

Research Progress on the Risk Factors and Outcomes of Human Carotid Atherosclerotic Plaques

Xiang-Dong Xiong^{1,2}, Wei-Dong Xiong^{1,3}, Shang-Shen Xiong^{1,3}, Gui-Hai Chen^{1,4}

¹Department of Neurology, The First Affiliated Hospital of Anhui Medical University, Hefei, Anhui 230022, China

²Department of Neurology, Lu'an Affiliated Hospital of Anhui Medical University (People's Hospital of Lu'an City), Lu'an, Anhui 237005, China

³High and New Technology Group Office, Hefei National Level High and New Technology Development Zone, Hefei, Anhui 230088, China

⁴Department of Neurology, The Affiliated Chaohu Hospital of Anhui Medical University, Chaohu, Anhui 238000, China

Abstract

Objective: Atherosclerosis is an inflammatory process that results in complex lesions or plaques that protrude into the arterial lumen. Carotid atherosclerotic plaque rupture, with distal atheromatous debris embolization, causes cerebrovascular events. This review aimed to explore research progress on the risk factors and outcomes of human carotid atherosclerotic plaques, and the molecular and cellular mechanisms of human carotid atherosclerotic plaque vulnerability for therapeutic intervention.

Data Sources: We searched the PubMed database for recently published research articles up to June 2016, with the key words of “risk factors”, “outcomes”, “blood components”, “molecular mechanisms”, “cellular mechanisms”, and “human carotid atherosclerotic plaques”.

Study Selection: The articles, regarding the latest developments related to the risk factors and outcomes, atherosclerotic plaque composition, blood components, and consequences of human carotid atherosclerotic plaques, and the molecular and cellular mechanisms of human carotid atherosclerotic plaque vulnerability for therapeutic intervention, were selected.

Results: This review described the latest researches regarding the interactive effects of both traditional and novel risk factors for human carotid atherosclerotic plaques, novel insights into human carotid atherosclerotic plaque composition and blood components, and consequences of human carotid atherosclerotic plaque.

Conclusion: Carotid plaque biology and serologic biomarkers of vulnerability can be used to predict the risk of cerebrovascular events. Furthermore, plaque composition, rather than lesion burden, seems to most predict rupture and subsequent thrombosis.

Key words: Human Carotid Atherosclerosis; Outcomes; Plaques; Progress; Risk Factors

INTRODUCTION

The chronic exposure of vessel walls to high levels of mechanical shear stress can cause endothelial cells to exhibit an atheroprotective phenotype. In cases considered to have a low risk for coronary heart disease, the presence of carotid atherosclerosis is significantly associated with low wall shear stress. Low wall shear stress can cause arterial damage, and subsequently plaque instability, through several mechanisms, including increased fluid residence time and increased platelet and macrophage adhesion to the arterial wall.^[1] A highly sensitive and specific blood biomarker or protein profile could provide information on the stability and vulnerability of carotid atherosclerotic plaques though such a discovery has yet to be made.

INTERACTIVE EFFECTS OF TRADITIONAL AND NOVEL RISK FACTORS ON HUMAN CAROTID ATHEROSCLEROTIC PLAQUES

Traditional risk factors for human carotid atherosclerotic plaques include age and history of diabetes mellitus, coronary artery disease, hypertension, stable angina pectoris,

Address for correspondence: Dr. Gui-Hai Chen,
Department of Neurology, The First Affiliated Hospital of Anhui Medical
University, Hefei, Anhui 230022, China
E-Mail: doctorcgh@163.com

This is an open access article distributed under the terms of the Creative Commons Attribution-NonCommercial-ShareAlike 3.0 License, which allows others to remix, tweak, and build upon the work non-commercially, as long as the author is credited and the new creations are licensed under the identical terms.

For reprints contact: reprints@medknow.com

© 2017 Chinese Medical Journal | Produced by Wolters Kluwer - Medknow

Received: 26-10-2016 **Edited by:** Xin Chen

How to cite this article: Xiong XD, Xiong WD, Xiong SS, Chen GH. Research Progress on the Risk Factors and Outcomes of Human Carotid Atherosclerotic Plaques. Chin Med J 2017;130:722-9.

Access this article online

Quick Response Code:



Website:
www.cmj.org

DOI:
10.4103/0366-6999.201598

and chronic kidney disease. Novel risk factors for human carotid atherosclerotic plaques include shear stress, carotid atherosclerotic plaque morphologic risk factors, biochemical risk factors in carotid atherosclerotic plaques and blood, and infection risk factors (such as *Chlamydia pneumoniae*). The morphologic risk factors for carotid atherosclerotic plaque include plaque surface ulceration, thrombosis, lumen surface irregularity, intraplaque hemorrhage, nonhyperechoic plaque, hypoechogenic or predominantly hypoechogenic plaque, echolucent plaque, complex plaque, stenoses grade $\geq 70\%$, early- and end-stage calcifications, gray-scale median score in imaging of plaque echogenicity, plaque lipid core presence, lipid-rich plaque, intima-media thickness (IMT), and plaque score. The biochemical risk factors in carotid atherosclerotic plaques and blood include C-reactive protein (CRP), total bilirubin, neopterin, fibrinogen, high-sensitivity CRP (hsCRP), parathyroid hormone, fetuin-A, monocyte chemoattractant protein-1, cyclooxygenase-2, Type 1 prostaglandin E (PGE) synthase, PGE2 receptor 4, matrix metalloproteinase (MMP) (-1, -2, -3, -7, -8, -9, -12, and -14), tissue inhibitor of MMP (TIMP) (-1 and -3), interleukin (IL, -1 β , -6, -8, -17A, -18, -21, and -23), CD (36, 146), soluble CD40 (sCD40) ligand, leukocyte count, monocyte count, osteopontin, osteoprotegerin, tumor necrosis factor- α (TNF- α), soluble urokinase-type plasminogen activator receptor (suPAR), enzyme chitin (YKL)-40, S100A12, interferon- γ , plaque macrophage, M1 macrophage, plaque T-cells accumulation, vascular cell adhesion molecule-1, erythrocyte sedimentation rate, glucose, homocysteine, lipid, total cholesterol, triglyceride, low-density lipoprotein cholesterol, high-density lipoprotein cholesterol, apolipoprotein B, oxidized low-density lipoprotein, lipoprotein-associated phospholipase A2, pregnancy-associated protein A, hematocrit, 1267 heat shock protein 70-2 gene, cyclooxygenase-2 gene, BRCA-1-associated protein (*BRAP*) gene, osteoprotegerin gene, IL-1 receptor antagonist gene, MMP-3 genotype, and MMP-14 genotype.

