# Biased exon/intron distribution of cryptic and *de novo* 3' splice sites

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## ABSTRACT

We compiled sequences of previously published aberrant 3' splice sites (3'ss) that were generated by mutations in human disease genes. Cryptic 3'ss, defined here as those resulting from a mutation of the 3'YAG consensus, were more frequent in exons than in introns. They clustered in  $\sim$ 20 nt region adjacent to authentic 3'ss, suggesting that their underrepresentation in introns is due to a depletion of AG dinucleotides in the polypyrimidine tract (PPT). In contrast, most aberrant 3'ss that were induced by mutations outside the 3'YAG consensus (designated 'de novo') were in introns. The activation of intronic de novo 3'ss was largely due to AG-creating mutations in the PPT. In contrast, exonic de novo 3'ss were more often induced by mutations improving the PPT, branchpoint sequence (BPS) or distant auxiliary signals, rather than by direct AG creation. The Shapiro-Senapathy matrix scores had a good prognostic value for cryptic, but not de novo 3'ss. Finally, AG-creating mutations in the PPT that produced aberrant 3'ss upstream of the predicted BPS in vivo shared a similar 'BPS-new AG' distance. Reduction of this distance and/or the strength of the new AG PPT in splicing reporter pre-mRNAs improved utilization of authentic 3'ss, suggesting that AG-creating mutations that are located closer to the BPS and are preceded by weaker PPT may result in less severe splicing defects.

## INTRODUCTION

The production of mature RNA in eukaryotes requires an accurate removal of intervening sequences or introns by splicing. Splicing of precursor (pre-)mRNA is facilitated by a large complex of small nuclear ribonucleoprotein particles (U1, U2, U4/U6 and U5 snRNPs) and a number of non-snRNP proteins that assemble on primary transcripts in a step-wise manner

[reviewed in (1)]. Assembly of spliceosomal complexes requires the presence of conserved recognition sequences in the pre-mRNA: 5' splice site (5' ss; consensus MAG/ GURAGU; M is A or C; R is purine), 3' splice site (3'ss; consensus YAG/R; Y is pyrimidine), branchpoint sequence (BPS; mammalian consensus YNCURAY) and polypyrimidine tract (PPT). In addition to these signals, efficient intron removal often entails auxiliary sequences that repress or activate splicing, termed splicing silencers or enhancers, which function as binding sites for numerous factors, such as serine/ arginine-rich (SR) proteins (2–5). Alterations in any of these *cis*-elements by mutations may severely impair pre-mRNA splicing and gene expression.

Mutations that affect splicing have been shown to account for up to half of disease-causing gene alterations (6,7). Since longer proteins are more likely to be involved in genetic disorders than shorter proteins and disease genes have, on average, a longer coding sequence and a higher number of introns than genes not causing recognizable phenotypes, it was hypothesized that splicing mutations may represent the most frequent cause of hereditary disease (8). The most common outcome of mutations affecting splice sites is exon skipping, followed by cryptic splice site activation (9,10). Cryptic 5' ss are more common than cryptic 3'ss (9), but their unequal prevalence has not been understood.

Cryptic 5' ss have a similar frequency distribution in exons and introns (11). Because recognition of 3'ss involves additional conserved elements in the intron (PPT and BPS), the distribution of aberrant 3'ss in exons and introns would be expected to reflect a more complex sequence context of the 3'ss. However, since the initial analysis of single-nucleotide substitutions in splice junctions (10) and a survey of 15 cryptic 3'ss in 1994 (9), no reliable data have been available in the literature.

Here, we have compiled sequences of previously published aberrant 3'ss in human disease genes. Our analysis revealed a biased distribution of cryptic 3'ss generated by mutations in the 3' YAG towards exons and *de novo* 3'ss towards introns. We propose that the former can be fully explained by a depletion of AG dinucleotides in the PPT, while the latter is due to a lack of pyrimidine stretches downstream of authentic 3'ss.

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In addition, we have investigated a group of disease-causing mutations that create AG dinucleotides in the PPT and activate aberrant 3'ss upstream of BPS. We found that they shared a similar distance between predicted BPS and newly introduced AGs and show that reduction of this distance and/or the strength of the new PPT enhanced the expression of natural transcripts. These results improve prediction of aberrant 3'ss localization in human disease genes and suggest that inspection of single-nucleotide substitutions near the 3'ss in their sequence context may facilitate prediction of their splicing outcome.

#### MATERIALS AND METHODS

#### Compilation of cryptic and *de novo* 3'ss

Published reports of cryptic and *de novo* 3'ss were identified by (http://www.ncbi.nlm.nih.gov/entrez/ searching PubMed query.fcgi), locus specific mutation databases (http:// archive.uwcm.ac.uk/uwcm/mg/docs/oth\_mut.html) and home pages of genetics journals. The search was restricted to human genes with sequence-verified aberrant RNA products published before May 2005 that resulted from diseaseassociated mutations or variants. In the majority of cases, these alterations were not found in DNA samples from unaffected individuals and/or showed co-segregation with affected family members in the pedigrees. The aberrant 3'ss were manually validated by mapping the information in the literature to sequences in the Human Genome project databases. Sequences of authentic, mutant and aberrant 3'ss together with the Shapiro-Senapathy (S&S) scores are available in Supplementary Tables 1 (exonic 3'ss) and 2 (intronic 3'ss). The S&S consensus matrix scores were computed using an algorithm described previously (12,13). To assess the significance of score values, the non-parametric Mann-Whitney rank test was employed as described previously (11). Sequences containing AG dinucleotides between predicted BPS and authentic 3'AG (termed 'intervening AGs') were extracted from a collection of 46 807 constitutively spliced human introns (14). The number of nucleotides that preceded and followed intervening AGs was computed using perl (http://www.activestate.com/ Products/ActivePerl/, v. 5.6.1) scripts available on request.

# Splicing reporter constructs, cell culture and transfections

Oligonucleotide primers for cloning LIPC, HEXB, FBN2, TH and TSC2 reporter minigenes (pCR3.1; Invitrogen) are shown in Supplementary Table 3. Site-directed mutagenesis was carried out as described previously (15). All wild-type and mutated constructs were validated by sequencing as described previously (16). Transient transfections were performed in 12-well plates using FuGENE 6 (Roche). Human embryonic kidney 293T cells were grown under standard conditions in RPMI1640 supplemented with 10% (v/v) fetal calf serum (Gibco BRL). The plating density was  $\sim 10^5$  cells per well 17-24 h before transfection. Medium was changed 2 h before adding a DNA mixture prepared by combining 1.5 µl of FuGENE and 50 µl of serum-free medium, followed by addition of 0.5 µg purified plasmid DNA. For co-transfections, 0.5 µg of reporter DNA was mixed with 1 µg of plasmids expressing SR proteins obtained as described previously (15). Cells were harvested 48 h post-transfection.

#### **Detection of mRNA products**

Total RNA was extracted as described previously (15), treated with DNase I (Ambion) and reverse transcribed using oligo(dT)<sub>15</sub> primers and Moloney murine virus reverse transcriptase (Promega) according to the manufacturer's recommendations. Three microlitres of each cDNA reaction together with negative and positive controls were amplified with vector-specific PCR primers as described previously (15). The number of PCR cycles was 29 or lower to maintain approximately linear relationship between the RNA input and signal. PCR products were separated on polyacrylamide gels and stained with ethidium bromide. Transcript levels were measured with FluorImager 595 using FluorQuant and Phoretix software (Nonlinear Dynamics Inc.). To confirm the identity of each product, visualized fragments were extracted from the gels and sequenced as described previously (16).

