

CASE SERIES

# Real-World Outcomes in Diabetic Macular Edema for the 0.2 $\mu$ g/Day Fluocinolone Acetonide Implant: Case Series from the Midlands, UK

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<sup>1</sup>Birmingham Midland Eye Centre, City Hospital SWBH NHS Trust, Birmingham, UK; <sup>2</sup>Department of Ophthalmology, New Cross Hospital, The Royal Wolverhampton NHS Trust, Wolverhampton, UK; <sup>3</sup>Ophthalmology Department, Queen Elizabeth Hospital Birmingham, University Hospitals Birmingham NHS Foundation Trust, Birmingham, UK **Aim:** To investigate real-world effectiveness and safety of fluocinolone acetonide (FAc) implant over three years of treatment in eyes with diabetic macular edema (DME) in a population with large ethnic diversity.

Methods: This audit of three large treatment centres in the UK involved retrospective collection of outcome data (best recorded visual acuity [BRVA] by Early Treatment Diabetic Retinopathy Study [ETDRS] letters, central retinal thickness [CRT], intraocular pressure [IOP] and use of supplementary treatments) from patients with DME treated with 0.2 μg/day FAc intravitreal implant with three-year follow-up expected.

**Results:** A total of 96 eyes were included. Ninety (93.8%) eyes had received prior intravitreal treatment. Increases in mean BRVA were significant at one, two and three years (p<0.05). Overall, 78.1% of eyes gained or maintained BRVA; just over 50% gained  $\geq$ 5 letters, representing a functional response. Eleven (11.6%) patients lost  $\geq$ 10 letters by year three. Decreases in central retinal thickness (CRT) nearing 200  $\mu$ m in the first year were sustained to three years (p < 0.0001). Patients with baseline VA  $\geq$ 60 letters maintained their BRVA throughout follow-up, while significant improvements at month 12 (p<0.0001) in those with baseline BRVA <60 letters were maintained through month 36 (p < 0.005). Fifty-three (55.2%) eyes required no supplementary therapy during follow-up. Increases in IOP to  $\geq$ 30 mmHg and  $\geq$ 25 mmHg were seen in 12 (12.5%) and 23 (24.0%) eyes, respectively.

**Conclusion:** This study confirms the effectiveness and tolerability of FAc implant up to 36 months in a real-world setting, highlighting the importance of early treatment for sustaining functional vision for patients.

**Keywords:** fluocinolone acetonide, DME, diabetic macular edema, real-world, observational, case series

#### Introduction

Vision loss from diabetic retinopathy (DR) results primarily from proliferative retinopathy and diabetic macular edema (DME).<sup>1</sup> Current treatments for DME include laser therapy, intravitreal anti-vascular endothelial growth factor (VEGF) injections and corticosteroid therapies.<sup>2–5</sup> Anti-VEGF therapy is first line in the UK;<sup>6</sup> however, 40% of patients are insufficiently responsive to these drugs.<sup>2,7</sup>

Intravitreal corticosteroid implants, including dexamethasone and fluocinolone acetonide (FAc), are effective in this setting. <sup>8</sup> ILUVIEN (0.2  $\mu$ g/day FAc intravitreal implant) is indicated for treatment of vision impairment associated with chronic DME considered insufficiently responsive to available therapies. <sup>9</sup> Its

Correspondence: Bushra Mushtaq Birmingham Midland Eye Centre, City Hospital SWBH NHS Trust, Dudley Road, B18 7QH, UK Tel +44 7976416571 Email bushramushtaq@nhs.net effectiveness and safety have been demonstrated in numerous studies. <sup>3,8,10–12</sup> In UK clinical practice, many patients with DME who insufficiently respond to anti-VEGFs still continue to receive them, which is one of the major reasons for a discrepancy between clinical and real-world outcomes. Even though the main difference between clinical trials and real-world studies is the under-treatment due to the high burden/noncompliance related to anti-VEGF or short-term corticosteroids therapies and not the reason above. <sup>13,14</sup>

