{4j}$vmPFC$_{ij} + \varepsilon_{ij}$

VB2: Y_{ij} (Bid value of trial i by person j) $= \beta_{0j} + \beta_{1j}$ Food Type$_{ij} + \beta_{2j}$dlPFC$_{ij} + \beta_{3j}$VS$_{ij} + \beta_{4j}$vmPFC$_{ij} + \beta_{5j}($dlPFC$_{ij} -$VS$_{ij}) + \varepsilon_{ij}$

Second level equations:

$\beta_{0j} = \gamma_{00} + \mu_{0j}$
$\beta_{1j} = \gamma_{10}$
(In VB1 and VB2):　$\beta_{2j} = \gamma_{20}$
(In VB1 and VB2):　$\beta_{3j} = \gamma_{30}$
(In VB1 and VB2):　$\beta_{4j} = \gamma_{40}$
(In VB2):　$\beta_{5j} = \gamma_{50}$

Value integration in vmPFC

The value-based choice model specifies that value signals from dlPFC and VS are integrated in vmPFC. To assess evidence for this hypothesis, we regressed trial-level vmPFC activity on dlPFC and VS activity and their interaction. Participant intercepts were modeled as random effects. We expected that if vmPFC integrates the value signals from dlPFC and VS, then the fixed main effects of each region on vmPFC activity would be significant and positive and that the interaction between these regions also would be significantly associated with vmPFC activity.

First level equation:

VMPFC:　Y_{ij} (vmPFC on trial i by person j) $= \beta_{0j} + \beta_{1j}$dlPFC$_{ij} + \beta_{2j}VS_{ij} + \beta_{3j}$dlPFC$_{ij} \times$VS$_{ij} + \varepsilon_{ij}$

Second level equations:

$\beta_{0j} = \gamma_{00} + \mu_{0j}$
$\beta_{1j} = \gamma_{10}$
$\beta_{2j} = \gamma_{20}$
$\beta_{3j} = \gamma_{30}$

Results

Descriptives

Inspection of the data revealed a main effect of the Food Type (healthy vs. unhealthy) on bid value, such that participants were willing to pay more for healthy foods than unhealthy foods (Figure 3; $M_{healthy} = 0.96$, $SD_{healthy} = 0.65$; $M_{unhealthy} = 0.66$, $SD_{unhealthy} = 0.63$). This is not unexpected given the dieting goals of participants in the sample. Visual inspection of the neural data revealed moderate positive correlations among the ROIs (Table 1). In terms of differential neural activation, healthy foods were associated with greater BOLD signal than unhealthy foods in dlPFC and VS, but not vmPFC (Figure 4). In terms of behavioral responses, higher bid values were associated with increased BOLD signal in all ROIs (Figure 5) and similar trajectories were observed for both healthy and unhealthy foods (Figure 6). The relevant inferential tests are reported in the following section.

Table 1. Repeated measures correlations among ROIs

ROI	M	SD	1	2	3
1. VS	0.82	1.10	–		
2. dlPFC	0.79	1.10	0.52 [0.50, 0.54]	–	
3. vmPFC	0.13	1.09	0.35 [0.33, 0.37]	0.50 [0.48, 0.52]	–

Note. $N = 5220$ trials. All correlations are statistically significant, $P < 0.001$. 95% confidence intervals are bracketed. Correlations adjust for trials nested within participant using multilevel modeling.
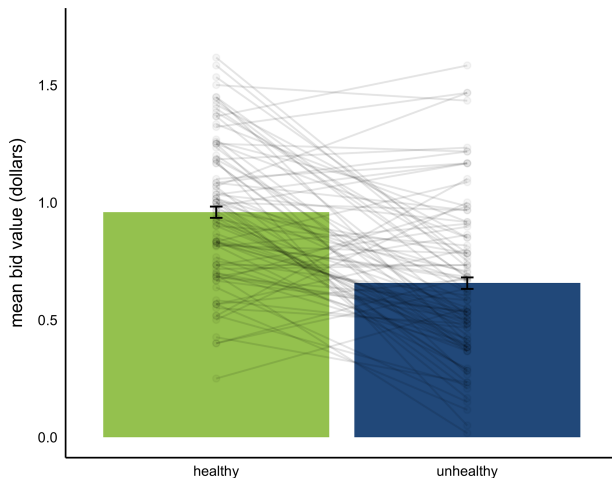

