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# **Challenges in the serological OPENevaluation of dogs clinically suspect for canine leishmaniasis**

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**Canine leishmaniasis is a major veterinary issue and also a public health challenge due to its zoonotic potential. In this context, serological evaluation is essential for Canine leishmaniasis management. Several serological alternatives, such as rapid diagnostic tests, enzyme-linked immunosorbent assay (ELISA) and immunofuorescence antibody test (IFAT), are well established. In fact, the capacity of distinct tests and antigens, evaluated by their sensitivity and specifcity, to detect disease is normally considered sufcient for diagnosing Canine leishmaniasis. In this context, we evaluated the seropositivity using 8 diferent serological tests (ELISA with** *Leishmania* **recombinant proteins (rK39, LicTXNPx); soluble promastigote** *Leishmania* **antigens (SPLA); commercial ELISA test) in 82 clinically suspect animals from Northern Portugal. The obtained serological data originated 50% of inconclusive serological information with a mixture of seropositive and seronegative results for individual animals. Cut-of independent risk groups were then generated from the serological data to evaluate the clustering of the samples. This analysis originated risk groups that correlated with the most seropositive samples, suggesting that this method might be used, in a cut-of independent manner, to improve conventional serological evaluation. Ultimately, given that no test prioritization exists, the use of any single serological test increases the potential for misdiagnosis, along with all associated risks for the dog as well as public health. The use of a cut-of independent analysis has the potential to improve the predictive values of these tests, enabling a more accurate evaluation of the dog's condition.**

Leishmaniasis is a protozoan vector-borne zoonosis caused by several species of *Leishmania* that afects both humans and dogs<sup>[1](#page-4-0)</sup>. Considering the disease's zoonotic potential, infection control in reservoirs is vital to restrict human zoonotic visceral leishmaniasis. The infection of dogs by *Leishmania infantum* is responsible for a veterinary disease known as Canine leishmaniasis (CanL). The detection of infected animals is a priority to enable appropriate disease containment measures. Quantitative and qualitative serology are considered essential diagnostic tools when used along with clinical signs compatible with CanL<sup>1-3</sup>. Moreover, quantitative serology is important not only for disease diagnosis but also for epidemiological studies enabling the adoption of appro-priate CanL containment and control measures<sup>4,[5](#page-4-3)</sup>. Most serological tests present high specificities and sensitivities enabling accurate diagnosis of CanL. Still, comparative studies of serological performance in unbiased clinically suspect animals are lacking. The performance of these tests in field conditions must be addressed, particularly after reports of reduced predictive value of simple serological tests in serological surveys<sup>[6](#page-4-4)</sup>. In this context, we evaluated the consistency of serological evaluation in a group of 82 CanL suspect dogs in Portugal.

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<span id="page-1-0"></span>

**Table 1.** Overall seropositivity percentage in the cohort and spearman correlation between the diferent tests performed.



<span id="page-1-1"></span>**Figure 1.** Simple distribution and clusterization of the samples. (**A**) Sample distribution by number of seropositive tests; (**B**) Dendrogram for clustering of markers; (**C**) Clusters and control sample plotted on study cohort based PCA for K2; K3 (**D**) and K4 (**E**).

Eight quantitative serological tests based on immunosorbent assay (ELISA) and immunofuorescence antibody test (IFAT) were used to achieve this objective. In Europe, IFAT is considered the standard method of serological diagnosis of CanL, presenting high sensitivity and specifcity (nearly 100% for both) while ELISA is also a quantitative method that allows the use of distinct antigens. In this study, three main antigens were used for the in house ELISA; parasite lysate, rK39 (a reference antigen for serodiagnosis<sup>[7](#page-4-5)</sup>) and LicTXNPX (a protein already evaluated for both human and CanL<sup>[8,](#page-4-6)[9](#page-4-7)</sup>). The tests were performed under the same conditions for all samples to exclude inter-laboratory variability.

### **Results**

**Seropositivity in clinically suspect dogs.** The serological tests performed produced similar seropositivity levels, showing a strong correlation between all pairs of tests (Table [1\)](#page-1-0). In fact, average seropositivity to the different tests ranged between 42.2 (for E\_LicTXNPX) and 57.8% (IFAT). However, only 28% of the samples were positive for all the tests (Fig. [1](#page-1-1), Supplemental Table 1), or 39% if we consider only IFAT-based techniques. On the other hand, only 22% were seronegative to all the tests. Therefore, 50% of the cohort presented a combination of seropositive/seronegative results (Fig. [1A](#page-1-1)). The control samples from CanL animals were all seropositive while the negative samples from a non-endemic area presented only one positive sample for IFAT.