The objective of this study was to investigate the real-world effectiveness and safety profile of the FAc implant over three years of treatment in eyes with DME through an audit of three large treatment centres in the UK with the largest ethnic diversity outside of the southeast of the UK.<sup>15</sup>

### **Materials and Methods**

# Study Design

This study involved retrospective collection of data from a cohort of diabetic patients with DME treated with the FAc intravitreal implant in 2014 and 2015 and with three-year follow-up expected. The study was conducted across three sites in the West Midlands region of the UK: Birmingham Midland Eye Centre (BMEC), New Cross Hospital, Wolverhampton, and Queen Elizabeth Hospital, Birmingham. Ethics approval was not required as this was a service evaluation.

Patients treated with FAc intravitreal implant for management of DME were identified by the authors. All patients were included according to the inclusion/exclusion criteria detailed below. Ethical approval was not required as this was a retrospective collection of data.

This real-world cohort included 109 eyes from patients with DME treated between 2014 and 2015 at three sites in the West Midlands region of the UK and with three years of follow-up since treatment commenced. Thirteen eyes were excluded due to missing baseline data (n=1) or missing three-year follow-up data (n=12) due to death or moving out of area, so the analysis population included 96 eyes: 37 from BMEC, 34 from New Cross Hospital, Wolverhampton, and 25 from Queen Elizabeth Hospital, Birmingham.

# Study Endpoints

Baseline patient demographic data were recorded, including age; sex; duration of DME; type of diabetes; prior

treatments (including macular, focal and grid laser, panretinal photocoagulation and intravitreal therapy); and current intraocular pressure (IOP)-lowering drugs.

The following measurements were also collected at baseline and at one, two and three years' post-implant: best recorded visual acuity (BRVA), measured with an Early Treatment Diabetic Retinopathy Study (ETDRS) letter chart; central retinal thickness (CRT); IOP; and use of supplementary treatments in combination with the FAc implant.

Rates of functional and anatomical response at three years were assessed based on the criteria defined by the Diabetic Retinopathy Clinical Research Network (DRCR. net): anatomical response was classed as a reduction of  $\geq 20\%^{16}$  and functional response as an increase of  $\geq 5$  EDTRS letters, with a good functional response defined as  $\geq 15$  EDTRS letters. Outcomes were also analyzed according to baseline BRVA (< 60 EDTRS letters or  $\geq 60$  EDTRS letters).

# Data and Statistical Analyses

Data are presented per eye and were included in the analyses only if patients had been treated with the FAc intravitreal implant for three years, baseline readings for both BRVA and CRT were recorded in the patient notes, and three-year outcome readings were available for at least one of VA or CRT. Data were reported as mean ± standard deviation (SD) unless otherwise stated. Statistical testing was performed using paired t-tests, and significance was taken as p<0.05. Values at reported time points were compared against baseline levels. A subgroup analyses compared the results for patients with BRVA <60 letters and ≥60 letters at baseline to assess differences in outcomes for patients with poor and moderate starting visual acuity.

#### Results

# Patient Demographics

The baseline demographics and ocular characteristics of the eyes are summarized in Table 1. In terms of prior treatment, 90 (93.8%) eyes had prior intravitreal treatment (mean 1.8±0.8 different intravitreal therapies prior to FAc implant) and 33 (34.4%) of eyes were tried on both bevacizumab and ranibizumab therapy prior to FAc treatment.

