

EDITORIAL COMMENT

Left Atrial Appendage Occlusion as an Alternative to Anticoagulants in Ibrutinib-Induced Hemorrhagic Pericardial Effusion*



Sergio Berti, MD,^a Alberto R. De Caterina, MD, PhD,^a Ronald D. Berger, MD, PhD^b

Atrial fibrillation (AF) affects 3% to 5% of the population older than 65 years of age and is responsible for up to one-fifth of all ischemic strokes.¹ Among patients with AF, the annual rate of stroke rate varies from 2% to 10% depending on the baseline risk.² AF incidence is even higher in patients with malignancies, often as a consequence of surgery, chronic inflammation, and chemotherapy. In addition, in the setting of cancer patients, AF is associated with a significantly higher risk of thromboembolism and heart failure, even after adjusting for known risk factors.³

Ibrutinib—a potent inhibitor of B cell proliferation—has been recently approved for several blood diseases including chronic lymphocytic leukemia, mantle cell lymphoma, Waldenstrom macroglobulinemia, and marginal cell lymphoma.⁴ Of note, ibrutinib provides a significant survival benefit in these categories of patients, thus implying a therapy that lasts for years. However, its long-term use is associated to some bothersome side effects. The most common is new onset AF, being reported up to 16% and generally higher than that observed with other chemotherapeutic agents.⁵ Moreover, ibrutinib is metabolized

primarily by CYP450 CYP3A, so that CYP3A inducers and inhibitors affect both its efficacy and toxicity.⁴ Thus, significant drug-drug interactions between ibrutinib and medications commonly used to manage AF—including direct oral anticoagulants—have been reported. Finally, ibrutinib affects several platelet-signaling pathways and increases the risk of bleeding—in a population already at high hemorrhagic risk due to older age and hematologic disorder—even without concomitant use of anticoagulants.^{4,6}

In this issue of *JACC: Case Reports*, the case described by Jeelani et al⁷ well depicts a not so uncommon scenario that the clinician has to keep in mind when prescribing ibrutinib. Despite presenting with an unusual bleeding problem—hemorrhagic pericardial effusion—the bleeding complication was clearly linked to the concomitant use of ibrutinib and apixaban. The real challenge that emerges in these patients is how to manage antithrombotic therapy to reduce AF-related cardioembolic risk without inducing a bleeding complication. As previously anticipated, different mechanisms explain the ibrutinib-related increased bleeding risk. Besides the well-known drug-related thrombocytopenia, ibrutinib selectively inhibits platelet signaling and functions interacting with the collagen receptor glycoprotein VI as well as impairs platelet adhesion to von Willebrand factor.⁴⁻⁶ On the other hand, with regard to the correct anticoagulant therapy to prevent AF-related cardioembolic risk, it has been speculated that ibrutinib: 1) may increase the levels of warfarin via its effects on CYP3A4; 2) may potentially increase the plasma level of dabigatran, a direct thrombin inhibitor; and 3) may increase plasma levels of factor Xa inhibitors, such as rivaroxaban, apixaban, and

*Editorials published in the *JACC: Case Reports* reflect the views of the authors and do not necessarily represent the views of *JACC: Case Reports* or the American College of Cardiology.

From the ^aCardiology Unit, Fondazione Toscana Gabriele Monasterio, Massa, Italy; and the ^bJohns Hopkins School of Medicine, Baltimore, Maryland, USA.

The authors attest they are in compliance with human studies committees and animal welfare regulations of the authors' institutions and Food and Drug Administration guidelines, including patient consent where appropriate. For more information, visit the [Author Center](#).

edoxaban, and consequently the risk of bleeding.⁸ Low-molecular-weight heparin represents a valuable alternative option, but fluctuations in kidney function may increase the risk of bleeding. In the case described by Jeelani et al,⁴ the patient had a CHA₂DS₂-VASc score of 4, thus implying the choice of an antithrombotic strategy that, after the occurrence of hemorrhagic pericardial effusion, could provide a definitive and long-lasting protection against thromboembolism without affecting the bleeding diathesis.

