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Tannic Acid Radicals in the Presence of Alkali Metal Salts and Their Impact on the Formation of Silicate-Phenolic Networks

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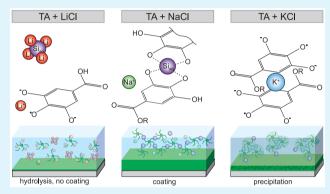
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ABSTRACT: Polyphenolic molecules have become attractive building blocks for bioinspired materials due to their adhesive characteristics, capacity to complex ions, redox chemistry, and biocompatibility. For the formation of tannic acid (TA) surface modifications based on silicate-phenolic networks, a high ionic strength is required. In this study, we investigated the effects of NaCl, KCl, and LiCl on the formation of TA coatings and compared it to the coating formation of pyrogallol (PG) using a quartz-crystal microbalance. We found that the substitution of NaCl with KCl inhibited the TA coating formation through the high affinity of K⁺ to phenolic groups resulting in complexation of TA. Assessment of the radical formation of TA by electron paramagnetic resonance spectroscopy showed that LiCl resulted in hydrolysis of



TA forming gallic acid radicals. Further, we found evidence for interactions of LiCl with the Si_{aq} crosslinker. In contrast, the coating formation of PG was only little affected by the substitution of NaCl with LiCl or KCl. Our results demonstrate the interaction potential between alkali metal salts and phenolic compounds and highlight their importance in the continuous deposition of silicate-phenolic networks. These findings can be taken as guidance for future biomedical applications of silicate-phenolic networks involving monovalent ions.

KEYWORDS: polyphenols, surface modification, nanocoating, metal-phenolic networks, EPR

■ INTRODUCTION

Naturally derived polyphenolic molecules have attracted great interest in creating novel and sustainable biomaterials based on green chemistry. 1–5 Their versatile interaction with interfaces originates from their molecular structure featuring catechol and galloyl groups that present an opportunistic reaction site for metal coordination and surface adhesion. Further, polyphenolic coatings can be utilized to create multifunctional surfaces via subsequent modifications, e.g., Michael additions. Currently, the anti-oxidant properties of polyphenols are studied to create anti-inflammatory and anti-biofouling surfaces. 1 In these biologically relevant environments, salts are not only an important factor for biomolecular processes but also interact with polyphenolic molecules.

Generally, the self-assembly and deposition process of polyphenolic layers depend on the type of polyphenol. While tannic acid (TA) layers are commonly obtained through interaction with metal ions creating metal-phenolic networks (MPNs), ¹³ flavonoids, and low molecular weight polyphenols, such as dopamine and pyrogallol (PG), rely on oxidative polymerization. ¹⁴ Oxidation of phenolic molecules by dissolved oxygen results in their respective quinone form and is usually controlled by the solution pH. ^{15,16} Via oxidant induction or control of the pH, the coating formation of polyphenolic

molecules can be regulated. ^{7,17} Additionally, diverse secondary interactions, such as π -stacking, π -cation interaction, hydrogen bonding, and electrostatic interactions affect the intermolecular interactions of polyphenols. ¹⁸ Therefore, the formation process is also controlled by the ionic strength. Optimal conditions to create MPNs and phenolic coatings were reported to be slightly alkaline with an ionic strength \geq 0.5 M.^{1,19}

Since multivalent ions strongly complex polyphenolic molecules and rapidly precipitate high molecular weight compounds, such as TA, ²⁰ NaCl has been used to adjust the ionic strength in recent studies. ^{1,12,17,21,22} More focused investigations have later shown that the film thickness and particle morphology of TA-Fe^{III} based MPNs are highly dependent on the NaCl concentration. This relation has been attributed to changes in the hydration shell around the TA complexes. ²³ Subsequently, the effect of other ions on TA-Fe^{III} networks was refined by Park et al., who concluded that the

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optimum ionic strength depends on the type of ion and decreases for ions with higher valency and atomic radius due to proposed charge screening effects. Besides these charge screening effects, ions may also influence the reaction chemistry of phenolic compounds. The different interaction potentials of anions and cations with phenolic structures could influence the intermediary radical structures during oxidative polymerization reactions of polyphenols. Evidence for changes in radical structures has been obtained studying the differences in quinone radicals generated by oxidation via horseradish peroxidase and H_2O_2 or NaOH oxidation. In addition, stabilization of phenolic radicals by Zn^{2+} and Mg^{2+} are known effects.

In this study, we investigated the interaction of silicate-phenolic networks with monovalent ions, Li⁺, Na⁺, and K⁺ to optimize coating conditions and to elucidate the effect of their salts on the deposition of TA coatings. Using electron paramagnetic resonance (EPR), we probed different intermediary radical structures of TA in the presence of NaCl, KCl, and LiCl. A comparison to the formation of silica independent PG coatings allowed us to point out the specific interactions of the investigated salts with TA. We expect that these insights may impact future biomaterial research, which employs polyphenolic molecules as structural building blocks and comprise the use of monovalent ions in biological buffers.