### RESULTS

#### Biased distribution of cryptic and de novo 3'ss

To maintain previous categorization of aberrant splice sites (11), cryptic 3'ss are defined as those that are only used when a mutation disrupts use of the 3'ss consensus YAG. In contrast, the term *de novo* refers here to all aberrant 3'ss that were induced by mutations elsewhere than in the 3'YAG (Table 1). They include newly formed 3'ss AGs that are used instead of the natural one, or result from mutations that improve BPS, PPT or auxiliary splicing signals of the new 3'ss. For simplicity, a small number of aberrant 3'ss upstream of BPS that was generated by AG-creating mutations in the PPT were also included in the latter category, although these mutations do not improve the intrinsic strength of the new 3'ss, but instead interfere with the correct utilization of the natural site.

Compilation of previously published human aberrant 3'ss that were determined by sequencing mutated transcripts identified 147 cryptic and de novo sites in 89 genes (80 in exons and 67 in introns; Tables 1-3). A total of 77 cryptic 3'ss were activated as a result of point mutations in the 3' YAG (Table 1). Thirty-eight point mutations were in intron position -1, position -2 was mutated in 33 cases and position -3 in 6 cases. Cryptic 3'ss were more frequent in exons (n = 59;  $P < 10^{-4}$ ) than in introns. Three mutations produced cryptic 3'ss both in introns and in exons (17-19). Distribution of distances between authentic and cryptic 3'ss (Figure 1A) showed that 49 of the 59 (83%) exonic cryptic 3'ss were located within 21 nt just downstream of the intron-exon boundaries. The region of the same size upstream of 3'ss, which corresponds to an experimentally determined optimal distance between the branch point (BP) adenosine and 3'ss (20), is depleted of AG dinucleotides in several species, including humans (21,22). When cryptic 3'ss between authentic 3'AG and position +21 in the downstream exon were disregarded, the distribution of the remaining cryptic 3'ss was no longer biased towards exons and resembled normal distribution (Figure 1B).

Seventy 3'ss resulted from mutations outside the 3' YAG consensus (Table 1). Most of them were in introns (n = 48; P < 0.005), with 41 of the 48 (85%) located within 25 nt upstream of the authentic 3'ss (Figure 1C). The majority

Table 1. Summary of aberrant	3′	splice sit	es in	human	genes
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Location of cryptic or <i>de novo</i> splice sites	Exon		Intron		Total	
Mutation	In 3'YAG (cryptic)	Outside 3'YAG (' <i>de novo</i> ')	In 3'YAG (cryptic)	Outside 3'YAG (' <i>de novo</i> ')		
Number of genes	39	16	14	35	89	
Number of cryptic/ <i>de novo</i> 3'ss (% in exons and introns)	59 (74)	21 (26)	18 (27)	49 (73)	147	
Number of unique 3'ss (%)	56 (75)	19 (25)	18 (27)	48 (73)	141	
Reading frame						
0	18	7	5	19	49 (33.3%)	
+1	23	5	8	15	51 (34.7%)	
+2	18	9	5	15	47 (32.0%)	
Average distance (nt) between authentic and cryptic 3'ss (SD)	43.8 (46.4) <sup>b</sup>	57.9 (29.9)	-71.6 (120.3)	-19.1 (33.7)	10.7 (67.2) <sup>b</sup>	
Median distance (nt) between authentic and cryptic 3'ss	12	54	-44	-12	2	
Number of terminal exons (%)	10 (17)	2 (10)	6 (33)	3 (6)	21 (14)	
Average S&S score (SD)						
Authentic (A)	85.3 (6.1)	80.8 (10.0)	87.6 (4.9)	81.3 (6.6)	83.6 (7.2)	
Mutated (M)	68.4 (8.0)	80.2 (9.7)	72.3 (5.3)	78.8 (8.4)	74.1 (9.5)	
Cryptic/de novo (CR)	74.2 (8.2)	82.3 (7.6)	83.8 (6.0)	77.6 (7.9)	77.7 (8.5)	
Average difference <sup>a</sup>						
A-M (P-value)	$16.9 \ (2 \times 10^{-17})$	0.9 (N.S.)	$15.1 \ (9 \times 10^{-10})$	2.5 (N.S.)	9.6 $(10^{-18})$	
M-CR (P-value)	$5.7 (3 \times 10^{-4})$	2.0 (N.S.)	$11.4 (10^{-16})$	-1.2 (N.S.)	$-3.6 (6 \times 10^{-4})$	
A-CR (P-value)	$11.2 \ (10^{-18})$	-1.2 (N.S.)	3.8 (0.07)	3.7 (0.04)	$6.0 (10^{-19})$	

<sup>a</sup>Mann–Whitney rank test (SPSS, SPSS Inc., USA).

<sup>b</sup>Excluding an outlier of 1165 nt (48).

SD, standard deviation; NS, not statistically significant.

of newly created AGs in introns that were used in the catalytic step were preceded by a strong PPT, although there were several exceptions (23–26). In addition to a major frequency peak at ~19 nt upstream of the authentic 3'ss, a second small peak was observed ~58 nt downstream of authentic 3'ss (Figure 1C and Table 1), but the number of these 3'ss was low. The second peak is likely to result from a depletion of *de novo* sites in the first ~25 nt of the exon, rather than to their absolute over-representation further downstream. Such depletion could be due to interference by complexes assembled at the authentic 3'ss, selection against codons carrying AGs in the 5' end of internal exons (27) or a lack of suitable BP adenosine within an optimal distance from *de novo* 3'ss.

Although intronic de novo 3'ss were almost exclusively generated by AG-creating mutations, such mutations contributed much less to the formation of exonic *de novo* 3'ss. Apart from three examples of direct AG creation in exons (28-30), three *de novo* sites were produced by point mutations in position -3 (31–33), one in position -5 (34) and three in position -6 (35-37) relative to the new intron-exon junction. Three aberrant exonic 3'ss resulted from mutations of the predicted BP adenosine (38,39) or conserved uridine in position -2 relative to BP (40), known hot-spots of singlenucleotide substitutions in the human BPS (15). Several aberrant 3'ss in exons resulted from more distant mutations (39,41), highlighting the importance of PPT, BPS and distant auxiliary splicing signals for the activation of 3'ss in this category. Together, these results indicated that, in contrast to cryptic 3'ss, distribution of de novo acceptors was biased towards introns, particularly towards the PPT. Unlike intronic 3'ss, which largely resulted from AG-creating mutations, de novo 3'ss in exons were commonly generated by mutations elsewhere than in the 3'YAG of the new intron-exon boundary.

Sequence alignments of aberrant 3'ss in each category (Figure 2A-D) revealed a higher purine content for cryptic 3'ss in exons (Figure 2A) as compared with all human 3'ss (42) or corresponding authentic 3'ss (Figure 2E). Adenosine in position -3 was over-represented among exonic cryptic 3'ss (Figure 2A), possibly just reflecting higher levels of purine residues in the sequences surrounding the new intron-exon junction. The number of aberrant 3'ss in the next two categories (Figure 2B and C) was low. Intronic de novo 3'ss (Figure 2D) had frequent uridine in position +1 of the new exon as well as pyrimidines in position -4 of the new intron. Finally, purine depletion observed for aberrant 3'ss in the new PPT was in similar PPT positions as in authentic 3'ss (Figure 2E) or all 3'ss in vertebrates (42). This points to similar requirements for interactions with poly(Y) binding proteins, such as the large subunit of U2 auxiliary factor (U2A $F^{65}$ ), and is consistent with frequent manifestation of splicing phenotypes as a result of mutations or naturally occurring DNA variants in these PPT positions (15,43–47).

