

Title: Genotype-immunophenotype relationships in *NPM1*-mutant AML clonal evolution uncovered by single cell multiomic analysis

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1 **Abstract**

2 Acute myeloid leukemia (AML) is a multi-clonal disease, existing as a milieu of clones with unique
3 but related genotypes as initiating clones acquire subsequent mutations. However, bulk
4 sequencing cannot fully capture AML clonal architecture or the clonal evolution that occurs as
5 patients undergo therapy. To interrogate clonal evolution, we performed simultaneous single cell
6 molecular profiling and immunophenotyping on 43 samples from 32 *NPM1*-mutant AML patients
7 at different stages of disease. Here we show that diagnosis and relapsed AML samples display
8 similar clonal architecture patterns, but signaling mutations can drive increased clonal diversity
9 specifically at relapse. We uncovered unique genotype-immunophenotype relationships
10 regardless of disease state, suggesting leukemic lineage trajectories can be hard-wired by the
11 mutations present. Analysis of longitudinal samples from patients on therapy identified dynamic
12 clonal, transcriptomic, and immunophenotypic changes. Our studies provide resolved
13 understanding of leukemic clonal evolution and the relationships between genotype and cell state
14 in leukemia biology.

15 **Main**

16 Acute myeloid leukemia (AML) is an aggressive blood cancer that arises from the aberrant
17 expansion of mutant hematopoietic stem and progenitor cells, which leads to the blockade of
18 normal differentiation. Variant allele frequencies (VAF) inferred from large-scale bulk sequencing
19 studies largely suggest that AML initiating mutations in epigenetic regulators (*TET2*, *DNMT3A*,
20 *IDH1/2*) are followed by mutations in signaling genes (*RAS*, *FLT3*)¹⁻³. One of the most recurrently
21 mutated AML genes is nucleophosmin 1, *NPM1*, which is mutated in approximately 30% of adults
22 with AML¹⁻³. *NPM1*-mutant AML is considered a distinct disease entity by both the World Health
23 Organization (WHO) and International Consensus Classification (ICC)^{4,5} and typically harbors
24 epigenetic modifier and/or signaling gene co-mutations^{6,7}. However, the synergistic interactions
25 of these mutations and their contributions towards clonal fitness and transformation remain to be
26 uncovered.

27
28 Recent large cohort single cell multiomic (DNA + cell surface protein expression) studies by us
29 and others have assessed the clonal architecture of myeloid malignancies, including AML, and
30 provided improved resolution to AML clonal heterogeneity⁸⁻¹¹. These studies revealed that
31 mutations in epigenetic regulators vs signaling genes have different representation in the
32 dominant clone, and mutational combinations may affect lineage output^{8,9}. Longitudinal sampling
33 of AML patients while undergoing targeted therapy with FLT3 or IDH inhibitors were performed in
34 small cohorts and suggested significant dynamics in clones over time and while under selective
35 pressure¹²⁻¹⁴. These bulk sequencing and single cell multiomic studies highlight the need to better
36 understand clonal evolution while patients undergo therapy and to assess how specific
37 combinations of mutations may create divergent evolutionary trajectories for leukemia even within
38 similarly classified AML patients (i.e. *NPM1*-mutant AML).

39

40 In this study, we perform single cell multiomic analysis on 609,314 cells in 43 samples from 32
41 *NPM1*-mutated AML patients to interrogate how different co-mutations may dictate evolutionary
42 trajectories for mutant clones. We first interrogate clonal architecture patterns in *NPM1*-mutated
43 AML across different disease states and how co-mutations affect clonal framework patterns in
44 individual patient samples. We identify genotype-immunophenotype correlations within the cohort
45 to understand how co-mutations affect differentiation patterns in AML. We next analyze clonal
46 evolution in longitudinal samples from 8 patients who underwent 7+3 chemotherapy and identify
47 distinct patterns in clonal changes, even across patients with the same genotype. Using a
48 complementary single cell multiomic approach, CITE-seq, we further investigate gene expression
49 differences at diagnosis and relapse, unveiling significant alterations in connected signaling
50 cascades and protein ubiquitination pathways, suggestive of alternative signaling as cells respond
51 to therapy.

52

53 **Results**

54 *Clonal architecture patterns suggest similar heterogeneity levels between diagnosis and relapse*
55 *samples*

56 We performed simultaneous single cell molecular profiling and cell surface protein expression
57 (DNA+Protein) sequencing on 609,314 cells from 43 samples from 32 patients with *NPM1*-
58 mutated AML. *NPM1* and all co-mutations were initially identified and confirmed through targeted
59 bulk sequencing (**Fig. 1ab; Extended Table 1**). The most common co-mutations identified with
60 bulk sequencing were in *FLT3* (n = 17), *IDH1/2* (n = 15), and *TET2* (n = 14). Eighty seven percent
61 of patients had two or more mutations in addition to *NPM1* mutations. We queried samples from
62 patients at different stages of disease, including diagnosis (n = 20), complete response (CR; n =
63 4) while on therapy, and relapse (n = 19) (**Fig. 1b; Extended Data Fig. 1**). For 24 patients, we
64 sequenced a single sample from their disease course. Eight patients from our cohort were
65 longitudinally sampled (2-3 samples) while on variations of a standard cytotoxic chemotherapy

66 regimen, known as 7+3¹⁵, which consists of 7 days of continuous cytarabine with 3 days of
67 dauno/doxo-rubicin (**Extended Data Fig. 1; Extended Table 2**). For each patient we generated
68 a clonograph to determine the abundance and heterogeneity of clones present in each patient
69 (**Fig. 1c**).