Scientists have found interactive effects between traditional risk factors and novel risk factors for human carotid atherosclerotic plaques.^[2,3] For example, the frequency of carotid nonhyperechoic plaque was significantly increased in elderly type 2 diabetes mellitus patients with carotid stenosis and heat shock protein 70-2 gene B⁺ allele (at position 1267), compared with the frequency in elderly type 2 diabetes mellitus patients with carotid stenosis and heat shock protein 70-2 gene B⁻ allele (at position 1267).^[2] In patients with hypoechogenic carotid plaques on ultrasound and asymptomatic patients with carotid plaque progression, history of coronary disease was significantly related to the increased MMP activity. Intraplaque active MMP-9 levels were significantly increased in patients with hypertension compared with normotensive patients.^[3] The rates of carotid plaque formation were significantly decreased in patients with essential hypertension and mild hyperlipidemia receiving lipid-lowering agent and antihypertensive treatment for 24 months, compared with those in the

control group.^[4] Blood pressure levels were significantly increased in patients with a recently diagnosed internal carotid artery (ICA) stenosis $>50\%$, compared with healthy controls.^[5] Serum CRP was significantly increased and total bilirubin was significantly decreased in hypertensive patients with carotid atherosclerosis, compared to hypertensive patients without carotid atherosclerosis.^[6] Plasma neopterin levels were significantly increased in patients with stable angina pectoris and complex carotid plaques, compared to patients with stable angina pectoris and noncomplex carotid plaques, or patients with stable angina pectoris without carotid plaques. Immunohistochemical staining revealed abundant neopterin-positive macrophages in complex carotid lesions.^[7] The early- and end-stage calcifications in unstable and ruptured lesions were significantly increased in patients with chronic kidney disease, compared to those in patients without chronic kidney disease. Finally, serum fibrinogen, hsCRP, parathyroid hormone, fetuin-A, and MMP-7 levels were significantly increased in patients with advanced carotid stenosis $>70\%$ and chronic kidney disease, compared to those in patients with advanced carotid stenosis $>70\%$ but without chronic kidney disease.^[8]

NOVEL INSIGHTS INTO HUMAN CAROTID ATHEROSCLEROTIC PLAQUE COMPOSITION AND BLOOD COMPONENTS

Plaque morphologic risk factors

On histologic examination, there was recent hemorrhage and remote hemorrhage on plaque sections in most carotid bifurcation plaques. These included high-grade stenoses removed through carotid endarterectomy (CEA) and nonstenotic plaques recovered at autopsy. The incidence of ulceration, thrombosis, and lumen surface irregularity was significantly increased in high-grade stenotic plaques compared to asymptomatic nonstenotic plaques. There were prominent lesional features in 80% of the stenotic bifurcations.^[9] Macroscopic CEA plaque ulceration frequency was significantly increased in patients with symptomatic carotid plaques, compared to the frequency in patients with asymptomatic carotid plaques.^[10]

Plasma MMP-8 levels and MMP activities were significantly increased in patients with hypoechogenic carotid plaques on ultrasound. Recent intraplaque hemorrhage on histologic sections of CEA specimens was related to the significantly increased MMP activity.^[3] The gray-scale median scores were significantly decreased in the images of carotid plaque echogenicity obtained by high-resolution color duplex ultrasounds in patients with neurological symptoms and ICA stenosis $>50\%$, compared to asymptomatic patients with ICA stenosis $>50\%$. The gray-scale median score was considerably associated with serum osteopontin and osteoprotegerin levels in patients with carotid atherosclerosis.^[5] Carotid plaque echogenicity was significantly decreased in the -765GC genotype group of the cyclooxygenase-2 gene, compared to that in the -765GG genotype group of the cyclooxygenase-2

gene.^[11] Plaque thickness was significantly decreased, and carotid plaque echogenicity was significantly increased, after 12 months of statin therapy in hypercholesterolemic patients with carotid plaques treated with simvastatin 10 mg/d or atorvastatin 5 mg/d. This was compared to plaque thickness in hypercholesterolemic patients with carotid plaques without statin therapy.^[12] The color duplex ultrasound characteristics of unstable stenoses were found in stenoses grade $\geq 70\%$, plaque surface ulcerations, and hypoechoic or predominantly hypoechoic plaques. The frequency of unstable ultrasound features of ICA stenosis was significantly increased in symptomatic patients compared to asymptomatic patients.^[13]

There was a lipid core in 71% of the plaques with a maximum thickness ≥ 1.5 mm by magnetic resonance imaging (MRI). A lipid core in thickened carotid walls is strongly associated with total plasma cholesterol.^[14] There were large intraplaque hemorrhages in 64% of patients who underwent CEA for carotid stenosis, and there were lipid-rich plaques in 52% of them. The sensitivities and specificities of MRI identification of large intraplaque hemorrhages, and for ^{18}F -fluorodeoxyglucose-positron emission tomography (^{18}FDG -PET) to identify the lipid-rich plaques, were high. There was a significant correlation between the findings on ^{18}FDG -PET and those on immunohistochemistry against CD68 (activated macrophages) and MMP-9.^[15] CEA plaques with $>40\%$ fat frequency were significantly decreased in women compared with men.^[16] Laser-induced fluorescence spectroscopy with limited tissue penetration and histological staining revealed that elastin was significantly decreased, and arterial matrix collagen I and III were significantly increased, in unstable carotid plaque compared to those in left internal mammary arteries. Collagen I was elevated in plaques within the ICA region, compared to those in the common carotid artery (CCA) region. Fluorescence spectroscopy with microscopy analyses revealed marked regional differences in collagen I, III, and elastin in surface layers of carotid plaques.^[17] Plaque formation tendency was significantly increased in ICA/bulb segments compared with CCA segments. There was a significant positive trend between ICA/bulb IMT and total homocysteine in people ≥ 58 years of age.^[18] A patient's carotid plaque score was significantly correlated with active and total MMP-3 levels in the blood.^[19] In addition, a carotid plaque total score was significantly associated with serum MMP-9 quartiles and was high compared to those associated with serum MMP-9 quartile 1. IMT was significantly and independently associated with serum monocyte chemoattractant protein-1 concentration.^[20]