Fig. 3. Mean bid value in dollars for healthy and unhealthy snack foods. Points represent mean bid value for individual participants. Error bars are 95% confidence intervals across trials.
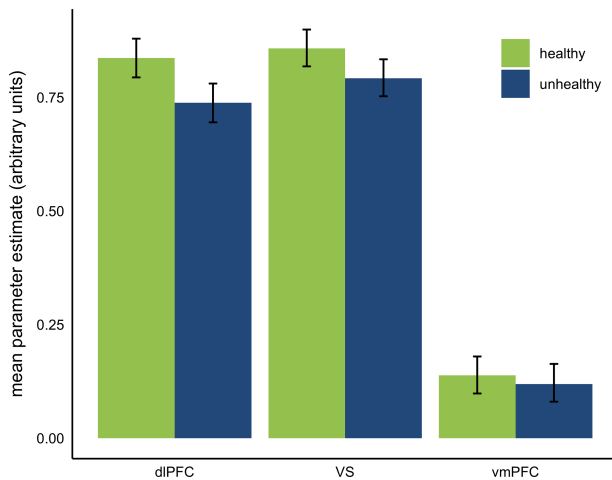

Fig. 5. Mean parameter estimates of BOLD signal as a function of bid value and ROI. Points are scaled by the number of observations. Error bars are 95% confidence intervals across trials.

Fig. 4. Mean parameter estimates of BOLD signal as a function of Food Type (healthy or unhealthy) and ROI. Error bars are 95% confidence intervals across trials.

Model comparison

In general, results of the multilevel modeling analyses did not support the dual-process hypothesis. Compared to the base model that included only the fixed effect of Food Type, adding the difference term representing the relative activation of dlPFC and VS to the model DP1 did improve model fit as indicated by a statistically significant change in chi-square, $\chi^2(1) = 8.42$, $P = 0.004$ (Table 2 for a summary of model fit results). However, the model that included an additional term for vmPFC activity (DP2) significantly improved fit over the basic dual-process
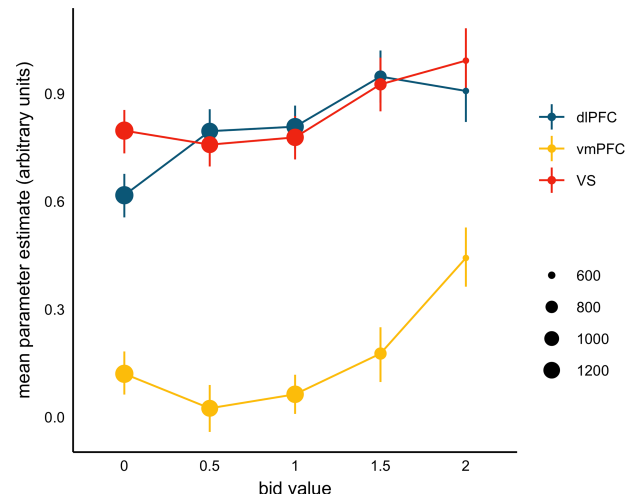
model (DP1), $\chi^2(1) = 31.26$, $P < 0.001$. In DP2 (Table 3), each one standard deviation increase in vmPFC activity was associated with a 5.0 cent increase in bid value ($b = 0.05$, 95% CI = [0.03, 0.06], $P < 0.001$), while the difference between dlPFC and VS activity was associated with a 1.8 cent increase for each SD change ($b = 0.02$, 95% CI = [0.00, 0.03], $P = 0.046$).

In contrast, results generally supported the hypotheses of the value-based choice model. Fit improved significantly from the base model when individual terms for dlPFC, vmPFC and VS activity were added, according to the chi-square difference test, $\chi^2(3) = 53.73$, $P < 0.001$. Furthermore, directly comparing the canonical dual-process and value-based choice models (DP1 and VB1, respectively) revealed the value-based choice model as the better fitting, $AIC_{DP1} = 9746.80$, $R^2_{DP1} = 0.19$, $AIC_{VB1} = 9705.49$, $R^2_{VB1} = 0.20$. Critically, VB1 was also the best fitting model compared to other, non-preregistered potential specifications of dual-process and value-based choice models (see specification curve in Supplementary material). Inspection of the fixed effects of the canonical value-based choice model (VB1) revealed that bid value was positively associated with dlPFC activity ($b = 0.04$, 95% CI = [0.02, 0.06], $P < 0.001$) and vmPFC activity ($b = 0.03$, 95% CI = [0.01, 0.05], $P = 0.003$). Each one standard deviation increase in dlPFC activity was associated with a 4.4 cent increase in bid value, whereas it was associated with a 3.1 cent increase for vmPFC. VS activity was not significantly associated with bid value, $b = 0.00$, 95% CI = [−0.02, 0.02], $P = 0.950$. See Table 3 for VB1 parameter estimates and statistics.

