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**Single Case** 

# A Case of Basaloid Squamous Cell Carcinoma of Polypoid Type in the Esophagus

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## **Keywords**

Squamous cell carcinoma · Esophagus · Polyps · Surgery

# **Abstract**

Basaloid squamous cell carcinoma of the esophagus is very rare. Further, polypoid type of esophageal cancer is also rare. We have recently treated a case of basaloid squamous cell carcinoma which presented as a 1.3-cm esophageal polyp. A 48-year-old woman was referred to our hospital because of a polypoid mass at 36 cm distance from the upper incisor on endoscopic examination, and the result of the biopsy was basaloid squamous cell carcinoma. The patient underwent Ivor Lewis operation with lymph node dissection. Two basaloid squamous cell carcinomas, of 1.3 and 0.4 cm, were diagnosed in the final pathologic examination. Regular periodic follow-up showed no evidence of recurrence or metastasis in the 5-month postoperative period.

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#### Introduction

Basaloid squamous cell carcinoma (BSCC) has been defined in the 2005 WHO Blue Book as an aggressive high-grade variant of squamous cell carcinoma composed of both basaloid and squamous components [1]. The histogenesis of this neoplasm is controversial. Its first description and the current WHO classification suggest that the tumor originates from totipotential cells in the basal layer of squamous epithelia [1, 2].

BSCC more commonly occurs in the nasopharynx, tongue, larynx, and trachea. However, BSCC of the esophagus is a very rare tumor, accounting for only 0.068–4.0% of carcinomas [3].

Further, polypoid carcinoma of the esophagus is also rare. Mori at al. [4] reported that only 12 cases of 500 esophageal carcinoma surgeries were identified as having polypoid type of esophageal cancer (2.4%). Formerly, most pure intraluminal polypoid type carcinomas were histologically reported as so-called carcinosarcomas. Some of these tumors, however, exhibit other histologic types [5–7].

Here, we document a case of BSCC which presented as an esophageal polyp.

# **Case Report**

A 48-year-old woman was admitted to our hospital due to a polypoid mass of the esophagus identified during a medical checkup in a local clinic. She had nothing special to report in her medical history. Physical examination revealed no abnormalities, and laboratory data were within normal limits.

Esophagogastroduodenoscopy (EGD) demonstrated a polypoid mass at 36 cm distance from the incisor in the esophagus. After spray of Lugol solution, EGD showed a 1.3-cm Lugol-voided polyp at 36 cm distance from the upper incisor. Additionally, a Lugol-voided flat lesion at 30–36 cm distance from the upper incisor and a Lugol-voided flat lesion at 27–29 cm distance from the upper incisor were noted (Fig. 1). The result of the biopsy suggested that it was a BSCC.

Computed tomography (CT) of the chest demonstrated neither an esophageal mass nor mediastinal/hilar lymph node enlargement. PET-CT showed no evidence of distant metastasis

The patient underwent esophagectomy with a gastric pull-up reconstruction and cervical anastomosis through laparotomy and right thoracotomy through the 6th intercostal space. A gross examination of the resected specimen showed a polypoid mass  $(1.3 \times 1 \text{ cm})$  presenting in the distal esophagus, 2 cm from the gastroesophageal junction and 7 cm from the proximal resection margin (Fig. 2). The cut surface of the polypoid mass was white, firm, and granular. Microscopically, the polypoid mass consisted of basaloid cells with oval to round nuclei, open pale chromatin, and scant basophilic cytoplasm (Fig. 3a). Occasionally, it showed a comedo-like necrosis structure (Fig. 3b). The tumor permanent pathology examination revealed BSCC. In addition, another BSCC was noted 0.4 cm apart from the polypoid mass. It extended to the lamina propria. Multiple separated dysplastic areas were noted. The excised 8 regional lymph nodes did not harbor tumor cells, and the proximal and distal resected margin presented no tumor.