# Effectiveness

Figure 1A shows change in BRVA from baseline up to three years' follow-up, with data recorded at years one, two and

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Table I Baseline Demographics and Ocular Characteristics

Baseline Characteristics	Eyes (n=96 from 96
	Patients)
Age, years (mean±SD)	67.0±10.6
Gender, n (%)	
Male	51 (53.1)
DME duration, years (mean±SD)	3.7±1.7
Types of diabetes, n (%)	
Туре І	13 (13.5)
Type 2	83 (86.5)
BRVA, ETDRS letters (mean±SD)	49.0±16.5
Patients with <60 letters, n (%)	66 (68.8)
Patients with ≥60 letters, n (%)	30 (31.2)
Central retinal thickness, µm	529.3±157.2
Retinopathy status, DRS grade	
R1/R2	55 (57.3)
R3	41 (42.7)
Prior treatment, n (%)	
Macular/focal/grid laser	83 (86.5)
Pan-retinal photocoagulation	50 (52.1)
All intravitreal therapy	90 (93.8)
Mean (SD) number of treatments	7.3 (4.0)
Any anti-VEGF	88 (91.7)
Mean (SD) number of treatments	6.5 (3.5)
Bevacizumab	60 (62.5)
Mean (SD) number of treatments	4.2±2.3
Ranibizumab	60 (62.5)
Mean (SD) number of treatments	5.4±2.5
Dexamethasone intravitreal implant	5 (5.2)
Mean (SD) number of treatments	1.2±0.4
Triamcinolone acetonide intravitreal	33 (34.4)
injection <sup>a</sup>	
Mean (SD) number of treatments	2.3±1.8
Any intravitreal corticosteroid	36 (37.5)
Mean (SD) number of treatments	2.3±1.8
Current IOP-lowering medication, n (%)	10 (10.4)

Note: <sup>a</sup>Off-label use.

**Abbreviations**: BRVA, best recorded visual acuity; DME, diabetic macular edema; DRS, Diabetic Retinopathy Study; ETDRS, Early Treatment Diabetic Retinopathy Study; IOP, intraocular pressure; SD, standard deviation; VEGF, vascular endothelial growth factor.

three. The effects of the FAc implant on BRVA peaked at one year and were maintained up to three years after implantation. Mean BRVA was 49.0 letters at baseline, increasing to 54.5 letters at one year after FAc implantation, 53.3 letters at two years and 53.0 letters at three years (p<0.05, paired t-test; all time points versus baseline), representing overall changes

from baseline of 5.5 letters, 4.3 letters and 4.0 letters at years one, two and three, respectively. Eleven (11.6%) patients lost 10 letters or more by year three; four of these patients lost  $\geq$ 35 letters: two lost 35 letters and one each lost 40 and 50 letters.

#### **CRT**

Figure 1B shows change in CRT from baseline to three years' follow-up. The effects of the FAc implant on CRT were sustained for up to three years after FAc implantation. Mean CRT was 529.3  $\mu$ m at baseline, decreasing to 356.2  $\mu$ m at one year after FAc implantation, 363.0  $\mu$ m and 331.1  $\mu$ m at years two and three, respectively (p<0.0001, paired *t*-test; all time points versus baseline). From the baseline of 529.3  $\mu$ m, CRT decreased by 173.1  $\mu$ m at year one after FAc implantation, and 166.3  $\mu$ m and 198.1  $\mu$ m at years two and three, respectively.

# Functional and Anatomical Responses Functional Response

Overall, 78.1% of eyes had a gain or maintained BRVA over a three-year follow-up period, while 52.6% of eyes gained  $\geq$ 5 letters, thus responding functionally according to the definition of DRCR.net. Compared with good functional responders ( $\geq$ 15 letters gained, n=18), poor functional responders ( $\geq$ 5 letters lost, n=20) had:

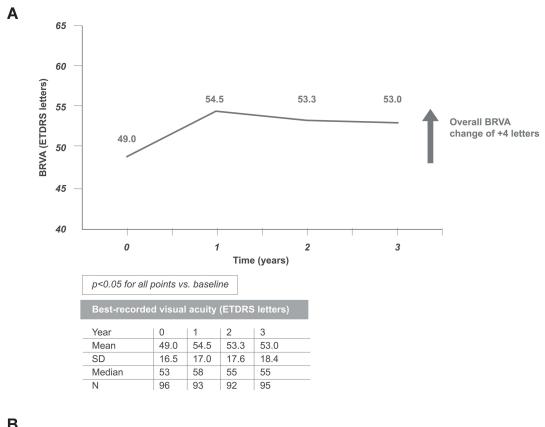
- longer mean duration of DME (4.2 years vs 3.8, respectively)
- more mean previous intravitreal treatments (7.5 [n=19] vs 6.2 [n=17])
- received a similar number of supplementary intravitreal treatments (7.2 [n=11] vs 7.2 [n=10]).