The median distance between authentic and aberrant 3'ss was 2 nt (Table 1, each distance is shown in Supplementary Tables 1 and 2), while the absolute median distance was 16 nt. The median distances from authentic sites to exonic cryptic 3'ss or intronic *de novo* 3'ss were similar (12 nt; Figure 1 and Table 1). The median distances between intronic cryptic 3'ss and exonic *de novo* sites were also comparable (Table 1). Occasionally, mutations activated a cryptic 3'ss in an exon further downstream, up to 1165 nt from the mutation (48). In this extreme case, the authentic 3'ss was preceded by a very strong PPT, separating the 3'ss and the first upstream adenosine by 64 nt (or a predicted distant BP by 68 nt),

Gene	Phenotype	Mutation	Location of cryptic 3'ss	Reference	
ABCR (ABCA4)	Stargardt disease	E16+1G>C	E16+3	(31)	
ACAT1	Mitochondrial acetoacetyl-CoA thiolase deficiency	E5+46C>T	E5+51	(35)	
ALG8	Glycosylation deficiency	IVS1-2A>G	E2+11	(96)	
ARSA	Metachromatic leukodystrophy	E8+22C>T	E8+27	(37)	
ASS	Citrullinaemia	IVS14-1G>C	E15+7	(97,98)	
ATM	Ataxia telangiectasia	IVS38-2A>C	E39+61	(6)	
ATM	Ataxia telangiectasia	IVS64-1G>C	E65+13	(6)	
BRCA2	Breast cancer	IVS23-2A>G	E24+7	(99)	
BTD	Biotinidase deficiency	E1+56G>A	E1+57	(30)	
CBFA2 (RUNX1)	Familial thrombocytopenia	IVS20-1G>T	E21+13	(100)	
CDKL5	Rett syndrome	IVS13-IG>A	E14+1	(101)	
CLN3	Batten disease	IVS15-IG>T	E16+5	(102)	
COHI	Cohen syndrome	IVS51-1G>1	E52+16	(103)	
COLI/AI	Epidermolysis bullosa	IV531-IG>1	E32+9	(17)	
COLI/AI	Epidermolysis bullosa simplex	IVS2I-2A>C	E22+27	(104)	
COLIAZ	Ostas senaria imporfacta	$\pi V S S - \pi G > C$	E0+13 E28+46	(105)	
COLIAZ	Stieller aundreme	IVS2/-2A>G IVS17/2A>C	E20+40	(100)	
COLIAI	Shekiri Syhulohit	VS1/-2A>G	$E_{10+10}$	(107)	
DAE	CD55 deficiency	$F5 \pm 18C \ge T$	$E_{5+12}, E_{5+13}$	(108)	
	Dystrophinopathy	EJ+18C>1 IVS20.24\C	E31+7	(40)	
	Muscular dystrophy	IV520-2A>G	E21+7 E76+60	(109) (48)	
EPRA?	Recessive hereditary spherocytosis	$F_{11+30G}$	E10100	(33)	
E1 D+2 F8	Haemonhilia A	F11+32G>T	E11+36	(34)	
F8	Haemophilia A	F16+26G>A	E11130	(38)	
F8	Haemophilia A	IVS15+1G>T	E16+47	(50)	
FGR	Hypofibrinogenaemia	F4+115T>A	F4+116	(30)	
G6PC	Glycogen storage disease type 1a	E5+86G>T	E5+91	(36)	
G6PD	Glucose-6-phosphate dehvdrogenase deficiency	IVS10-2A>G	E11+9	(110)	
GH-1	Growth hormone deficiency	IVS3de128-45	E3+98	(111)	
GLA	Fabry disease	IVS3-1G>A	E4+1	(112)	
GLA	Fabry disease	IVS6-1G>A	E7+1	(113)	
GPB	Henshaw antigen	E5+65C>G	E5+65	(114)	
HEXB	Sandhoff disease	E11+8C>T	E11+112	(49)	
HEXB	Sandhoff disease	IVS10-17A>G	E11+112	(19)	
HLA-B*3916	Deficient expression of HLA-B	E3+17G>C	E3+19	(32)	
HPRT1	Hypoxanthine-guanine phosphoribosyltransferase deficiency	IVS1-2A>G	E2+5	(115)	
HPRT1	Hypoxanthine-guanine phosphoribosyltransferase deficiency	IVS5-1G>A	E6+1	(115)	
HPRT1	Hypoxanthine-guanine phosphoribosyltransferase deficiency	IVS7-1G>A	E8+21	(115)	
HPRT1	Hypoxanthine-guanine phosphoribosyltransferase deficiency	IVS9-1G>A	E10+17	(116)	
HPRT1	Hypoxanthine-guanine phosphoribosyltransferase deficiency	IVS9-2A>G	E10+17	(117,118)	
HPRT1	Hypoxanthine-guanine phosphoribosyltransferase deficiency	IVS9-2A>T	E10+17	(117,118)	
INSR	Rabson-Mendenhall's syndrome	IVS4-2A>G	E5+12	(119)	
ITGA2B	Glanzmann thrombasthenia	IVS3-3DEL13	E4+18	(120)	
IIGB4	Epidermolysis bullosa	IVS31-191>A	E32+38	(41)	
KRI14	Recessive epidermolysis bullosa simplex	IVS2-2A>C	E3+10	(121)	
LAMA2	Muscular dystrophy	IV528-IG>C	E29+69	(122)	
LAMC2	Junctional epidermolysis bullosa	IV55-IG>A	E3+2	(123)	
	Familial hypercholesterolaemia	VST + IG > C	E2+10 E8+17	(124) (125)	
	Familial hypercholesterolaemia	VS0.1C>4	E0+17	(125)	
IDIR	Familial hypercholesterolaemia	IVS0-30 GTGCTGATG>CCCCT	E10+7 E10+54	(120)	
ΙΗΥΛ	Syndromic short stature	W\$4-16\C	$E_{10}^{+}5_{+}^{-}$	(127) (128)	
MANRA	Beta-mannosidosis	IV\$15-24>G	E3112, E3120	(81)	
NF1	Neurofibromatosis type 1	IV\$15 212 G	F28+293	(80)	
NIS(SLC5A5)	Congenital hypothyroidism	F13+67C>G	E13+67	(29)	
OASI	Oligoadenylate synthase activity	IVS6-1A>G	E7+1 E7+137	(129)	
OTC	Ornithine transcarbamylase deficiency	IVS4-2A>T	E5+12	(12))	
PDE6B	Autosomal recessive retinitis pigmentosa	IVS2-1G>T	E3+12	(131)	
PFKM	Muscle phosphofructokinase deficiency	IVS6-2A>C	E7+5, E7+12	(132)	
PKLR	Pyruvate kinase deficiency	IVS3-2A>T	E4+6	(133)	
PTPS	Pyruvoyl-tetrahydropterin synthase deficiency	IVS1-3C>G	E2+12	(134)	
SCNN1G	Pseudohypoaldosteronism type 1	IVS2-1G>A	E3+6	(135)	
SPG4	Spastic paraplegia	IVS6-1G>A	E7+8	(136)	
SPINK5	Netherton syndrome	IVS20-1G>A	E21+1	(137)	
TNFSF5 (HIGM1)	X-linked hyper-IgM syndrome	IVS4-2A>G	E5+8	(138)	
TP53	Li-Fraumeni syndrome	IVS3-1G>A	E4+19	(139,140)	
TP53	Li-Fraumeni syndrome	IVS5-11DEL11	E6+17	(141)	
TP53	Li-Fraumeni syndrome	IVS5-1G>A	E6+1	(139)	

Table 2. Cryptic and de novo 3' splice sites in	exons
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Table 2. (Commuta)						
Gene	Phenotype	Mutation	Location of cryptic 3'ss			
TP53	Lung cancer	IVS3-1G>C	E4+19			
TSC2	Tuberous sclerosis	IVS38-18G>A	E39+74			
TSC2	Tuberous sclerosis	IVS9-15G>A	E10+56			
TSC2	Tuberous sclerosis	IVS9-3C>G	E10+56			
UGT1A1	Crigler-Najjar syndrome type 1	IVS3-2A>G	E4+107			

IVS4-1G>A

IVS3-1G>C

Table 2. (Continued)

UGT1A1

XPA

which might have contributed to cryptic 3'ss selection such a long distance from authentic 3'ss. We also found that several identical aberrant 3'ss in exons were activated by distinct mutations, such as *HEXB* E11+112 by E11+8C>T and IVS10-17A>G (19,49), or *F8* E16+47 by a mutation at IVS15-1 and an exon 16 mutation (38,50) (Table 2), providing clear evidence that mutations in very diverse positions may result in the same splicing defect.