Left atrial appendage occlusion (LAAO) appears as the most logical strategy stroke prevention strategy in these patients. The LAA is the source of thromboemboli leading to ischemic strokes in more than 90% of cases, as demonstrated by many studies with transesophageal echocardiography or autopsy.^{9,10} Although both European and American guidelines of AF management are still very cautious in considering LAAO as a primary choice of stroke prevention in AF^{11,12}—leaving the strength of recommendation of LAAO only in Class IIb—an extensive experience has accumulated over time, on the one side confirming and reinforcing LAAO efficacy in preventing AF-related thromboembolism and on the other side confirming its increasing safety in experienced centers.

One issue to be faced after LAAO relates to the correct antithrombotic management in the first weeks and months after LAA closure. In the very first studies, LAAO was compared with oral anticoagulation and even those patients randomized to LAAO received warfarin and low-dose aspirin for at least 45 days after device implantation.^{13,14} Later on—as avoiding oral anticoagulation is the main driver of proposing LAAO—the nonrandomized ASAP (ASA Plavix Feasibility Study With Watchman Left Atrial Appendage Closure Technology) study, in which patients receiving the LAAO device were managed with aspirin associated with a thienopyridine for 6 months followed by

aspirin,¹⁵ first demonstrated an annualized ischemic stroke rate of 1.7%, 77% less than expected. Only 1 (0.7%) case of device thrombus hesitating in ischemic stroke was observed. Even more important is long-term antithrombotic management after LAAO. Although 10% to 20% of patients usually withdraw even single antiplatelet therapy due to their hemorrhagic risk,^{16,17} as reported in different registries, this issue has never been appropriately addressed in randomized studies. In addition, it is not clear the time course of device embolization and whether endotheлизation is a permanent phenomenon over time.

Another point to consider is whether AF induced by ibrutinib carries the same thromboembolic risk as that seen in patients with spontaneous AF with comparable CHA₂DS₂-VASc score. Recent studies have shown that in patients with a history of AF, thromboembolism occurs in both AF and sinus rhythm,^{18,19} suggesting that spontaneous AF may identify a substrate prone to thrombus formation but may not be the actual cause. It remains unclear, however, whether AF provoked by ibrutinib similarly identifies a substrate at risk for thrombus formation, and thus whether patients with ibrutinib-related AF require aggressive stroke prevention. But in the absence of data to the contrary, we consider these patients at high risk of both stroke and bleeding complications. LAAO is therefore a highly attractive option in this setting.

FUNDING SUPPORT AND AUTHOR DISCLOSURES

Dr Berti has been a proctor for Abbott, St. Jude, and Edwards. All other authors have reported that they have no relationships relevant to the content of this paper to disclose.

ADDRESS FOR CORRESPONDENCE: Dr Sergio Berti, Ospedale del Cuore, Fondazione Toscana “G. Monasterio,” Via Aurelia Sud, loc. Montepape, Montignoso 54100 Massa, Italy. E-mail: berti@ftgm.it

REFERENCES

- Go AS, Hylek EM, Phillips KA, et al. Prevalence of diagnosed atrial fibrillation in adults: national implications for rhythm management and stroke prevention: the Anticoagulation and Risk Factors in Atrial Fibrillation (ATRIA) study. *JAMA*. 2001;285:2370-2375.
- Flegel KM, Shipley MJ, Rose G. Risk of stroke in non-rheumatic atrial fibrillation. *Lancet*. 1987;1:526-529.
- Hu YF, Liu CJ, Chang PM, et al. Incident thromboembolism and heart failure associated with new-onset atrial fibrillation in cancer patients. *Int J Cardiol*. 2013;165:355-357.
- Ibrutinib (Ibruvica) [package insert]. Pharmacyclics, Inc.; 2015.
- Wiczer TE, Levine LB, Brumbaugh J, et al. Cumulative incidence, risk factors, and management of atrial fibrillation in patients receiving ibrutinib. *Blood Adv*. 2017;1:1739-1748.
- Bye AP, Unsworth AJ, Vaiyapuri S, Stainer AR, Fry MJ, Gibbins JM. Ibrutinib inhibits platelet integrin $\alpha IIb\beta 3$ outside-in signaling and thrombus stability but not adhesion to collagen. *Arterioscler Thromb Vasc Biol*. 2015;35:2326-2335.
- Jeelani H, Sheikh MM, Tahir N, et al. Left atrial appendage occlusion as an alternative to anticoagulants in ibrutinib-induced hemorrhagic pericardial effusion. *J Am Coll Cardiol Case Rep*. 2022;4:751-754.
- Ganatra S, Sharma A, Shah S, et al. Ibrutinib-associated atrial fibrillation. *J Am Coll Cardiol EP*. 2018;4:1491-1500.
- Blackshear JL, Odell JA. Appendage obliteration to reduce stroke in cardiac surgical patients with atrial fibrillation. *Ann Thorac Surg*. 1996;61:755-759.
- Mahajan R, Brooks AG, Sullivan T, et al. Importance of the underlying substrate in determining thrombus location in atrial fibrillation: implications for left atrial appendage closure. *Heart*. 2012;98:1120-1126.