**Cluster analysis.** Considering that no gold standard exists, a cluster model was developed based on the 83 clinically suspect dogs in order to analyse the data (Fig. [1B–E\)](#page-1-1). In the cluster analysis, all serological test values are considered, without considering cut-ofs. A split in ELISA and IFAT tests was observed as the two main clusters produced by this algorithm (Fig. [1B\)](#page-1-1). Considering the ELISA branch, E\_rk39 and E\_LAM are the most similar antigens, whereas E\_LicTXNPX2 was the least similar to the others. Conversely, in the IFAT branch, the three markers presented high similarity between one another. This is reflected by the low clustering heights and the high silhouette value of 0.69 (vs. 0.42 for ELISA tests)<sup>10</sup>. The overall silhouette average of 0.52 supports the quality of the obtained clusters.

The data can be presented by means of two components, since it is the smallest number of components that accounts for more than 80% of the variance<sup>11</sup>. Indeed, the first two PCA components, PC1 and PC2, explain 85.5% of the total variance (78.6% for PC1 and 6.8% for PC2). Te frst component essentially contrasts low and high values for marker tests. The second component contrasts IFAT tests and E\_commercial with the remaining ELISA tests. Regarding the number of clusters generated, the elbow method does not indicate a clear choice. However, 2, 3 or 4 clusters seem to be adequate. Therefore, cluster analysis was done using each of these cluster numbers (Fig. [1D,E\)](#page-1-1).

When  $k=2$ , the resulting clusters were labelled as Low Risk (LR) and High Risk (HR). Dogs in HR are those with at least 5 positive test results and a positive CP1 score. Moreover, most of them have 7 or 8 positive tests (34/39). On the other hand, dogs in LR are those with maximum 4 positive tests and a negative CP1 score. The majority of LR has maximum 1 positive test (33/44).

When  $k=3$ , the resulting clusters were labelled as LR, Moderate Risk (MR) and HR. The LR and MR clusters correspond mainly to a split in the LR cluster for  $k=2$ . LR corresponds to lower values for CP1 and higher values for CP2. Furthermore, LR dogs were negative for all markers except for 6 subjects that presented a positive IFAT 1 result.

When  $k=4$ , the resulting clusters were labelled as LR, MR, HR and Very High Risk (VHR). The HR and VHR clusters correspond mainly to a split in the HR cluster for  $k=3$ . The VHR corresponds to higher values for CP1. All the VHR were positive for tests with the exception of three cases: two were negative for CP and one for E\_Commercial.

The analysis in the study cohort originated in 46-47% of HR animals, the percentage of seropositive samples for each test in the HR groups when  $k=2$  and  $k=3$ , depicted in Supplemental File 1. The SPLA was the most represented test in both groups with 95% and 93% of the seropositive samples present in the HR k = 2 and k = 3 groups, respectively. The least represented test was IFAT 1 with only 77% of the seropositive animals included in both HR groups.

The control sample was then used to evaluate the cluster models. In the resulting classification for  $k=2$ , all CanL positive animals are assigned to the HR cluster, while the negative are assigned to LR. For  $k=3$ , all the CanL positive control animals are classifed as HR, while 19 of the CanL negative animals are LR and 1 is MR. Finally, when  $k=4$ , all CanL positive animals are in the VHR cluster while 19 of the negative animals are in LR, with one animal in MR.