MATERIALS AND METHODS

Materials. Tannic acid (MW = 1701.2, LOT#MKBN9606 V), pyrogallol (MW = 126.1), gallic acid (MW = 170.1), ellagic acid (≥95%, MW = 302.2), penta-galloyl glucose (≥96%, MW = 940.7), HEPES (BioPerformance, ≥99.5%), LiCl, LiOH, KCl, KOH, sodium metasilicate pentahydrate (orthosilicic acid, ≥95%), sodium persulfate (Na₂(SO₄)₂, ≥98%), and periodic acid (H₅IO₅, 99%) were purchased from Sigma-Aldrich. NaCl and NaOH were supplied by VWR. Sodium 3-(trimethylsilyl)propionate (TMSP, 2,2,3,3-D4, D = 98%) was supplied by Cambridge Isotope Laboratories. Ammonium molybdate (para)tetrahydrate (99%) was purchased from Alfa Aesar. Unless otherwise stated, all materials were ACS grade.

Polyphenolic Solutions. TA and PG were dissolved at a concentration of 1 mg/mL in Milli-Q water (18 M Ω cm) containing 600 mM NaCl, KCl, or LiCl if not further specified. To avoid the influence of buffer molecules in spectroscopic techniques employed in this study, the pH was adjusted with specific amounts of 10 M base added to the solutions (Table 1). More data points can be found in Figure S1 of the ESI.

Table 1. Correlation of pH and Added Base to Aqueous Polyphenol Solutions

$V_{10 m Mbase} \left[\mu m L/mL ight]$	0	0.3	0.5	1.0
$pH_{TAsolution}$	~4	~8	~9	~11
$pH_{PGsolution} \\$	~7	~8	~9	~11

Coating Deposition. To compare the coating formation with previous experiments, TA and PG coatings were dissolved in 100 mM HEPES buffer containing 600 mM NaCl, KCl, or LiCl. The buffers were adjusted to pH 7.8 with NaOH, KOH, or LiOH, respectively. To form silicate-TA coatings, $80-100~\mu{\rm M}$ orthosilicic acid (Si_{aq}) was added. Ø6 mm Ti coins were coated in 10 mL solutions under agitation at 30 rpm.

The real-time formation of polyphenolic coatings on Ti was monitored using a QSense E4 (Biolin Scientific) quartz crystal microbalance (QCM-D). Ti sensors (QSX 310, Biolin Scientific) were cleaned according to the manufacturer's protocol before and after each experiment. The procedure includes sonication in 2% SDS, washing with Milli-Q water and EtOH, and finally UV-ozone treatment. The QCM-D chambers were cleaned with 2% SDS for 10 min at 0.5 mL/min and extensively flushed with water (>15 min) prior to the

experiment. Before the adsorption of polyphenols, sensors were equilibrated in the respective solvent for 20 min, and a baseline was recorded. The polyphenol solutions were gently stirred (100 rpm) during the measurement to provide sufficient O2. Measurements were performed at a flow rate of 0.1 mL/min at 21°C. After the adsorption, the sensors and chambers were flushed with Milli-Q water and 0.1 M HCl for 5 min to remove the coating. All experiments were performed in triplicates (n = 3). Changes in frequency (ΔF) and dissipation (ΔD) were continuously monitored for the fundamental frequency and the 3rd, 5th, 7th, and 9th harmonic overtone. For clarity, only the first three harmonics are plotted. Calculations of the layer thickness were performed with QTools Software (BiolinScientific, Version 3.1.33) using the Voigt extended viscoelastic model. Fits were obtained based on the first three harmonic overtones and a power based shear dependence. The TA and PG layer densities were assumed to be 1046 and 1435 kg/m³, respectively. Fluid density was set to 1027 kg/m³. Reported values should be taken as guidance.

Adhesion Force. Ti substrates ($\varnothing 6$ mm coins) were coated for 24 h in 10 mL of HEPES buffer (pH 6.8) containing 1 mg/mL TA, Si_{aq}, and 600 mM NaCl. Then 10.28 μ m polystyrene (PS) particles (100 μ L, 10% w/v, Microparticles GmbH) were washed with 900 μ L of Milli-Q water three times by centrifugation (1500g, 60 s) and removing of the supernatant. Subsequently, PS particles were incubated under constant stirring (400 rpm, 6 h) in 1 mL of TA solution (2 mg/mL TA in HEPES buffer pH 7.0 containing 600 mM NaCl and Si_{aq}). Then 500 μ L aliquots of the dispersion were withdrawn into 1.7 ml plastic tubes and washed with Milli-Q water three times.

To attach a PS particle on a cantilever, the tipless MLCT-O10 cantilever (Bruker, spring constant $\sim\!0.03~N/m)$ was lowered into a small amount of adhesive (two-part epoxy adhesive, Super Glue Corporation, U.S.A.) that was then promptly used to pick up a colloidal PS particle deposited on a glass slide. The modified cantilever was left at room temperature to allow the adhesive to dry and set for 24 h.

The atomic force microscopy (AFM) measurements were carried out by Cypher ES (Asylum Research, U.S.A.) with a colloidal probe in liquid. Prior to the measurement, both the TA coated Ti substrate and the colloidal probe were incubated in solutions for 10 min to reach equilibrium state. The spring constant of the colloidal probe was calibrated before taking force measurements. During the measurement, both the approaching and retracting velocity were kept at 500 nm/s. Between measurements in different solutions, the cantilever was washed with Milli-Q water and carefully dried to remove any residue on the colloidal probe. For each sample, at least 100 force curves were analyzed using Igor Pro 6.37.

