

Research Article

A novel prognostic risk score model based on immune-related genes in patients with stage IV colorectal cancer

(b) Ke Xu^{1,*}, Jie He^{2,*}, Jie Zhang¹, Tao Liu¹, Fang Yang¹ and Tao Ren¹

¹Department of Oncology, Clinical Medical College and The First Affiliated Hospital of Chengdu Medical College, Chengdu, Sichuan, People's Republic of China; ²Department of Pulmonary and Critical Care Medicine, Clinical Medical College and The First Affiliated Hospital of Chengdu Medical College, Chengdu, Sichuan, People's Republic of China

Correspondence: Ke Xu (xuke@cmc.edu.cn)



Purpose: The aims of the present study were to explore immune-related genes (IRGs) in stage IV colorectal cancer (CRC) and construct a prognostic risk score model to predict patient overall survival (OS), providing a reference for individualized clinical treatment. Methods: High-throughput RNA-sequencing, phenotype, and survival data from patients with stage IV CRC were downloaded from TCGA. Candidate genes were identified by screening for differentially expressed IRGs (DE-IRGs). Univariate Cox regression, LASSO, and multivariate Cox regression analyses were used to determine the final variables for construction of the prognostic risk score model. GSE17536 from the GEO database was used as an external validation dataset to evaluate the predictive power of the model. Results: A total of 770 candidate DE-IRGs were obtained, and a prognostic risk score model was constructed by variable screening using the following 12 genes: FGFR4, LGR6, TRBV12-3, NUDT6, MET, PDIA2, ORM1, IGKV3D-20, THRB, WNT5A, FGF18, and CCR8. In the external validation set, the survival prediction C-index was 0.685, and the AUC values were 0.583, 0.731, and 0.837 for 1-, 2- and 3-year OS, respectively. Univariate and multivariate Cox regression analyses demonstrated that the risk score model was an independent prognostic factor for patients with stage IV CRC. High- and low-risk patient groups had significant differences in the expression of checkpoint coding genes (ICGs). Conclusion: The prognostic risk score model for stage IV CRC developed in the present study based on immune-related genes has acceptable predictive power, and is closely related to the expression of ICGs.

Introduction

Colorectal cancer (CRC) ranks third for both morbidity and mortality among cancers worldwide [1]. The main cause of death in patients with CRC is distant metastasis. Currently, most CRC patients (approximately 50–60%) have stage IV disease at initial diagnosis [2–4]. Remarkably, stage IV CRC has a better prognosis, relative to other metastatic gastrointestinal malignancies [1]. At present, both the European Society for Medical Oncology (ESMO) and the National Comprehensive Cancer Network (NCCN) have established specific clinical practice guidelines for patients with stage IV CRC and recommended the stratified management of such patients with different disease characteristics, typifying current connotation of 'precision medicine'. CRC is a heterogeneous disease, and prognosis varies significantly among patients. Thus, development of prognostic risk models can further improve treatment strategies for stratified management; however, most existing prognostic risk models were constructed based on data from patients with CRC overall or those with postoperative stage II CRC [5–8]. Hence, it is necessary to establish a prognostic prediction model for individual with stage IV CRC.

*These authors contributed equally to this work.

Received: 26 May 2020 Revised: 09 September 2020 Accepted: 09 October 2020

Accepted Manuscript online: 09 October 2020 Version of Record published: 23 October 2020

1



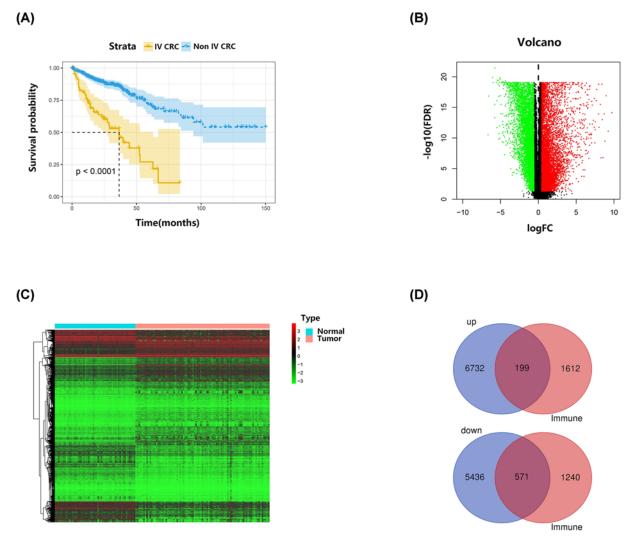


Figure 1. The survival analysis of CRC patients and identification of candidate DE-IRGs

(A) Kaplan-Meier survival curves of the stage IV CRC patients and the remaining 493 non-stage IV patients from TCGA. (B) The volcano figure of DEGs between tumor tissues and normal tissues. (C) The heatmap of DEGs between tumor tissues and normal tissues. (D) The venn diagram of significantly up-regulated and down-regulated candidate DE-IRGs in intersection of DEGs and IRGs.

Malignant tumors can induce immune tolerance through a variety of mechanisms, as well as evading immune killing, leading to disease progression and directly affecting patient prognosis. In the process of immune tolerance induction, immune-related genes (IRGs) expressed by tumor cells directly influence the functions of various immune cells [9,10]. In CRC, some IRGs are confirmed to affect the development of tumor cells via immune regulation, such as FAP, high expression of FAP can lead to enrichment of macrophages, monocytes, and Tregs, as well as depletion of Th1 cells and natural killer T cells, generating to an immunosuppressive environment in CRC cells [11]. In another example, high expression of SMAD7 are associated with an inflamed gut, with immune cells infiltration that can elicit antitumor responses, thereby inhibiting CRC cell growth [12]. Hence, construction of a tumor prognostic model based on IRGs is a robust, widely recognized approach; however, no such study has been conducted for stage IV CRC. Therefore, in the present study we mined for IRGs involved in stage IV CRC and constructed a prognostic risk score model based on the identified genes.