The patient had an uneventful postoperative course. Because cancer stage was I, chemotherapy and radiotherapy were not necessary. Regular periodic follow-up showed no evidence of recurrence or metastasis in the 5-month postoperative period.





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#### Discussion

BSCC is a distinct, unusual variant which more commonly occurs in the upper aerodigestive tract like the tongue, nasopharynx, larynx, and trachea. However, it is uncommon in the esophagus [8]. It accounts for only 0.068–4.0% of esophageal cancers. Microscopically, BSCC consists of basaloid cells with oval to round nuclei, open pale chromatin, and scant basophilic cytoplasm. It is arranged in solid or cribriform lobules with comedo-necrosis [9]. It is more common in older men, who present with dysphasia, odynophagia, and weight loss, similar to the symptoms caused by squamous cell carcinoma. Kumagai et al. [10] reported that the rates of lymphatic invasion, venous invasion, and lymph node metastasis were 56, 89, and 67%, respectively. Tumor recurrence was observed in 56% of the patients. These tumors are characterized by a higher proliferative activity and apoptotic indices as compared with typical esophageal squamous cell carcinoma. However, the survival rate of patients with other types of carcinoma does not differ significantly [11]. A consensus has not been reached concerning postoperative radiation therapy and chemotherapy regarding the sensitivity of these treatments [12, 13].

Polypoid type of esophageal cancer is rare. Mori at al. [4] reported that only 12 of 500 surgical cases of esophageal carcinoma (2.4%) were of the polypoid type. The most common histologic type of polypoid esophageal carcinoma was squamous cell carcinoma, and 2 cases were diagnosed as carcinosarcoma. Eight of the 12 tumors were restricted to within the submucosal layer. The 5-year survival rate of these 12 patients was 44% and better than that of the other 488 patients (24%, p < 0.01) [4]. Sasajima et al. [14] also reported that the 5-year survival rate was 71% for patients with the polypoid type of esophageal cancer versus 11% for the other types. The depth of tumor invasion was less compared with the other types. Because polypoid type carcinomas can be diagnosed at an early stage by symptoms like dysphasia, many cases show shallower tumor invasion than the other types, which can also result in a good prognosis.

## **Statement of Ethics**

The authors have no ethical conflicts to disclose.

## **Disclosure Statement**

None of the authors have any conflicts of interest to disclose.

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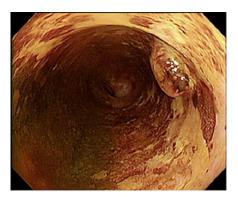




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**Fig. 1.** The endoscopy shows a 1.3-cm Lugol-voided polyp and multiple Lugol-voided flat lesions in the lower esophagus.



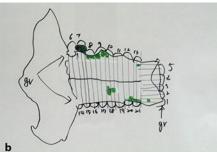
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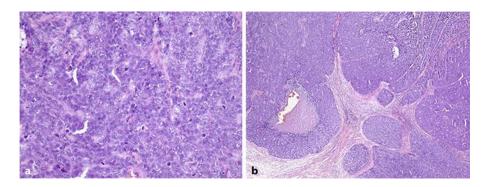
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**Fig. 2.** The macroscopic view of the surgically resected specimen. **a** The macroscopic view of the surgically resected specimen shows a  $1.3 \times 1$  cm polyp at 2 cm distance from the gastroesophageal junction. **b** Mapping of the surgically resected specimen reveals a  $1.3 \times 1$  cm and a 0.4-cm basaloid squamous cell carcinoma (black color). Multiple separated dysplastic areas were noted (green color).



**Fig. 3.** Microscopic examination of the resected specimen. **a** The tumor consists of basaloid cells showing oval to round nuclei with open pale chromatin features. Frequent mitotic figures are also noted (HE stain, ×200). **b** The tumor shows a solid growth pattern with a comedo-like necrosis structure (left lower portion) (HE stain, ×10).