Radical Formation. Electron paramagnetic resonance (EPR) spectra of polyphenolic radicals were recorded on a Bruker EleXsyS 560 SuperX X-band spectrometer using 25 μ L quartz microcapillaries (Blaubrand, intraMark) inserted in a 4 mm Wilmad quartz tube positioned in the cavity by a Teflon rod. For all experiments, an ER4122SHQE cavity was used. The spectra were obtained at 0.50 mW input microwave power (i.e. 26 dB power attenuation), receiver gain of 55 dB, 0.01 mT modulation amplitude, a time constant of 81.92 ms, and a sweep time of 83.89 s. The magnetic field sweep width was 1 mT with a resolution of 1k points. Polyphenols were dissolved 1 h prior to the measurements. The pH was adjusted 1 min before each experiment under gentle agitation. Details on radical modeling can be found in the Supporting Information (SI). The signs of the hyperfine coupling (HFC) constant cannot be inferred from the experimental data; hence, only the absolute values are presented here.

Spectroscopic Structure Elucidation. Fourier Transform Infrared (FTIR) Spectroscopy. Identification of functional groups was performed with a PerkinElmer Spectrum 400 using a universal attenuated total reflection (ATR) sampler. Droplets of polyphenolic solutions were evaporated on the ATR crystal. Solutions containing LiCl did not fully dry and a LiCl·H $_2$ O background had to be subtracted (Figure S2). Spectra were recorded at a resolution of 4 cm $^{-1}$ and averaged over 16 measurements to improve the signal-to-noise ratio. The data was processed by correction for ATR, baseline subtraction, and normalization to the most intense peak at 1200 cm $^{-1}$.

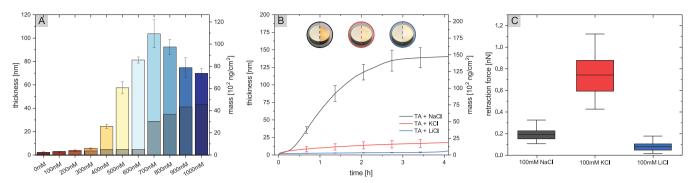


Figure 1. (A) Thickness and areal mass of tannic acid films deposited on Ti surfaces for 1 h determined by QCM-D. TA was dissolved in water supplemented with varying amount of NaCl, $80 \,\mu\text{M}$ Si_{aq} at pH 8 (n=3). Control experiments without Si_{aq} are given in grey overlays. (B) Progression of thickness and areal mass during the coating process of TA followed by QCM-D (n=3). TA was dissolved in 100 mM HEPES containing 600 mM salt and $100 \,\mu\text{M}$ Si_{aq} at pH 7.8. Insets show coated Ti coins (right). (C) Surface force between two TA coatings upon retraction of the AFM cantilever in $100 \,\text{mM}$ salt solutions. For each solution, $100 \,\text{data}$ points were collected. Boxplots show 25/75 percentiles, minima, maxima, and mean values.

UV–*vis Spectroscopy.* The electronic state of polyphenols was determined with a PerkinElmer Lambda 25 spectrophotometer using a quartz cuvette with 10 mm path length. Spectra were recorded at a resolution of 1 nm. Due to the high UV absorbance of polyphenolic molecules, 100× diluted samples were measured in the range of 220–400 nm.

Nuclear Magnetic Resonance (NMR) Spectroscopy. Solution 13 C NMR was performed on a Bruker AVII 600 instrument using 5 mm NMR tubes. Native TA was dissolved in heavy water (D_2O) at 100 mg/mL with TMSP as the internal reference. TA in the presence of 600 mM salts was dissolved in H_2O containing 10 vol. % D_2O due to solubility issues in pure D_2O . The concentration of Si_{aq} (8 mM) was stoichiometrically adjusted to the concentration of TA (100 mg/mL). Spectra were recorded at 150 MHz, 90° pulse, 0.9 s acquisition time, and 10 s delay time (d_1). Solution 29 Si NMR was performed on a Bruker AVII 400 instrument. Si_{aq} was dissolved at a concentration of 0.1 M in water containing 10 vol. % D_2O and TMSP as internal reference. Spectra were recorded at 79.5 MHz, 1.0 s acquisition time, and 5.0 s delay time (d_1).

Liquid Chromatography—Mass Spectrometry (LC-MS). TA solutions were separated from salts in a C18 SPE by washing with 10% formic acid and elution with acetonitrile. Then 1 μ L aliquots were injected into a high-performance liquid chromatography system (HPLC) coupled to a 6495 triple quadrupole (TQ) MS (Agilent Technologies, Singapore) via an electrospray interface. The reverse-phase separation was performed at a flow rate of 0.6 mL/min on a C18 column (2.6 μ m particle size, 3 mm inner diameter, 100 mm length, Kinetex) using water/formic acid (0.1% v/v) as solvent A and acetonitrile as solvent B in a stepwise gradient at 40 °C: 10–50% B (0–6 min), 50–100% B (6–6.5 min), held at 100% B for 1 min. MS spectra were recorded in negative mode.