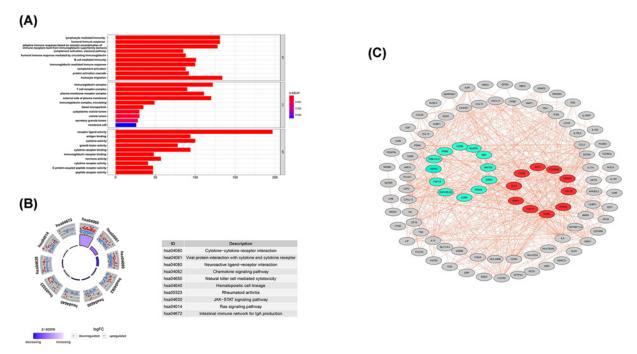


Figure 2. Functional enrichment and the PPI network analyses of the candidate DE-IRGs

(A) GO analysis. (B) KEGG pathway analysis. (C) The PPI network of candidate DE-IRGs was constructed by Cytoscape software based on STRING database. Red nodes represented 10 hub genes with the most edges. Green nodes represented 12 genes that were eventually included in the prognostic risk score model.

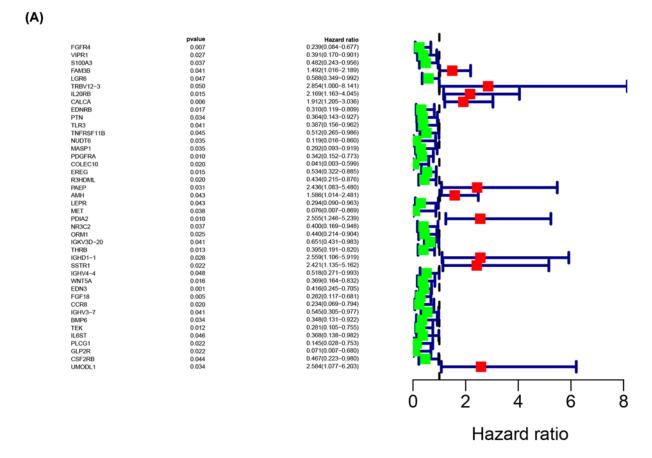
Materials and methods Data acquisition

RNA sequencing gene expression data (from 136 tissues, including 85 tumor and 51 normal tissues; workflow type, HTSeqFPKM) and corresponding clinical information (from 85 stage IV CRC cases) were downloaded from The Cancer Genome Atlas (TCGA) official website (https://portal.gdc.cancer.gov/) on April 1, 2020. Gene array and survival data from 38 patients with stage IV CRC (dataset GSE17536) were downloaded from Gene Expression Omnibus (GEO) (https://www.ncbi.nlm.nih.gov/geo/) on April 2, 2020. A gene set, including 1811 IRGs, was downloaded from the ImmPort database (https://immport.niaid.nih.gov/home). An immune checkpoint coding genes (ICGs) set, containing 47 genes, was constructed based on a literature review (Supplementary Table S1).

Screening of candidate genes, Gene Ontology (GO) term enrichment analysis, Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway analysis, protein-protein interaction (PPI) network analysis, and identification of core genes

The limma package was used to analyze differentially expressed genes (DEGs) between tumor and paracancer tissue samples from TCGA [13]. Genes with $|\log 2|$ fold changes (FC) $|\geq 1$ and adjusted P<0.05 were considered as significantly DEGs. Candidate differentially expressed IRGs (DE-IRGs) were obtained by taking the intersection of DEGs and IRGs. The Ggplot2, enrichplot, and clusterprofiler packages were used to perform GO and KEGG analyses, with candidate DE-IRGs as input [14]. GO analysis included three parts: cellular component (CC), molecular function (MF), and biological process (BP). PPI Network analysis was performed by inputting candidate DE-IRGs into the STRING database (https://string-db.org/) [15]. A value of 0.7 was set as the cutoff for confidence scores. Cytoscape software was used to further analyze the resulting PPI network [16]. Finally, genes with higher node degrees were identified as hub genes.





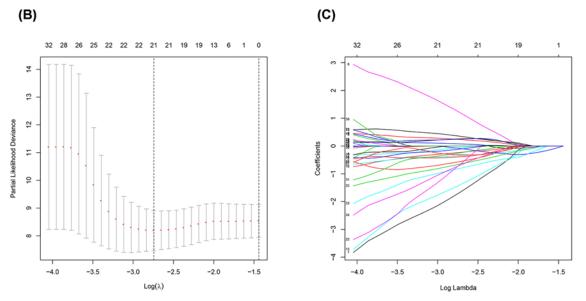


Figure 3. Establishment of prognostic risk score model

(A) Prognostic values of survival-associated DE-IRGs: Forest plot of survival-associated DE-IRGs. (B) Tuning parameter (λ) selection in the LASSO logistic regression used 10,000-fold cross-validation via minimum criteria. The binomial deviance was plotted versus log (λ). (C) LASSO coefficient profiles of 63 survival-associated IRGs. A coefficient profile plot was produced versus the log (λ).



Establishment and verification of prognostic risk score model

Two datasets, including data from 85 and 38 patients with stage IV CRC from TCGA and GSE17536, were used as the training and verification sets, respectively, for establishment of the prognostic risk score model. In the training set, univariate Cox, LASSO Cox, and multivariate regression analyses were used to select the minimum gene subset [17]. Multivariate regression analysis was performed using the stepwise method. The prognostic score model formula, established after the identification of variables and risk score calculation, was as follows:

Risk score =
$$\beta 1 \times \text{Exp}\beta 1 + \beta 2 \times \text{Exp}\beta 2 + \beta 3 \times \text{Exp}\beta 3 + \beta i \times \text{Exp}\beta i$$

The model was validated using the validation set. The survminer package was used for survival analysis of patients in the high- and low-risk groups, while the survival ROC package was used to construct time dependent receiver operating characteristic (ROC) curves and calculate the area under the ROC curve (AUC) at 1-, 2-, and 3-year overall survival (OS). Univariate and multivariate Cox regression survival analyses were performed by adding the factor of risk score in the training set.