Biochemical risk factors in plaques and blood

The switch from anti-inflammatory lipocalin-type prostaglandin D synthase to pro-inflammatory Type 1 inducible membrane-bound prostaglandin E synthase-1 (mPGES-1) in carotid atherosclerotic plaque macrophages is associated with acute brain ischemia. Pro-inflammatory inducible cyclooxygenase-2/mPGES-1 are overexpressed in

symptomatic plaques in association with PGE2-dependent MMP biosynthesis and release leading to plaque rupture.^[21] EP4 immunoreactivity was strong in 4 PGE2 receptors EP1-4 expression of plaques from symptomatic and asymptomatic patients undergoing CEA. EP4 was significantly increased in MMP-rich symptomatic lesions. EP4 overexpression is associated with enhanced inflammatory reaction of atherosclerotic plaques and plaque rupture.^[22] The MMP-9 level was significantly increased in symptomatic (within 1 month) plaques obtained from patients undergoing CEA and in plaques undergoing spontaneous embolization.^[23] Active MMP-8 concentrations were significantly increased in carotid plaques of symptomatic patients or emboli-positive patients. Plaque MMP-8 protein and mRNA colocalized with macrophages.^[24] Plasma MMP-7 levels were significantly increased in patients with moderate (50–69%) or severe ($\geq 70\%$) ICA atherosclerotic stenosis and particularly high in patients with recent symptoms (within last 2 months), compared to those in healthy controls. The levels of MMP-7 mRNA were significantly increased in carotid plaque compared to those in nonatherosclerotic vessels. The levels of MMP-7 protein were particularly high in patients with most recent symptoms. Immunohistochemistry revealed that the MMP-7 was localized to macrophages.^[25] MMP (-8, -9) activities were significantly increased in macrophage-rich carotid atherosclerotic lesions whereas MMP-2 activity was significantly increased in smooth muscle cell-rich lesions.^[26]

IL-18 was highly expressed in human carotid plaques compared to that in control normal arteries and mainly localized in plaque macrophages.^[27] Plasma IL-23 levels were significantly increased in patients with carotid atherosclerosis compared to those in healthy controls, and particularly high in patients with most recent symptoms. IL-23 and its receptor mRNA levels were significantly increased in carotid atherosclerotic plaques compared to those in nonatherosclerotic vessels. Immunostaining showed colocalization to plaque macrophages. IL-23 gave a prominent TNF release in monocytes from patients with carotid atherosclerosis.^[28]

The concentration of osteoprotegerin and osteopontin within proximal ICA part of CEA specimen removed from patients with recent (within 6 weeks) focal neurological symptoms was elevated 2- and 4-fold compared with those in asymptomatic patients.^[29] Serum lipid profile was worse, and hsCRP, fibrinogen, leukocyte count, osteopontin, and osteoprotegerin levels were significantly increased in patients with recently diagnosed ICA stenosis $>50\%$ compared with those in healthy controls. Serum osteopontin, osteoprotegerin, and hsCRP levels were significantly increased in patients with neurological symptoms and ICA stenosis $>50\%$ compared to those in patients with ICA stenosis $>50\%$ but with no symptoms and healthy controls. Only osteoprotegerin levels were significantly increased in patients with ICA stenosis $>50\%$ but with no symptoms compared with those in healthy controls.^[5]

The levels of plasma sCD36 were significantly increased in patients with high-grade ICA stenoses and clinical symptoms within the last 2 months from plaque compared to those in patients with high-grade ICA stenoses and symptoms within the last 2–6 months and asymptomatic patients. Immunohistochemistry revealed that CD36 was localized to the macrophage-rich area of intima within atherosclerotic lesion.^[30] CD146 expression was mainly on the carotid intraplaque blood vessels and infiltrated macrophages and strongly correlated with MMP-9 expression in the plaques. There was a significant correlation between the increased CD146 expression in the plaques and elevated serum sCD146 level in patients with carotid plaques. The sCD146 was significantly correlated with serum MMP-9, IL-6, and hsCRP.^[31]

The levels of serum enzyme chitin (YKL)-40 were significantly increased in patients with carotid atherosclerosis and particularly high in symptomatic patients. Carotid plaque YKL-40 mRNA levels were significantly increased in patients with recent ischemic symptoms within 2 months compared to those in other patients.^[32] Plaque and plasma suPAR levels were significantly increased in symptomatic patients with carotid plaques compared to those in asymptomatic patients with carotid plaques.^[33] Plasma S100A12 levels were significantly increased in patients with carotid atherosclerotic high-grade stenosis compared to those in healthy controls and highest in patients with the most recent symptoms within 2 months. IL-1 β and interferon- γ significantly enhanced S100A12 expression.^[34]

Carotid ultrasound revealed that plaque vulnerability was significantly increased in symptomatic patients with acute brain ischemia compared to that in asymptomatic patients with acute brain ischemia. Immunohistochemistry revealed that pro-inflammatory M1 macrophage concentration was significantly increased in plaques from symptomatic patients compared to that in plaques from asymptomatic patients while anti-inflammatory M2 macrophages were significantly increased in plaques from asymptomatic patients compared to those in plaques from symptomatic patients.^[35]