Crigler-Najjar syndrome type 1

Xeroderma pigmentosum group A

As with the cryptic 5' ss (11), neither cryptic nor *de novo* 3'ss showed any bias towards a particular reading-frame phase relative to the position of authentic 3'ss (Table 1). This may reflect only a partial elimination of mRNAs with premature termination codons by nonsense-mediated mRNA decay or even a complete unresponsiveness of mutated transcripts to RNA surveillance mechanisms, as reported for *HBB* (51).

Finally, similar to the cryptic 5' ss (11), pair-wise comparisons of the average S&S matrix scores for authentic 3' ss and their mutated and cryptic counterparts showed significant differences, with the identical A>CR>M score hierarchy for both exonic and intronic cryptic 3' ss (Table 1 and Supplementary Tables 1 and 2). In contrast, the average S&S matrix scores for *de novo* 3' ss were not significantly higher than corresponding mutated sites and were not lower than corresponding authentic 3' ss, except for a borderline significance of intronic *de novo* 3' ss (Table 1). Thus, the predictive value of the S&S matrix scores was evident only for cryptic, but not for *de novo* 3'ss.

#### AG-creating mutations in the PPT that suppress authentic 3'ss and activate aberrant 3'ss: a role for 'BPS-new AG' distance

The majority of AG-creating mutations in the PPT that resulted in the activation of aberrant 3'ss used the newly introduced AGs in the second step of splicing (Figure 1C). However, there were several exceptions. Newly created AGs in LIPC (52), HEXB (19) and AR (23) were not efficiently used for exon ligation, but led to the activation of aberrant 3'ss upstream of putative BPS, while suppressing authentic 3'ss. A similar repression of authentic 3'ss was observed for FBN2, where the introduction of AG, which was not used in the catalytic step in vivo, resulted in exon skipping (53). In this small group of mutations, recognition of new AGs was sufficient to suppress utilization of authentic 3'ss, but was insufficient for exon joining. Sequence inspection of these cases revealed that even though the distance between newly created AGs and authentic 3'ss was variable, the distance between predicted BPS and the mutation was similar, ranging from 11 to 15 nt (Figure 3A).

To investigate the distance requirements for the activation of aberrant 3'ss, we constructed three-exon splicing reporters for LIPC, HEXB and FBN2 (Figure 3B). We refer to these 3'ss as upstream (U) and mutated (M) (Figure 3A) to avoid ambiguous distinction between cryptic and de novo 3'ss in these cases. As each germline mutation was an A>G transition (19,52,53), which are characteristic of BP substitutions (15), we first attempted to determine the BP. However, none of the pre-mRNA substrates could be spliced in vitro with varying concentrations of nuclear extracts and Mg<sup>2+</sup> (data not shown). As A>G substitutions in the BP impart a particularly strong block of splicing *in vitro* (54) and *in vivo*, which may facilitate BP determination (J. Královičová, H. Lei and I. Vořechovský, manuscript submitted), we mutated the putative BP adenosines into G, C and T in each wild-type construct (Figure 3C). Examination of RNA products after transfection showed that only the G-containing clones led to aberrant splicing. In FBN2, exon skipping observed in vivo in a patient with contractural arachnodactyly (53) was replicated in 293T cells where it was accompanied by a partial utilization of the newly introduced AG (Figure 3C and D). In LIPC, we found two aberrant 3'ss, as reported earlier (52). The first 3'ss was utilized in  $\sim 6\%$  at the site of mutation (IVS1-13) and the other was upstream of the predicted BPS (IVS1-78; Figure 3B, C and E). The ratios of unspliced/-13/-78 RNA products described previously (1/2.9/0.8, respectively) (52) was altered in favour of the upstream cryptic 3'ss in our system (Figure 3C). This was most likely due to a better BPS consensus in our construct, because a previously used minigene (52) had intron truncation just upstream of IVS1-78 and lacked a suitable alternative BPS (data not shown). Finally, the HEXB transition (Figure 3A) also activated two aberrant 3'ss. One was 37 nt upstream of exon 11 and the other was in exon 11, 112 nt downstream of the authentic site (Figure 3B and F). We could not exclude that IVS10-17A is the BP of the central exon as suggested earlier (19), but improved splicing of uridine- and, to a lesser extent, cytosine-containing pre-mRNAs (Figure 3C, middle panel) suggested that this mutation is in the PPT since uridines are the preferred PPT nucleotides (55,56). As LIPC and FBN2 mutations were even closer to the 3'ss than the HEXB mutation (Figure 3A), their BPs are likely to map upstream of newly introduced AGs as well.

E5+7

E4+2

Reference

(142)

(39) (39)

(39)

(143)

(144)

(145)

To test whether the aberrant 3'ss were activated only by AGs and not by other dinucleotides, we changed BP-1 adenosines to guanosines in each wild-type and mutated *FBN2/LIPC* minigene to create additional dinucleotides GA, GC, GT and GG (Figure 3G). Of the eight dinucleotides (Figure 3C and G), only AG-containing reporters maintained the splicing defects. In *LIPC*, utilization of the upstream 3'ss IVS1-78 was