Immune-related characteristics of high- and low-risk patients based on the prognostic risk score model

Data from 85 patients with stage IV CRC patients from TCGA were divided into high- and low-risk groups by setting the median risk score as the cut-off value. The CIBERSORT algorithm was used to analyze the proportion of 22 immune cells types in tumor tissue samples from the two groups [18]. A Mann–Whitney test was used to evaluate the significance of differences in the composition of the 22 immune cells types and expression levels of immune checkpoint coding genes (ICGs) between the high- and low-risk groups. Pairwise relationships between the expression levels of ICGs were analyzed using Spearman correlation analyses in the ggcorrplot package. *P*<0.05 was considered statistically significant. Finally, the TISIDB database (http://cis.hku.hk/TISIDB/index.php) was employed to analyze the relationship between clinical outcomes and expression levels of the 12 genes included in the prognostic risk score model [19].

Statistical analysis

R software v3.6.1 (www.r-project.org) was used for statistical analyses in the present study. Numerical variables were described as means \pm SD. The Kaplan–Meier method was used for survival analysis, and the log-rank test applied to evaluate differences between groups. Mann–Whitney test was used for nonparametric comparisons. All statistical tests were two-tailed; P<0.05 was considered to indicate a significant difference.

Results

Clinical characteristics of patients with stage IV CRC

Analyzed clinical characteristics of patients with stage IV CRC from TCGA included age, sex, ethnicity, primary site, carcinoembryonic antigen level (CEA), T stage, N stage, overall survival, and survival status. Among the 85 patients with stage IV CRC with complete clinical information in TCGA, mean age was 64.05 ± 12.82 years, the proportion of males was 58.82%, and median OS was 16.8 months. As shown in Figure 1A, there was a significant difference in survival between the 85 patients with stage IV CRC and the remaining 493 patients with non-stage IV disease in TCGA (P<0.0001). The 38 patients with stage IV CRC from GSE17536 had an average age of 64.55 ± 11.08 years, 65.79% were male, and median OS was 16.45 months. Details for each clinical parameter are presented in Table 1.

Identification of candidate IRGs for stage IV CRC

Comparison of gene expression levels between tumor and paracancer tissue samples identified a total of 12,938 DEGs, including 6931 up-regulated and 6007 down-regulated genes. A total of 199 up-regulated and 571 down-regulated genes were obtained on taking intersection of DEGs and 1811 IRGs, which were subjected to further analysis as candidate DE-IRGs for stage IV CRC (Figure 1B–D).

GO enrichment analysis, KEGG pathway enrichment analysis, PPI network construction, and identification of hub genes

GO enrichment analysis of the 770 candidate DE-IRGs from stage IV CRC indicated that lymphocyte-mediated immunity, immunoglobulin complex, and receptor ligand activity were the most significantly functionally enriched of BP, CC, and MF, respectively. Cytokine–cytokine receptor interaction was the most relevant pathway identified



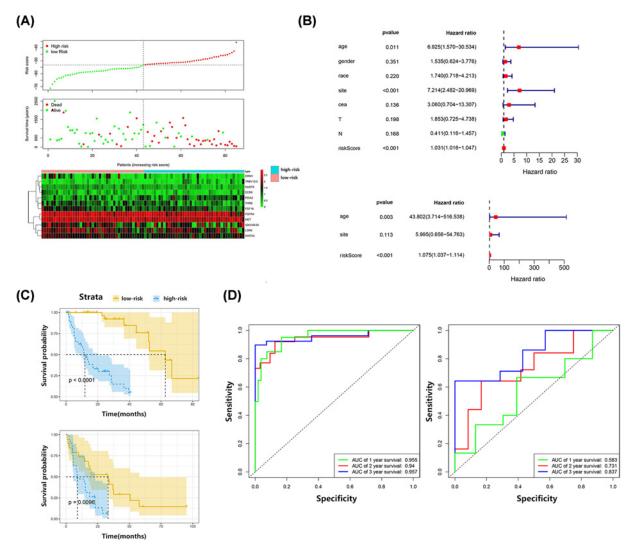


Figure 4. Validation of the prognostic risk score model

(A) Risk score distribution, survival status, and expression patterns of 12 included genes in risk score model for high- and low-risk patients from training set. (B) Univariate and multivariate Cox regression analysis of OS. (C) Kaplan–Meier survival curves of high- and low-risk patients in training set and verification set. (D) The time dependent ROC at 1-, 2-, and 3-year OS of training set and verification set.

by KEGG enrichment analysis (Figure 2A,B and Table 2). A PPI network containing 203 nodes and 999 edges was constructed based on these candidate DE-IRGs. The top 30 genes with the largest number of adjacent nodes were visualized. After a comprehensive literature review, 10 of these genes were identified as hub genes, including: *POMC*, *FLT3*, *CCR9*, *FCGR2B*, *MPO*, *CCL28*, *OPRM1*, *GNA11*, *HTR3A*, and *FGF10* (Figure 2C).

Establishment of prognostic risk score model

As shown in Figure 3, univariate Cox regression analysis was performed using the 770 candidate stage IV CRC DE-IRGs to search for genes related to survival, resulting in identification of 63 genes in total. LASSO was used to further narrow down correlated genes, with 42 genes extracted. Finally, 12 genes were determined as variables for use in construction of the prognostic risk score model by multivariate Cox regression analysis. The calculation formula



Table 1 The clinical characteristics of IV CRC patients

Clinical characteristic	TC	GA	GSE17536		
	Total (n=85)	Percent (%)	Total (n=38)	Percent (%)	
Age					
<60	32	37.65	14	36.84	
≥60	53	62.35	24	63.16	
Gender					
Male	50	58.82	25	65.79	
Female	35	41.18	13	34.21	
Race					
White	55	64.71	33	86.84	
Non-White	30	35.29	5	13.16	
Site					
Right	23	27.06	NA	NA	
Left	33	38.82	NA	NA	
Rectum	14	16.47	NA	NA	
Unknown	15	17.65	NA	NA	
CEA					
≤5 ng/ml	12	14.12	NA	NA	
>5 ng/ml	48	56.47	NA	NA	
Unknown	25	29.41	NA	NA	
T stage					
T1	0	0	NA	NA	
T2	2	2.35	NA	NA	
T3	56	65.88	NA	NA	
T4	27	31.77	NA	NA	
N stage					
0	10	11.76	NA	NA	
1	34	40.00	NA	NA	
2	41	48.24	NA	NA	
Survival status					
Living	45	52.94	10	26.32	
Dead	40	47.06	28	73.68	