We also observed qualified support for vmPFC integrating responses from dlPFC and VS, as hypothesized by the value-based choice model (Table 4). The results of this multilevel model showed that both dlPFC ($b = 0.43$, 95% CI = [0.40, 0.46], $P < 0.001$)
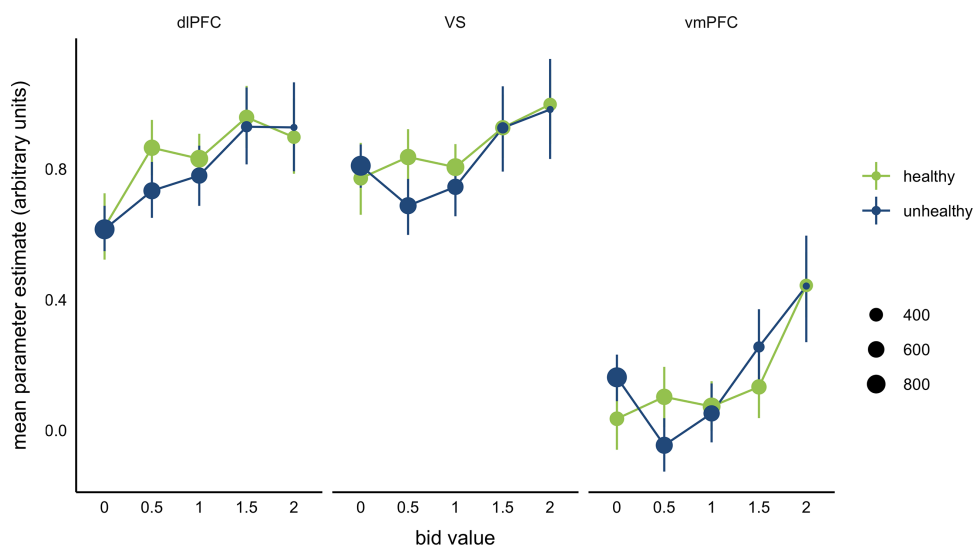
Fig. 6. Mean parameter estimates of BOLD signal as a function of bid value, Food Type (healthy or unhealthy) and ROI. Points are scaled by the number of observations. Error bars are 95% confidence intervals across trials.

Table 2. Summary of model comparison results

Model	df	χ^2 (df)	AIC	Deviance	P
Dual-process models					
Base model	4		9753.22	9745.22	
DP1	5	8.42 (1)	9746.80	9736.80	0.004
DP2	6	31.26 (1)	9717.54	9705.54	<0.001
Value-based choice models					
Base model	4		9753.22	9745.22	
VB1	7	**53.73 (3)**	**9705.49**	**9691.49**	**<0.001**

Note. Models were compared sequentially in t he order presented here using a change in chi-square difference test. A statistically significant *P* value (i.e. *P* < 0.05) indicates that that model fits the data better than the model above. The bolded model is the best fitting model.

and VS ($b = 0.12$, 95% CI = [0.09, 0.14], $P < 0.001$) were positively associated with vmPFC activity, but their interaction was not ($b = 0.01$, 95% CI = [0.00, 0.02], $P = 0.123$).

Discussion

We used a novel trial-by-trial statistical modeling approach to compare two prominent neurocognitive models of self-control. Analyses focused on the three ROIs (dlPFC, VS and vmPFC) commonly implicated in dual-process and value-based choice models of self-control. We preregistered and tested competing hypotheses about these regions posed by the theoretical models and then compared the models based on their fit to the data.

Our results did not support the core hypothesis posed by the dual-process model—that the relative activation between dlPFC and VS is what drives self-control-relevant outcomes (Lopez *et al.*, 2017). If this were the case, DP1 should have been the best fitting model, but it was not. In addition, the consistent improvement in fit when including vmPFC is inconsistent with dual-process theory. Though chi-square statistics are sensitive to the number of free parameters, the lack of evidence for the dual-process model is not merely a function of reduced degrees of freedom. Because we preregistered our models, parameters were not included or excluded based on chance variation in the data. Also, VB1 had the lowest AIC, which penalizes additional parameters to reduce overfitting, despite having the most model parameters. Therefore, these results are inconsistent with

the hypothesis that self-control-relevant decisions result from antagonism between dlPFC and VS.

In contrast, our results support the value-based choice hypothesis that vmPFC activity is associated with self-control-relevant decisions. Including vmPFC improved model fit, and the value-based choice model, VB1, was the best fitting model overall. Further, activations in the two regions that putatively represent the value of relevant choice attributes in this context—health in dlPFC and taste in VS—were both positively related to vmPFC activation. The observed positive correlation between VS and dlPFC is more consistent with the value-based choice model, where multiple attributes can contribute to the value of an option simultaneously.