TA Purification. TA was purified on a Biotage Selekt system using a Sfär C18 Duo (100 Å, 30 μ m, 60 g) column. The same eluents as described above were used to run the gradient: 5% B (0–2 min), 5–45% B (2–5 min), and 100% B (5–10 min). The solvent was removed under reduced pressure and the TA fraction was re-dissolved in water and freeze-dried. Mass spectra were obtained using ESI-QTOF (maXis II ETD) in positive mode.

Silicomolybdic Assay. The concentration of monomeric orthosilicic acid was quantified via the complexation of silicic acid with ammonium molybdate according to an established protocol. Si_{aq} containing salt solutions were prepared in Milli-Q water filtered twice through activated carbon to remove any potential interfering ions. To 1.25 ml sample, 50 μ L of 100 mg/mL ammonium molybdate solution was added and acidified with 50 μ L of 1.5 M H₂SO₄ (pH 3). After 5 min stirring followed by 5 min settling time, the adsorption of the yellow β -complex was measured at λ = 400 nm.

RESULTS AND DISCUSSION

Characterization of the Ionic Strength Dependent Coating Deposition of Silicate-TA Networks. The deposition of TA coatings depended on the concentration of NaCl (Figure 1A). The continuous increase of the coating thickness of silicate-TA networks (Figure S3) with increasing ionic strength at pH 8 reached a maximum layer thickness in the presence of 700 mM NaCl. At a NaCl concentration $c_{\rm NaCl}$ < 300 mM, the QCM-D data indicated only the formation of a monolayer. At $c_{\text{NaCl}} = 300 \text{ mM}$, a continuous deposition process was possible, which increased in efficiency between 400-600 mM NaCl. Above 600 mM NaCl we observed a cloudy solution, which was accompanied by a constant frequency and dissipation drift. Since the control experiment without Si_{aq} behaved similarly, we associate this effect with the interaction between TA and NaCl, resulting in limited TA deposition. As the NaCl concentration was further increased, more TA precipitated. Thus, unlike TA-Fe3+ networks, silicate-TA coatings did not benefit from NaCl concentrations beyond 600 mM.²³ The formation of TA aggregates in solution, which lowered the coating efficiency by reducing the amount of free reactive molecules, can be related to the described increase in the roughness of TA-Fe³⁺ network structures. This effect has been associated with cluster formation of TA molecules enabled by the charge screening effect of Na⁺ ions.²³

Coating Formation under Substitution of NaCl with KCl and LiCl. Upon exchanging NaCl with KCl and LiCl, we observed that the deposition of TA layers was negatively affected (Figure 1B). The coating process in NaCl showed three phases in $\Delta F/\Delta D$ plots (Figure S4) as reported before²¹ and the TA layer reached a thickness of 140 ± 14 nm after 4 h. This correlates to a thickness of about 100 nm in dry state taken into account the hydration of the layer. ¹⁷ The K⁺ based solutions turned turbid immediately upon mixing (see the SI video). This behavior was observed in a pH range between pH 6.0 and 9.0 and led to a significant loss of coating efficiency, resulting in a thickness of only 18 ± 6 nm after 4 h. Above pH 9, KCl led to the formation of TA coatings. However, NaCl did not result in a coating formation (Figure S5). In comparison, LiCl inhibited the coating formation and delayed the polymerization process substantially as the solutions were not turning turbid during the first 4 h. As a result, a layer thickness of only 3.1 ± 0.3 nm was obtained. Neither adjusting the pH in the range pH 6−11, nor lowering the LiCl concentration to 50 mM resulted in a successful deposition of TA beyond a monolayer. Further,

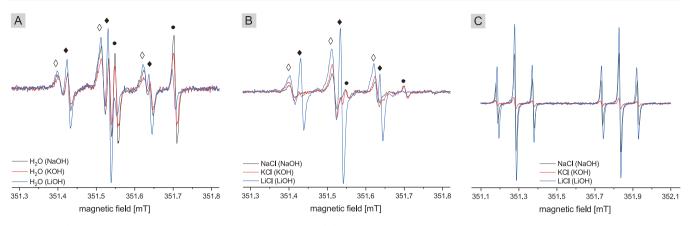


Figure 2. (A) EPR spectrum of 1 mg/mL TA dissolved in water and (B) 600 mM of either NaCl, KCl, or LiCl. All solutions were adjusted to pH 11. (C) EPR spectrum of 1 mg/mL PG dissolved in 600 mM of either NaCl, KCl, or LiCl at pH 11.

increasing the concentration of Si_{aq} to 1 mM led only to a slow deposition of TA reaching a layer thickness of 17.0 \pm 0.3 nm after 4 h (Figure S6). Higher concentrations of Si_{aq} at pH 7.8 were not considered since Si_{aq} condensation caused a drifting QCM-D signal (Figure S7).

Although we have shown that silicate-phenolic networks did not form in the presence of KCl and LiCl, Fe⁺³ based metalphenolic films can be assembled in the presence of both salts.²⁴ We suspect that the continuous built-up of silicate-TA networks behaved differently compared to Fe³⁺ based structures due to the interaction of TA and Si_{aq}. We hypothesize that the assembly of MPN films is mainly facilitated by the strong interaction between vicinal diol groups and Fe³⁺ compared to possibly weaker interactions of TA with silicic acid.³⁷ Contrary to the deposition of silicate-TA layers, PG coatings were successfully formed in all tested salt conditions (Figure S8). While the PG layer reached a higher thickness in the presence of KCl, some larger particles were formed after 12 h deposition time. This was also represented in QCM-D data showing splitting overtones. Opposed to the TA coating formation, the PG deposition was positively affected by LiCl and showed higher deposition rates than in NaCl.

