


# NRG1 Fusions in NSCLC: Being eNRGy Conscious

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**Abstract:** Fusions in neuregulin 1 (*NRG1*) are rare oncogenic drivers that occur across a number of tumor types, including non-small cell lung cancer (NSCLC). *NRG1* has an EGF-like domain that serves as a ligand for HER3 receptors, inducing heterodimerization, usually with HER2, and subsequent activation of oncogenic downstream signaling pathways. Emerging evidence suggests that NSCLC harboring *NRG1* fusions do not respond as well to standard therapeutic options including chemotherapy and immunotherapy, and prognosis is poor. Novel treatment approaches targeting the HER2/HER3 pathway are under investigation. Here, we discuss the biology and detection of *NRG1* fusions in NSCLC and promising targeted treatment strategies for tumors harboring the mutation.

**Keywords:** NSCLC, NRG1, targeted therapy, HER2, HER3

## Introduction

Gene fusions, or translocations, have emerged as key targetable oncogenic drivers in various tumor types. One study identified a total of 25,664 fusions in a set of 9642 tumors, suggesting that gene fusions drive the development of 16.5% of cancer cases and function as the sole driver in more than 1% of cancers.<sup>1</sup> Treatment of non-small cell lung cancer (NSCLC) is particularly guided by the presence or absence of certain actionable gene fusions. When pathogenic fusions in *ALK*, *ROS1*, or *RET* are present in advanced NSCLC, there are multiple highly effective and well-tolerated tyrosine kinase inhibitors approved by the US FDA as first-line therapy.<sup>2–8</sup> The presence of these fusions predicts both benefit from these targeted therapies and relative lack of benefit from immunotherapy.<sup>9</sup>

*NRG1* fusions represent a family of emerging and potentially actionable driver events. *NRG1* fusions are relatively uncommon, occurring in only about 0.2% of solid tumors, and can be challenging to detect even when present.<sup>10</sup> While data are limited for this rare subset of NSCLC, the emerging evidence suggests poor outcomes with standard chemotherapy and immunotherapy.<sup>11</sup> Because of the underlying pathophysiology of NSCLC with *NRG1* fusions, agents targeting the HER2/HER3 pathway have been explored and early experience shows promising activity. In this narrative review, we discuss the unique biology of *NRG1* fusions and strategies in development to treat NSCLC harboring an *NRG1* fusion.

## Biology of NRG1

The *NRG1* gene, located at chromosome 8p12, encodes for neuregulin-1, a protein that is essential to normal organ development and embryogenesis.<sup>12</sup> Conversely, fusions that involve *NRG1* result in the pathogenic production of oncoproteins which promote aberrant downstream signaling that results in cancer cell and tumor growth. *NRG1* contains an epidermal growth factor (EGF)-like domain that binds and activates receptor-tyrosine kinases in the EGF receptor (ErbB) family, particularly HER3 and HER4. The fusion partner often contributes a transmembrane domain that tethers the EGF-like domain of *NRG1* to the membrane, allowing it to bind to HER3 or HER4. The binding in turn results in the heterodimerization of HER2 and HER3 which leads to subsequent activation of the tumorigenic PI3K-AKT and MAPK signaling pathways.<sup>13</sup>

## Incidence of *NRG1* Fusions Across Tumors

The first *NRG1* fusion (*CD74-NRG1*) was discovered in an invasive mucinous lung adenocarcinoma in 2014 through whole transcriptome sequencing.<sup>13</sup> Since then, *NRG1* fusions have been identified in a variety of tumor types at low frequencies. In the largest report to date, an analysis of 169,273 tumor samples subject to RNA-based next-generation sequencing (Caris Life Sciences) identified 261 tumors with an *NRG1* fusion for an incidence of 0.154% across solid tumors.<sup>14</sup> In this report, NSCLC accounted for the most cases (42.4%), though this in part reflects samples routinely sent for molecular testing. The remaining cases were comprised of breast cancer (11.9%), ovarian cancer (10.2%), pancreatic cancer (7.9%), colorectal cancer (6.8%), cancer of unknown primary (5.1%), cholangiocarcinoma (4.5%), prostate cancer (2.3%), bladder cancer (1.7%), and esophagogastric cancers (1.7%). Considering total cases tested, the incidence of *NRG1* fusions in NSCLC was 0.232% (78 out of 33,648).