Inflammatory biomarkers were significantly increased in patients with carotid atherosclerosis compared to those in patients with middle cerebral artery atherosclerosis. The CRP levels were significantly inversely correlated with middle cerebral artery atherosclerosis. Results indicated that inflammatory biomarkers revealed clinical and radiological differences between patients with carotid atherosclerosis and patients with middle cerebral artery atherosclerosis. The stability of plaque associated with middle cerebral artery atherosclerosis may be significantly increased compared to that of plaque associated with carotid atherosclerosis.^[36] Serum IL-6, fibrinogen, erythrocyte sedimentation rate concentrations, and CRP values were significantly increased in patients with ICA stenosis compared to those in control group individuals.^[13] Blood IL-6 and triglycerides were significantly increased, and TIMP-1 and high-density lipoprotein cholesterol were significantly decreased in

symptomatic patients with carotid stenosis $\geq 50\%$ compared to those in asymptomatic patients with carotid stenosis $\geq 50\%$. Blood osteoprotegerin and lipoprotein-associated phospholipase A2 were significantly increased in carotid stenosis with recent symptoms compared to those in carotid stenosis with remote symptoms.^[37] The hematocrit levels were significantly increased in symptomatic patients with carotid plaques compared to those in asymptomatic patients with carotid plaques.^[10]

IL-1 receptor antagonist gene allele 2 frequency was significantly increased in patients with carotid atherosclerosis compared to that in nonatherosclerotic individuals.^[38] A dominant genotype MMP-3 -1612 6A/6A is associated with significantly increased blood active MMP-3 levels.^[19] *BRAP* gene GG genotype was significantly associated with an increased risk for having at least one carotid plaque compared to *BRAP* gene carrying an A allele.^[39] T245G polymorphism GG genotype, T950C polymorphism CC genotype, and G1181C polymorphism CC genotype were significantly increased in osteoprotegerin gene TNFRSF11B polymorphisms in patients with ICA stenosis who underwent CEA compared to those in controls, and significantly associated with high serum osteoprotegerin levels.^[40]

Infection risk factor

C. pneumoniae burden in carotid plaques was significantly associated with plaque IL-6 expression and serum IL-6 and CRP levels.^[41] The lipoprotein-associated phospholipase A2 in carotid plaque was correlated with serum homocysteine levels and plaque macrophages and *C. pneumoniae* which infected predominantly macrophages colocalizing with lipoprotein-associated phospholipase A2.^[42]

Association of therapy and risk factors

Serum total cholesterol, low-density lipoprotein cholesterol, apolipoprotein B, and CRP levels, as well as plaque IL-6 expression and plaque prevalence, were significantly decreased in patients with carotid plaques and statin therapy compared to those in patients with carotid plaques but without statin therapy.^[43] Serum total cholesterol, triglyceride, hsCRP, and IL-18 levels were significantly decreased after 12 months of statin therapy in hypercholesterolemic patients with carotid plaques treated with simvastatin 10 mg/d or atorvastatin 5 mg/d compared to those in hypercholesterolemic patients with carotid plaques but without statin therapy.^[12]

The frequency of low macrophage staining was significantly increased in CEA plaques obtained from women. Plaque interleukin-8 concentration and MMP-8 activity were significantly decreased in women compared to those in men. Smooth muscle cell content was significantly increased in a large proportion of plaques obtained from asymptomatic women. The prevalence of stable plaques was the highest in asymptomatic women. The stability of plaque was significantly increased, and inflammation of plaque was significantly decreased in women, especially asymptomatic women compared to those in men, resulting in a decreased

benefit of surgical plaque removal. The benefit was significantly decreased in CEA to prevent stroke in women compared to that in men, especially in asymptomatic women compared to that in asymptomatic men or symptomatic patients.^[16] Plaque and plasma oxidized low-density lipoprotein levels and pathologically vulnerable plaque incidence were significantly increased in stroke patients with a variety of symptoms who underwent early CEA within 4 weeks of the last symptom compared to those in stroke patients who received CEA in a late stage beyond 4 weeks from last symptom.^[44] Some of the vulnerability biomarkers, especially those reflecting an active systemic inflammatory process of plaque such as pregnancy-associated protein A, hsCRP, and IL-6, were significantly increased before and after carotid stenting, and significantly decreased after 30 days.^[45]

CONSEQUENCES OF HUMAN CAROTID ATHEROSCLEROTIC PLAQUE

Outcomes of human carotid atherosclerotic plaque

Outcomes of human carotid atherosclerotic plaque include acute ischemic stroke or acute brain infarction, transient ischemic attack, cardiovascular death, myocardial ischemia, or myocardial infarction. For example, frequency of acute brain ischemia including acute ischemic stroke or transient ischemic attack was significantly increased in elderly type 2 diabetes mellitus patients with carotid stenosis and heat shock protein 70-2 gene B⁺ allele genotype (at position 1267) compared to that in elderly type 2 diabetes mellitus patients with carotid stenosis and heat shock protein 70-2 gene B⁻ allele genotype (at position 1267).^[2] Ipsilateral stroke or cardiovascular death incidence was significantly increased in patients with carotid stenosis $\geq 50\%$ and elevated plasma MMP-9 levels compared to that in patients with carotid stenosis $\geq 50\%$ but without elevated plasma MMP-9 levels.^[46] Serum MMP-9, sCD40 ligand (sCD40L), and hsCRP levels were significantly increased, and TIMP-1 levels were significantly decreased in stroke patients compared to those in asymptomatic patients.^[47] The prevalence of stroke or myocardial infarction was significantly increased in hypertensive patients with carotid atherosclerosis compared to that in hypertensive patients without carotid atherosclerosis.^[6] Complex carotid plaques in patients with stable angina pectoris may be associated with ischemic stroke risk.^[7] Plaque T-cells accumulation and IL (-6, -17A, -21, and -23) and vascular cell adhesion molecule-1 expression were significantly increased in patients with carotid plaques and ischemic symptoms compared to those in patients with carotid plaques but without ischemic symptoms.^[48] Event rates of newly developed myocardial ischemia, cardiovascular death, and ischemic stroke were significantly increased in coronary disease patients with high hsCRP and carotid echolucent plaque on the far wall from CCA to proximal ICA compared to those in coronary disease patients with high hsCRP but without carotid echolucent plaque on the far wall from CCA to proximal ICA.^[49] The