Abbreviations: CEA, carcinoembryonic antigen; NA, not available.

was as follows:

Risk score =
$$(-4.3781 \times \text{Exp} FGFR4) + (-0.7465 \times \text{Exp} LGR6) + (3.9474 \times \text{Exp} TRBV12-3) +$$

 $(-4.8243 \times \text{Exp} NUDT6) + (-4.5562 \times \text{Exp} MET) + (1.1468 \times \text{Exp} PDIA2) +$
 $(-1.5898 \times \text{Exp} ORM1) + (-1.0328 \times \text{Exp} IGKV3D-20) + (-0.7592 \times \text{Exp} THRB) +$
 $(-1.2434 \times \text{Exp} WNT5A) + (-2.2298 \times \text{Exp} FGF18) + (-2.7483 \times \text{Exp} CCR8)$

Validation of the prognostic risk score model

Patient data in the training and validation sets were divided into the high- and low-risk groups, based on their respective median risk scores. Significant survival differences were detected between patients in the high- and low-risk sets in both the training and validation sets (P < 0.0001, P = 0.0096, respectively). In the training set, the Harrell's C-index for survival prediction was 0.701, and the AUC values for 1-, 2-, and 3-year OS were 0.955, 0.940, and 0.957, respectively. In the external validation set, Harrell's C-index for survival prediction was 0.685, and the AUC values for 1-, 2-, and 3-year OS were 0.583, 0.731, and 0.837, respectively. Univariate and multivariate regression analyses were carried out by adding the factor of risk score in the training set. Only age and risk score were independent predictive factors for OS (P = 0.003 and P < 0.001, respectively) (Figure 4).



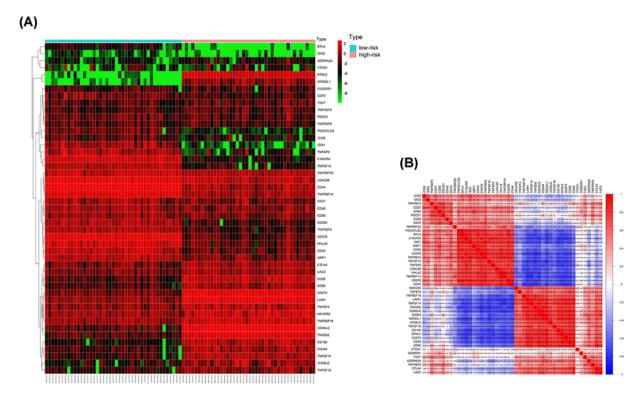


Figure 5. Relationship between the risk score and ICGs
(A) The heatmap of ICGs between high- and low-risk patients. (B) Correlation analyses of pairwise relationships in the expression of ICGs.

Immune-related characteristics of high- and low-risk patients, based on the prognostic risk score model

Except for *CD200R1*, *PDCD1*, *TIGIT*, *TNFRSF9*, and *VTCN1* (*P*=0.541, 0.098, 0.095, 0.527, and 0.459, respectively), all of the other 42 ICGs showed significant differences in gene expression between high- and low-risk patients (Figure 5). Due to the significant relationship between risk score and ICGs, all 12 genes included in the model were individually analyzed in the TISIDB database. A significant relationship was detected between *FGFR4*, *LGR6*, *PDIA2*, *ORM1*, and *WNT5A* expression differences and treatment response in immunotherapy clinical trials (Table 3). However, there was no significant difference in the proportion of the 22 immune cell types analyzed between high- and low-risk patients (Figure 6).

Discussion

Stage IV CRC is commonly referred to as metastatic colorectal cancer (MCRC) and the overall 5-year survival rate for patients with this condition is 40–64% [20,21]. Autopsies demonstrated that liver metastases are the leading cause of death in such patients [22]. In 2016, ESMO proposed the concept of 'no evidence of disease (NED)' to the diagnosis and treatment strategy for MCRC, representing a break from the traditional understanding of stage IV CRC [23]. In recent years, the efficacy of drugs such as trifluridine, tipiracil, bevacizumab, cetuximab, regorafenib, encorafenib, nivolumab, and pembrolizumab has been evaluated and confirmed in different subgroups of patients with stage IV CRC [24–29]. OS of these patients is expected to be extended in the future and it is essential to make prognostic predictions, to individualize their treatment plans. Nevertheless, no such method is available in clinical practice, with only stage II and overall CRC previously investigated in this research field [5–8]. While only a few prognostic factors for stage IV CRC have been reported [30,31]. In the present study, we constructed a prognostic risk score model for patients with stage IV CRC, based on IRGs, as well as screening for immune-related hub genes during the construction process. *POMC*, *CCR9*, *FCGR2B*, *CCL28*, *OPRM1*, *GNAI1*, *HTR3A*, and *FGF10* are all genes rarely



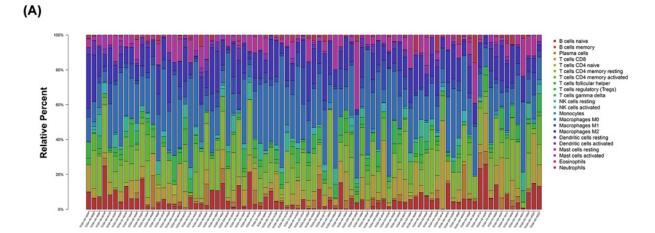
Table 2 GO and KEGG enrichment analysis of candidate IRGs in IV CRC ranked by P value (Top 5)

