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OPEN The Efficacy of Prophylactic **Antibiotics on Post-Stroke** Infections: An Updated Systematic **Review and Meta-Analysis**

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Post-stroke infections are common complications in acute stroke patients and are associated with an unfavorable functional outcome. However, reports on the effects of prophylactic antibiotics treatment on post-stroke infections are conflicting, especially those on post-stroke pneumonia and outcomes. We searched the PubMed, Embase, and Web of Knowledge databases up through March 11th, 2016. Seven randomized controlled trials including 4261 patients were analyzed among this systematic review and meta-analysis. We found preventive antibiotics treatment at the time of stroke onset did reduce the incidence of infections in adults with acute stroke (OR = 0.57, 95% CI: 0.38-0.85, P = 0.005), including reducing the number of urinary tract infections (OR = 0.34, 95% CI: 0.26–0.46, P < 0.001), but did not significantly decrease the rate of post-stroke pneumonia (OR = 0.91, 95% CI: 0.73–1.13, P = 0.385). Importantly, antibiotics treatment also showed no significant effect on the number of fatalities among stroke patients (OR = 1.07, 95% CI: 0.90–1.26, P = 0.743) and functional outcome scores on the modified Rankin Scale (OR = 1.76, 95% CI: 0.86–3.63, p = 0.124). Our study indicated that preventive antibiotics treatment not reduced the rate of post-stroke pneumonia or mortality, even though decreased the risk of infections, especially urinary tract infections. Thus, preventive antibiotics treatment may not be recommended for acute stroke patients.

Stroke is a leading cause of death worldwide. It is often followed by complications, especially infections, which occur in 30% of stroke patients and are strongly associated with unfavorable outcomes and high mortality¹⁻³. Pneumonia and urinary tract infections were the most common types of post-stroke infections². Furthermore, post-stroke pneumonia occurs in 10% of patients after an acute stroke, about half of which occur within the first 48 h after stroke onset^{4,5}. Moreover, post-stroke pneumonia is associated with high mortality⁶. These results indicate that preventive anti-infection strategies may be beneficial for patients who have suffered a stroke.

Previous studies on the preventive use of antimicrobials in patients with acute stroke found conflicting results. Recently, a meta-analysis including 506 patients concluded that prophylactic antibiotics treatment after the onset of stroke significantly reduced the infection rate without major adverse effects, but had no effect on the mortality rate. Moreover, its effectiveness in reducing post-stroke pneumonia was ambiguous⁷. Further, existing guidelines for management of acute stroke state that prophylactic use of antibiotics is not recommended because it has not been proven to be effective⁸. Since the previous meta-analysis, there have been more multicenter randomized controlled trials with larger samples. Therefore, we performed this updated meta-analysis to systematically assess the effects of prophylactic antibiotics treatment on post-stroke infections and the occurrence of mortality among adult acute stroke patients. Importantly, we first take a subgroup meta-analysis of the effects of the preventive use of antimicrobials on the rate of post-stroke pneumonia and urinary tract infections.

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Figure 1. Flow diagram of study identification.

Results

Characteristics of eligible studies. There were 808 records identified using different search strategies in three databases (345 from PubMed, 192 from Embase, and 270 from Web of Knowledge) and 1 record identified by ref. 9. After removing 197 duplications and 591 unrelated records by reading the titles and abstracts, 20 records warranted further screening through full-text reading. After full-text reading, 13 records were excluded including 5 records with insufficient data, 5 comments and 3 reviews. Finally, 7 studies fulfilled the eligibility criteria for inclusion in the primary analysis^{9–15}. The study selection diagram is shown in Fig. 1.

The characteristics of the studies included in analysis are listed in Table 1. Those studies were published during 1998–2015. Four studies only included ischemic stroke patients^{9,11–13} and three studies included ischemic and hemorrhagic stroke patients^{10,14,15}. Six studies were included for infection analysis. There were four studies with sufficient data for analyzing cases of pneumonia and urinary tract infections. The remaining six studies were involved in the analysis of mortality rates. The results of the Jadad Scale showed that four studies had high quality scores and three studies had low quality scores. A risk of bias table for each study is provided in Supplementary Table S1.