70

71 We first investigated differences in clonal architecture between the various disease states:
72 diagnosis, CR, and relapse across the entire cohort. There were no significant differences in the
73 number of mutations per sample or the number of mutations in the dominant clone (defined as
74 the largest non-wildtype clone) between disease states (**Extended Data Fig. 2ab**), suggesting
75 that alterations to mutational burden are not the main driver of response or relapse. No significant
76 difference in dominant clone size between diagnosis and relapse was observed (**Fig. 2a**). There
77 was, however, a significant decrease in the number of distinct clones per sample ($P = 0.007$) and
78 Shannon diversity index ($P = 0.005$) from diagnosis to CR and subsequent increase in these same
79 parameters from CR to relapse (number of clones, $P = 0.03$; Shannon diversity index, $P = 0.03$;
80 **Fig. 2bc**). This pattern suggests that the clonal heterogeneity observed at initial diagnosis returns
81 with relapse through expansion of the existing clones and/or the development of new clones that
82 replace ones lost during therapy and response.

83

84 *Presence of signaling mutations correlate with increased heterogeneity at relapse*

85 We then examined whether there were differences in the clonal framework among diagnosis and
86 relapse samples classified by co-mutations in epigenetic modifier genes (*IDH1/2*, *TET2*,
87 *DNMT3A*) versus stratification based on the presence or absence of signaling gene mutations
88 (*FLT3*, *RAS/MAPK*). We observed no differences in the number of mutations/clones or dominant
89 clone size between samples harboring different epigenetic gene mutations (**Extended Data Fig.**
90 **2cde**). Correspondingly, we also did not see significant differences in clonal diversity between
91 samples stratified by epigenetic gene mutations (**Fig. 2d**). However, samples with mutations in

92 signaling genes, *RAS/MAPK* and *FLT3*, were observed to have an increased number of mutations
93 (None vs *RAS* $P = 0.004$, vs. *FLT3* $P = 0.0003$) and clones (None vs *RAS* $P = 0.0003$, vs *FLT3* P
94 = 0.0002) compared to samples without signaling mutations with no notable difference in the
95 dominant clone size (**Extended Data Fig. 2fgh**). Further, *FLT3* mutant samples were found to
96 have increased clonal diversity as compared with samples without any signaling mutations ($P =$
97 0.002; **Fig. 2e**). Critically, this signaling mutant-driven increase in clonal complexity was uniquely
98 identified in the relapse setting, as we did not observe significant differences in the clonal metrics
99 in diagnosis samples (**Extended Data Fig. 2ij, Fig. 2f**). These findings significantly improve the
100 resolution and add clinical context for a similar pattern we observed previously in a larger cohort
101 identifying an increase in clonal diversity in AML samples with mutations in signaling genes⁸.
102 Moreover, these findings suggest that patients who harbor signaling gene mutations may undergo
103 relapse through increasing overall clonal diversity and polyclonality, where multiple clones are
104 competing for increased clonal fitness and dominance. However, patients without signaling gene
105 mutations do not show increased clonal heterogeneity in the relapse setting, suggesting they may
106 utilize alternative mechanisms to drive relapse.

107

108 *Mutational cooperativity levels vary based on co-mutation*

109 We next investigated patterns of mutational cooperativity in *NPM1*-mutated AML samples. Our
110 previous study suggested that *NPM1* mutations may drive clonal expansion when co-mutant with
111 epigenetic modifiers and signaling mutations, albeit to varying degrees based on the co-mutation⁸.
112 Aligning with our previous study, we observed similar patterns across all epigenetic co-mutations,
113 with an increased relative clone size of double-mutant clones compared with single-mutant *TET2*
114 ($P = 0.03$), *IDH2* ($P < 0.0001$), or *DNMT3A* clones ($P = 0.01$) and/or single-mutant *NPM1* clones
115 (*TET2* $P = 0.03$; *IDH2* $P < 0.0001$; *DNMT3A* $P = 0.03$) identified in the sample (**Fig. 2g**). Between
116 the epigenetic mutations, we noted a stronger trend towards increased double mutant clone size
117 for *IDH2/NPM1* co-mutant clones compared to *DNMT3A* or *TET2* co-mutant clones. Conversely,

118 for samples with co-occurring signaling gene mutations, there was more variability in the size of
119 *NPM1* single mutant clones with less evidence of cooperativity in the *NPM1-RAS* or *NPM1-FLT3*
120 double mutant clones (**Extended Data Fig. 2k**). Significant clonal expansion was more evident
121 when comparing single mutant *FLT3* ($P = 0.003$) or *NRAS* ($P = 0.04$) to double mutant clones.
122 These studies suggest that mutational cooperativity is highly context dependent and may vary
123 significantly based on co-mutation identity and the synergy between the cellular alterations
124 imparted by both *NPM1* and the co-mutation.