Categor y	GO or KEGG ID	GO or KEGG term	P.adjust	Count	
BP	GO:0002449	Lymphocyte-mediated immunity	4.15E-91	131	
BP	GO:0006959	Humoral immune response	1.15E-90	131	
BP	GO:0002460	Adaptive immune response based on somatic recombination of immune receptors built from immunoglobulin superfamily domains	3.01E-86	128	
BP	GO:0006958	Complement activation, classical pathway	1.90E-82	85	
BP	GO:0002455	Humoral immune response mediated by circulating immunoglobulin	1.91E-82	88	
CC	GO:0019814	Immunoglobulin complex	3.12E-99	122	
CC	GO:0042101	T-cell receptor complex	2.74E-98	90	
CC	GO:0098802	Plasma membrane receptor complex	1.57E-80	111	
CC	GO:0009897	External side of plasma membrane	3.00E-75	120	
CC	GO:0042571	Immunoglobulin complex, circulating	1.33E-51	49	
MF	GO:0048018	Receptor ligand activity	4.71E-97	197	
MF	GO:0003823	Antigen binding	1.67E-94	94	
MF	GO:0005125	Cytokine activity	6.20E-86	100	
MF	GO:0008083	Growth factor activity	1.18E-68	78	
MF	GO:0005126	Cytokine receptor binding	4.80E-65	94	
KEGG	hsa04060	Cytokine-cytokine receptor interaction	1.84E-98	136	
KEGG	hsa04061	Viral protein interaction with cytokine and cytokine receptor	5.58E-36	50	
KEGG	hsa04080	Neuroactive ligand-receptor interaction	4.31E-27	76	
KEGG	hsa04062	Chemokine signaling pathway	1.05E-16	45	
KEGG	hsa04650	Natural killer cell-mediated cytotoxicity	2.39E-16	37	

Table 3 The relationship between the expression of IRGs included in this prognostic risk score model and the clinical outcome of immunotherapy (TISIDB)

Gene symbol	PMID	Cancer type	Group	Drug	The number of resp onders	The number of non-responders	Log2 (fold change)	<i>P</i> value
FGFR4	29033 130	Melanoma	All	Anti-PD-1 (Nivolumab)	26	23	1.409	0.017
LGR6	29301 960	Clear cell renal cell carcinoma (ccRCC)	All	Anti-PD-1 (Nivolumab)	4	8	1.065	0.043
LGR6	29301 960	Clear cell renal cell carcinoma (ccRCC)	Non-VEG FRi	Anti-PD-1 (Nivolumab)	2	8	1.602	0.019
PDIA2	26997 480	Melanoma	MAP Ki	Anti-PD-1 (Pembrolizumab and Nivolumab)	6	5	-1.229	0.050
ORM1	29443 960	Urothelial cancer	All	Anti-PD-L1 (Atezolizumab)	68	230	-1.045	0.038
WNT5 A	26997 480	Melanoma	All	Anti-PD-1 (Pembrolizumab and Nivolumab)	14	12	-1.048	0.028
WNT5 A	26997 480	Melanoma	MAP Ki	Anti-PD-1 (pembrolizu mab and nivolumab)	6	5	-2.179	0.006







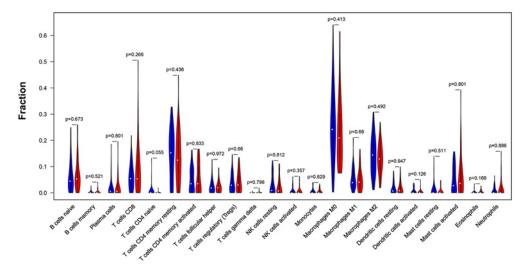


Figure 6. Landscape of immune infiltration in high- and low-risk patients with stage IV CRC

(A) Bar plots visualizing relative proportion of 22 immune cells in high- and low-risk patients. (B) Violin plots visualizing significantly different immune cell infiltrations between high- and low- risk patients.

reported in CRC research. POMC has a role in tumor development, by promoting epithelial–mesenchymal transition (EMT) [32–34]. It also has been reported as an independent prognostic factor in surgically resected non-small cell lung cancer [35]. CCR9/CCL25 also has an important role in tumor invasion and metastasis by promoting EMT in liver, non-small cell lung cancer, and breast cancer cell lines [36,37]. More interestingly, intratumoral delivery of CCL25 can enhance PD-L1/PD-1 antibody therapy against triple-negative breast cancer by recruiting CCR9+ T cells [38]. Regarding CCL28, recent studies have found that blocking of β -catenin–CCL28 can reduce Treg cell infiltration and inhibit tumor growth. Therefore, the β -catenin–CCL28–Treg cell axis may be involved in immunosuppression [39]. FGF10 promotes tumor invasion and metastasis by binding to FGFR2 in pancreatic cancer and breast cancer cell lines [40,41]. Of the 12 genes included in the prognostic risk score model, only *FGFR4*, *MET*, *WNT5A*, and *CCR8* have been studied in relation to tumor immunity. Specifically, FGFR4 can act directly on tumor cells to influence paracrine signaling, angiogenesis, and immune escape, normalizing the tumor microenvironment [42]. MET is a



tumor-associated antigen facilitating tumor cell recognition by CD8+ cytotoxic T cells, which triggers immune system activation [43]. WNT5A has dual effects on the tumor microenvironment; it can promote inflammation and chemotaxis of immune cells through activation of the ROR1/Akt/P65 pathway, as well as stimulating the TLR/MyD88/p50 pathway in myelomonocytic cells, to promote synthesis of the anti-inflammatory cytokine, IL-10 [44]. Further, Tregs positive for CCR8 expression are major drivers of immune regulation, and CCL1 can induce CCR8 expression, thus enhancing the suppressive function [45]. The effect of these genes in the development and progression of CRC warrant further study, particularly in the context of tumor induced immune tolerance.

The prognostic risk score model developed in the present study included 12 IRGs. In the training set, Harrell's C-index for survival prediction was 0.701, with AUC values for 1-, 2-, and 3-year OS of 0.955, 0.940, and 0.957, respectively. In the external validation set, Harrell's C-index for survival prediction was 0.685, with AUC values for 1-, 2-, and 3-year OS of 0.583, 0.731, and 0.837, respectively. Compared with the three published prognostic models for overall CRC based on IRGs, this model contains completely different genes and has good predictive power, particularly for 2- and 3-year OS [46–48]. In addition, patients classified as high- and low-risk according to this model showed significant differences in ICGs expression. We further explored all 12 genes in this model in the TISIDB database and found that differential expression of *LGR6*, *PDIA2*, *ORM1*, *FGFR4*, and *WNT5A* were associated with treatment response to immunotherapy in past clinical trials. These findings imply that our risk score model potentially has the ability to predict immunotherapy responses. Further, the relationship between the genes included in this model and current anti-PD1 and anti-PDL1 treatments warrants further exploration.