## Detection of *NRG1* Fusions

*NRG1* is encoded by a large gene that spans approximately 1.4 megabases, representing 1/2000<sup>th</sup> of the entire human genome. Only about 0.3% of the gene encodes for the protein, with the remaining gene consisting of large non-protein coding introns.<sup>15</sup> Diagnostically, given its size and complex structure, *NRG1* fusions have been difficult to detect by DNA-based sequencing alone. RNA-based next-generation sequencing has emerged as a more robust tool for detecting these relatively uncommon fusions.

In the global, retrospective eNRGy1 registry, the clear majority of *NRG1* fusions (74%) were identified using RNA-based assays, whereas only 26% of patients were detected with DNA-based testing.<sup>11</sup> Similarly, in an analysis of 2522 patients with lung adenocarcinoma, 5 patients were found to have a *NRG1* fusion by RNA sequencing that was not detected by DNA-based sequencing.<sup>16</sup> These findings emphasize the advantage of RNA-based analysis to detect *NRG1* fusions.

There is considerable heterogeneity among *NRG1* fusion partners within and across tumor types. In the above analysis of 261 unique tumors with an *NRG1* fusion, there were 153 unique fusion partners.<sup>17</sup> *CD74* was the most common fusion partner (12.37%), followed by *SLC3A2* (8.13%), *ATP1B1* (4.59%), *RBPMS* (4.24%), and *WRN* (1.77%). It is worth noting that not all fusion events reported have been confirmed to be in-frame. Additionally, there are multiple breakpoints for each fusion, which contributes to the overall diversity of *NRG1* fusions.

In most tumors harboring an *NRG1* fusion, there are no other known oncogenic drivers, but in the eNRGy1 registry, 7 of 110 cases did have an alternate driver.<sup>11</sup> Four cases had a co-mutation in *KRAS*; G12C (n=1), G12V (n=1), and G12D (n=2) were detected. The other three cases had an *EGFR* L858R mutation (n=2) or an *EML4-ALK* fusion (n=1, variant 3). PD-L1 status was known in 46% of the cases and most (70%) had no PD-L1 expression, 24% had low expression, and only 4% had PD-L1 expression in  $\geq 50\%$  of cells. Median tumor mutational burden was low in these *NRG1* fusion-positive NSCLC cases at 0.9 mutations per megabase.<sup>11</sup>

## Response to Standard Therapy

Although further analysis is needed, early reports indicate that *NRG1* fusion-positive tumors tend to respond poorly to standard treatment options. As compared to other fusion-positive tumors such as those expressing *ALK*, *ROS1*, and *RET* fusions, data from 110 patients with *NRG1* fusion-harboring NSCLC in the global eNRGy1 registry showed that patients did not respond well to platinum-based or taxane-based chemotherapy.<sup>11</sup> The median OS for patients with stage IV NSCLC with an *NRG1* fusion was 15.5 months. For patients who received platinum-doublet chemotherapy, the response rate was only 13% (n=2/15) with a median PFS of 5.8 months (95% CI, 2.2 to 9.8; range, 0.7–12.1 months). Similarly, 14% (n=1/7) of patients responded to taxane-based chemotherapy in the post-platinum doublet setting with 71% (n=5/7) achieving a best response of progressive disease. The median PFS in this set of patients was 4.0 months (95% CI, 0.8 to 5.3; range, 0.8–5.5 months).