125

126 *Immunophenotypic analysis reveals lineage biases across disease stages*

127 We next assessed cell surface protein expression across the sample cohort at single cell
128 resolution. Analysis of the single cell surface protein expression (scProtein) confirmed that
129 overlapping immunophenotypes could be observed across individual samples and patients within
130 the cohort (**Extended Data Fig. 3a**). Cells were then clustered into 31 unique communities based
131 on similarities in their aggregated expression of measured cell surface markers with each
132 community defined by the expression of more than one marker (**Fig. 3a; Extended Data Fig.**
133 **3bc**). Upon stratification of samples based on disease stage (Diagnosis, CR, Relapse), we found
134 that the heterogeneity of community representation calculated by a Shannon index is not
135 significantly different (**Extended Data Fig. 3de**). However, we did observe certain communities
136 that were enriched or depleted in representation based on disease stage (**Fig. 3b, Extended**
137 **Data Fig. 3d**). CR samples were enriched in representation in clusters 3 and 4, which contained
138 28.7% (cluster 3 = 16.5%; cluster 4 = 12.2%) of total cells from CR samples, compared to 8.8%
139 (cluster 3 = 3.8%; cluster 4 = 5.0%) and 7.1% (cluster 3 = 3.5%; cluster 4 = 3.6%) of cells from
140 Diagnosis and Relapse samples, respectively. Clusters 3 and 4 harbored cells with the highest
141 expression of the classical T-cell markers CD3, CD4, and CD8 (**Fig. 3bc, Extended Data Fig.**
142 **3c**). Leukemic samples (Diagnosis/Relapse), on the other hand, were found to be enriched in
143 clusters 0, 2, and 16 which contained 21.8% and 25.9% of total cells from Diagnosis and Relapse

144 samples, respectively. These clusters expressed higher levels of CD38, CD117 and CD123,
145 known markers for leukemic blasts as well as enrichment of CD11b, CD64, and CD14 shown to
146 be expressed on monocytic AML blasts and myeloid progenitors (**Fig. 3bc, Extended Data Fig.**
147 **3c**). There were certain clusters with stable representation regardless of disease stage, including
148 clusters 6, enriched in B-cell markers CD19 and CD22 (3.8% Diagnosis, 3.9% CR, 4.2%
149 Relapse). Lastly, we observed similar representation in cluster 8 across disease states (3.4%
150 Diagnosis, 3.0% CR, 3.2% Relapse), which express CD11b, CD64, and CD14 without
151 stem/progenitor markers and cluster 11 (3.4% Diagnosis, 3.8% CR, 2.7% Relapse) with
152 expression of promyelocytic markers CD141, CD71 and CD49d, suggesting that certain
153 immunophenotypes are always generated regardless of disease state.

154

155 *scProtein uncovers genotype-specific immunophenotypic patterns*

156 We next examined how specific genotypes within *NPM1*-mutant AML affected
157 immunophenotypes and lineage biases. We observed that genotypes could indeed alter lineage
158 output, albeit to varying degrees, aligning with our previous findings with a smaller initial scProtein
159 panel⁸. We observed that all mutant cells were significantly excluded from the T-cell clusters, to
160 different degrees depending upon the mutations. Amongst the mutated cells, *TET2* mutant cells
161 were the most abundant (odds ratio [OR] = 0.379 and 0.292 for clusters 3 and 4, respectively)
162 whilst *NPM1* and *FLT3* were markedly rare (*NPM1* OR = 0.057 and 0.063, *NRAS* OR = 0.159 and
163 0.157 for clusters 3 and 4, respectively; **Extended Data Fig. 3d**). We next grouped clones by
164 genotype from the entire cohort and investigated alterations to marker expression. We uncovered
165 stark contrasts between clones harboring *DNMT3A*, *TET2*, and *IDH2* (**Fig. 3d; Extended Data**
166 **Fig. 3c**). Clones harboring *DNMT3A*-mutations were enriched for higher CD38 expression and
167 lower CD11b expression compared to clones harboring *TET2*- and *IDH2*-mutations (avg scaled
168 expression CD38: *DNMT3A*-clones 0.40+/-1.32 vs *IDH2*-clones 0.21+/-0.23 or *TET2*-clones -
169 0.42+/-1.22; CD11b: *DNMT3A*-clones -1.24+/-0.30 vs *IDH2*-clones 0.40+/-0.32 or *TET2*-clones