Table 3. (	Cryptic	and	de	novo	3′	splice	sites	in	introns
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Gene	Phenotype	Mutation	Location of cryptic 3'ss	Reference
APOE	ApoE deficiency	IVS3-2A>G	IVS3-52	(73)
AR	Androgen insensitivity	IVS2-11T>A	IVS2-69	(23)
ATM	Ataxia telangiectasia	IVS32-12A>G	IVS32-11	(6)
ATM	Ataxia telangiectasia	IVS16-10T>G	IVS16-9	(6)
ATP7B	Wilson disease	IVS11-2A>G	IVS11-39	(146)
BRCA1	Breast cancer	IVS7-24del10	IVS7-59	(147)
BRCA1	Familial breast cancer	IVS5-12A>G	IVS5-11	(148)
CFTR	Cystic fibrosis	IVS17a-26A>G	IVS17a-25	(149,150)
CHITI	Chitotriosidase deficiency	E10+20dupl24	E10+103	(151)
COLI7AI	Benign epidermolysis bullosa	IVS31-IG>T	IV\$31-69, IV\$31-264	(17)
COLSAI	Ehlers-Danlos syndrome type II	IV\$13-2A>G	IV\$13-100	(94)
CPO	Hereditary coproporphyria	IV\$1-15C>G	IVS1-14	(152)
CYBB	Chronic granulomatous disease	IVS4-15del36	IV S4-179	(153)
CIP2IB	21-nydroxylase deficiency	IVS2-13C>G	1082-19, 1082-33	(154)
DBI	Maple syrup urine disease	IVS4-1/111>AAA	IV 54-1/	(155)
	Muscular dystrophy	IV539-91>A	IV 539-7	(130)
	Suprovolvulor acritic stopoois	IV\$15.2C>C	IV 50-14 IV 515 44	(46)
ELN EPCC3	Supravarvurar abitic stellosis	IVS13-3C>0	IVS13-44 IVS14 4	(93)
EANCA	Fanconi anaemia	IVS14-0C>A IVS15_1G>T	IVS14-4 IVS15 00	(157)
GCH1	Dystonia	IV\$15-1021	IVS15-50 IVS2_1	(150)
HRR	Beta-thalassaemia	IV\$1-15T\G	IVS1-14	(15))
HRR	Beta-thalassaemia	IV\$1-216>A	IVS1-19	(160)
HRR	Beta-thalassaemia	IVS2-A>G	IVS2-271	(74)
HEXR	Sandhoff disease	IVS10-17A>G	IVS2 271 IVS10-37	(19)
HEXB	Sandhoff disease	IVS12-26G>A	IVS12-24	(24,164)
HPRT1	Hypoxanthine_guanine_phosphoribosyltransferase_deficiency	IVS8-3T>G	IVS8-2	(165)
HPRT1	Hypoxanthine–guanine phosphoribosyltransferase deficiency	IVS8-16G>A	IVS8-14	(165,166)
ITGB2	Leukocyte adhesion deficiency	IVS6-14C>A	IVS6-12	(167)
LICAM	X-linked hydrocephalus	IVS17-19A>C	IVS-69	(168)
LICAM	X-linked hydrocephalus, MASA syndrome, spastic paraplegia	IVS26-12G>A	IVS26-10	(169)
LIPC	Hepatic lipase deficiency	IVS1-14A>G	IVS1-78, IVS1-13	(52)
MECP2	Rett syndrome	IVS1-6C>G	IVS1-5	(170)
MLYCD	Malonyl-CoA decarboxylase deficiency	IVS4-14A>G	IVS4-13	(171)
MPO	Myeloperoxidase deficiency	IVS11-2A>C	IVS11-109	(72)
MTM1	X-linked recessive myotubular myopathy	IVS12-10A>G	IVS12-9	(172)
MYBPC3	Hypertrophic cardiomyopathy	IVS14-13G>A	IVS14-11	(173)
NF1	Neurofibromatosis type I	IVS15-16A>G	IVS15-15	(7,25)
NF1	Neurofibromatosis type I	IVS15-15A>G	IVS15-14	(25)
NF1	Neurofibromatosis type I	IVS10a-9T>A	IVS10a-7	(7,25)
NF1	Neurofibromatosis type I	IVS39-12T>A	IVS39-10	(80)
NF1	Neurofibromatosis type I	IVS26-2A>T	IVS26-14, IVS26-17	(80)
NFI	Neurofibromatosis type I	IVSII-3C>G	IV\$11-43	(7)
NFI	Neurofibromatosis type I	IVS15-121>G	IV\$15-11	(25)
OCA2	Type II oculocutaneous albinism	IVS5-19A>G	IV\$5-18	(174)
PAH	Phenylketonuria	IVS8-/A>G	IV 58-6	(66)
PAH DD1		IV510-11G>A	10510-9	(175)
KDI SACIS ADDESTINI	Relinoblasioma Detinitia riementese	IVS22-81>A	IV 522-0	(170)
SAG(S-AKKESTIN)	Townes Procks syndrome	IVS10-25A>0	IVS1-24 IVS2 17	(177)
SALLI SEDDINCI	Type L antithrombin deficiency	$1\sqrt{52}-191>A$	IVS2-17 IVS4 12	(170)
SERFINCI SODI	Amyotrophic lateral sclerosis	IV 54-140>A IV 54-10T\G	IV 54-12 IV 54-0	(179) (180)
$TCF1 (HCF_1A)$	Maturity-onset diabetes of the young	IVS4-24>G	IVS4-202	(180)
TCF1 (HCF-1A)	Maturity onset diabetes of the young	IVS7-6G>A	IVS7-4	(181)
TH	Extranyramidal movement disorder	IVS11-24T>A	IVS11-36	(57)
TNFRSF1A	Periodic fever syndrome	IVS3-14G>A	IVS3-12	(26)
TP53	Li-Fraumeni syndrome	IVS9-1G>C	IVS9-44	(92)
TP53	Li-Fraumeni syndrome	IV\$3-11C>G	IVS3-10	(139)
TPMT	Thiopurine methyltransferase deficiency	IVS9-1G>A	IVS9+1, IVS9-330	(18)
WRN	Werner's syndrome	IVS29-7T>A	IVS29-5	(182)
ZAP70	Severe combined immunodeficiency	IVS9-11G>A	IVS9-9	(183)

highest in pre-mRNAs containing guanosine -15 (IVS1-15G), followed by those containing A or C, and was lowest for premRNAs with uridine at this position, further supporting the PPT location of the newly formed AG. To formally show that the predicted BPS itself can tolerate AG dinucleotides, we employed two splicing reporters that were derived from the TH and TSC2 genes. Both mutated minigenes represented previously observed substitutions in the predicted BPS that resulted in genetic disease (39,57). Substitutions of the predicted BP adenosines to the remaining nucleotides



**Figure 1.** Distribution of the distances between authentic and aberrant 3'ss. (A) Cryptic splice sites resulting from mutations at the 3'YAG consensus. (B) Cryptic splice sites resulting from mutations of the 3'YAG, except for cryptic 3'ss in exon positions 1–21. (C) Aberrant 3'ss due to mutations outside the 3'YAG ('*de novo*'). The Stata statistical package (v. 8.2, StataCorp, TX) was used to fit kernel density plots to the distances between authentic and cryptic/*de novo* 3'ss. Positive and negative numbers correspond to aberrant 3'ss located in the downstream exon or the upstream intron, respectively. The number of occurrences of aberrant 3'ss is shown as short vertical bars for each distance (in nt). The corresponding scale is shown on the right side. A cryptic splice site that was found at a large distance from the authentic 3'ss (48) was omitted from the plot.

in both reporters dramatically increased exon skipping (J. Královičová, H. Lei and I. Vořechovský, manuscript submitted). Since predicted BP-As were preceded by Gs in each intron, we mutated BP-1Gs to As in the wild-type and mutated reporters, but the splicing pattern observed for the constructs carrying AA, AC, AT and AG dinucleotides was similar to those containing GA, GC, GT and GG (Figure 3H and data not shown). Taken together, these data suggested that activation of



**Figure 2.** Consensus sequences of aberrant 3'ss. (A) Cryptic splice acceptors in exons resulting from mutations of the 3' YAG; (B) 3'ss in exons due to mutations outside the 3' YAG; (C) cryptic 3'ss in introns that resulted from mutations of the 3' YAG; (D) aberrant 3'ss located in introns generated by mutations outside the 3' YAG. (E) Consensus sequences of corresponding authentic 3'ss (n = 147). The relative nucleotide frequencies at each position were plotted with a pictogram utility (http://genes.mit.edu/pictogram.html). The height of each letter is proportional to the frequency of the corresponding base at the given position. Arrows indicate over-representation of adenine in position -3 (A) and of uridine in position +1 (D) of the new intron or exon, respectively.

3'ss upstream of the BPS and inhibition of authentic 3'ss is specific for AG dinucleotides in the PPT.