incidence of cerebrovascular events >6 months before carotid surgery was significantly increased in chronic kidney disease patients with advanced carotid stenosis >70% compared to that in patients with advanced carotid stenosis >70% but without chronic kidney disease.^[8] CD40L expression was the highest on peripheral blood monocytes in patients with large artery atherosclerotic acute brain infarction, and significantly increased in patients with carotid atherosclerotic brain infarction compared to that in patients without carotid atherosclerosis. Serum sCD40L was significantly increased in patients with acute brain infarction compared to that in patients with carotid stenosis or healthy controls, and significantly correlated with increased disability.^[50] Stroke incidence rates were significantly decreased in patients with essential hypertension and mild hyperlipidemia receiving lipid-lowering agent and antihypertensive treatment for 24 months compared to those in control group.^[4]

Role of human carotid atherosclerotic vulnerable or unstable plaque components

The MMP-9 level was significantly increased in plaques with histological evidence of carotid plaque instability.^[23] The prevalence of carotid plaque instability associated significantly with serum MMP-9 quartiles was significantly increased compared to that associated with serum MMP-9 quartile 1.^[20] Active MMP-8 concentrations were significantly increased in carotid plaques of patients showing histological evidence of rupture compared to those in carotid plaques of patients without showing histological evidence of rupture. Plaque MMP-8 protein and mRNA were colocalized with macrophages.^[24] Active intraplaque MMP-8 levels were significantly increased in asymptomatic patients with carotid plaque progression compared to those in asymptomatic patients without carotid plaque progression.^[3] Carotid plaque oxidized low-density lipoprotein levels and MMP-9 activity were significantly increased in the vulnerable group compared to those in the stable group.^[44] MMP (-1, -9, -12, and -14) were significantly increased in vulnerable carotid plaques compared to those in stable carotid plaques. TIMP-3 expression was the highest in stable carotid plaques.^[51] Vulnerable plaque formation risk was significantly decreased in carotid plaque with MMP-14 position + 7096 TC + CC genotype compared to that in carotid plaque with MMP-14 TT genotype.^[52]

IL-18 mRNA levels were significantly increased in symptomatic unstable carotid plaques compared to those in asymptomatic stable carotid plaques.^[27] Vulnerable and ruptured complicated carotid plaques in patients with ischemic symptoms were significantly associated with high IL-17A expression levels.^[48] Pro-inflammatory M1 macrophages expressed in unstable plaques defined by carotid ultrasound were significantly increased compared to those expressed in stable plaques, while anti-inflammatory M2 macrophages expressed in stable plaques were significantly increased compared to those expressed in unstable plaques.^[35] Serum osteoprotegerin levels,^[40] as well as TNF- α , IL-6 and fibrinogen concentrations, leukocyte count, monocyte count,

and CRP values,^[13] were significantly increased in patients with unstable plaques compared with those in patients with stable plaques. There is a relationship between selected serum inflammatory biomarkers' activity and unstable ICA atherosclerotic stenosis.^[13]

Plaque criteria

So far, the criteria of IMT defining plaques were not concordant worldwide from IMT 1.0 mm to 1.5 mm. For example, a distinct area protruding into vessel lumen with $\geq 50\%$ significantly increased thickness compared with surrounding areas,^[20] or $IMT \geq 1.0$ mm,^[53] or $IMT \geq 1.1$ mm,^[54] or $IMT \geq 1.3$ mm,^[6] or $IMT > 1.4$ mm,^[55] or $IMT \geq 1.5$ mm^[56] was defined as plaque. The only concordant criterion of IMT defining plaque worldwide should be determined from $IMT \geq 1.0$ mm or ≥ 1.1 mm or ≥ 1.2 mm or ≥ 1.3 mm or ≥ 1.4 mm or ≥ 1.5 mm.

Progress

So far, very little is known about the pathogenesis of human carotid atherosclerotic plaque vulnerability. The present data suggested that molecular and cellular changes in carotid atherosclerotic plaque might be its cause. The greatest effect of inflammation might be on the factors involved in carotid atherosclerotic plaque vulnerability. Distal carotid region plaques are histologically stable while proximal carotid region plaques are vulnerable. Proximal ICA atherosclerotic plaque vulnerability might be significantly increased. These questions require further exploration in the future. It was only after these reports that the age and gender difference in the risk factors of human carotid atherosclerotic plaque were discovered.^[16,18,53,55,57] We will further explore the risk factors and outcomes of human carotid atherosclerotic plaques, molecular and cellular mechanism of human carotid atherosclerotic plaque vulnerability, and whether the changes of biomarkers are truly necessary and sufficient to cause human carotid atherosclerotic plaque vulnerability.

CONCLUSION

This review describes the latest researches regarding the interactive effects of both traditional and novel risk factors for human carotid atherosclerotic plaques, novel insights into human carotid atherosclerotic plaque composition and blood components, and consequences of human carotid atherosclerotic plaque. Carotid plaque biology and serologic biomarkers of vulnerability can be used to predict the risk of cerebrovascular events. Furthermore, plaque composition, rather than lesion burden, seems to most predict rupture and subsequent thrombosis.

Financial support and sponsorship

Nil.

Conflicts of interest

There are no conflicts of interest.

REFERENCES

1. Nighoghossian N, Derex L, Douek P. The vulnerable carotid artery plaque: Current imaging methods and new perspectives. *Stroke*

2005;36:2764-72. doi: 10.1161/01.STR.0000190895.51934.43.

2. Giacconi R, Caruso C, Lio D, Muti E, Cipriano C, Saba V, *et al.* 1267 HSP70-2 polymorphism as a risk factor for carotid plaque rupture and cerebral ischaemia in old type 2 diabetes-atherosclerotic patients. *Mech Ageing Dev* 2005;126:866-73. doi: 10.1016/j.mad.2005.03.007.