170 1.00+/-0.26). Clones harboring *IDH2*-mutations showed increased expression of stem/progenitor
171 markers such as CD141 previously suggested to represent neoplastic clones¹⁶, as well as CD34
172 and CD117 (avg scaled expression CD141: *IDH2*-clones 0.81+/-0.90 vs *DNMT3A*-clones -1.05+/-
173 0.76 or *TET2*-clones 0.30+/-0.33; CD34: *IDH2*-clones 0.92+/-1.06 vs *DNMT3A*-clones -0.43+/-
174 0.52 or *TET2*-clones -0.36+/-0.45; CD117: *IDH2*-clones 0.90+/-0.82 vs *DNMT3A*-clones -0.43+/-
175 1.05 or *TET2*-clones -0.23+/-0.71). Strikingly, clones harboring *TET2*-mutations diverged
176 significantly from *DNMT3A*- and *IDH2*-mutant clones in that they were instead enriched for
177 markers including CD14, CD11b and CD64, prominent mature monocytic markers (avg scaled
178 expression CD14: *TET2*-clones 1.20+/-0.59 vs *DNMT3A*-clones -0.79+/-0.34 or *IDH2*-clones -
179 0.42+/-0.73; CD64: *TET2*-clones 0.78+/-0.50 vs *DNMT3A*-clones -0.36+/-1.3 or *IDH2*-clones
180 0.02+/-0.24). These findings suggest that epigenetic mutations including *DNMT3A*, *TET2*, and
181 *IDH2* may dictate lineage biases and differentiation potential that is inherited by subsequent
182 clones.

183
184 Next, we found that *NRAS*- and *FLT3*- mutant clones of the same epigenetic genotype possessed
185 different immunophenotypic patterns (**Fig. 3d; Extended Data Fig. 3c**). Compared to
186 *DNMT3A/NPM1/NRAS*-mutant cells (DNR), *DNMT3A/NPM1/FLT3* co-mutant cells (DNF)
187 expressed 25.1-fold more CD123 and 14.0-fold more CD117, the latter being previously
188 suggested by immunophenotyping studies^{17,18} (average expression CD123: DNF: 55.9 vs DNR:
189 2.22; CD117: DNF: 29.6 vs DNR: 2.1). *TET2*-mutant clones harbored similar patterns with
190 *TET2/NPM1/FLT3* co-mutant clones showing higher expression of CD117 and CD123 compared
191 to *TET2/NPM1/NRAS* mutant clones (average expression CD117: TNF: 44.1 vs TNR: 7.28;
192 CD123: TNF: 55.5 vs TNR: 6.75). In *IDH2* mutant clones, an opposite trend was observed with
193 *IDH2/NPM1/NRAS* mutant clones expressing 5.4-fold higher CD117 and 7.1-fold higher CD34
194 compared to *IDH2/NPM1/FLT3* co-mutant clones, suggesting the *IDH2/NPM1/NRAS* combination
195 harbors a strong stem/progenitor phenotype (average expression CD117: INR: 186.6 vs INF:

196 34.8; CD34: INR: 40.7 vs INF: 5.69). Moreover, *FLT3*-mutant clones showed increased
197 expression of CD25, previously reported as a biomarker of *FLT3*-mutant cells¹⁹ as well as CD30
198 and CD69, both of which are known to be expressed on AML blasts with increased self-renewal
199 and stem-like properties^{20,21}. These results imply that signaling mutations can refine further
200 lineage trajectories established by epigenetic mutations in antecedent clones, creating unique
201 genotype-immunophenotype relationships.

202

203 *Longitudinal sampling of patients during therapy uncovers genotypic and immunophenotypic*
204 *clonal evolution*

205 To investigate how standard cytotoxic chemotherapy affects patients on a clonal and
206 immunophenotypic level, we obtained longitudinal samples from *NPM1*-mutant AML patients
207 (n=8) undergoing 7+3 chemotherapy (**Extended Table 1, Extended Data Fig. 1**). Profiling of
208 longitudinal samples revealed variable patterns in clonal evolution but most patients displayed
209 notable alterations in the number of mutations and clones from diagnosis to complete response
210 and/or relapse (**Fig. 4a; Extended Data Fig. 4a**). Interestingly, even in patients whose mutations
211 remained the same, the distribution of mutant clones fluctuated throughout therapy. Our previous
212 studies and others have identified significant genotype-immunophenotype correlations in *RAS*
213 and/or *FLT3*-mutant clones^{8,9,12}. In our cohort, we had two patients in particular who gained or lost
214 signaling mutations while on therapy. In one patient, a dominant *NRAS*-mutant clone harboring
215 co-occurring *TET2/NPM1* mutations was lost during therapy (Pt G; **Fig. 4bc; Extended Data Fig.**
216 **4b**). We found that the loss of this triple mutant clone in the relapse sample correlated with
217 increased expression of dendritic and monocytic markers CD135 (*FLT3*) and CD16, respectively
218 (CD135 $P = 1.1 \times 10^{-209}$, CD16 $P = 9.6 \times 10^{-15}$; **Fig. 4de**). The clonal evolution in Pt G was also
219 correlated with decreased expression of myeloid and stem/progenitor markers CD33 and CD117
220 (CD33 $P = 7.2 \times 10^{-211}$, CD117 $P = 5.2 \times 10^{-57}$; **Fig. 4e; Extended Data Fig. 4cd**). Conversely, in
221 a second patient, separate *RAS* and *FLT3*-mutant subclones were acquired upon relapse (Pt I;