#### Anatomical Response

According to the definition of DRCR.net, 72 (75%) eyes responded anatomically, defined as a decrease in CRT of ≥20% (Figure 2). Interestingly, only 39 (54.2%) of these eyes showed a corresponding VA gain of ≥5 letters, which complements previous findings that VA responses do not correlate with anatomical responses.

#### Supplementary Intravitreal Treatment

Overall, 53 (55.2%) eyes required no supplementary therapy during the three years of follow-up. The 43 (44.8%) eyes that received supplementary intravitreal injections had a mean of 6.8 intravitreal injections over the three years, with a mean time to first treatment of 2.0±0.7 years. Overall, 7.1 anti-VEGFs were given to 40 patients vs 1.3



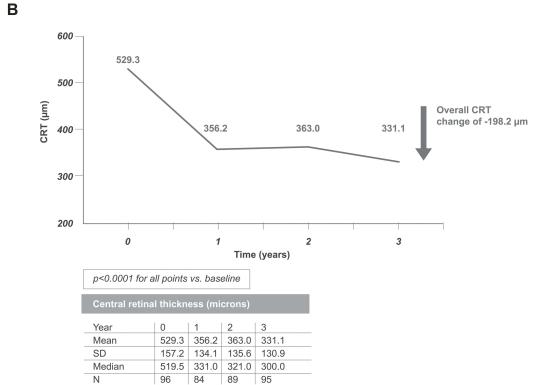


Figure I Mean BRVA (A) and CRT (B) at baseline, one, two and three years' follow-up in 96 eyes after FAc implantation.

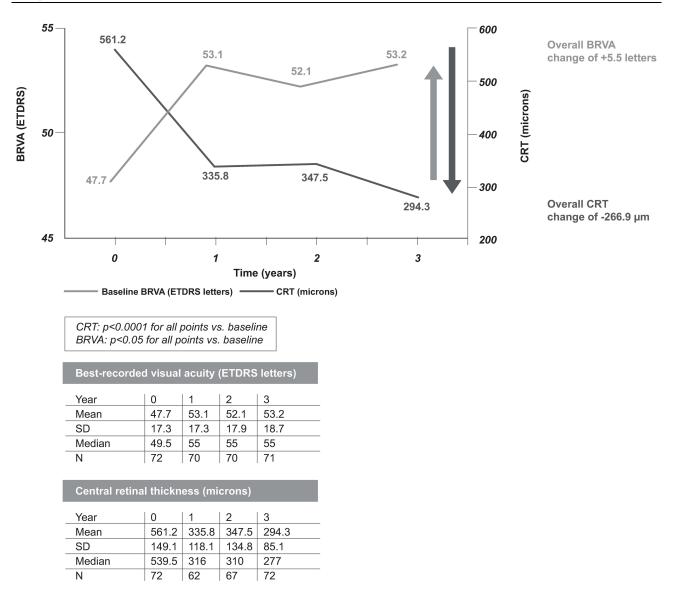


Figure 2 Mean BRVA and CRT at baseline, one, two and three years' follow-up after FAc implantation in eyes that responded anatomically (increase of ≥20% EDTRS letters).

corticosteroid treatments given to eight patients. Laser treatment was required in seven (7.3%) of 96 eyes after FAc implant, with a mean time to laser of 2.3±0.5 years.