Other researchers have constructed CRC prognostic risk model based on DNA methylation markers, autophagy-related genes, and hypoxia-related genes, among others [49–51], and most generated acceptable results; however, no perfect prognostic model has been achieved, due to individual differences among patients and unknown disease pathogenesis. For patients with stage IV CRC, it will be necessary to construct additional prognostic risk models in the future, based on different methods and perspectives. In recent years, the important role of the tumor immune microenvironment in the occurrence and development of malignant tumors has attracted wide attention. Since both tumor and host are directly affected by genomic factors, it is feasible to predict immunotherapy responses and prognosis of patients with CRC, based on ICGs. To our knowledge, this is the first prognostic risk score model for patients with stage IV CRC. Furthermore, the predictive power of this model could be further improved by inclusion of relevant clinical parameters.

The limitations of the present study include its reliance on online data analysis and relatively small sample size. More in-depth analyses could not be conducted in the present study, due to the lack of basic experiments. Consequently, the predictive power of this risk score model requires further verification in multi-center and prospective research investigations.

Conclusions

The prognostic risk score model for stage IV CRC developed in the present study based on immune-related genes has acceptable predictive power, and is closely related to the expression of ICGs.

Data Availability

The datasets generated and analyzed during the current study are available in TCGA official website repository (https://portal.gdc.cancer.gov/) and Gene Expression Omnibus (GEO) (https://www.ncbi.nlm.nih.gov/geo/).

Competing Interests

The authors declare that there are no competing interests associated with the manuscript.

Funding

This study was funded by The Special Scientific Research Foundation of The First Affiliated Hospital of Chengdu Medical College [grant number CYFY2017GLPHX06].

Author Contribution

Ke Xu and Jie He: Conceptualization, Methodology, Writing - Original draft preparation. Jie Zhang and Tao Liu: Software, Data curation. Fang Yang and Tao Ren: visualization, Investigation.

Ethics Approval and Consent to Participate

The ethical approval did not refer to this study and this study did not need the informed consent.

11



Authors' Information

The corresponding author (Ke Xu) would be contacted if necessary.

Acknowledgements

The authors thank Ting Liao, Zixiao Xu, and The Charlesworth Author Services Team for their help in language editing.

Abbreviations

AUC, area under the curve; CEA, carcinoembryonic antigen; CRC, colorectal cancer; DEG, differentially expressed gene; DE-IRG, differentially expressed immune-related gene; EMT, epithelial-mesenchymal transition; ESMO, European society for medical oncology; FC, fold changes; GEO, Gene Expression Omnibus; GO, Gene Ontology; ICG, immune checkpoint coding gene; IRG, immune-related gene; KEGG, Kyoto Encyclopedia of Genes and Genomes; MCRC, metastatic colorectal cancer; NCCN, national comprehensive cancer network; OS, overall survival; PPI, protein-protein interaction; ROC, receiver operating characteristic; TCGA, The Cancer Genome Atlas.