222 **Fig. 4fg; Extended Data Fig. 4e**). We found that compared to the diagnosis sample, the relapsed
223 disease was enriched for higher expression of CD117 across the sample but also CD14 (CD117
224 $P = 9.3 \times 10^{-31}$, CD14 $P = 5.0 \times 10^{-235}$; **Fig. 4h; Extended Data Fig. 4f**). These findings confirm
225 previously identified genotype-immunophenotype relationships and further suggest that 7+3
226 therapy can have varying effects on clonality and immunophenotype. Moreover, we have
227 observed the profound alterations that gains/losses of signaling mutations have on the
228 immunophenotype of a patient's disease during therapy.

229

230 *Significant clonal evolution is correlated with genotypic, transcriptional, and immunophenotypic*
231 *alterations*

232 Our patient cohort also included a patient (Pt F) who displayed significant clonal evolution, i.e. a
233 clonal sweep where the genotype and clones of the leukemia significantly changed⁸ (**Fig. 5a;**
234 **Extended Data Fig. 5a**). This patient was initially diagnosed with AML that harbored *TET2* and
235 *NPM1* co-mutations. However, upon relapse on 7+3 therapy the patient instead harbored an *IDH1*
236 mutation co-occurring with the *NPM1* mutation with no evidence of the initial *TET2*-mutant clones
237 (**Fig. 5a, Extended Data Fig. 5a**). scProtein analysis revealed that while diagnosis clones were
238 enriched for expression of monocytic markers CD14, CD11b, and CD33 (all P values $P < 1.0 \times$
239 10^{-250}), clones identified at relapse were enriched for CD117 expression suggesting a more
240 immature phenotype following therapy (CD117 $P = 8.24 \times 10^{-11}$; **Fig. 5bc, Extended Data Fig.**
241 **5bc**). We next sought to connect these immunophenotypic changes to the clones identified at
242 each disease state. We found genotype-immunophenotype relationships consistent with our
243 cohort analysis (**Fig. 3d**). *TET2*-mutant clones at diagnosis showed increased expression of
244 monocytic markers CD14, CD11b, CD16, and CD64 (adj P value for all $< 1.0 \times 10^{-80}$; **Fig. 5d,**
245 **Extended Data Fig. 5d**). Conversely, *IDH1*-mutant clones at relapse showed decreased
246 expression of monocytic markers in favor of CD117 (adj P value = 8.2×10^{-11}). These findings
247 reconfirm the existence of genotype-immunophenotype relationships and that they can drastically

248 alter the immunophenotype of a patient's disease based on the gain or loss of certain mutations
249 and clones during therapy. Notably, these findings indicate that relapse can manifest in a more
250 immature cell state, in contrast to numerous reports indicating monocytic differentiation is a
251 therapy escape mechanism²²⁻²⁴.

252

253 To connect these immunophenotype changes to gene expression changes, we performed single
254 cell RNA-seq with cellular indexing of transcriptomes and epitopes (CITE-seq) analysis of the
255 AML samples. We queried the matched samples from Pt F (**Fig. 5**), which showed drastic clonal
256 evolution and an *IDH2*-mutant relapse sample (Pt B) whose *IDH2* mutation was stable from
257 diagnosis and relapse (**Fig. 6**). First, we identified captured cell types through label transfer from
258 a normal adult hematopoiesis reference²⁵. These AML samples contained cell clusters identified
259 as Multilineage/GMPs (Multilin-GMP-1), monocytes (Intermediate Mono, Classical/Non-classical
260 Mono, Mono), dendritic cells (pre-DC, cDC), and T cells (CD8, CD4) (**Fig. 6a; Extended Data**
261 **Fig. 6a**). Compared to the diagnosis sample, we identified a decrease in Intermediate Mono-1
262 and Intermediate Mono-2 cells and an increase in Multilin-GMP-1 cells in relapsed samples
263 (**Extended Data Fig. 6b**). We subsequently focused on immunophenotype changes within the
264 three samples across the three most prevalent cell clusters (**Extended Data Fig. 6c**). A subset of
265 Multilin-GMP cells in the relapse samples trended towards increased CD117 expression
266 compared to the diagnosis sample (Pt F relapse: did not reach significance; Pt B relapse:
267 $P < 0.0002$; **Fig. 6b**), aligning with our findings of *IDH2*-mutant clones and heightened expression
268 of stem/progenitor markers. Moreover, a prominent intermediate monocyte population
269 (Intermediate Mono 1) showed increased expression of CD14 and CD11b in the Pt F diagnosis
270 sample compared to the paired relapse sample (CD14 $P < 0.0001$; CD11b $P = 0.0008$; **Fig. 6cd**).
271 When we evaluated the gene expression of shared cell surface markers between our CITE-seq
272 and scDNA+Protein immunophenotype panels, we found that expression of certain marker genes
273 like *CD14* and *ITGAM* (CD11b) correlated well with protein expression, whereas other genes like

274 *IL3RA* (CD123) had stable RNA expression compared to variable cell surface expression across
275 the three clusters (**Extended Data Fig. 6cd**). Overall, these findings suggest that CITE-seq can
276 refine the immunophenotype patterns observed in our scDNA+Protein analysis to specific cell
277 populations.