# Subgroup Analyses BRVA (<60 Letters vs ≥60 Letters)

Compared with eyes with BRVA  $\geq$ 60 letters at baseline (n=30), those with BRVA <60 letters at baseline (n=66) had:

- slightly longer mean duration of disease (3.8 years vs 3.7 years)
  - lower mean BRVA (41.0 letters vs 66.7 letters)
  - higher CRT (560.1 μm vs 461.5 μm)

- higher rate of proliferative retinopathy (29.2% vs 13.5%).

Subgroup analysis identified greater gains in EDTRS letters in patients with baseline BRVA <60 letters than in those with  $\geq$ 60 letters at baseline; mean increase of 6.4 letters from a baseline of 41.0±13.2 letters to 47.4±18.6 letters (p<0.005 vs baseline) versus mean loss of 1.7 letters from a baseline of 66.7±5.6 letters to 65.0±10.6 letters (p>0.05 vs baseline), respectively. However, of patients who lost letters, the greatest loss was also observed in the <60 group, with three eyes losing  $\geq$ 35 letters in the  $\geq$ 60 letters group. Overall, eyes with  $\geq$ 60 letters at baseline maintained BRVA over the three years of treatment (Figures 3 and 4).

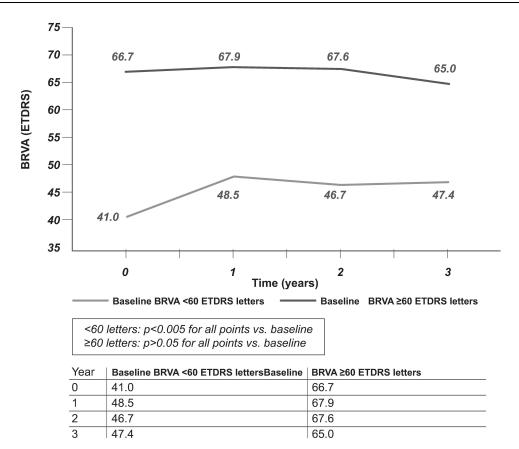


Figure 3 Mean BRVA (EDTRS letters) at baseline, one, two and three years' follow-up after FAc implantation in eyes with BRVA <60 letters and ≥60 letters at baseline.

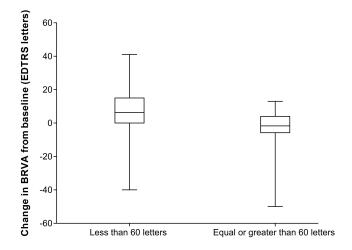


Figure 4 Box and whisker plot comparing mean change in BRVA (EDTRS letters) at three years' follow-up for eyes with BRVA <60 letters and ≥60 letters at baseline. Values shown include the median (centre box line), the 25th and 75th interquartile ranges (box) and maximum and minimum values (whisker bars).

#### **CRT**

Subgroup analysis also identified a numerical trend towards a greater reduction in CRT in patients with baseline BRVA <60 letters than in those with  $\geq$ 60 letters at baseline, with mean decreases of 225.0  $\mu$ m from baseline

of 560.1 $\pm$ 162.3  $\mu m$  to 335.1 $\pm$ 139.7  $\mu m$  vs mean reduction of 139.4  $\mu m$  from 461.5 $\pm$ 122.6  $\mu m$  to 322.2 $\pm$ 110.0  $\mu m$ , respectively.

#### Supplementary Intravitreal Treatments

Subgroup analysis identified that similar proportions of patients with baseline BRVA <60 letters and  $\ge60$  letters at baseline required supplementary intravitreal treatments during follow-up after FAc implant, but the mean number of treatments given was lower for those with BRVA <60 letters:  $6.4\pm4.4$  (n=29) vs  $7.6\pm5.6$  (n=14).

## Safety IOP-Related Events

Increases in IOP to  $\ge$ 30 mmHg were seen in 12 (12.5%) eyes and to  $\ge$ 25 mmHg in 23 (24.0%) eyes.