Patients with *NRG1* fusion positive NSCLC also had marginal benefit from immunotherapy.<sup>11</sup> Three out of the five evaluable patients who received single-agent immunotherapy (60%) had progressive disease as best response and only one patient had a partial response. The median PFS with immunotherapy was 3.6 months (95% CI, 0.9 to

undefined; range, 0.9–11.2 months). Results were similar for patients treated with chemoimmunotherapy with zero out of nine patients exhibiting a response and 56% (n=5/9) having progressive disease as their best response. Median PFS was 3.3 months (95% CI, 1.4 to 6.3; range, 1.4–15.2 months). Given these findings, there is a strong unmet need for novel treatments for tumors harboring an *NRG1*-fusion.

## Emerging Targeted Therapy

While there are challenges in conducting prospective clinical trials for rare genomic subsets, there are several clinical trials that include patients with *NRG1* fusion-positive tumors. Early results are encouraging, but many of these studies are ongoing (Table 1).

### Afatinib

Given that *NRG1* fusions rely on the ErbB family of receptors to activate downstream oncogenic pathways, afatinib, a pan-ERBB inhibitor, is an appealing therapeutic option. There is early *in vitro* and *in vivo* data suggesting that targeting HER4 and EGFR in addition to HER2 and HER3 alone leads to enhanced antitumor activity.<sup>18</sup> Case reports of patients with *NRG1* fusion-positive cancers treated with afatinib have also shown promising results. In one series, 12 of 19 patients achieved a response, including a durable response lasting for 24 months.<sup>19</sup> Out of the patients in that series with NSCLC, 62% (n=8/13) achieved a PR with a duration of response between 6.5 and 27 months; another 15% (n=2/13) had stable disease. Another case report described a patient with *NPTN-NRG1* fusion who achieved a PR with afatinib lasting 14 months.

In the eNRGy1 registry, of the 20 patients with *NRG1* fusion-positive NSCLC treated with afatinib, 25% (n=5/20) achieved a partial response.<sup>11</sup> Fusion partners were reported in four of these five patients: CD74 (n=2), SLC3A2 (n=1), and SDC4 (n=1). An additional 15% (n=3/20) of patients had stable disease. Unfortunately, the majority of patients (60%, n=12/20) experienced progressive disease as best response. The median PFS with afatinib was 2.8 months (95% CI, 1.9 to 4.3; range, 0.3–25.3 months). There was no significant difference in survival between patients who received afatinib and those who did not.

A more recent retrospective review of 110 patients with *NRG1* fusion-positive cancers included 72 patients treated with afatinib, 29 of whom (40.9%) had NSCLC.<sup>20</sup> Afatinib was typically given as second-line or later treatment and 69.4% of patients had an Eastern Cooperative Oncology Group performance status of 2–4. In the afatinib cohort, the response rate was 37.5% with a median PFS of 5.5 months and a median survival of 7.2 months. In the 29 patients with NSCLC, the RR with afatinib was 48.3% with a median duration of response of 6.8 months. Prospective data for afatinib in NSCLC with an *NRG1* fusion is lacking but data may emerge from the Drug Rediscovery Protocol trial in the Netherlands (DRUP, NCT02925234) or the multi-cohort Targeted Agent and Profiling Utilization Registration trial in the United States (TAPUR, NCT02693535).

**Table 1** Select Trials Including Patients with *NRG1*-Fusion Positive Cancers

Treatment	Estimated Enrollment	Phase	Tumor Type	NCT Number
Afatinib	15	IV	<i>NRG1</i> fusion-positive NSCLC	NCT04814056
Afatinib	60	II	<i>NRG1</i> fusion-positive solid tumors	NCT04410653
Seribantumab	75	II	<i>NRG1</i> fusion-positive solid tumors	NCT04383210
Zenocutuzumab plus Afatinib	50	II	<i>NRG1</i> fusion-positive NSCLC	NCT05588609
Zenocutuzumab	250	II	<i>NRG1</i> fusion-positive solid tumors	NCT02912949
HMBD-001	68	I	<i>NRG1</i> fusion-positive or <i>HER3</i> mutant solid tumors	NCT05919537
HMBD-001	135	I/II	<i>NRG1</i> fusion-positive or <i>HER3</i> IHC-positive solid tumors	NCT05057013