3. Turu MM, Krupinski J, Catena E, Rosell A, Montaner J, Rubio F, *et al.* Intraplaque MMP-8 levels are increased in asymptomatic patients with carotid plaque progression on ultrasound. *Atherosclerosis* 2006;187:161-9. doi: 10.1016/j.atherosclerosis.2005.08.039.

4. Zhu S, Su G, Meng QH. Inhibitory effects of micronized fenofibrate on carotid atherosclerosis in patients with essential hypertension. *Clin Chem* 2006;52:2036-42. doi: 10.1373/clinchem.2006.074724.

5. Kadoglou NP, Gerasimidis T, Golemati S, Kapelouzou A, Karayannacos PE, Liapis CD. The relationship between serum levels of vascular calcification inhibitors and carotid plaque vulnerability. *J Vasc Surg* 2008;47:55-62. doi: 10.1016/j.jvs.2007.09.058.

6. Yang XF, Chen YZ, Su JL, Wang FY, Wang LX. Relationship between serum bilirubin and carotid atherosclerosis in hypertensive patients. *Intern Med* 2009;48:1595-9. doi: 10.2169/internalmedicine.48.2286.

7. Sugioka K, Naruko T, Hozumi T, Nakagawa M, Kitabayashi C, Ikura Y, *et al.* Elevated levels of neopterin are associated with carotid plaques with complex morphology in patients with stable angina pectoris. *Atherosclerosis* 2010;208:524-30. doi: 10.1016/j.atherosclerosis.2009.07.054.

8. Pelisek J, Hahntow IN, Eckstein HH, Ockert S, Reeps C, Heider P, *et al.* Impact of chronic kidney disease on carotid plaque vulnerability. *J Vasc Surg* 2011;54:1643-9. doi: 10.1016/j.jvs.2011.05.049.

9. Bassiouny HS, Davis H, Massawa N, Gewertz BL, Glagov S, Zarins CK. Critical carotid stenoses: Morphologic and chemical similarity between symptomatic and asymptomatic plaques. *J Vasc Surg* 1989;9:202-12. doi: 10.1016/0741-5214(89)90039-6.

10. Soimne L, Saimanen E, Malmberg-C  der K, Kovanen P, Lindsberg PJ, Kaste M, *et al.* Association of the fibrinolytic system and hemorheology with symptoms in patients with carotid occlusive disease. *Cerebrovasc Dis* 2005;20:172-9. doi: 10.1159/000087201.

11. Furukado S, Sakaguchi M, Yamagami H, Yagita Y, Hoshi T, Abe Y, *et al.* Cyclo-oxygenase-2 -765G> C promoter variants are associated with lower carotid plaque echogenicity in Japanese. *Cerebrovasc Dis* 2009;27:91-8. doi: 10.1159/000175767.

12. Yamagami H, Sakaguchi M, Furukado S, Hoshi T, Abe Y, Hougaku H, *et al.* Statin therapy increases carotid plaque echogenicity in hypercholesterolemic patients. *Ultrasound Med Biol* 2008;34:1353-9. doi: 10.1016/j.ultrasmedbio.2008.01.019.

13. Puz P, Lasek-Bal A, Ziaja D, Kazibutowska Z, Ziaja K. Inflammatory markers in patients with internal carotid artery stenosis. *Arch Med Sci* 2013;9:254-60. doi: 10.5114/aoms.2013.34533.

14. Wasserman BA, Sharrett AR, Lai S, Gome AS, Cushman M, Folsom AR, *et al.* Risk factor associations with the presence of a lipid core in carotid plaque of asymptomatic individuals using high-resolution MRI: The multi-ethnic study of atherosclerosis (MESA). *Stroke* 2008;39:329-35. doi: 10.1161/STROKEAHA.107.498634.

15. Saito H, Kuroda S, Hirata K, Magota K, Shiga T, Tamaki N, *et al.* Validity of dual MRI and F-FDG PET imaging in predicting vulnerable and inflamed carotid plaque. *Cerebrovasc Dis* 2013;35:370-7. doi: 10.1159/000348846.

16. Hellings WE, Pasterkamp G, Verhoeven BA, De Kleijn DP, De Vries JP, Seldenrijk KA, *et al.* Gender-associated differences in plaque phenotype of patients undergoing carotid endarterectomy. *J Vasc Surg* 2007;45:289-97. doi: 10.1016/j.jvs.2006.09.047.

17. Korol RM, Canham PB, Liu L, Viswanathan K, Ferguson GG, Hammond RR, *et al.* Detection of altered extracellular matrix in surface layers of unstable carotid plaque: An optical spectroscopy, birefringence and microarray genetic analysis. *Photochem Photobiol* 2011;87:1164-72. doi: 10.1111/j.1751-1097.2011.00960.x.

18. Dietrich M, Jacques PF, Polak JF, Keyes MJ, Pencina MJ, Evans JC, *et al.* Segment-specific association between plasma homocysteine level and carotid artery intima-media thickness in the Framingham Heart Study. *J Stroke Cerebrovasc Dis* 2011;20:155-61. doi: 10.1016/j.jstrokecerebrovasdis.2009.10.012.