Preventive Antibiotics Treatment at Stroke Onset and Outcomes. Among the seven observational studies, 4261 patients were included. There were six studies used to analyze the effects of preventive antibiotics treatment on post-stroke infections, and one study did not provide efficiency data¹¹. And the definitions used for infection in all included studies were presented in Supplementary Table S3. In all, 3831 patients (treatment group: 1926 patients; control group: 1905 patients) were included. The results of our meta-analysis suggested that preventive antibiotics treatment at the time of stroke onset reduced the occurrence of infections in adults with acute stroke (OR = 0.57, 95% CI: 0.38–0.85, P=0.005) (Fig. 2A, Table 3).

However, among the subgroup analysis, only four studies were used to analyze the effects of preventive antibiotics treatment on post-stroke pneumonia, urinary tract infections and other infections, as the other studies did not report the occurrence rates. Thus, 3894 patients (treatment group: 1952 patients; control group: 1942 patients) were included. In this meta-analysis, we found that prophylactic antibiotics did not reduce the incidence of pneumonia in adult patients with acute stroke (OR = 0.91, 95% CI: 0.73–1.13, P = 0.385) (Fig. 2B, Table 3) and other infections (OR = 1.00, 95% CI: 0.63–1.60, P = 0.996) (Supplementary Figure S1), but decreased the incidence of urinary tract infections significantly (OR = 0.34, 95% CI: 0.26–0.46, P < 0.001) (Fig. 2C, Table 3).

Preventative antibiotics treatment at the time of stroke onset also did not reduce the mortality rate in adults with acute stroke (OR = 0.91, 95% CI: 0.73-1.13, P = 0.743) (Fig. 2D, Table 3). All of the studies included in this

Author (publication year)	Inclusion criteria	Exclusion Criteria	Intervention	Outcomes	Inclusion NIHSS Treatment vs Control	Sample size	Infections Treatment vs Control	Pneumonia Treatment vs Control	Urinary tract infections Treatment vs Control	Mortality Treatment vs Control	Jadad Score
De Falco <i>et al.</i> 9	Ischemic stroke within 12 hours	NA	Penicillin intramuscularly	Infectious complications, case fatality, functional outcome (BI, CNS)	CNS score: Mean (SD), 4.5 (2.3) vs 4.1 (2.1)	38/42	4/30 vs 8/30	NA	NA	4/38 vs 7/42	2
Chamorro <i>et al.</i> ¹⁰	Stroke within 24 hours; age >18 y; NIHSS > score 4	Infection <3 months; T >37. 7°C; allergy to fluoroquinolones; epilepsy; seizures; serum creatinine >2.5 mg/dL, antibiotics user; immunosuppressants therapy <3 months	Intravenous 500 mg/100 mL levofloxacin for 3 days, started within 24 h of stroke onset	Early infection (within 7 days), mortality, favorable outcome on day 90 (mRS <2, NIHSS <2, BI 95 or 100)	Median (interquartile), 14 (7–19) vs 11 (7–18)	67/69	11/67 vs 13/69	NA	NA	16/67 vs 9/69	5
Lampl et al. ¹¹	Ischemic stroke within 6 to 24 hours; age >18 y; NIHSS score >5	Hemorrhagic stroke; other disease; pre-existing neurologic disability; tetracycline allergic; renal failure; pre- existing infectious disease; swallowing difficulties	Orally minocycline 200 mg/d for 5 days, started within 6–24 h of stroke onset	NIHSS on day 90; NIHSS, mRS, BI, death on day 7, 30, 90	Mean (SD), 7.6 (3.8) vs 7.5 (3.2)	74/77	NA	NA	NA	5/74 vs 9/77	2
Harms et al. ¹²	Ischemic stroke within 9 to 36 hours; aged ≥ 18 y; NIHSS score ≥11 in MCA territory	Hemorrhagic stroke; infections; antibiotics therapy <24h; contraindications against moxifloxacin; immunosuppressant treatment	Intravenous 400 mg/d moxifloxacin for 5d, started within 36h of stroke of onset	Infection rate on 11th day, bacterial spectrum, moxifloxacin resistance, body temperature, CRP, survival and functional outcome (BI) on day 180	Median (interquartile), 17 (12–21) vs 15 (12–25)	39/40	6/39 vs 13/40	3/39 vs 8/40	3/39 vs 5/40	1/39 vs 3/40	5
Schwarz <i>et al.</i> ¹³	Ischemic stroke within 24 hours; age >18 y; NIHSS score >5	Hemorrhagic stroke; infections; renal insufficiency; penicillin or sulbactam allergic; immunosuppressant treatment; pregnancy	Intravenous mezlocillin 6 g/d plus sulbactam 1 g/d for 4 d, started within 24 h of stroke onset	mRS on day 90, infection, daily temperature	Median (interquartile), 17 (8–28) vs 15 (5–27)	30/30	15/30 vs 27/30	5/30 vs 7/30	8/30 vs 18/30	0/30 vs 0/30	2
Westendorp <i>et al.</i> ¹⁴	$\begin{array}{c} \text{Stroke} \\ \text{within} \\ 24 \text{ hours;} \\ \text{aged} \geq \\ 18 \text{ y; NIHSS} \\ \text{score} \geq 1 \end{array}$	Infections; antibiotics therapy <24 h; pregnancy; penicillin or cephalosporins allergic; subarachnoid hemorrhage;	Intravenous 2g/d ceftriaxone for 4d, started within 24 h of stroke of onset	mRS on 3 months, mortality, infection	Median (interquartile), 5 (3–9) vs 5 (3–9)	1268/1270	130/1268 vs 218/1270	71/1268 vs 88/1270	46/1268 vs 127/1270	131/1268 vs 136/1270	3
Kalra <i>et al.</i> ¹⁵	Stroke within 48 hours and with dysphagia; aged >18 y	Allergic to antibiotics; infections; preexisting dysphagia; pyrexia; pregnancy; imminent death	amoxicillin or co- amoxiclav, plus clarithromycin for 7 d, started within 24 h of stroke of onset	Post-stroke pneumonia and mortality on day 14 and 90, mRS <2 on day 90, adverse events	Median (interquartile), 15 (9–20) vs 14 (9–20)	615/602	123/615 vs 136/602	101/615 vs 91/602	15/615 vs 39/602	184/615 vs 158/602	4