278

279 We next interrogated significant gene expression changes between diagnosis and relapse,
280 focusing on the cell populations which correlated with our scProtein immunophenotypic
281 alterations. Upon comparing our diagnosis sample to the patient paired (Pt F) and unpaired (Pt
282 B) relapse samples, we found significant differential expression of several protein ubiquitination
283 genes including upregulation of *HUWE1* and *HECTD1*, E3 ubiquitin ligases with established roles
284 in leukemia²⁶ and stem cell²⁷ proliferation and regeneration, respectively (**Fig. 6ef**). Multiple genes
285 involved in Wnt/ β -catenin pathway activation, previously shown to be important in *MLL*-
286 rearranged and *HOX*-dependent leukemia development²⁸⁻³⁰, were upregulated in relapse
287 samples, including *AXIN1*, *LRRFIP2*, and *UBE2B*. Protein interaction analysis uncovered a
288 significant upregulation of multiple kinase and phosphatase genes including *KRAS*, *BRAF*, and
289 *PIK3CA*, suggesting transcriptional changes to the RAS-MAPK-PI3K pathways and other
290 signaling networks, known to play roles in development of therapy resistance^{24,31,32} (**Fig. 6fg**).
291 Further, *SMURF2* was found to be upregulated as well in relapse samples, which has been
292 previously implicated in controlling *KRAS* protein stability³³. RAS/MAPK pathway activating
293 mutations have been commonly found at relapse from various targeted therapies^{12,23,34,35}. Our
294 results indicate that there is substantial gene expression dysregulation of signaling cascades,
295 including RAS/MAPK, even in the absence of activating mutations. Collectively, these studies
296 indicate that the genotypes of AML clones play significant roles in dictating the cellular
297 immunophenotypes and clonal lineage potentials, underscoring the need for further resolution of
298 genotype-transcriptome-immunophenotype relationships in AML development and evolution.

299

300 Discussion

301 *NPM1* is one of the most commonly mutated genes in AML^{1-3,6}, and previous studies have
302 suggested different levels of synergy between *NPM1* and co-occurring mutations^{8,9,36}, as well as
303 significant clonal changes while patients are undergoing targeted therapies^{12,13}. In this study, we
304 have utilized scDNA+Protein analysis to further examine the clonal architecture patterns in *NPM1*-
305 mutated AML samples, as well as understand genotype-immunophenotype correlations as
306 patients undergo standard of care chemotherapy. We first identified that differences in clonal
307 architecture exist depending on the co-occurring mutations with *NPM1* on a patient level. Notably,
308 we also found that *RAS/FLT3*-mutant AMLs had significantly increased clonal diversity,
309 particularly in the relapse setting, suggesting that AMLs with signaling gene mutations may use
310 clonal heterogeneity to drive relapse compared to AMLs without signaling genes. The findings in
311 our study could be critical in understanding why further insight into these mutations and mutational
312 combinations holds importance for even more nuanced risk stratification for AML patients. While
313 our studies here focus on a very common subtype of AML with co-mutations that exist broadly
314 across all AML patients, the scDNA targeted amplicon panel does exclude the identification of all
315 possible mutations that exist and/or are gained and lost during therapy. The mutational
316 cooperativity analyses in our study are important in helping to understand differences in clone
317 sizes; however, our cooperativity results may be limited by potential allele dropout in *NPM1* single-
318 mutant clones. Furthermore, additional non-somatic alterations may be playing a role in
319 leukemogenesis and clonal evolution^{37,38}, which could be further explored by scRNA sequencing
320 and scATAC-seq.

321
322 Additionally, our single cell immunophenotypic analyses in this study revealed specific genotype-
323 immunophenotype relationships. Surprisingly our data suggests that mutations thought of as
324 initiating mutations, (*DNMT3A*, *TET2*, and *IDH1/2*), seem to dictate the lineage trajectories for
325 subsequent clones. For instance, we found that *DNMT3A*- and *IDH*-mutant clones, with or without

326 *NPM1* and signaling mutations, show enrichment in hematopoietic stem/progenitor cell markers
327 CD117, CD123, and CD34. Meanwhile, *TET2*-mutant clones show increased expression of
328 monocytic markers CD14, CD11b, and CD64. The remarkable divergence between *DNMT3A* and
329 *TET2*, the two most frequent mutations found in clonal hematopoiesis³⁹, suggests that the
330 immunophenotypes of the mutant leukemic clones may be influenced even by these early
331 mutations during leukemogenesis. Moreover, our studies infer that while initiating mutations
332 provide possible lineage trajectories, signaling mutations can refine these trajectories, again
333 underscoring that the genotype-immunophenotype relationships are highly unique to the
334 combination of mutations. Further studies are needed to understand the importance of these
335 relationships and how they impact response to both cytotoxic and targeted therapies.