At baseline 10 (10.4%) eyes were receiving IOP-lowering drops. A further 4 (4.2%) had a history of IOP therapy requiring treatment. By year 3, 29 (30.2%) eyes were being managed with IOP-lowering drops (mean of 1.6 treatments). Seventeen (17.7%) eyes required changes to or started IOP-lowering therapy. Of the 10 (10.4%) eyes

receiving IOP-lowering treatment at baseline, five (5.2%) required an increase in or additional IOP-lowering treatment.

Two eyes received selective laser trabeculectomy (SLT), one received cyclodiode laser treatment, and one required trabeculectomy due to neovascular glaucoma.

#### **Discussion**

This is the first time that data on VA, CRT, IOP and use of supplementary treatments in combination with the FAc implant in the UK have been collected from three centres based in the Midlands area of the United Kingdom. The study confirms the effectiveness and tolerability of the FAc implant up to 36 months in a real-world setting and the benefits to patients in terms of improving or maintaining vision.

Use of the FAc implant led to clinically meaningful outcomes over a 36-month period, with VA improved or maintained in 78.1% of eyes. The FAc implant was able to maintain moderate vision, as shown by the stabilization of VA when starting VA was  $\geq 60$  letters, which remained above this value throughout the 36 months. Although marked improvements in VA were observed in the group with a worse starting VA (<60 letters), final VA was significantly lower than in those with starting VA  $\geq 60$  letters (47.4 letters vs 65.0 letters), which highlights the value of treating earlier to sustain better functional vision for patients.

As Figure 4 shows, on average, more eyes in the  $\geq$ 60 letter group (n=10/30; 33.3%) experienced letter loss than in the <60 letter group (n=13/66; 19.7%); However, the greatest mean loss occurred in eyes in the <60 letter group, with an average of 18.6 letters lost at month 36 versus 12.7 letters in the ≥60 letter group. This is important, as the greater loss in BRVA combined with the poorer starting VA, means that the risk of a poorer overall outcome is greater should an eye experience a loss of letters. Indeed, three eyes in the <60 letter group had losses of  $\ge35$  letters and the BRVA letter score was zero in all three cases at month 36. These patients had diabetes for many decades (two had type 1 and one died in his 50s) and proliferative diabetic retinopathies (ie, known retinal ischemia). There was clearly retinal ischemia in these patients with longstanding diabetes and one also had known structural damage from ERM/scarring. A fourth patient with the largest letter loss (50 letters) was from the ≥60 letter group, with a BRVA letter score of 76 at baseline and 26 at three years. This patient had type 2 diabetes, DME for 6.6 years, ERM and IOP of 22 mmHg at baseline. He had significant prior laser therapy and was receiving IOP therapy. His left eye has been very successfully treated with FAc implant and then retreated after 3 years.

The other notable impact is the effect of letter losses on the overall mean. Indeed, when those eyes with a letter loss (ie, a loss  $\geq$ -1 at month 36) were excluded, to analyze only those eyes that remained unchanged or improved, changes in VA were 12.6 $\pm$ 11.0 ETDRS letters (n=52) in the <60 letter group versus 3.9 $\pm$ 3.3 ETDRS letters (n=20) in the  $\geq$ 60 letter group. Irrespective of these changes, the final VA in the <60 letter group was still notably lower at month 36 than was observed in the  $\geq$ 60 letter group (ie, 52.9 vs 69.3 letters, respectively). This again emphasizes the importance of treating VA sooner to achieve the best functional outcomes for the patient.