Table 1. Characteristics of the studies included in the meta-analysis. NA, not available; BI, Barthel Index;CNS, central nervous system; SD, standard deviation; NIHSS, National Institute of Health Stroke Scale. T,temperature; mRS, modified Rankin Score; MCA, middle cerebral artery; CRP, C-reactive protein.

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meta-analysis reported the mortality rate, but one study had no deaths in either the treatment group or control group¹³, so it was excluded, and the remaining studies including 4201 patients were analyzed (treatment group: 2101 patients; control group: 2100 patients). Indeed, as the modified Rankin Scale (mRS) score 0–2 was defined as a good outcome, preventative antibiotics treatment also did not show a benefit on the functional outcome evaluating by mRS score 0–2 (OR = 1.76, 95% CI: 0.86–3.63, p = 0.124) (Fig. 3 and Table 3). Besides, the antibiotic related side effects the outcome of neurological recovery were listed in Table 2.

Heterogeneity analysis. Obvious between-study heterogeneity was found while estimating the effects of preventive antibiotics treatment on post-stroke infections ($I^2 = 63.4\%$, P = 0.018) (Fig. 2A, Table 3). To explore the between-study heterogeneity, a Galbraith plot was generated to graphically evaluate the sources. One study¹³ was outside the bounds of the Galbraith plot (Supplementary Figure S2), which was identified as the primary source of our between-study heterogeneity. Once this study was removed, the heterogeneity effectively decreased ($I^2 = 46.6\%$, P = 0.112, Table 3). Although no obvious heterogeneity was detected again among the remaining



Figure 2. Forest plots of infections (**A**), pneumonia (**B**), urinary tract infections (**C**) and mortality (**D**) with prophylactic antibiotics treatment at stroke onset in observational studies. OR, odds ratio; CI, confidence interval.