19. Lien LM, Hsieh YC, Bai CH, Chen WH, Chiu HC, Hsieh FI, *et al.*

- Association of blood active matrix metalloproteinase-3 with carotid plaque score from a community population in Taiwan. *Atherosclerosis* 2010;212:595-600. doi: 10.1016/j.atherosclerosis.2010.05.040.
20. Tan C, Liu Y, Li W, Deng F, Liu X, Wang X, *et al.* Associations of matrix metalloproteinase-9 and monocyte chemoattractant protein-1 concentrations with carotid atherosclerosis, based on measurements of plaque and intima-media thickness. *Atherosclerosis* 2014;232:199-203. doi: 10.1016/j.atherosclerosis.2013.11.040.
 21. Cipollone F, Fazio M, Iezzi A, Ciabattini G, Pini B, Cucurullo C, *et al.* Balance between PGD synthase and PGE synthase is a major determinant of atherosclerotic plaque instability in humans. *Arterioscler Thromb Vasc Biol* 2004;24:1259-65. doi: 10.1161/01.ATV.0000133192.39901.be.
 22. Cipollone F, Fazio ML, Iezzi A, Cucurullo C, De Cesare D, Uchino S, *et al.* Association between prostaglandin E receptor subtype EP4 overexpression and unstable phenotype in atherosclerotic plaques in human. *Arterioscler Thromb Vasc Biol* 2005;25:1925-31. doi: 10.1161/01.ATV.0000177814.41505.41.
 23. Loftus IM, Naylor AR, Goodall S, Crowther M, Jones L, Bell PR, *et al.* Increased matrix metalloproteinase-9 activity in unstable carotid plaques. A potential role in acute plaque disruption. *Stroke* 2000;31:40-7. doi: 10.1161/01.STR.31.1.40.
 24. Molloy KJ, Thompson MM, Jones JL, Schwalbe EC, Bell PR, Naylor AR, *et al.* Unstable carotid plaques exhibit raised matrix metalloproteinase-8 activity. *Circulation* 2004;110:337-43. doi: 10.1161/01.CIR.0000135588.65188.14.
 25. Abbas A, Aukrust P, Russell D, Krohg-Sørensen K, Almås T, Bundgaard D, *et al.* Matrix metalloproteinase 7 is associated with symptomatic lesions and adverse events in patients with carotid atherosclerosis. *PLoS One* 2014;9:e84935. doi: 10.1371/journal.pone.0084935.
 26. Sluijter JP, Pulskens WP, Schoneveld AH, Velema E, Strijder CF, Moll F, *et al.* Matrix metalloproteinase 2 is associated with stable and matrix metalloproteinases 8 and 9 with vulnerable carotid atherosclerotic lesions: A study in human endarterectomy specimen pointing to a role for different extracellular matrix metalloproteinase inducer glycosylation forms. *Stroke* 2006;37:235-9. doi: 10.1161/01.STR.0000196986.50059.e0.
 27. Mallat Z, Corbaz A, Scoazec A, Besnard S, Lesèche G, Chvatchko Y, *et al.* Expression of interleukin-18 in human atherosclerotic plaques and relation to plaque instability. *Circulation* 2001;104:1598-603. doi: 10.1161/hc3901.096721.
 28. Abbas A, Gregersen I, Holm S, Daissormont I, Bjerkeli V, Krohg-Sørensen K, *et al.* Interleukin 23 levels are increased in carotid atherosclerosis: Possible role for the interleukin 23/interleukin 17 axis. *Stroke* 2015;46:793-9. doi: 10.1161/STROKEAHA.114.006516.
 29. Golledge J, McCann M, Mangan S, Lam A, Karan M. Osteoprotegerin and osteopontin are expressed at high concentrations within symptomatic carotid atherosclerosis. *Stroke* 2004;35:1636-41. doi: 10.1161/01.STR.0000129790.00318.a3.
 30. Handberg A, Skjelland M, Michelsen AE, Sagen EL, Krohg-Sørensen K, Russell D, *et al.* Soluble CD36 in plasma is increased in patients with symptomatic atherosclerotic carotid plaques and is related to plaque instability. *Stroke* 2008;39:3092-5. doi: 10.1161/STROKEAHA.108.517128.
 31. Qian YN, Luo YT, Duan HX, Feng LQ, Bi Q, Wang YJ, *et al.* Adhesion molecule CD146 and its soluble form correlate well with carotid atherosclerosis and plaque instability. *CNS Neurosci Ther* 2014;20:438-45. doi: 10.1111/cns.12234.
 32. Michelsen AE, Rathcke CN, Skjelland M, Holm S, Ranheim T, Krohg-Sørensen K, *et al.* Increased YKL-40 expression in patients with carotid atherosclerosis. *Atherosclerosis* 2010;211:589-95. doi: 10.1016/j.atherosclerosis.2010.02.035.
 33. Edsfeldt A, Nitulescu M, Grufman H, Grönberg C, Persson A, Nilsson M, *et al.* Soluble urokinase plasminogen activator receptor is associated with inflammation in the vulnerable human atherosclerotic plaque. *Stroke* 2012;43:3305-12. doi: 10.1161/STROKEAHA.112.664094.
 34. Abbas A, Aukrust P, Dahl TB, Bjerkeli V, Sagen EB, Michelsen A, *et al.* High levels of S100A12 are associated with recent plaque symptomatology in patients with carotid atherosclerosis. *Stroke* 2012;43:1347-53. doi: 10.1161/STROKEAHA.111.642256.
 35. Cho KY, Miyoshi H, Kuroda S, Yasuda H, Kamiyama K, Nakagawara J, *et al.* The phenotype of infiltrating macrophages influences atherosclerotic plaque vulnerability in the carotid artery. *J Stroke Cerebrovasc Dis* 2013;22:910-8. doi: 10.1016/j.jstrokecerebrovasdis.2012.11.020.
 36. Bang OY, Lee PH, Yoon SR, Lee MA, Joo IS, Huh K. Inflammatory markers, rather than conventional risk factors, are different between carotid and MCA atherosclerosis. *J Neurol Neurosurg Psychiatry* 2005;76:1128-34. doi: 10.1136/jnnp.2004.054403.
 37. Musialek P, Tracz W, Tekieli L, Pieniazek P, Kablak-Ziembicka A, Przewlocki T, *et al.* Multimarker approach in discriminating patients with symptomatic and asymptomatic atherosclerotic carotid artery stenosis. *J Clin Neurol* 2013;9:165-75. doi: 10.3988/jcn.2013.9.3.165.
 38. Worrall BB, Azhar S, Nyquist PA, Ackerman RH, Hamm TL, DeGraba TJ. Interleukin-1 receptor antagonist gene polymorphisms in carotid atherosclerosis. *Stroke* 2003;34:790-3. doi: 10.1161/01.STR.0000057815.79289.EC.
 39. Liao YC, Wang YS, Guo YC, Ozaki K, Tanaka T, Lin HF, *et al.* BRAP activates inflammatory cascades and increases the risk for carotid atherosclerosis. *Mol Med* 2011;17:1065-74. doi: 10.2119/molmed.2011.00043.
 40. Straface G, Biscetti F, Pitocco D, Bertoletti G, Misuraca M, Vincenzoni C, *et al.* Assessment of the genetic effects of polymorphisms in the osteoprotegerin gene, TNFRSF11B, on serum osteoprotegerin levels and carotid plaque vulnerability. *Stroke* 2011;42:3022-8. doi: 10.1161/STROKEAHA.111.619288.
 41. Johnston SC, Zhang H, Messina LM, Lawton MT, Dean D. Chlamydia pneumoniae burden in carotid arteries is associated with upregulation of plaque interleukin-6 and elevated C-reactive protein in serum. *Arterioscler Thromb Vasc Biol* 2005;25:2648-53. doi: 10.1161/01.ATV.0000189157.88630.d1.
 42. Atik B, Johnston SC, Dean D. Association of carotid plaque Lp-PLA(2) with macrophages and *Chlamydia pneumoniae* infection among patients at risk for stroke. *PLoS One* 2010;5:e11026. doi: 10.1371/journal.pone.0011026.
 43. Verhoeven BA, Moll FL, Koekkoek JA, van der Wal AC, de Kleijn DP, de Vries JP, *et al.* Statin treatment is not associated with consistent alterations in inflammatory status of carotid atherosclerotic plaques: A retrospective study in 378 patients undergoing carotid endarterectomy. *Stroke* 2006;37:2054-60. doi: 10.1161/01.STR.0000231685.82795.e5.
 44. Suzue A, Uno M, Kitazato KT, Nishi K, Yagi K, Liu H, *et al.* Comparison between early and late carotid endarterectomy for symptomatic carotid stenosis in relation to oxidized low-density lipoprotein and plaque vulnerability. *J Vasc Surg* 2007;46:870-5. doi: 10.1016/j.jvs.2007.06.039.
 45. Setacci C, de Donato G, Chisci E, Setacci F, Stella A, Faggioli G, *et al.* Deferred urgency carotid artery stenting in symptomatic patients: Clinical lessons and biomarker patterns from a prospective registry. *Eur J Vasc Endovasc Surg* 2008;35:644-51. doi: 10.1016/j.ejvs.2008.02.003.
 46. Eldrup N, Grønholdt ML, Sillesen H, Nordestgaard BG. Elevated matrix metalloproteinase-9 associated with stroke or cardiovascular death in patients with carotid stenosis. *Circulation* 2006;114:1847-54. doi: 10.1161/CIRCULATIONAHA.105.593483.
 47. Ding S, Zhang M, Zhao Y, Chen W, Yao G, Zhang C, *et al.* The role of carotid plaque vulnerability and inflammation in the pathogenesis of acute ischemic stroke. *Am J Med Sci* 2008;336:27-31. doi: 10.1097/MAJ.0b013e31815b60a1.
 48. Erbel C, Dengler TJ, Wangler S, Lasitschka F, Bea F, Wambgsans N, *et al.* Expression of IL-17A in human atherosclerotic lesions is associated with increased inflammation and plaque vulnerability. *Basic Res Cardiol* 2011;106:125-34. doi: 10.1007/s00395-010-0135-y.
 49. Ishizu T, Seo Y, Machino T, Kawamura R, Kimura T, Murakoshi N, *et al.* Prognostic impact of plaque echolucency in combination with inflammatory biomarkers on cardiovascular outcomes of coronary artery disease patients receiving optimal medical therapy. *Atherosclerosis* 2011;216:120-4. doi: 10.1016/j.atherosclerosis.2011.01.048.
 50. Wang JH, Zhang YW, Zhang P, Deng BQ, Ding S, Wang ZK, *et al.* CD40