## Seribantumab

Seribantumab is a fully human anti-HER3 IgG2 monoclonal antibody that can inhibit NRG1-mediated HER3 activation, HER2/HER3 dimerization, and downstream signaling. In preclinical studies, seribantumab was able to effectively inhibit NRG1-stimulated growth.<sup>21</sup> In the global, multicenter Phase II CRESTONE trial, patients with solid tumors harboring an *NRG1* fusion were treated with intravenous seribantumab 3 g once weekly. Cohort 1 included 11 patients with NSCLC who had not had prior HER-directed therapy and in this cohort and were evaluable for response. The RR for seribantumab in this subset was 36% (n=4/11) and median duration of response has not yet been reached (range 1.4 to 11.5 months). The most common treatment-related adverse events were diarrhea (40% all grade, 3%  $\geq$  grade 3), fatigue (29% all grade, 0%  $\geq$  grade 3), and rash (26% all grade, 0%  $\geq$  grade 3). Seribantumab was granted Fast Track Designation by the US Food and Drug Administration; however, further clinical development has been paused.

## Zenocutuzumab

Zenocutuzumab (MCLA-128) is a bispecific antibody that targets HER2 and HER3 and is another potential treatment option for patients with solid tumors harboring *NRG1* fusions. By targeting both HER2 and HER3, zenocutuzumab prevents NRG1 binding to HER3 and disrupts the subsequent HER2 and HER3 heterodimerization and downstream activation of the PI3K-AKT and MAPK pathways. In vitro, zenocutuzumab inhibited growth of *NRG1* fusion-positive cell lines and xenograft models.<sup>22</sup>

Zenocutuzumab is being explored in the prospective, phase II eNRGy trial (NCT02912949).<sup>23</sup> Preliminary results from 75 patients with NSCLC with an *NRG1* fusion show a response rate of 37.2% and a clinical benefit rate of 61.5%.<sup>24</sup> The median time to response was 1.8 months and the median duration of response was 14.9 months. Treatment-related adverse events included diarrhea (17% all grade, 2% grade 3–4), infusion reactions (12% all grade, 0% grade 3–4), and fatigue (10% all grade, 0% grades 3–4). There were no treatment discontinuations due to treatment related adverse events. Zenocutuzumab was granted Fast Track Designation by the US Food and Drug Administration.

## NRG1 Fusions Mediating Resistance

Interestingly, targeting NRG1 and its downstream signaling pathways may be a potential strategy to overcome resistance to targeted therapy. A *RALGAP1-NRG1* fusion was detected in a patient with stage IV NSCLC with an *ALK* fusion that had progressed on ALK targeted therapy with crizotinib and alectinib.<sup>25</sup> Further study revealed that the *NRG1* fusion was present prior to initiation of crizotinib therapy, suggesting that concurrent *NRG1* fusions may contribute to inherent development of resistance to ALK targeted therapy. In preclinical studies, this resistance was overcome by targeting the HER pathway with afatinib.

## Conclusion

*NRG1* fusions are emerging as a relatively rare but clinically important genomic alteration in a variety of cancers. *NRG1* fusions have been identified in several cancer types, including NSCLC and pancreatic cancers. These fusions result in the constitutive activation of HER signaling, which can drive tumor growth, invasion, and metastasis. The identification of *NRG1* fusions has significant implications for cancer prognosis and treatment. Retrospective studies show that outcomes with standard treatment including chemotherapy and immunotherapy are poor and there is a pressing need for novel therapeutic strategies. The development of NRG1-directed therapies may provide a promising new treatment option for patients with *NRG1* fusion-positive cancers. However, more research is needed to fully understand the biology and clinical implications of *NRG1* fusions in cancer.

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