Together, these results have implications for translational interventions to improve self-control. For example, they suggest that interventions seeking to amplify the subjective value of food health and/or decrease the value of food taste (e.g. via cognitive reappraisal) may be more effective than interventions targeting inhibitory control. Additionally, because the value-based choice model isn't limited to 'hot' and 'cold' choice attributes, this model suggests that other sources of value, such as social norms or identity (Nook and Zaki, 2015; Berkman *et al.*, 2017b; Pfeifer and Berkman, 2018), may be useful intervention targets.

However, our results did not support one secondary hypothesis of the value-based choice model. The model posed by Berkman *et al.* (2017a) specifies that vmPFC integrates value signals from dlPFC and VS, but it is unclear exactly how

Table 3. Results of the multilevel models DP1, DP2 and VB1

DP1	b	Fixed effects SE	t (df)	P
Intercept	**0.96**	**0.03**	**34.08 (106.04)**	**<0.001**
Food Type	**−0.30**	**0.02**	**18.43 (5219.69)**	**<0.001**
dlPFC—VS	**0.02**	**0.01**	**2.90 (5305.03)**	**0.004**

	Variance	Random effects SD		
Participant	0.06	0.24		

DP2	b	Fixed effects SE	t (df)	P
Intercept	**0.95**	**0.03**	**33.78 (106.18)**	**<0.001**
Food Type	**−0.30**	**0.02**	**18.44 (5218.74)**	**<0.001**
dlPFC—VS	0.02	0.01	2.00 (5305.17)	0.046
vmPFC	**0.05**	**0.01**	**5.60 (5305.69)**	**<0.001**

	Variance	Random effects SD		
Participant	0.06	0.24		

VB1	b	Fixed effects SE	t (df)	P
Intercept	**0.92**	**0.03**	**31.34 (125.43)**	**<0.001**
Food Type	**−0.30**	**0.02**	**18.25 (5218.31)**	**<0.001**
dlPFC	**0.04**	**0.01**	**3.83 (5304.49)**	**<0.001**
VS	0.00	0.01	0.06 (5301.72)	0.950
vmPFC	**0.03**	**0.01**	**3.00 (5305.26)**	**0.003**

	variance	Random effects SD		
Participant	0.06	0.24		

Note. DP1 is the model representing the core dual-process theoretical model; DP2 adds a term for vmPFC to DP1; VB1 is the model representing the core value-based choice theoretical model. The reference group for Food Type is healthy. Bolded values indicate statistical significance at $P < 0.01$. Degrees of freedom (df) were calculated using the Satterthwaite approximation.

Table 4. Results of the multilevel model regressing vmPFC activity on dlPFC and VS.

Fixed effects	b	SE	t (df)	P
Intercept	**−0.32**	**0.04**	**7.69 (101.75)**	**<0.001**
dlPFC	**0.43**	**0.01**	**29.75 (5306.36)**	**<0.001**
VS	**0.12**	**0.01**	**8.08 (5306.96)**	**<0.001**
dlPFC×VS	0.01	0.01	1.54 (5262.86)	0.123

Random effects	variance	SD		
Participant	0.14	0.37		

Note. This model represents an ancillary hypothesis of value-based choice models that the interaction between dlPFC and VS is associated with vmPFC activity. Bolded values indicate statistical significance at $P < 0.001$. Degrees of freedom (df) were calculated using the Satterthwaite approximation.

this 'integration' happens. If vmPFC merely serves to sum the weighted inputs, then an additive, 'main effects only' model might be possible. If vmPFC performs a more complex calculation (e.g. input-contingent) then an interaction model might also be possible. Thus, we tested both possibilities. Contrary to our hypothesis, while VS and dlPFC were positively associated with vmPFC activity, their interaction was not. Though there is no consensus across the various formulations of value-based choice models as to whether or not there are interactions among the inputs to the value accumulation (Berkman *et al.*, 2017a; Hare *et al.*, 2011; Lim *et al.*, 2018; Sullivan

et al., 2015), these data indicate that an interaction might not be present, at least in this task.

In light of the vmPFC model results, it is notable that this study design did not permit us to test directional relationships among ROIs. Though it would be possible to assess directionality using structural equation modeling, this method requires large samples (Kline, 2016) and we were underpowered to utilize it. Examining the structural relationship among these ROIs is an important avenue for future research. Previous tests of the directional relationship between vmPFC and dlPFC using a similar food task indicated that dlPFC moderated activity in vmPFC,

which in turn influenced choice (Hare *et al.*, 2011). The influence of dlPFC on vmPFC value signals has also been observed in other contexts (Hare *et al.*, 2009; Hare *et al.*, 2014).