Author (publication year)	Diarrhea Treatment vs Control	Multi-drug resistant infection Treatment vs Control	mRS score 0–2 Treatment vs Control		
De Falco et al.9	NA	NA	NA		
Chamorro et al.10	NA	NA	NA		
Lampl et al.11	NA	NA	67/74 vs 35/77		
Harms et al.12	2/40 vs 2/39	NA	NA		
Schwarz et al.13	NA	NA	0/30 vs 0/ 30		
Westendorp et al.14	2/1242 vs 0/1270	6/1242 vs 5/1270	781/1268 vs 763/1270		
Kalra <i>et al.</i> ¹⁵	2/615 vs 4/602	11/615 vs 14/602	109/595 vs 121/586		

 Table 2. The outcome of antibiotic related side effects and the outcome of neurological recovery. NA, not available.

studies, the corresponding pooled OR changed little (OR = 0.65, 95% CI: 0.55-0.77, P < 0.001) (Supplementary Figure S3). Therefore, preventive antibiotics treatment at the time of stroke onset may significantly reduce the risk of infections in adults with acute stroke.

In contrast, among the occurrence rate of post-pneumonia, urinary tract infections and mortality analysis, there was no obvious between-study heterogeneity ($I^2 = 31.0\%$, P = 0.225; $I^2 = 0.0\%$, P = 0.826; $I^2 = 26.2\%$, P = 0.238, respectively) (Fig. 2B–D).

Sensitivity analysis and publication bias. Each study was removed sequentially to verify the effect of each individual study on our on our results. No obvious changes were found after excluding any study. Therefore, our results were reliable (data not shown). Both Egger's and Begg's methods were applied to explore the publication bias in our meta-analysis. There was no significant publication bias among the occurrence rate of post-stroke infections, post-stroke pneumonia, urinary tract infections, mortality analysis in our study (Begg's test: P = 0.348, P = 0.734, P = 0.497, P = 1.000 respectively). The funnel plots are shown in Supplementary Figure S5.

Discussion

In this meta-analysis including data from 4261 patients, significant associations were found between preventive antibiotics treatment at stroke onset and post-stroke infections. We also found that the use of



Figure 3. Forest plots of mRS (0–2) with prophylactic antibiotics treatment at stroke onset in observational studies. OR, odds ratio; CI, confidence interval.

		Test of association				Heterogeneity		
Groups	Studies	OR[95%CI]	p value	Model	Z	\mathbf{X}^2	p value	I ² (%)
Infections	6	0.57[0.39-0.82]	0.003	RE	3	11.77	0.038	57.50%
Infections (except one study)	5	0.63[0.53-0.75]	< 0.001	FE	5.18	5.81	0.214	31.1%,
Pneumonia	4	0.91[0.73-1.13]	0.385	FE	0.87	4.35	0.225	31.0%,
Urinary tract infections	4	0.34[0.26-0.46]	< 0.001	FE	7.37	0.9	0.826	0.00%
mRS (0–2)	4	1.76[0.86-3.63]	0.124	RE	1.54	29.08	< 0.001	93.1%

Table 3. Summary of meta-analysis results. RE, random effects; FE, fixed effects; OR, odds ratio; CI, confidence interval.

preventive antibiotics was not associated with a significant reduction in mortality rate. Compared with previous meta-analyses^{7,16}, this meta-analysis contained more studies and the sample size was more than 8 times larger. Further, among our subgroups analysis we first found that preventive antibiotics treatment could not decrease the occurrence rate of post-stroke pneumonia, even though showed an effect on reducing urinary tract infections. We have found that no major side-effects were reported, although 2 patients developed exanthema or elevated liver enzymes with mezlocillin plus sulbactam treatment¹³.