- 11.** January CT, Wann LS, Calkins H, et al. 2019 AHA/ACC/HRS focused update of the 2014 AHA/ACC/HRS guideline for the management of patients with atrial fibrillation: a report of the American College of Cardiology/American Heart Association Task Force on Clinical Practice Guidelines and the Heart Rhythm Society in Collaboration With the Society of Thoracic Surgeons. *J Am Coll Cardiol.* 2014;64(21):e1-e76.
- 12.** Hindricks G, Potpara T, Dagres N, et al. 2020 ESC guidelines for the diagnosis and management of atrial fibrillation developed in collaboration with the European Association for Cardio-Thoracic Surgery (EACTS): the Task Force for the diagnosis and management of atrial fibrillation of the European Society of Cardiology (ESC) Developed with the special contribution of the European Heart Rhythm Association (EHRA) of the ESC. *Eur Heart J.* 2021;42:373-498.
- 13.** Holmes DR, Reddy VY, Turi ZG, et al, PROTECT AF Investigators. Percutaneous closure of the left atrial appendage versus warfarin therapy for prevention of stroke in patients with atrial fibrillation: a randomised non-inferiority trial. *Lancet.* 2009;374:534-542.
- 14.** Holmes DR Jr, Kar S, Price MJ, et al. Prospective randomized evaluation of the Watchman left atrial appendage closure device in patients with atrial fibrillation versus long-term warfarin therapy: the PREVAIL trial. *J Am Coll Cardiol.* 2014;64(1):1-12.
- 15.** Reddy VY, Mobius-Winkler S, Miller NA, et al. Left atrial appendage closure with the Watchman device in patients with a contraindication for oral anticoagulation: the ASAP Study (ASA Plavix Feasibility Study With Watchman Left Atrial Appendage Closure Technology). *J Am Coll Cardiol.* 2013;61:2551-2556.
- 16.** Tzikas A, Shakir S, Gafoor S, Omran H, Berti S, Santoro G. Left atrial appendage occlusion for stroke prevention in atrial fibrillation: multicentre experience with the AMPLATZER Cardiac Plug. *EuroIntervention.* 2015;10:1170-1179.
- 17.** Aminian A, Schmidt B, Mazzone P, et al. Incidence, characterization, and clinical impact of device-related thrombus following left atrial appendage occlusion in the prospective Global AMPLATZER Amulet observational study. *J Am Coll Cardiol Intv.* 2019;12:1003-1014.
- 18.** Daoud EG, Glotzer TV, Wyse DG, et al, TRENDS Investigators. Temporal relationship of atrial tachyarrhythmias, cerebrovascular events, and systemic emboli based on stored device data: a subgroup analysis of TRENDS. *Heart Rhythm.* 2011;8:1416-1423.
- 19.** Brambatti M, Connolly SJ, Gold MR, et al, ASSERT Investigators. Temporal relationship between subclinical atrial fibrillation and embolic events. *Circulation.* 2014;129:2094-2099.

KEY WORDS anticoagulation, cancer, hemorrhage, pericardial effusion, tamponade