# Reduction of the 'BPS-new AG' distance improves the expression of natural transcripts

To test a role of the 'BPS-newAG' distance in repression and activation of three competing 3'ss, we examined the splicing pattern of *LIPC* and *HEXB* constructs containing serial 3 nt deletions in this region (Figure 4A–C). In addition, we modified this distance in the *HLA-DQB1* reporter (allele \*0602), which contains a preexisting AG dinucleotide downstream of the BPS (Figure 4A and D) (15). The BP of *DQB1* exon 4 was determined by reverse transcription and mutagenesis (15) and corresponded to the best match to mammalian BPS in intron 3 (Figure 4A) and to a computationally predicted BPS (14).

In *LIPC*, deletions that reduced the distance between predicted BP and the newly created AG from 13 to 10, 7 and 4 nt, eliminated use of the mutated site (Figure 4A and B, lanes 1–5). Three- and six-nt deletions rescued normal splicing to 16 and 89%, respectively, and progressively inhibited upstream 3'ss (lanes 3 and 4). However, the largest deletion no longer improved splicing to the authentic 3'ss (lane 5), most likely by reducing the gap between the BP and authentic 3'ss to



only 17 nt, which is below the experimentally determined optimum of 19–23 nt (20). These results suggested that the minimum 'BP-new AG' distance required for engagement of the new AG in the catalytic step and for complete suppression of authentic 3'ss in this pre-mRNA was  $\sim$ 13 nt. Bringing this AG closer to the BPS was associated with a significant production of natural transcripts. Their increase was accompanied by a decrease of the S&S scores for the mutated sites (Figure 4A), suggesting that diminished recognition of the *de novo* site due to reduced pyrimidine content upstream of the mutated AG in deletion mutants weakens AG-induced inhibition of the authentic 3' ss. Also, we could not exclude a beneficial effect of a reduced 'BP-authentic AG' distance on the expression of natural transcripts.

In HEXB, splicing to the authentic 3'ss was rescued only by the largest deletion, which decreased the 'BP-new AG' distance from 15 to 6 nt (Figure 4C). Reduction of the S&S score for the mutated 3' ss in this deletion mutant was associated with increased use of authentic sites and decreased use of 3' ss E+112. In DQB1, a preexisting AG is located 7 nt downstream of the BP adenosine (Figure 4A) and is not used in the second splicing step (15). Insertion of five uridine residues in front of the 3'ss CAG consensus to reach a BP-AG distance comparable with the remaining reporters (Figure 3A) was sufficient for  $\sim 9\%$  utilization of this AG (Figure 4D, lane 2). The new 3'ss (Figure 4E) was markedly promoted further by extending the new PPT to  $(T)_{10}$  with a concomitant improvement of exon inclusion, both in the presence (alleles DQB1\*02-05) and absence (DQB1\*06) of a polymorphic guanosine in position -14 (Figure 4A and D, lanes 3 and 6). Together, these results showed that moving the newly created AG closer to the BP while maintaining the distance between authentic and new AGs promoted selection of the authentic 3'ss. They also suggested that AG-creating mutations located closer to the BPS

Figure 3. AG-creating mutations in the PPT that activate aberrant 3'ss upstream of predicted BPS. (A) Aberrant 3'ss activated by newly created AGs (in italics) that repress (minus sign) authentic 3'ss in the PPT (upper panel). Distances between upstream 3'ss (U) and predicted BP, between newly created AG (mutated, M) and BP (arrow) and between the mutated and authentic (A) 3'ss are in base pairs, bp (lower panel). The S&S scores were computed for U, M and A 3'ss using an algorithm as described previously (12,13). BPS is shown as a black rectangle. Disease-causing mutations were LIPC IVS1-14A>G (52), HEXB IVS10-17A>G (19), AR IVS2-11T>A (23) and FBN2 IVS28-15A>G (53). Putative BPSs were GGCTAAG, GCCTAAT, TATCAAC and TGACAAT, respectively. (B) Schematic representation of minigene constructs. Exons are shown to scale (scale unit is 0.1 kb). The sizes of minigene introns (lines: not to scale) are shown below each construct. Intron truncations are indicated by a slash. Full LIPC introns 1 and 2 were 106.2 and 3.3 kb, respectively. Allele-specific DQB1 minigenes were described previously (15). (C) Splicing pattern of mutated minigenes after transfection into 293T cells. RT-PCR products amplified with vector-specific primers PL3 and PL4. Wildtype minigenes containing predicted BP adenine in the indicated positions were mutated to C, T and G. RNA species were confirmed by sequencing and are schematically shown on the right side and in (B). The first, second and third exons are shown as white, grey and black boxes, respectively. Introns are shown as lines. Thick lines indicate partial intron retention due to activation of aberrant splice sites. (D-F) Nucleotide sequence of RT-PCR products bridging aberrant 3'ss in mutated constructs. Exons (e) are indicated by grey rectangles, introns (IVS, intervening sequence) by a white rectangle. Aberrant 3'ss are designated by a distance from the corresponding authentic splice site. (G) Activation of aberrant splice sites is specific for AG dinucleotides. Mutations removing AG dinucleotides are indicated at the top and bottom of each panel. (H) AG dinucleotides within predicted BPS do not activate cryptic splice sites. Mutations creating AG dinucleotides in the predicted BPS are indicated at the top and bottom of each panel.



**Figure 4.** A role for the BPS-new AG distance and/or the strength of the new PPT in upstream cryptic 3'ss activation. (A) Nucleotide sequences of splicing reporter constructs at the 3'ss are followed by the S&S matrix scores and by the percentage of splice site utilization of the indicated RNA products (means of duplicate transfections). Intronic sequences are in lower case, exonic sequences are in upper case. Putative BPs are shaded. U, aberrant 3'ss upstream of predicted BPS; M, newly created (preexisting in *DQB1*) or proximal AG between the authentic 3'ss and BP; A, authentic or distal 3'ss. ES, exon skipping; +112, % utilization of the splice site +112 by the *HEXB* pre-mRNAs site. The S&S scores were calculated according to the algorithm described previously (12,13). BPSs of *LIPC* exon 2 and of *DQB1* exon 4 were predicted by a branch site tool (http://ast.bioinfo.tau.ac.il/), with BPS scores 3.25 and 3.2, respectively. No BPS was predicted for *HEXB*. The *HEXB* IVS10-29A>G and IVS10-29A>T gave splicing patterns identical to the wild-type constructs (data not shown). RT–PCR products for the *LIPC*-14Y and *HEXB*-17Y mutations are shown in Figure 3C. (**B–D**) RNA products generated by wild-type and mutated constructs after transfection into 293T cells. The designation of splicing reporter constructs (top of each panel) corresponds to that in (A). RNA products were confirmed by sequencing and are schematically shown on the right side. (**E**) Nucleotide sequences of RT–PCR products illustrating aberrant splice sites in *DOB1* reporters.

and/or preceded by weaker PPTs may have less severe phenotypic consequences and provided support to the model that proposes a substrate-specific area of exclusion of AG dinucleotides downstream of the BP.

# Prevalence and sequence context of intervening AG dinucleotides in authentic and aberrant 3'ss

The mutated AG was preceded by the pyrimidine and followed by the uridine in each case (Figure 3A). The occurrence of pyrimidines in the former position suggested that, similar to AGs in authentic 3'ss, cytosines and uridines may facilitate partial recognition of intervening AG dinucleotides. However, the presence of uridines that immediately followed each of these AGs was conspicuous, because uridine is the least frequent nucleotide in humans, mouse, zebrafish and fugu in this position (42). This could simply reflect a higher uridine content in the surrounding sequence (cf. Figures 2D and E); nevertheless, we analysed the effect of T>G alterations in this position on *LIPC* and *DQB1* splicing. The *LIPC* IVS1-13T>G mutation, which improved a match to the 3'ss YAG/R, completely eliminated utilization of this site and promoted the upstream 3'ss (Figure 4B, lane 6). In contrast, an insertion of a polymorphic guanosine in position –14 of *DQB1* intron 3 further promoted the new 3'ss (Figure 4D, lanes 2 and 5).