Post-stroke infections were the most common complications of the acute phase after stroke, and most infections occurred within three days of hospital admission¹⁷. 30% of post-stroke patients experienced subsequent infection, including pneumonia and urinary tract infections that each occured in 10% of patients². As the studies have been performed in general wards as well as ICU's, pneumonia was mostly diagnosed within the first day following a stroke¹⁸⁻²⁰. However, we found prophylactic antibiotic treatment did not decrease the occurrence rate of post-stroke pneumonia, although it was associated with a significant reduction in post-stroke infections and urinary tract infections. The most likely explanation is that post-stroke pneumonia may be a respiratory syndrome resulting from multiple factors, including medical complications and complex bacterial, chemical, and immunological causes that might not be preventable with antibiotics alone^{14,21}. For example, acute stroke may lead to stroke-induced immunodepression by the cholinergic pathway, reducing lymphocyte count and delaying the recovery of T-lymphocyte loss, which may promote stroke patients developing post-stroke pneumonia²²⁻²⁴. More importantly, other existing preventive measures such as positioning, regular suction, swallowing techniques and modified diets may also be essential for patients with suspected post-stroke pneumonia in specialist stroke units²⁵. For example, dysphagia screening, malnutrition, recumbency and tube feeding were associated with high risks for stroke patients developing pneumonia^{26,27}. However, some studies found that a standardized multidisciplinary protocolized feeding tube placement has a beneficial role in preventing aspiration pneumonia in mildly or moderately disabled post-stroke patients^{28,29}. Those may indicate that the stroke patient's clinical conditions have a close link with pneumonia. Previous studies also found that patients with a higher stroke severity or lower levels of consciousness showed higher infection rates, in particular for pneumonia^{30,31}. Further, the lesion location of a stroke also influenced the occurrence rate of post-stroke pneumonia. An ischemic lesion occurring in the anterior middle cerebral artery cortex has a higher susceptibility to stroke-associated infections^{32,33}. Therefore, more normative cohort studies may elucidate why prophylactic antibiotics treatment could decrease the occurrence rate of post-stroke infections but have no effect on pneumonia.

In agreement with previous studies^{7,16}, we also found that preventive antibiotics treatment at stroke onset could reduce the occurrence rate of post-stroke infections, which were associated with decreased functional outcome. Thus, the question arises as to why preventive antibiotics treatment did have a significant effect on post-stroke infections but no influence on the mortality rate. The explanation may be that infections could be just a marker or bystander of poor functional outcome, and preventive antibiotics treatment might not change the course of disease. On the other hand, as the modified Rankin Scale (mRS) score 0–2 was defined as a good outcome, out of four studies that reported the effects of preventive antibiotics treatment with a mRS score, one study had invalid data¹³. We also found that preventive antibiotics treatment showed no significant association with functional outcome. Thus, the other explanation may be that the type and dose of antibiotics were varied among the different studies. Indeed, some antibiotics showed neuroprotective properties in animal models of stroke, including minocycline and ceftriaxone^{34,35}. Among ischemic stroke patients who received oral minocycline for 5 days, male but not female patients had significantly better neurological outcomes on day 90 than male patients³⁴. Thus, in further studies, the male and female patients should be analyzed separately.

During analysis of the association between preventive antibiotics treatment and post-stroke infections, obvious heterogeneity was found. We noted that one study contributed to the heterogeneity across all included studies, which potentially confounded the analyses¹³. When we removed this study, the corresponding pooled RR value with very few changes was stable and reliable. The reason may be that this study excluded patients with a life expectancy of fewer than 90 days, possibly leaving only the less severely affected patients and, thus, overestimating the effect of preventive antibiotic therapy. Importantly, previous studies have confirmed that stroke severity was a risk factor for post-stroke infection³⁶. Moreover, some potential bias had an important influence on the analysis, including publication bias and selection bias, but little evidence of publication bias was observed. Furthermore, only eligible studies published in English were included in this meta-analysis, so additional research in other populations is warranted to support generalization of the findings.

We acknowledge that there were several limitations in our study. First, relatively few studies were included in the meta-analysis, which restrict the strength and quality of the evidence. Only two studies used a randomized and double-blind design^{10,12}, and other studies would increase the risk of bias and then may diminished the reliability of our results. Second, the diagnosis standard of infections were different among the studies included. Third, the inclusion criteria of antibiotic types were various among all included studies. It is possible that different antibiotic type may have varied beneficial effect against post-stroke infection. However, what a pity, all included studies did not present available data to conduct subgroup analysis by these factors (sex, stroke severity, and antibiotics type). Besides, even though we found some benefit of prophylactic antibiotic in urinary tract infection, we can't identify what subgroup (e.g high NIHSS or elderly or antibiotic class) is better because those studies did not conduct subgroup analysis on urinary tract infection. The information regarding the cause of infections and death was unavailable, as was any individual patient data that might be used to establish the influence of different antibiotics treatments, dose–response relationships, or associations between sex and outcome. Lastly, there are no persuasive evidences to confirm whether antibiotics prophylaxis is good for stroke patients or not. Thus, larger randomized controlled trials are required.