The increased coating deposition of PG in LiCl solution supports our expectation that the different cations affect the formation of silicate-TA networks. To estimate the strength of cation- π complexes, the adhesion force between TA coated surfaces in the presence of salts was determined. Compared to NaCl and LiCl, KCl showed a significantly higher adhesion force between the surfaces (Figure 1C). Cation- π interactions are known to follow the stability order Li+ > Na+ > K+ in the gas phase, 38 whereas the order changes in aqueous phase to K^{+} > Na⁺, Li⁺ due to a reduction of the desolvation penalty of the larger K⁺ ion. ^{25,27} Indeed, the high affinity of K⁺ ions towards phenolic groups allows K+ to replace Na+ in polydopamine assemblies and to participate in competitive binding between other cations and aromatic compounds. 11,26,27 The high interaction potential of K+ causes the increased retraction force (Figure 1C) and is also likely to induce the precipitation of TA in KCl solution near neutral pH. Thus, KCl is not well suited for our previously determined coating conditions, in which the layer thickness was increased. 17

Intermolecular Interaction of TA in the Presence of Different Salts. The difference between the coating formation of TA and PG and the low interaction potential of TA with Li⁺ raised the question of whether electrostatic interactions

sufficiently explain the suppressed coating formation of TA in the presence of LiCl. Preventing the formation of TA coatings by LiCl could originate from two options: (i) interaction of Li⁺ with TA or (ii) interaction of Li⁺ with Si_{aq}. It is known that Li⁺ is tightly coordinating H₂O, which may alter the TA hydration shell.³⁹ Further, the potential binding to TA hydroxyl reaction sites²³ and the high stability of ionic (O····Li⁺) complexes may cause irreversible Li⁺ complexes with phenolic structures. 40,41 This effect is for example encountered in lithiation of the solidstate interface in energy storage materials.⁴² Regarding interactions between Li⁺ and Si_{aq}, an interaction preventing the assembly of silicate-TA networks may also be plausible, but evidence of any reactions under the conditions of this study were not found in literature. Therefore, we continued to evaluate whether the different salts have an effect on the reaction chemistry during the layer formation. Since the polyphenol chemistry is largely driven by their oxidation, we studied the formation of polyphenolic radicals to check whether these reaction intermediates are influenced by alkali metal salts.

Polyphenolic Radical Formation. In contrast to the pH used to obtain coatings, the pH had to be slightly increased for a reasonable EPR signal. While radicals could be observed at pH 9, the alkalinity of the solutions was further increased to pH 11 for kinetic and quantitative measurements. At this pH, TA presented two main radical triplets $(\diamondsuit, \spadesuit)$ with 1:2:1 hyperfine splitting intensities and an additional radical doublet (\bullet) with 1:1 hyperfine splitting (Figure 2A). By fitting radical models to the experimental data (Figure S9 and S10), we obtained the proton splitting constants $(a^{\rm H})$, line widths (LW), and g-values of TA radicals (Table 2). Galloyl radicals typically form via a sequential proton loss electron transfer (SPLET) mechanism with the 40^{\bullet} being the most stable radical (Scheme 1). 43,44 In agreement with this structure, the hyperfine splitting of R1 (\spadesuit) and R2 (\diamondsuit) radicals is caused by the two equivalent protons in

Table 2. Parameters of TA and PG Radical Species

TA	radical	equiv. protons	a^{H} [mT]	LW [mT]	g
1	(♦)	2	0.107	0.0035	2.0055
2	(\diamondsuit)	2	0.112	0.0079	2.0056
3	(ullet)	1	0.152	0.0031	2.0050
4	(O)	1	0.052	0.0032	2.0054
PG ra	adical	equiv. protons	a^{H} [mT]	LW [mT]	g
	1	1	0.5514	0.0041	2.0054
		2	0.0995		

Scheme 1. Scheme of Gallic Acid (GA), Tannic Acid (TA), Pyrogallol (PG), and Ellagic Acid (EA) Radicals with Equivalent Protons Marked in the Same Color

The occurrence of two different radicals may be explained by differences in spin density or spin relaxation times in the outer galloyl group compared to the inner group. It has been proposed that both groups are chemically not equivalent with the inner galloyl groups being more prone to oxidation. 46 However, since we have found free GA in the commercial TA (Figure S12), and para-substitution in TA increases the coupling constant, 47 more likely that the R1 () radical corresponds to free GA radicals. Under this assumption, R2 (\lozenge) represents the radical of a galloyl ester group, which we have proven by comparing TA with penta-galloyl glucose (PGG) radicals (Figure S13). Thus, our results agree with previous studies determining the HFC constant of PGG radicals and gallate-ester radicals. 31,32 In addition, after purification of the commercial TA, we observed a spectrum with one \(\rightarrow\)-radical triplet (Figure S14). Once the purified TA was subjected to higher pH, the second ♦-radical emerged in the spectrum indicating hydrolysis of the galloyl groups from TA, generating free GA.