The pattern of activity in VS is noteworthy in two ways. This region has been implicated in reward motivation (Schultz *et al.*, 1992; Kelley, 2004), providing the basis of the dual-process prediction that VS activation would be more closely linked with bids for hedonically rewarding, unhealthy foods compared to healthy ones. In contrast, activity in VS was positively correlated with bid value regardless of stimulus type (Figure 5). It is possible that VS can represent non-hedonic types of reward (such as health) when stimuli come to be associated with those rewards for some other reason (such as a dieting goal). At the same time, we observed a drop in the magnitude of the positive relation between bid value and VS activity when dlPFC activity was entered into the model. This reduction may be due to the collinearity between VS and dlPFC, which in turn might be attributable to the participants' dieting goals. Cases where participants choose between one option that has (mostly) hedonic value and another that has both abstract goal value and (at least some) hedonic value are understudied in the research literature but might more realistically reflect how self-control-relevant decisions operate. Compared to dual-process models, value-based choice models can more flexibly account for these cases because the value-integration process is agnostic about the number and sign of value inputs to a choice. Only in value-based choice models can VS, presumably representing hedonic or immediate reward value of some kind, contribute positively toward both options in a choice.

This study has several limitations. First, we did not collect independent liking, health or taste ratings. These ratings would be necessary to make claims about the engagement of self-control or the relative contributions of taste and health on any single trial. Instead, our approach was to induce self-control goal dilemmas by sampling dieters with healthy eating goals and looking at average effects across participants to test neurocognitive models of self-control. Second, we used a bid increment of $0.50, which may limit power in studies with smaller sample sizes and/or fewer experimental trials (Simms *et al.*, 2019). Finally, region-to-region differences in signal-to-noise ratio (SNR) can make it difficult to compare the relative contributions of various brain regions to a statistical model. Indeed, there were region-wise differences in SNR (Supplementary material), but vmPFC had the lowest SNR of the three focal regions, suggesting that SNR alone cannot account for the observed effects.

The primary contribution of this research is the first direct comparison of two neurocognitive models of self-control, but several other features of the study are also noteworthy. The ecological validity of the task was high because our participants were overweight dieters who were bidding on food they would actually receive. This feature of the study is in contrast to many studies in the self-control literature that use a convenience sample without necessarily verifying that they have goals (e.g. dieting) that would confer subjective value to the healthiness of a food (Milyavskaya *et al.*, 2018). The translational value of the results stems in part from the fact that the most common target for weight-reducing interventions is precisely the population from which the sample is drawn—overweight people who want to diet.

This study also highlights the usefulness of studying self-control within the context of an actual goal, dieting, that has strong translational potential. Dieting is a promising model for self-control because it unfolds across a longer time span than a typical laboratory study—extending weeks or months as opposed to an hour—and yet consists of a series of individual decisions (i.e. food choices) that can be investigated with a brief laboratory session. The context of dieting can also be fruitful for informing models of self-control because it can imbue different types of stimuli with value by increasing the importance of different attributes; for example, a slice of cake holds both (positive) hedonic value and, simultaneously (negative) value with respect to the dieting goal. Though our study design precluded a test of whether participants' valuation of snack foods changed across contexts, our data are consistent with the possibility that the healthy foods might have accumulated some hedonic value in addition to their health goal value. In this way, dieting provides a more nuanced, complex test of self-control theories by shifting and broadening the set of food attributes that are relevant to participants' multiple and (sometimes) competing goals.

Conclusions

We found that the value-based choice model of self-control better described the observed data. Our results neither prove nor disprove the theories of self-control, but instead provide evidence in support of the predictions of this and similar value-based choice models that activity in vmPFC is related to decisions requiring self-control and is positively associated with dlPFC and VS. Contrary to predictions, we found evidence suggesting that dlPFC and VS are not interactively associated with vmPFC, and our study design could not clarify the directionality of the relationships among these regions. Nevertheless, our unique approach to modeling neuroimaging data on a trial-by-trial basis and comparing theoretical models has helped refine our understanding of the neurobiological mechanisms underlying self-control and may, in turn, help inform the development of translational interventions to aid those who struggle with self-control.

Supplementary data

Supplementary data are available at *SCAN* online.

Author contributions

All authors developed the study concept and analytic plan. D.C. and R.M.L. performed the data analysis and interpretation under the supervision of E.T.B. D.C. and R.M.L. drafted the manuscript, and E.T.B. provided critical revisions. All authors approved the final version of the manuscript for submission.

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