### **Discussion**

From the 83 dogs suspected for CanL subjected to the 8 serological evaluations, 28% were seropositive to all tests, 39% if we consider only IFAT based techniques that are often the reference for serological evaluation<sup>[4](#page-4-2)</sup> (Table [1,](#page-1-0) Supplemental File 1). Terefore, almost a third of the animals, independent of the test used, were seropositive and confrmed CanL cases. On the other hand, 22% were negative for all tests. In these animals, CanL could be excluded for continuous diferential diagnosis. Still, 50% of the animals presented a mixture of seropositive and seronegative data that would require follow-up. In fact, 4% of the animals (3/82) were seropositive for half of the tests. This dispersion in seropositivity was transversal to both ELISA- and IFAT-based approaches. This was unexpected as all the tests present signifcant correlation between them (Table [1\)](#page-1-0). Signifcantly this dispersion was absent in the control group used to validate the approach (Supplemental File 1). It should be a cause for concern that tests and antigens that are capable of discriminating diseased animals from healthy animals with more than 90% sensitivity and specificity originated such a high percentage of inconclusive serology $^8$  $^8$ . Similar discrepancies between antigens (SPLA and rK39) were reported in the context of non-endemic animals<sup>[6](#page-4-4)</sup>. In fact, complex anti-gens like SPLA can be associated with cross-reactivity<sup>[12,](#page-4-10)13</sup>. Notwithstanding, the data generated does not suggest lack of specifcity as no trend of increased seropositivity was observed when comparing complex antigens like SPLA with recombinant antigens like rK39. In fact, both the spearman correlation and the cluster analysis support the similarity of the seropositive profle between SPLA and rK39. A possible justifcation for these results is the fact that the cut-off determination is done using animals that are often very sick. This might result in a cut-off that is not adequate for all moments of infection or disease. In fact, it has been proven that serological response to an antigen can show distinct levels during infection, as was demonstrated with experimentally infected animals<sup>14,15</sup>. Therefore, the distinct serological tests might be influenced by this reality as cut-offs are determined using unequivocally diseased animals. These animals have a characteristic serological profile associated with established disease that might not match animals that are at a different stage of disease development<sup>16</sup>. To detect possible similarity patterns between the serological readouts in these animals, a cluster analysis of the tests was performed. These cluster analyses are less influenced by intrinsic cut-offs as they use absolute data and not seropositive/ seronegative determination. This analysis grouped the tests into two distinct groups, ELISA- and IFAT-based approaches (Fig. [1B](#page-1-1)). Considering only the ELISA antigens, rK39 and LAM were most closely clustered. Tis is expected as rK39 is the main component of LAM[8](#page-4-6). Interestingly, among the ELISA antigens, LicTXNPX presented the lowest correlation with rK39. Tis is relevant, as the above-mentioned LAM is a mixture of rK39 and LicTXNPX, suggesting that this type of cluster analysis might help in defning the best performing antigens mixtures. In fact, considering the capacity of LicTXNPX to detect subclinical infection in both experimentally infected animals and naturally infected animals with more sensitivity and specificity than rK39 might suggest that these antigens can be recognized in a complementary way<sup>[8](#page-4-6)</sup>. Therefore, this cluster analysis might enable selecting antigens that are complementary and do not overlap in their capacity to provide meaningful serologi-cal data. The cluster analysis of the animals made it possible to aggregate dogs into distinct groups (Fig. [1C,D](#page-1-1)). We may consider that the obtained division into k clusters suggests diferent levels of diagnosis uncertainty. If  $k=2$ , the clusters seem to provide a reasonable structure since the average silhouette is greater than 0.5 for both

(Fig. [1C](#page-1-1)). For  $k=3$ , the obtained structure with an overall average silhouette of 0.41 appears to be somewhat weaker although still meaningful (Fig. [1D](#page-1-1)). The LR cluster aggregates dogs with less likelihood of not being infected. The MR cluster forms a group with the lowest average silhouette (0.29). This is in accordance with the fact that it includes 30% of dogs testing negative for all markers and simultaneously 27% of dogs testing positive for at least 3 markers. For  $k=4$ , the overall silhouette average is low (0.29) (Fig. [1E](#page-1-1)). The cluster corresponding to HR dogs attains a very low silhouette average (0.06) which leads us to conclude that the resulting partition looks artificial. Using the cluster analysis with  $K=2$  or  $K=3$ , the highest risk groups encompass 46 and 47% of the animals respectively. These percentages are similar to the overall antigen seropositivity obtained for the single antigens (Table [1\)](#page-1-0). Notwithstanding, the representability in the high risk groups was distinct. While 95% of the SPLA seropositive animals were present in the HR groups, only 77% of IFAT 1 seropositive samples were present. Tis lower seropositivity associated with IFAT 1 was not characteristic of the technical approach as 88–92% of IFAT2 seropositive animals were in the same group.

The two groups, CanL and healthy animals from non-endemic areas, in the control sample were distributed in the opposite risk groups with no overlapping. Tis clearly demonstrates that the analysis proposed is able to discriminate CanL dogs and that the reported risk groups can contribute to disease staging.

Overall, these clusters generate an interpretable separation that may be useful in accessing not only the individual performance of the diferent antigens but also in creating serological profles consistent with potentially distinct clinical settings. Therefore, the obtained data partition highlights the importance of combining results from several markers as opposed to relying on a single one. These approaches might be used to look for specific disease and infection patterns that might enable not only accurate diagnosis but also information about epidemiological relevance concerning subclinical infected reservoirs and the presence of vaccinated animals.