R3 (lacktriangle) however represents an unknown radical structure coupling with only one proton. Although phenolic molecules may form C–C bonds at C2 or C6 position, the coupling constant is unreasonably high and not comparable to C–C coupling observed in ellagitannins (Figure S15). The low HFC constant $a^H = 0.05$ mT in ellagic acid indicates a low electron spin density at the meta position to the radical electron as indicated in Scheme 1. This stands in contrast to reports suggesting that this EA radical position is thermodynamically not the most stable species. Further, the higher g-value of the lacktriangle-radical compared to \diamondsuit - and \clubsuit -radicals may suggest the substitution of a phenolic ring proton with an electron donating group. Recent quantum chemical studies have shown that hydrogen bonding affects the radicalization mechanism for

various phenolic structures.⁵¹ Yet these models need further experimental evidence to deduct the molecular structure of the **O**-radical.

Altered Radical Formation in the Presence of Alkali Metal Salts. EPR spectra of saline TA solutions showed a significant salt dependent difference in the intensity of both \Diamond and ♦-radical species and the absence of ●-radicals in the EPR spectra of TA solutions containing LiCl (Figure 2B). In the presence of NaCl and KCl, commercial TA preferably yielded a ♦-radical, whereas LiCl facilitated the formation of the ♦-radical. While radical parameters of both ♦- and ♦-radicals in NaCl and KCl solution did not differ from their corresponding signals without salt, LiCl appeared to slightly reduce the HFC constant compared to solutions without salt. This can be explained by influences in charge densities caused by the cation. 34,41 All salts produced lower intensities for - and ♦-radicals (Figure S16). At pH 9, this effect was however canceled by Si_{aq} for NaCl and LiCl (Figure S16), which suggests a radical stabilizing effect similar to Zn^{2+} . 33,52

We conducted further studies investigating whether the $lackbox{lackbox{}\blacksquare}$ -radical is a specific form of oxidation product by inducing the radical formation chemically with periodic acid and sodium persulfate. S3,54 However, neither oxidant resulted in a significant change in the observed TA radical species (Figure S17). Further, no radicals could be detected in the sole presence of the oxidants. Since the oxidation in alkaline condition is strongly dependent on the oxygen update, S we next investigated the radical formation under varying O_2 uptake. We observed a new radical (\bigcirc) displaying a doublet structure with $a^H = 0.052 \, \mathrm{mT}$ in vigorously shaken solutions (Figure S18). This species was observed directly at t = 0 min and appeared more stable than the other radical species. It is likely a reaction product of the oxidative coupling of GA, S since the similarity to EA radicals is striking (Figure S15).

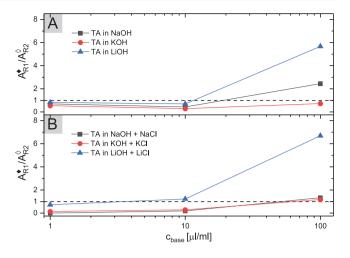
To compare our observations for TA to the deposition of PG coatings, we further studied PG radicals (Figure 2C). At pH 11,

the EPR spectrum presented a doublet composed of 1:2:1 triplets with $a^{\rm H}=0.551$ and 0.099 mT, respectively (Table 2). This pattern originated from the two chemically different protons of PG (Scheme 1), with the para proton exhibiting the larger HFC constant. Both in LiCl and NaCl, PG displayed similar EPR signals at pH 11. KCl however led to a reduced intensity, which stands in contrast to the observed coating formation. The same result was also observed in solutions without the respective salt.

In conclusion, the structure of the ●-radical remained unexplained and we suggest it is a form of contamination in commercial TA since it did not appear in the purified TA spectra. However, we have shown that NaCl facilitated the formation of the TA radical, whereas in the presence of Li⁺, GA radicals were observed. The formation of GA could be the reason for the reduced ability to form coatings since GA cannot be continuously deposited on surfaces. The lower molecular weight GA potentially reacts faster with Siaq and blocks the formation of TA coatings. Additionally, PG only showed one type of radical with a salt dependent intensity. This may explain why PG coatings were formed regardless of the type of salt.

pH Dependent TA Radical Distribution. After observing a salt dependent TA radical distribution, we investigated whether different salts have an effect on TA radicals at varying pH. With increasing pH, an increase in signal intensity (Figure S19) was accompanied by a change in the ratio of the two main ♦- and ♦-radicals (Figure 3A). In salt free solutions, both radicals were almost equally distributed at pH 11 and 12. Once the pH is further raised to pH 13, K+ based solutions showed higher contents of the \(\rightarrow\)-radical, while in NaOH the ratio is resulted in a \(\int \)-radical dominated spectrum. Upon including salts, the K+ and Na+ based spectra indicated higher content of ♦-radicals throughout the whole pH range (Figure 3B). In contrast, LiCl increased the ratio of the ♦-radical even more compared to LiOH solutions. This corroborates our previous result with purified TA (Figure S14) showing that with increasing pH TA hydrolyses and breaks down to form GA subunits and that this process is reduced in NaCl and KCl but accelerated in LiCl solution. The HFC parameters of \Diamond - and ♦-radicals further showed a decrease in LiCl solution with increased LiOH concentration to $a^{H} = 0.102 \text{ mT}$ and $a^{H} = 0.108$ mT respectively (Table S3). Further, the decreasing coupling constant indicates strong Li-coordination to the phenol group affecting its electron distribution. Similar ion specific interactions have been observed in anhydrous environment. 56 These results support our initial observation that LiCl has a distinct role in the formation of GA radicals. Further, the trend allows us to extrapolate to pH level closer to the coating conditions for which we expect similar behavior.