336

337 Our study found notable clonal and immunophenotypic changes from diagnosis to relapse as
338 patients underwent standard cytotoxic chemotherapy. Relapse and refractory disease are major
339 contributors to the dismal outcomes observed in AML patients, with a 5-10% 5-year survival rate
340 in patients with relapsed/refractory disease⁴⁰. A better understanding of resistance mechanisms
341 and leukemic evolution as patients undergo therapy, can influence clinical management and
342 therapeutic options for AML patients. Interrogating longitudinal samples from patients who
343 underwent 7+3 therapy, we found that most patients' disease expressed more of an immature
344 phenotype in relapse, previously suggested in small studies of AML samples⁴¹. This is in contrast
345 with the changes that are observed the combination of the BCL-2 inhibitor, venetoclax, and
346 hypomethylating agent, azacitidine (Ven/Aza) and other recent therapies. A recurrent mechanism
347 of acquired resistance/relapse for Ven/Aza lies in the expansion of a myelomonocytic phenotype
348 blast population, characterized by higher CD11b/CD14 expression and enriched for *NRAS/MAPK*
349 mutations^{22-24,34}. While outside the scope of this study, these divergent findings bring into question
350 whether the selective pressures imposed by different treatment regimens influence how leukemic

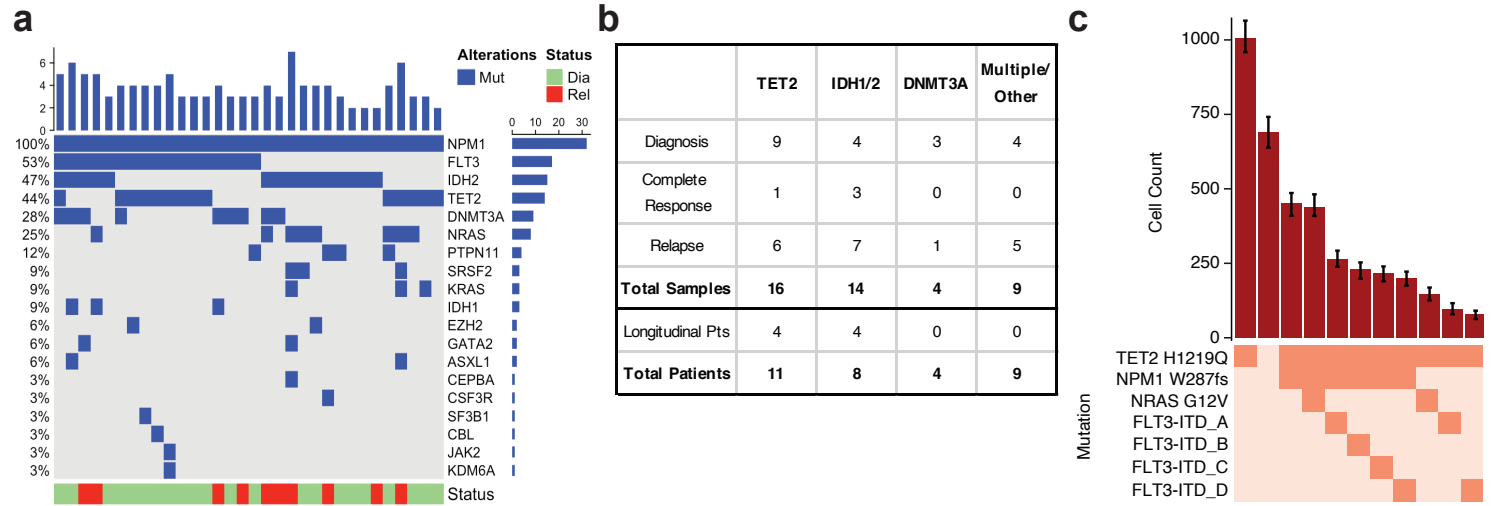
351 clones respond and therefore how clonotypes and immunophenotypes will change during therapy
352 and upon relapse.

353
354 Lastly, we performed CITE-seq on matched diagnosis and relapse samples from two patients in
355 our cohort, one of whom displayed significant clonal evolution while on 7+3 therapy. In doing so,
356 we could identify cell populations displaying the immunophenotype alterations correlating with the
357 clonal evolution and uncover significant gene expression changes. These gene expression
358 changes suggest that dysregulation of signaling pathways and ubiquitination pathways can play
359 a role in clonal evolution while on therapy. Not surprisingly, *RAS-MAPK-PI3K* pathways were
360 among the significantly upregulated pathways, which align with many recent studies of resistant
361 disease^{12,23,34,35}. Notably, neither of the relapse samples harbored or acquired *RAS/MAPK*
362 signaling mutations but instead upregulated the intrinsic pathway through transcriptional
363 alterations. While this analysis was limited to a small number of samples, these findings highlight
364 the need to understand how clones are evolving both at the genotype and immunophenotype
365 level, but also at the transcriptomic level. Truly integrated trimodal analysis of genotype,
366 transcriptome, and immunophenotype is yet to be obtained, but will likely provide a new level of
367 understanding of how mutations synergize to drive leukemic development and disease
368 progression.