In conclusion, the use of 8 diferent serological tests in a clinically suspect cohort for CanL, originated 50% of inconclusive serological information with a mixture of seropositive and seronegative data. Considering that no test prioritization exists for half of the animals evaluated, the individual serological data obtained could potentially result in a misdiagnosis with all associated risks to the dog as well as public health, due to the zoonotic potential of the disease. The cut-off independent risk groups correlated with the most seropositive samples enabling the defnition of risk groups. In fact, we highlight that diseased and heathy animals from the control sample were placed in the extremities showing their potential for risk staging in CanL. Tis approach deserves to be explored further by studies with cohorts subjected to longitudinal evaluation.

### **Methods**

**Canine sera.** Dogs living in Northern Portugal that were suspected for CanL afer independent veterinarian evaluation  $(n=83)$ , displaying signs associated with leishmaniasis: lymphadenomegaly, lymphadenopathy, alopecia, dermatitis, skin ulceration, keratoconjunctivitis, onychogryphosis, lameness, epistaxis, anorexia and weight loss. Group CanL +  $(n=20)$ : sera from dogs living in geographical regions of Portugal where CanL is endemic presenting at least two clinical signs compatible with the disease (viz. lymphadenomegaly lymphadenopathy, alopecia, dermatitis, skin ulceration, keratoconjunctivitis, onychogryphosis, lameness, epistaxis, anorexia and weight loss). These animals were also seropositive for anti-*Leishmania* antibodies by the direct agglutination test (DAT) (cut-of titer = 400) and positive for the presence of amastigotes in bone marrow or lymph node aspirates.

Group CanL-  $(n=20)$ : sera from dogs that visited a veterinary clinic in a Portuguese region considered to be non-endemic for CanL. All were seronegative by DAT (titer  $<$  100).

Tis study observed Portuguese legislation for the protection of animals (Law no. 92/1995, from September 12th). According to the European Directive of 24 November 1986, Article 2 d, non-experimental, agricultural and clinical veterinary were excluded.

**Antigens.** Four antigens were used for ELISA assays: soluble promastigote *Leishmania* antigen (SPLA), *Leishmania* recombinant proteins - *L*. *infantum* cytosolic tryparedoxin peroxidase (LicTXNPx), and rK39 and LAM, a mixture of LicTXNPx and rK39 previously described by Santarem et al.<sup>[8](#page-4-6)</sup>.

All antigens used were quantifed by DCTM Protein Assay (BioRad) and stored at −80 °C in single use aliquots. *Leishmania* promastigotes were obtained as previously described by Santarem *et al*. [8](#page-4-6) . Promastigotes were washed three times with phosphate-buffered saline (PBS), pH 7.4 and centrifuged at 3,500 × g, 10 min, 4 °C. Pellet was suspended at a theoretical concentration of  $1 \times 10^8$  parasites/ml in PBS containing 1 mM phenylmethylsulfonyl fluoride (PMSF) protease inhibitor and submitted to 10 freeze-thaw cycles. The resulting suspension was centrifuged at 13,000  $\times$  g for 30 min at 4 °C and the supernatant was recovered (SPLA), quantified and stored.

The gene encoding LicTXNPx protein was cloned in the pET28a vector and the recombinant protein was purified by affinity chromatography on a Ni-NTA column (Qiagen) as described in previous report<sup>17</sup>. The purified molecules were analysed before and afer elution on 10% polyacrylamide gels containing 0.2% SDS and visualized by staining with Coomassie blue.

**Enzyme-linked immunosorbent assay (ELISA).** Ninety-six-well flat-bottomed microtiter plates (Greiner Bio-One) were coated with 50 µl of 0.1 M carbonate buffer,  $pH = 9.6$ , with SPLA (10 µg/ml), rK39 (5 µg/ ml) and LAM (rK39 4  $\mu$ g/ml + LicTXNPX 1  $\mu$ g/ml). Plates were incubated ON at 4 °C. The next day, the coating solution was removed and the plates were blocked with 200 µl of PBS-low-fat-milk 3% at 37 °C for 1 h. Next, plates were washed with PBS-Tween 0.05% (PBS-T) and the sera, diluted 1:1500 in PBS-T-low-fat-milk 1%, were dispensed in triplicate (100 µl/well) and incubated at 37 °C for 30 minutes. After another washing step, 100 µl/ well of secondary antibody - anti-dog IgG conjugated to horseradish peroxidase (Sigma) - diluted 1:1176.5, was added and the plates were incubated at 37 °C for 30 min. Plates were washed and incubated with 0.5 mg/ml of *о*-phenylenediamine dihydrochloride (Sigma) for 10min in dark. Reaction was stopped with a 50 µl/well of HCl 3M. Absorbance was read at 492nm in an automatic reader (Synergy 2, BioTek Instruments, Inc.).