Time Dependent TA Radical Stability. Radicals are short-lived intermediary structures and key structures in oxidative reactions. ⁴⁷ Hence, we investigated the decay of the radicals over time. TA radicals at pH 12 were stable for more than 3.5 h in NaOH, KOH, and LiOH solution (Figure 3C−E). However, the presence of the respective salts compromised TA radical lifetime, as the signals decayed before 3.5 h. In the course of the decay, the intensity of the ♦ radical continuously decreased and diminished earlier than the ♦-radical. This may indicate a transformation of the ♦ radical to the ♦-radical and a subsequent radical termination. Thus, this time dependent signal reduction also supports the suspected hydrolysis reaction. Coincidentally this timespan correlates with the kinetically



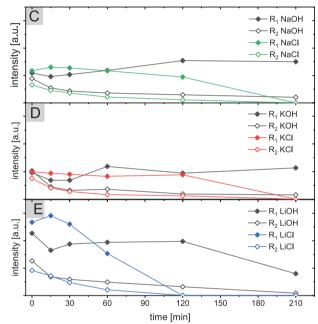


Figure 3. (A) Ratios of modeled peak areas of TA radical 1 (\blacklozenge) vs radical 2 (\diamondsuit) in water and (B) salt containing solutions. (C) Time dependent radical decay of TA dissolved in Na⁺, (D) K⁺, and (E) Li⁺ containing solutions at pH 12.

fastest period of the coating formation suggesting that radicals could play a key role in this process. 17,21

Spectroscopic Structure Analysis. A clear limitation of the EPR measurements is however the raised pH compared to the coating condition. TA coatings could not be obtained in NaCl solution above pH 9 (Figure S5). Only in KCl it was still possible, presumably due to retaining of the TA radical structure. However, we expect that reactions occur already at lower pH, which only get accelerated in alkaline pH. To support our EPR results showing that Li⁺ ions alter the electronic structure of polyphenols also at coating conditions, we studied the UV—vis spectra of TA for different pH levels.

The absorption peak of pristine TA at 275 nm changed upon pH induced oxidation and resulted in two peaks appearing at 234 and 324 nm due to deprotonation (Figure 4A).⁵⁷ These decayed above pH 11, indicating that the molecules have lost their initial structure (Figure S20). While we did not observe significant differences in TA solutions adjusted with any of the bases NaOH, KOH, or LiOH, the addition of salts resulted in

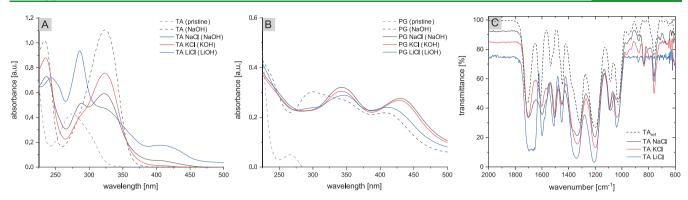


Figure 4. (A) UV—vis spectra of TA and (B) PG at concentrations of 0.01 mg/mL. Solutions were supplemented with 600 mM NaCl, KCl, or LiCl and adjusted to pH 7. For representation, the respective polyphenolic solution without salt is added in dashed lines. (C) FTIR spectra of TA supplemented with 600 mM NaCl, KCl, or LiCl and adjusted to pH 8.

the appearance of a peak at 290 nm at pH 7. In solutions containing NaCl, the peak at 290 nm was distinct while in KCl it existed only as a shoulder of the 324 nm peak and in LiCl it was the main peak of the spectrum. These peaks may be an indication for the formation of GA and EA, which show peaks around 290 nm (Figure S21). These results confirm our EPR and LC-MS results and point out that LiCl leads to hydrolysis of TA.

We further analyzed the spectra of PG with the hypothesis that we observe less distinct differences between the added salts. While PG dissolved in water showed a peak at 268 nm, three peaks merged at 300, 335, and 410 nm after increasing the pH with NaOH, KOH, or LiOH (Figure S22). Adding salts to PG solutions at pH 7 reduced the peak at 300 nm resulting in a double peak at 345 and 430 nm (Figure 4B). The lack of significant differences in the PG spectra confirm the hypothesis and support the salt independent coating formation of PG.