- ligand as a potential biomarker for atherosclerotic instability. *Neurol Res* 2013;35:693-700. doi: 10.1179/1743132813Y.0000000190.
51. Müller A, Krämer SD, Meletta R, Beck K, Selivanova SV, Rancic Z, *et al.* Gene expression levels of matrix metalloproteinases in human atherosclerotic plaques and evaluation of radiolabeled inhibitors as imaging agents for plaque vulnerability. *Nucl Med Biol* 2014;41:562-9. doi: 10.1016/j.nucmedbio.2014.04.085.
52. Li C, Jin XP, Zhu M, Chen QL, Wang F, Hu XF, *et al.* Positive association of MMP 14 gene polymorphism with vulnerable carotid plaque formation in a Han Chinese population. *Scand J Clin Lab Invest* 2014;74:248-53. doi: 10.3109/00365513.2013.879731.
53. Chapman CM, Beilby JP, McQuillan BM, Thompson PL, Hung J. Monocyte count, but not C-reactive protein or interleukin-6, is an independent risk marker for subclinical carotid atherosclerosis. *Stroke* 2004;35:1619-24. doi: 10.1161/01.STR.0000130857.19423.ad.
54. Ban Y, Watanabe T, Miyazaki A, Nakano Y, Tobe T, Idei T, *et al.* Impact of increased plasma serotonin levels and carotid atherosclerosis on vascular dementia. *Atherosclerosis* 2007;195:153-9. doi: 10.1016/j.atherosclerosis.2006.09.005.
55. Makita S, Nakamura M, Hiramori K. The association of C-reactive protein levels with carotid intima-media complex thickness and plaque formation in the general population. *Stroke* 2005;36:2138-42. doi: 10.1161/01.STR.0000181740.74005.ee.
56. Corrado E, Rizzo M, Tantillo R, Muratori I, Bonura F, Vitale G, *et al.* Markers of inflammation and infection influence the outcome of patients with baseline asymptomatic carotid lesions: A 5-year follow-up study. *Stroke* 2006;37:482-6. doi: 10.1161/01.STR.0000198813.56398.14.
57. Liu H, Cao Y, Tong T, Shi J, Zhang Y, Yang Y, *et al.* Autophagy in atherosclerosis: A phenomenon found in human carotid atherosclerotic plaques. *Chin Med J* 2015;128:69-74. doi: 10.4103/0366-6999.147815.