AGs between BPS and authentic 3'AG are rare (21), but they are more common near the BPS (14) or closer to the 3'ss, often as 'tandem' (NAGNAG) acceptors (58) that effectively compete with each other. Inspection of 147 aberrant 3'ss revealed 15 (10%; 11 in exons, 4 in introns) intervening AGs in a 14 nt sequence upstream of authentic 3'ss (Supplementary Tables 1 and 2). In contrast, corresponding authentic 3'ss had only four AGs in this region (P < 0.01; Fisher exact test), suggesting that intervening AGs are more common in aberrant than in authentic 3'ss.

Use of 3'AG in splicing is influenced by the identity of the preceding nucleotide, with a hierarchy of competitiveness CAG  $\sim$  UAG > AAG > GAG (59,60). We analysed the

frequency of each nucleotide that precedes intervening AGs as a function of distance from the BPs predicted through comparison of mouse and human introns (14). To maximize the probability of studying functional BPSs, we limited our analysis to 40388 human introns, in which predicted BPs were located within a 40 nt distance from authentic 3'ss. Interestingly, cytosines were consistently over-represented in each position between 3 and  $\sim$ 21 nt downstream of the predicted BP adenosine (Figure 5A). A frequency peak in position 3 apparently reflects over-representation of cytosines in the last nucleotide of predicted BPS (YNCURAY $_{+1}A_{+2}G_{+3}$ ). The relative nucleotide frequencies varied little around 40% for cytosines and 20% for the remaining nucleotides along the whole distance. An exception was position 8 and 9 downstream of BP adenosine where purine depletion was even greater (Figure 5A). The non-random distribution of nucleotides that preceded intervening AGs ( $P < 10^{-15}$  for positions 4-21) is consistent with the presence of splicing complexes covering this region and appears to support functionality of most predicted BPSs, although their average distance from authentic 3'ss (14) is longer than an experimentally determined optimum (20,61).



**Figure 5.** Sequence context of intervening AG dinucleotides in authentic 3'ss. Relative frequencies of nucleotides that immediately precede (**A**) or follow (**B**) AGs located 2–30 nt downstream of predicted BP adenosines. Corresponding numbers of intervening AGs are shown as grey columns. Distances between the predicted BP adenosine and downstream AG are in nucleotides (nt) as follows: 2 nt (YNCURAA<sub>+1</sub>G<sub>+2</sub>; BP is underlined), 3 nt (YNCURAY<sub>+1</sub>A<sub>+2</sub>G<sub>+3</sub>), 4 nt (YNCURAY<sub>+1</sub>N<sub>+2</sub>A<sub>+3</sub>G<sub>+4</sub>), etc., up to 30.

Among nucleotides that followed intervening AGs, pyrimidines were consistently over-represented in positions 4-28 nt downstream of the predicted BP adenosine (Figure 5B,  $P < 10^{-9}$ ), in sharp contrast to authentic 3'AGs. The number of intervening AGs was low for distances more than 20 nt downstream from the predicted BP adenosines, but 117 of the 208 (56%) AGs were followed by cytosine residues in this region. The distribution of nucleotides that precede and follow intervening AGs was very similar in 40626 murine introns where the distance characterized by over-representation of cytosines that preceded intervening AGs had the same length (3-21 nt downstream of predicted BP adenosine; data not shown). Over-representation of pyrimidines in position +1 was also very similar in mouse introns to that observed in human introns (Figure 5 and data not shown). In a 14 nt sequence upstream of aberrant 3'ss, 5 of the 15 AGs were preceded by cytosines and 10 of the 15 AGs were followed by pyrimidines, which did not appear to be significantly different from intervening AGs of authentic 3'ss.

Finally, since closely spaced AG dinucleotides at the 3'ss (20,60-62) and in a splicing silencer (63) may interfere with each other, we employed the LIPC reporter to bring the newly created and authentic 3'AGs to proximity. We introduced an 8 nt deletion just upstream of the authentic 3'ss in the construct with the new AG to shorten this distance to just 5 nt while maintaining the BP-3'ss length within the previously determined optimum (20). The 5 nt distance was still sufficient for an interplay between closely spaced AGs (20,61,63). The 8 nt deletion repressed utilization of both competing AGs and resulted in exclusive splicing to upstream 3'ss (Figure 4B, lane 7). As with DOB1 (Figure 4A and D), a small insertion of repetitive uridines between the BP and the newly created AG rescued splicing to this AG in the presence of the 8 nt deletion (Figure 4B, lane 8). However, this AG was used slightly less than for the -14A>G mutation, despite more optimal BP-AG distance and better PPT of the new exon, supporting a strong interference by the authentic 3'AG (Figure 4A and B, lanes 2 and 8).

# The influence of SR proteins on utilization of aberrant 3' and 5' ss in *LIPC*

To illustrate the effect of known regulators of splicing on cryptic 3'ss utilization, we co-expressed the wild-type and mutated (IVS1-14A>G) LIPC reporter with SR proteins (Figure 6A). The co-transfection experiments revealed activation of two more aberrant splice sites (designated e2-24 and IVS2-94, Figure 6B) of the second minigene intron. In both mutated and wild-type reporters, activation of proximal 3'ss (IVS2-94) and distal 5' ss (e2-24) was promoted by ASF/SF2 and SRp40. Since the IVS2-94 splice site has a very strong PPT and is separated from the authentic 5' ss by only 61 nt, activation of the distal 5' ss in exon 2 may be due to restrictions on minimum intron size. A subset of SR proteins also promoted the use of upstream 3'ss IVS1-78 in both the wild-type and mutated minigenes and reduced the amount of correctly spliced products and those spliced to the mutated site IVS1-14 (Figure 6A). These results confirm that SR proteins may promote selection of proximal splice sites as described (15,64,65) and suggest that the observed activation of atypical distal 5' ss can be explained by intronic length constraints.



Figure 6. The influence of SR proteins on utilization of aberrant 3' and 5' ss in LIPC. (A) Wild-type and mutated splicing reporters were co-transfected with plasmids expressing the indicated SR proteins. Splicing reporters are shown at the top and SR proteins are indicated at the bottom. VO, vector only control, in which an empty pCG vector was co-transfected with the wild-type and mutated reporter constructs; NC, no co-transfection (reporter only) control. The corresponding LIPC isoforms are shown on the right side. (B) Nucleotide sequences surrounding aberrant splice sites induced by SR proteins. Exons (e) are shown as a grey rectangle, introns (IVS) are indicated by white rectangles.