Methods

This meta-analysis and systematic review protocol has been follow the PRISMA (Preferred Reporting Items for Systematic Reviews and Meta-Analyses) statement.

Search strategy and selection criteria. All published articles were searched in the PubMed, Embase, and Web of Knowledge databases up through March 11th, 2016. Key words were identified as follows: "stroke", "cerebral infarction", "brain infarction", "cerebrovascular disease", "cerebral hemorrhage", "intracranial hemorrhage" or "cerebrovascular disorder"; and "infection", "inflammation", "fever", "bacteraemia", "sepsis", or "pneumonia"; and "antimicrobial agents", "antibiotics" or "prophylactic antibiotics"; and "prophylaxis" or "prevention". The updated literature search, data extraction, and quality assessments were conducted independently by two authors (L.L., X.-Y. X.). All articles were retrieved and their references were checked to avoid missing other relevant articles. If data were not included in the original articles, we contacted the authors to obtain them.

Inclusion and Exclusion Criteria. The inclusion criteria were as follows: (1) studies evaluating the effects of preventive antibiotics treatment in adult acute stroke patients (predefined as age 16 years or older); (2) randomized controlled trials were eligible for inclusion; and (3) The effect estimates of studies could be extracted or calculated from the available data. The exclusion criteria were as follows: (1) studies with insufficient data to calculate or extract effect estimates and (2) case reports, reviews, comments, abstracts or animal studies.

Data Extraction. Two independent researchers (L.L., X.-Y. X.) conducted data extraction to ensure the reliability of the results. Disagreement was resolved by discussion. The information of studies meeting the inclusion criteria were extracted using a standardized extraction form. Relevant information included the first author's name, publication year, inclusion criteria, exclusion criteria, intervention, outcomes, the numbers of cases and controls, the infection rate, urinary tract infections and pneumonia rate, other infections rate, the rate of mortality, mRS score, antibiotic related side effects and the Jadad Score.

Assessment of Study Quality and Statistical Analysis. The quality of the studies was evaluated by two independent reviewers (L.L., X.Y.X.) according to the Jadad Scale, which contains three dimensions: randomization, blinding and withdrawals for case-control studies. The Jadad Scale is a validated 5-point scale assessing the following criteria: (1) whether randomized (yes = 1 point, no = 0); (2) whether randomization was described appropriately (yes = 1 point, no = 0); (3) whether double-blind (yes = 1 point, no = 0); (4) whether the

double-blinding was described appropriately (yes = 1 point, no = 0); and (5) whether the dropouts and withdrawals were described (yes = 1 point, no = 0). The quality score ranges from 0 to 5 points, where 0-2 points is defined as a low-quality report study and a high quality study score is at least 3 points.

For all included studies, we (L.L and X.Y.X.) independently assessed the risk of bias for the following items: adequacy of sequence generation; allocation concealment; blinding of participants and personnel; blinding of outcome assessment; Incomplete outcome data; selective reporting; and other sources of bias. We have categorized these judgments as 'low', 'high', or 'unclear' risk of bias³⁷.

For each study, unadjusted odds ratios (ORs) and their corresponding 95% confidence intervals (CIs) were derived from patient numbers with each outcome categorized by antibiotics treatment. A Z test was conducted to assess the statistical significance of pooled ORs and 95% Cis. I-squared (I²) statistic and Chi-square-based Q-tests were used to investigate the heterogeneity across studies³⁸. The selection of effects models according to our heterogeneity test was as follows: P > 0.10 for the Q test and I² values less than 50% suggested no obvious heterogeneity across studies and a fixed (Mantel-Haenszel) effects model was applied; otherwise, a random (DerSimonian-Laird) effects model was used³⁹. In addition, Galbraith plots were used to further explore the source of between-study heterogeneity. We also performed sensitivity analysis by removing each included study in sequence to assess the stability of our results. Publication bias was evaluated via a funnel plot using both Egger's⁴⁰ and Begg's⁴¹ methods. Statistical significance was defined as a P-value <0.05. All statistical analyses were performed using STATA 12.0 software (Stata Corp, College Station, TX, USA).

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Author Contributions

Q.W.Y. conceived the study. L.L. and X.Y.X. collected the data and drafted the manuscript. Q.W.Y. and X.T.F. revised the manuscript and language. Q.Z. contacted the authors.

Additional Information

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