References

- 1 Siegel, R.L., Miller, K.D. and Jemal, A. (2020) Cancer statistics, 2020. CA Cancer J. Clin. 70, 7–30, https://doi.org/10.3322/caac.21590
- 2 Lee, W.S., Yun, S.H., Chun, H.K. et al. (2007) Pulmonary resection for metastases from colorectal cancer: prognostic factors and survival. *Int. J. Colorectal Dis.* 22, 699–704, https://doi.org/10.1007/s00384-006-0218-2
- 3 Van Cutsem, E., Nordlinger, B., Adam, R. et al. (2006) Towards a pan-European consensus on the treatment of patients with colorectal liver metastases. *Eur. J. Cancer* **42**, 2212–2221, https://doi.org/10.1016/j.ejca.2006.04.012
- 4 Yoo, P.S., Lopez-Soler, R.I., Longo, W.E. and Cha, C.H. (2006) Liver resection for metastatic colorectal cancer in the age of neoadjuvant chemotherapy and bevacizumab. *Clin. Colorectal Cancer* **6**, 202–207, https://doi.org/10.3816/CCC.2006.n.036
- 5 Benson, III, A.B., Schrag, D., Somerfield, M.R. et al. (2004) American Society of Clinical Oncology recommendations on adjuvant chemotherapy for stage II colon cancer. *J. Clin. Oncol.* 22, 3408–3419, https://doi.org/10.1200/JC0.2004.05.063
- 6 Figueredo, A., Charette, M.L., Maroun, J., Brouwers, M.C. and Zuraw, L. (2004) Adjuvant therapy for stage II colon cancer: a systematic review from the Cancer Care Ontario Program in evidence- based care's gastrointestinal cancer disease site group. *J. Clin. Oncol.* 22, 3395–3407, https://doi.org/10.1200/JC0.2004.03.087
- 7 Gill, S., Loprinzi, C.L., Sargent, D.J. et al. (2004) Pooled analysis of fluorouracil-based adjuvant therapy for stage II and III colon cancer: who benefits and by how much? *J. Clin. Oncol.* 22, 1797–1806, https://doi.org/10.1200/JC0.2004.09.059
- 8 Shi, M. and He, J. (2016) ColoFinder: a prognostic 9-gene signature improves prognosis for 871 stage II and III colorectal cancer patients. PeerJ 4, e1804, https://doi.org/10.7717/peerj.1804
- 9 Gentles, A.J., Newman, A.M., Liu, C.L. et al. (2015) The prognostic landscape of genes and infiltrating immune cells across human cancers. *Nat. Med.* 21, 938–945, https://doi.org/10.1038/nm.3909
- 10 Chaudhary, B. and Elkord, E. (2016) Regulatory T Cells in the Tumor Microenvironment and Cancer Progression: Role and Therapeutic Targeting. *Vaccines (Basel)* **4**
- 11 Coto-Llerena, M., Ercan, C., Kancherla, V. et al. (2020) High Expression of FAP in Colorectal Cancer Is Associated With Angiogenesis and Immunoregulation Processes. *Front. Oncol.* **10**, 979, https://doi.org/10.3389/fonc.2020.00979
- 12 Troncone, E. and Monteleone, G. (2019) Smad7 and Colorectal Carcinogenesis: A Double-Edged Sword. Cancers (Basel) 11, 612, https://doi.org/10.3390/cancers11050612
- 13 Ritchie, M.E., Phipson, B., Wu, D. et al. (2015) limma powers differential expression analyses for RNA- sequencing and microarray studies. *Nucleic Acids Res.* **43**, e47, https://doi.org/10.1093/nar/gkv007
- 14 Yu, G., Wang, L.G., Han, Y. and He, Q.Y. (2012) clusterProfiler: an R package for comparing biological themes among gene clusters. *OMICS* **16**, 284–287, https://doi.org/10.1089/omi.2011.0118
- 15 von Mering, C., Huynen, M., Jaeggi, D., Schmidt, S., Bork, P. and Snel, B. (2003) STRING: a database of predicted functional associations between proteins. *Nucleic Acids Res.* **31**, 258–261, https://doi.org/10.1093/nar/gkq034
- 16 Shannon, P., Markiel, A., Ozier, O. et al. (2003) Cytoscape: a software environment for integrated models of biomolecular interaction networks. *Genome Res.* **13**, 2498–2504, https://doi.org/10.1101/gr.1239303
- 17 Gui, J. and Li, H. (2005) Penalized Cox regression analysis in the high-dimensional and low-sample size settings, with applications to microarray gene expression data. *Bioinformatics* **21**, 3001–3008, https://doi.org/10.1093/bioinformatics/bti422
- 18 Newman, A.M., Liu, C.L., Green, M.R. et al. (2015) Robust enumeration of cell subsets from tissue expression profiles. *Nat. Methods* **12**, 453–457, https://doi.org/10.1038/nmeth.3337
- 19 Ru, B., Wong, C.N., Tong, Y. et al. (2019) TISIDB: an integrated repository portal for tumor-immune system interactions. *Bioinformatics* **35**, 4200–4202, https://doi.org/10.1093/bioinformatics/btz210
- 20 Marin, C., Robles, R., Lopez Conesa, A., Torres, J., Flores, D.P. and Parrilla, P. (2013) Outcome of strict patient selection for surgical treatment of hepatic and pulmonary metastases from colorectal cancer. *Dis. Colon Rectum* **56**, 43–50, https://doi.org/10.1097/DCR.0b013e3182739f5e
- 21 Adam, R., Hoti, E. and Bredt, L.C. (2010) Evolution of neoadjuvant therapy for extended hepatic metastases—have we reached our (non-resectable) limit? *J. Surg. Oncol.* **102**, 922–931, https://doi.org/10.1002/jso.21727