Since we had evidence for the creation of GA and EA, we investigated whether we can determine changes in the chemical structure of TA solutions by FTIR. The presence of salts resulted in minor peak shifts and peak broadening of TA spectra at pH 8 compared to pristine TA (Figure 4C). However, the peak broadening was primarily induced by the change in pH (Figure S23). These peak shifts in the range of 2-5 cm⁻¹ have been observed for K- and Li-phenolates⁵⁹ as well as for Fe-TA network structures.⁶⁰ The most dominant differences are (i) the shift of the C=C stretching vibration from 1534 to 1505 cm⁻¹, (ii) the broadening and shift of phenolic C-O and C-H bending vibrations from 1316 to 1340 cm⁻¹ (Table S4), and (iii) the shift of the C-H bending vibration from 1030 to 1040 cm in alkaline conditions. These differences correlate to EA and GA spectra (Figure S23D) and may thus indicate the formation of EA and GA upon oxidation of TA. The addition of 100 μ M Si_{aq} in Li⁺ and K⁺ systems did not lead to changes in the spectra. However, Na⁺ systems with Si_{aq} showed variations in the peakto-peak intensities for absorption bands at 1598 cm⁻¹, 1504 cm⁻¹, 1087 cm⁻¹ and 1026 cm⁻¹. Although FTIR results are not suitable for an absolute structure determination due to the high similarity of TA, GA, and EA spectra, the obtained results indicate a plausible mixture of the compounds. Moreover, TA precipitate (TA_p), we observed during the coating formation, showed typical oxidation induced peak shifts for both NaCl and LiCl containing solutions (Figure \$24), 22 whereas KCl captured TA in its non-oxidized form.

Additionally, we conducted NMR experiments to check for changes caused by the interaction between TA and Na⁺, K⁺, or

Li⁺. The ¹³C NMR of TA showed a typical spectrum and no apparent changes in the presence of salts and Si_{aq} were observed (Figure S25). Similar to LC-MS (Figure S12), ¹³C NMR of TA solutions showed the presence of free gallic acid. A correlation with emerging C-O-Si bonds could not be obtained to study the interaction of TA and Si_{aq} as described by solid-state NMR. ¹⁷ Precipitation occurring during the course of the measurement likely excluded the cross-linked TA molecules from being NMR active.

Interaction of Na $^+$, K $^+$, and Li $^+$ with Si $_{aq}$. We investigated the potential of salts to interact with Si $_{aq}$ inhibiting the formation of silicate-phenolic networks by blocking silicic acid. ²⁹Si NMR experiments of 0.1 M Si $_{aq}$ showed a major peak for silicic acid (Q 0) followed by minor peaks of Si polycondensates with Q 1 and Q 2 states (Figure S26). Only minor shifts of 0.2 and 0.3 ppm occurred in the solutions containing 600 mM NaCl or LiCl, respectively, which can be explained by ion-pairing. However, more elaborate experiments have previously shown irreversible complexation of silicate anions with Li $^+$. We could not determine these interactions by NMR and our sole observation that Si $_{aq}$ interacts with LiCl is based on QCM-D data that showed a significantly reduced Si $_{aq}$ condensation in LiCl solution (Figure S7D).

Finally, we evaluated the amount of free silicic acid in solutions containing NaCl, KCl, and LiCl by a silico-molybdic assay. The assay resulted in an equal amount of free Si_{aq} irrespective of the added salts. The linear correlation between the absorbance of the silico-molybdic complex was maintained until the capacity of the assay was reached well beyond the concentration of Si_{aq} used to obtain TA coatings (Figure S27). Although we did not observe a reduction of free silicic acid we take into account that the acidic pH may shift the dissociation of the weak silicic acid (p K_A = 9.8) towards neutral charge, thus limiting its interaction with cations and not representing reactions at the coating condition.

CONCLUSION

We have taken a closer look at the effects of different salts and their concentration on the silica (Si_{aq}) mediated coating formation of tannic acid (TA). Our results revealed that 600 mM NaCl is required to effectively form silicate-phenolic networks. Substitution with KCl resulted in strong interaction with TA leading to precipitation near neutral pH, whereas LiCl prevented any deposition of TA coatings beyond a monolayer.

Using EPR, we obtained insight into the salt dependent radical formation during the oxidation of TA. While NaCl

promoted the formation of TA radicals, LiCl resulted in the formation of GA radicals. LiCl is likely contributing to hydrolytic cleavage of the galloyl ester bonds of TA and thereby inhibiting the formation of silicate—TA networks. In contrast, pyrogallol (PG) coatings, which do not rely on $\mathrm{Si}_{\mathrm{aq}}$ crosslinking, were independent on the type of alkali metal cation and EPR spectra did not show a salt dependent radical formation. Although EPR experiments were conducted at elevated pH, supporting spectroscopic experiments corroborated that LiCl results in hydrolysis of TA also under coating conditions. Further, we obtained indications that LiCl interacts with $\mathrm{Si}_{\mathrm{aq}}$, preventing the formation of silicate-TA networks.

In summary, we highlight that charge screening may not be the only important interaction of alkali metal salts in the chemistry of silicate-phenolic networks. Depending on the coating mechanism, the specific interactions between polyphenols and cations must be taken into consideration to obtain desired reaction conditions in biologically relevant environments. Our EPR data lays the fundament for further quantum chemical investigations of phenolic radicals. This may find application in further investigations into the chemistry of polyphenols, e.g., whether TA radicals are a prerequisite to form silicate-phenolic networks.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at https://pubs.acs.org/doi/10.1021/acsami.0c16946.

Details on EPR modeling, QCM-D raw data, and supplementary UV-vis, EPR, and FTIR spectra (PDF) Turbidity of the K⁺ based solutions immediately upon mixing (MP4)

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ABBREVIATIONS

TA, tannic acid; PG, pyrogallol; GA, gallic acid; EA, ellagic acid; PGG, penta-galloyl glucose; Si_{aq}, silicic acid dissolved in water; MPN, metal-phenolic network; HFC, hyperfine coupling

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