#### DISCUSSION

In this study, we have undertaken the largest compilation of aberrant 3'ss in human disease genes to date. Although cryptic 3'ss are less frequent than cryptic 5' ss (9), the size of the compiled dataset was comparable with that analysed earlier for cryptic 5' ss (11). The overall number of aberrant 3'ss was marginally higher in exons than in introns (Table 1), but reports of AG-creating PPT mutations, in which RNA products were not sequenced and were thus not included in our study, appear to be more frequent in the literature (66-71) than those in exons. This suggests that aberrant 3'ss described in the literature are distributed in exons and introns with approximately equal frequencies, consistent with the observed low median distance between all aberrant and authentic 3'ss (Table 1). In contrast, if a mutation affects 3'YAG, cryptic 3'ss are  $\sim$ 3 times more likely to occur in exons than in introns. Conversely, aberrant 3'ss due to mutations outside 3'YAG are  $\sim$ 3 times more frequent in introns than in exons. The relative intronic depletion of the former can be accounted for by a lower prior probability of finding an alternative 3'AG in the PPT (Figure 1A and B), whereas intronic over-representation of the latter is due to a better 3'ss consensus created in the pyrimidine-rich sequence. Sequence constraints that limit the number of cryptic and de novo 3'ss also explain a lower total number of aberrant 3'ss in the literature as compared with aberrant 5' ss. However, since recognition sequences at the 3' ss (YAG, PPT and BPS) are more complex than at the 5'ss and spread over a longer and more variable distance, mutations affecting 3'ss may have multiple effects that make it difficult to categorize cryptic or de novo sites unambiguously. For example, apart from a direct AG creation in the PPT, pyrimidine to purine substitutions may weaken the PPT of authentic 3'ss and thus contribute to their repression. Similarly, mutations of the 3' YAG (such as IVS-1G>A, Supplementary Table 4) may potentially improve a match to the BPS consensus and promote cryptic 3'ss activation in the downstream exon if a suitable YAG is available within an optimal distance from the newly created BPS. We cannot entirely exclude that this can contribute to the observed bias (Figure 1A and C); nevertheless, it is likely that their distribution can be fully explained by a lack of AGs and pyrimidine residues upstream and downstream of authentic 3'ss, respectively.

Although the number of reported cryptic 3'ss in introns was low (n = 18), their proportion in terminal introns (33%) (7,18,72–74) appeared to be higher than in other categories of aberrant 3'ss (Table 1), pointing to possible involvement of 3' end processing factors. Two *de novo* 3'ss observed in terminal exons were due to a transversion (36) and transition (37) in position –6 of the new intron creating uridine residues that improve PPT recognition. Purines are greatly underrepresented in this PPT position in several species (42) and both transitions and transversions of uridine –6 have been shown to increase exon skipping and/or retention of weakly spliced introns (15,46). These splicing defects are likely to result from diminished interaction of the PPT with the first RNA recognition motif of U2AF<sup>65</sup> (75), which has been shown to promote 3' end processing (76,77).

The exact mechanism by which the 3'ss is recognized is not well understood. Although the first AG downstream from the BPS is usually selected for exon ligation, it is unclear why it is sometimes not the case and how exactly this is achieved. A 'scanning mechanism' of 3'ss selection (59.60) postulated a unidirectional, linear search that initiates at the BPS and continues until a suitable AG is selected. This model is consistent with blocking the second step on the hairpin structures inserted between BP and 3'AG (60,78). However, closely spaced, tandemly arranged AGs can efficiently compete when placed 13-22 nt downstream of the Saccharomyces cerevisiae branchpoint (61) and 15–23 nt in a metazoan substrate (20), although not when placed at least 35 nt from a mammalian BP (78). Frequent cryptic 3'ss in exons would support the scanning model (79), but our finding that cryptic 3'ss cluster in  $\sim 20$  nt region just downstream of authentic 3'ss (Figure 1A) provides no support per se for this concept since their underrepresentation in introns can be explained by AG depletion in the PPT. Several distant exonic cryptic 3'ss, such as NF1 E28+293 (293 nt from the start of exon 28) activated by the IVS27b-2A>T mutation (80) or MANBA E16+172 induced by the IVS15-2A>G transition (81), had a strong 3'ss upstream of mutated 3'AGs, but they were not used despite being much closer to the BPS than exonic cryptic 3'ss. These cases raise a speculation that exons could first be scanned for downstream AGs to completion before AGs upstream of authentic 3'ss are considered. The concomitant activation of cryptic 3'ss (Figure 3B and C) or 5' ss (82) in both the intron and the exon indicates that inactivation of genuine splice sites eventually triggers a search for the most suitable splice sites in both directions, consistent with a general finding that more distant AGs compete less efficiently (Figure 1).

Using well-documented cases of AG-creating mutations in the PPT that repress authentic 3'ss and activate aberrant 3'ss upstream of BPS, we have illustrated the importance of the

'BPS-new AG' distance and/or the strength of the new PPT for the expression of correctly spliced mRNAs. Interestingly, this distance (Figure 3A) was similar to that reported previously for AGs that were outcompeted by a downstream AG (62,83) and is consistent with steric interference with a factor(s) bound to this region. Newly created AGs are likely to recruit spliceosome components that compete for interactions with authentic 3'ss, are partially recognized by essential splicing factors, such as U2 snRNP (84), but may not assemble fully functional splicing complexes (83,85). Utilization of such competing AGs has been shown to be affected by hSlu7 (83) and SPF45 (86). Future studies should examine interactions of human homologues of yeast Prp18 and Prp22 with the reporter pre-mRNAs described here, since these proteins are required only as the BP-AG distance increases (87,88). Unexpected promotion of upstream 3'ss observed for the LIPC -14G/-13G construct (Figure 4B, lane 6) might be addressed by examining contacts between the first nucleotide of the new exon and  $U2AF^{35}$  (89), U5 snRNA (90) or PRP8 (91).

As with cryptic 5' ss (11), the average S&S scores of cryptic 3'ss were significantly lower than for corresponding authentic 3'ss and higher than for mutated sites (Table 1). This indicates that intrinsic differences in the consensus 3'ss sequences contribute to the cryptic 3'ss remaining completely silent in the presence of a wild-type authentic site. How such cryptic 3'ss are efficiently inhibited is poorly understood, but nucleotides that precede AGs and similar AG-AG measuring mechanisms may also play an important and more general role in auxiliary splicing sequences (63). In contrast to cryptic 3'ss, the S&S scores of *de novo* sites were similar to authentic sites (Table 1), suggesting that they lack a predictive value in these cases. To explore the significance of S&S scores in selection of cryptic 3'ss in exon versus intron, we paired S&S scores of intronic cryptic 3'ss with the best match to the 3'ss in adjacent exons (Supplementary Table 5), and vice versa (Supplementary Table 6). Each intronic cryptic 3'ss had a higher S&S score than the best corresponding exonic YAG (Supplementary Table 5). The average difference between intronic cryptic 3'ss and the best match to 3'ss in exons was high (14.0;  $S\&S_i = 83.8$  versus  $S\&S_{be} = 69.8$ ; where *i* stands for intron and be is the best S&S score in adjacent downstream exons). Several exons lacked the YAG consensus altogether, such as exon 10 of TP53 (92), APOE exon 4 (73) and ELN exon 16 (93), or were very short, such as 27 nt exon 32 of COL17A1 (17) or 57 nt exon 14 of COL5A1 (94), and likely to be poorly defined (95). In contrast, as much as  $\sim 45\%$  of the putative 3' ss in an adjacent intronic sequence had S&S scores higher than the corresponding cryptic 3'ss in exons (average  $S\&S_e = 73.8$ versus  $S\&S_{bi} = 71.1$ ; where *e* is exon and *bi* are the best scores in a 100 nt of the upstream intron), with the average difference only 2.7. The high differential between the S&S scores for intronic cryptic 3'ss and their putative exon counterparts, which apparently reflects a lack of Y-runs in exons, should be considered when using this and other algorithms for prediction of competing 3'ss.

In summary, our results improve prediction of aberrant 3'ss localization in human disease genes and provide a valuable resource for studying the mechanisms of 3'ss selection. They also suggest that inspection of genetic alterations in or near the 3'ss in their sequence context may in some cases facilitate prediction of their splicing and phenotypic outcomes.

#### SUPPLEMENTARY MATERIAL

Supplementary Material is available at NAR Online.

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