- 22 Foster, J.H. (1984) Treatment of metastatic disease of the liver: a skeptic's view. Semin. Liver Dis. 4, 170–179, https://doi.org/10.1055/s-2008-1040656
- 23 Van Cutsem, E., Cervantes, A., Adam, R. et al. (2016) ESMO consensus guidelines for the management of patients with metastatic colorectal cancer. Ann. Oncol. 27, 1386–1422, https://doi.org/10.1093/annonc/mdw235
- 24 Mayer, R.J., Van Cutsem, E., Falcone, A. et al. (2015) Randomized trial of TAS-102 for refractory metastatic colorectal cancer. *N. Engl. J. Med.* 372, 1909–1919, https://doi.org/10.1056/NEJMoa1414325
- 25 Venook, A.P., Niedzwiecki, D., Lenz, H.J. et al. (2017) Effect of First-Line Chemotherapy Combined With Cetuximab or Bevacizumab on Overall Survival in Patients With KRAS Wild-Type Advanced or Metastatic Colorectal Cancer: A Randomized Clinical Trial. JAMA 317, 2392–2401, https://doi.org/10.1001/jama.2017.7105
- 26 Grothey, A., Van Cutsem, E., Sobrero, A. et al. (2013) Regorafenib monotherapy for previously treated metastatic colorectal cancer (CORRECT): an international, multicentre, randomised, placebo-controlled, phase 3 trial. *Lancet* **381**, 303–312, https://doi.org/10.1016/S0140-6736(12)61900-X
- 27 Le, D.T., Durham, J.N., Smith, K.N. et al. (2017) Mismatch repair deficiency predicts response of solid tumors to PD-1 blockade. *Science* **357**, 409–413, https://doi.org/10.1126/science.aan6733
- 28 Overman, M.J., McDermott, R., Leach, J.L. et al. (2017) Nivolumab in patients with metastatic DNA mismatch repair-deficient or microsatellite instability-high colorectal cancer (CheckMate 142): an open-label, multicentre, phase 2 study. *Lancet Oncol.* 18, 1182–1191, https://doi.org/10.1016/S1470-2045(17)30422-9
- 29 Overman, M.J., Lonardi, S., Wong, K.Y.M. et al. (2018) Durable Clinical Benefit With Nivolumab Plus Ipilimumab in DNA Mismatch Repair-Deficient/Microsatellite Instability-High Metastatic Colorectal Cancer. *J. Clin. Oncol.* **36**, 773–779, https://doi.org/10.1200/JC0.2017.76.9901
- 30 Moik, F., Posch, F., Grilz, E. et al. (2020) Haemostatic biomarkers for prognosis and prediction of therapy response in patients with metastatic colorectal cancer. *Thromb. Res.* **187**, 9–17, https://doi.org/10.1016/j.thromres.2020.01.002
- 31 Zhang, L., Zhang, J., Wang, Y. et al. (2019) Potential prognostic factors for predicting the chemotherapeutic outcomes and prognosis of patients with metastatic colorectal cancer. *J. Clin. Lab. Anal.* **33**, e22958, https://doi.org/10.1002/jcla.22958
- 32 Tsai, H.E., Liu, L.F., Dusting, G.J. et al. (2012) Pro-opiomelanocortin gene delivery suppresses the growth of established Lewis lung carcinoma through a melanocortin-1 receptor- independent pathway. *J. Gene Med.* **14**, 44–53, https://doi.org/10.1002/jgm.1625
- 33 Fassnacht, M., Hahner, S., Hansen, I.A. et al. (2003) N-terminal proopiomelanocortin acts as a mitogen in adrenocortical tumor cells and decreases adrenal steroidogenesis. *J. Clin. Endocrinol. Metab.* 88, 2171–2179, https://doi.org/10.1210/jc.2002-021318
- 34 Herraiz, C., Journe, F., Abdel-Malek, Z., Ghanem, G., Jimenez-Cervantes, C. and Garcia-Borron, J.C. (2011) Signaling from the human melanocortin 1 receptor to ERK1 and ERK2 mitogen-activated protein kinases involves transactivation of cKIT. *Mol. Endocrinol.* **25**, 138–156, https://doi.org/10.1210/me.2010-0217
- 35 Hao, L., Zhao, X., Zhang, B., Li, C. and Wang, C. (2015) Positive expression of pro-opiomelanocortin (POMC) is a novel independent poor prognostic marker in surgically resected non-small cell lung cancer. *Tumour Biol.* **36**, 1811–1817, https://doi.org/10.1007/s13277-014-2784-1
- 36 Niu, Y., Tang, D., Fan, L., Gao, W. and Lin, H. (2020) CCL25 promotes the migration and invasion of non- small cell lung cancer cells by regulating VEGF and MMPs in a CCR9-dependent manner. *Exp. Ther. Med.* **19**, 3571–3580
- 37 Zhang, Z., Sun, T., Chen, Y. et al. (2016) CCL25/CCR9 Signal Promotes Migration and Invasion in Hepatocellular and Breast Cancer Cell Lines. *DNA Cell Biol.* **35**, 348–357, https://doi.org/10.1089/dna.2015.3104
- 38 Chen, H., Cong, X., Wu, C. et al. (2020) Intratumoral delivery of CCL25 enhances immunotherapy against triple-negative breast cancer by recruiting CCR9(+) T cells. Sci. Adv. 6, eaax4690, https://doi.org/10.1126/sciadv.aax4690
- 39 Ji, L., Qian, W., Gui, L. et al. (2020) Blockade of beta-Catenin-Induced CCL28 Suppresses Gastric Cancer Progression via Inhibition of Treg Cell Infiltration. *Cancer Res.*, https://doi.org/10.1158/0008-5472.CAN-19-3074
- 40 Nomura, S., Yoshitomi, H., Takano, S. et al. (2008) FGF10/FGFR2 signal induces cell migration and invasion in pancreatic cancer. *Br. J. Cancer* **99**, 305–313, https://doi.org/10.1038/sj.bjc.6604473
- 41 Abolhassani, A., Riazi, G.H., Azizi, E. et al. (2014) FGF10: Type III Epithelial Mesenchymal Transition and Invasion in Breast Cancer Cell Lines. *J. Cancer* 5, 537–547, https://doi.org/10.7150/jca.7797
- 42 Katoh, M. (2016) FGFR inhibitors: Effects on cancer cells, tumor microenvironment and whole- body homeostasis (Review). *Int. J. Mol. Med.* **38**, 3–15, https://doi.org/10.3892/ijmm.2016.2620
- 43 Schag, K., Schmidt, S.M., Muller, M.R. et al. (2004) Identification of C-met oncogene as a broadly expressed tumor-associated antigen recognized by cytotoxic T-lymphocytes. *Clin. Cancer Res.* **10**, 3658–3666, https://doi.org/10.1158/1078-0432.CCR-03-0640
- 44 Lopez-Bergami, P. and Barbero, G. (2020) The emerging role of Wnt5a in the promotion of a pro- inflammatory and immunosuppressive tumor microenvironment. *Cancer Metastasis Rev.* **39**, 933–952, https://doi.org/10.1007/s10555-020-09878-7
- 45 Karin, N. (2018) Chemokines and cancer: new immune checkpoints for cancer therapy. *Curr. Opin. Immunol.* **51**, 140–145, https://doi.org/10.1016/j.coi.2018.03.004
- 46 Lu, Y., Zhou, X., Liu, Z., Wang, B., Wang, W. and Fu, W. (2020) Assessment for Risk Status of Colorectal Cancer Patients: A Novel Prediction Model Based on Immune-Related Genes. *DNA Cell Biol.* **39**, 958–964, https://doi.org/10.1089/dna.2019.5195
- 47 Ge, P., Wang, W., Li, L. et al. (2019) Profiles of immune cell infiltration and immune-related genes in the tumor microenvironment of colorectal cancer. *Biomed. Pharmacother.* **118**, 109228, https://doi.org/10.1016/j.biopha.2019.109228
- 48 Bai, J., Zhang, X., Xiang, Z.X., Zhong, P.Y. and Xiong, B. (2020) Identification of prognostic immune-related signature predicting the overall survival for colorectal cancer. Eur. Rev. Med. Pharmacol. Sci. 24, 1134–1141
- 49 Deng, Y., Wan, H., Tian, J. et al. (2020) CpG-methylation-based risk score predicts progression in colorectal cancer. *Epigenomics* 12, 605–615, https://doi.org/10.2217/epi-2019-0300



- 50 Huang, Z., Liu, J., Luo, L. et al. (2019) Genome-Wide Identification of a Novel Autophagy-Related Signature for Colorectal Cancer. *Dose Response* 17, 1559325819894179, https://doi.org/10.1177/1559325819894179
- 51 Lee, J.H., Jung, S., Park, W.S. et al. (2019) Prognostic nomogram of hypoxia-related genes predicting overall survival of colorectal cancer-Analysis of TCGA database. *Sci. Rep.* **9**, 1803, https://doi.org/10.1038/s41598-018-38116-y