Leiscan®*Leishmania* ELISA Test (Esteve Veterinaria, Laboratorios Dr. Esteve SA, Spain) was used according the instructions of the supplier.

At least three independent assays performed in triplicate were performed.

#### **IFAT.**

- (1) Fluoleish (Virbac BVT) was used for the detection of anti-*Leishmania infantum* antibodies using a fuorescein-labelled antiglobulin (antigen of *Leishmania infantum* zymodeme Mon 1) according to the instructions of the supplier.
- (2) Homemade IFAT Individual slide wells were covered with  $20 \mu$  of  $4 \times 10^8$  *Leishmania* promastigotes suspension. Afer drying, slides were fxed with cold acetone and lef drying again for 30minutes. Four serial dilutions (1/20, 1/40, 1/80 and 1/160) were made for each serum and 15 µl of each dilution were dispensed in the slides. After 30 minutes in a humid chamber at 37 °C, slides were washed with PBS and H<sub>2</sub>0 and left drying at room temperature (RT). Anti-dog IgG with Evan's blue was added to slides (15 µl/well) and left in humid chamber at 37 °C. Slides were washed with PBS and H<sub>2</sub>0 and left drying at RT. Cover slips were mounted and slides observed by fuorescence microscopy.
- (3) MegaFLUO LEISH (MEGACOR Diagnostik, GmbH) was outsourced and performed according to the instructions of the supplier.

At least three independent assays were performed.

**Data analysis and statistical methods.** The seropositivity cut-off values used for the ELISA antigens, rk39, SPLA, LicTXNPx and LAM were reported previously by Santarem *et al*. [8](#page-4-6) , positivity to commercial tests was determined according to the manufacturer instructions. The average of at least three independent assays was used for all the subsequent analysis. Log transformation was applied to ELISA test results to reduce right skewness. In order to compare the different diagnostic tests, all test data were then scaled. The correlation between the tests was analysed through Spearman's rank correlation coefficient. In this work, a gold standard is not assumed and the similarities between the tests are further explored by performing a hierarchical cluster analysis, using the Agnes algorithm. Tis is an agglomerative method starting with all 8 markers as clusters that proceeds by successive fusions until a single cluster is obtained. A dendrogram of the cluster linkage between markers was constructed based on a dissimilarity matrix with Gower coefficients and the average method, where the distance between two clusters is the average of the dissimilarities between the elements of one cluster and those from another cluster. For establishing a diagnosis diferentiation of the dogs, a cluster analysis of the dogs was performed, using all the markers results. Similar dogs were grouped using the k-means method. In this analysis the number of clusters, k, must be fxed in advance and each observation is assigned to exactly one cluster. Random centers are initially chosen for each cluster. Then, each observation is assigned to the cluster whose center is closest with respect to the Euclidean distance. Next, cluster centers are updated by taking the position of the mean of their members (centroids). This procedure is repeated until centroids become stable. The resulting clusters depend on the initially chosen centers. As such the algorithm was run 25 times and selected the partition minimizing the total sum of squared distances to centroids. The silhouette coefficient values are presented for showing the clustering structures' strength. Principal component analysis (PCA) was then applied in order to graphically represent the data and their clusters. The so fitted models were then evaluated by classifying the dogs of the control sample, through their assignment to the cluster with the nearest centroid. Statistical analysis was performed using the cluster and factoextra packages implemented in  $R^{18}$  $R^{18}$  $R^{18}$ .

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# **Author contributions**

N.S. and S.S. performed the experiments. N.S., C.A. and A.C.S. designed the study. O.F. and M.B. performed the statistical analysis. N.S., O.F., M.B., C.A. and A.C.S. analyzed the results and wrote the paper. N.L.C. and H.L.C. were involved in the characterization of the animals. All authors read and approved the fnal version of the manuscript.

### **Competing interests**

The authors declare no competing interests.

## **Additional information**

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