Baseline CRT was slightly higher in this study (529.3 μm) compared with other real-world FAc studies (451-494 um). 17-20 which may reflect higher DME disease activity in our study population due to delays before being moved on to effective therapy for a variety of reasons. This also seems to be reflected in the observation that BRVA was below 60 ETDRS letters in around two-thirds of the patients studied and that prior treatments (7.3 intravitreal treatments including both anti-VEGFs and corticosteroids) had been extensively used prior to commencing therapy with the fluocinolone acetonide implant. In all analyses, CRT was consistently improved. This contrasts with findings from studies with the dexamethasone implant: for example, in the Macular Edema: Assessment of Implantable Dexamethasone in Diabetes (MEAD) trial, peak CRT was variable<sup>4</sup> although this effect may be explained by the fixed 6-monthly treatment regimen in MEAD trial when it is known that levels of dexamethasone peak around 2 months after injection.<sup>21</sup>

Baseline characteristics showed that patients had advanced disease (mean duration 3.7 years) and had already received multiple treatments prior to the FAc implantation; however, a single FAc treatment resulted in sustained, stable and predictable mean improvements in both BRVA and CRT that lasted up to 36 months. Overall improvements in VA and CRT in the Retro-IDEAL study, which reported mean outcomes from real-life clinical practices in Germany, were consistent with the those in the study reported here, although it is important to acknowledge that the follow-up period in Retro-IDEAL was on average 30.8 months and so outcomes are not directly comparable.<sup>21</sup>

The subgroup analysis of anatomical responders confirms previous findings that VA responses do not correlate linearly with anatomical response.

Fifty-three (55.2%) eyes required no supplementary therapy during the three years of follow-up. This is lower than the figure recorded in the Retro-IDEAL study over the same duration of follow-up (69.1%).<sup>22</sup> As 42.7% of eyes in our study had proliferative diabetic retinopathy at baseline, some use of anti-VEGF may have been associated with treatment of this comorbidity. The current data also shed light on differences between the intravitreal therapies used. Consistent with the Retro-IDEAL study,<sup>22</sup> anti-VEGFs were used more commonly than corticosteroids (7.1 anti-VEGFs were given to 40 patients vs 1.3 corticosteroid treatments given to eight patients). The most frequently administered anti-VEGF was ranibizumab (n=27 eyes, with a mean number of injections of 5.7) followed by aflibercept (n=20 eyes, with a mean number of injections of 6.0). In 10 patients, multiple classes of anti-VEGF were used as supplemental treatments.

The FAc implant was well tolerated, with 30.2% of eyes requiring an IOP-lowering drop after FAc implantation, 18,22,23 which is in line with other real-world data. This was lower than the overall number reported in the Retro-IDEAL study (49.4%),<sup>22</sup> although the Retro-IDEAL study had a significantly larger proportion of patients being treated with IOP-lowering drops at baseline (22.2%) than the current study (10.4%).<sup>22</sup> In terms of pressure changes, both studies reported a similar number of patients with an IOP ≥30 mmHg (12.5% vs 12.3% in the Retro-IDEAL study<sup>22</sup>). In the Retro-IDEAL study,<sup>22</sup> IOP-lowering surgery was required in 3.7% of eyes vs 1.0% in the current study, both of which are lower than the 4.8% reported in the FAME trial.<sup>3</sup> The findings of our study confirm the safety profile of the FAc implant previously identified in other real-world studies in the UK, Germany, Portugal and the United States. 18,22-24

Visual acuity outcome in our study may have been underestimated, because we used BRVA rather than best corrected VA (BCVA).

#### **Conclusion**

This study further supports the effectiveness and tolerability of the  $0.2~\mu g/day$  FAc implant over a 36-month period in patients with DME, with results demonstrating that clinically meaningful changes in anatomy and function were observed and maintained throughout the duration of the study.

# **Data Sharing Statement**

The datasets analyzed during the current study are available from the corresponding author on reasonable request.

# **Ethics Approval**

Ethical approval was not required as this was a retrospective collection of data.

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#### **Author Contributions**

All authors made substantial contributions to conception and design, acquisition of data, or analysis and interpretation of data; took part in drafting the article or revising it critically for important intellectual content; agreed to submit to the current journal; gave final approval of the version to be published; and agree to be accountable for all aspects of the work.

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