369
370 Analyzing clonal evolution at a single-cell level provides insights into how *NPM1* mutations
371 cooperate with epigenetic and signaling mutations to generate clonal complexity, underlying
372 resistance to treatment. Matched CITE-seq analysis suggests widespread changes to biological
373 processes including signaling and protein ubiquitination pathways. These studies nominate
374 dynamic pathway changes that might contribute to disease relapse. Collectively, our investigation
375 underscores the need to further study AML patients longitudinally and at high cellular resolution,
376 to discover mechanisms of response and relapse to current therapies. We anticipate that similar

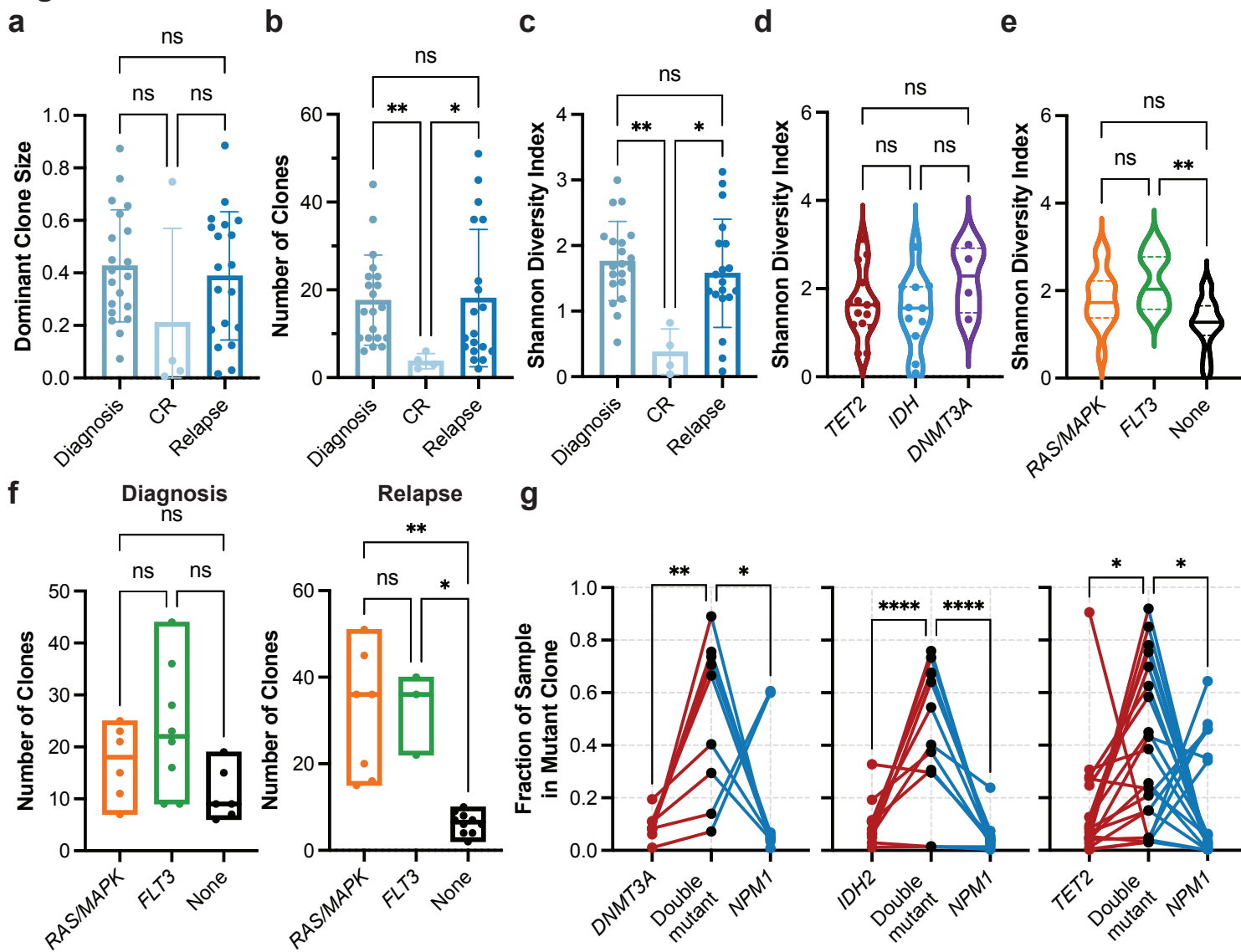
377 integrated multiomic approaches will enable new risk stratifications that predict treatment
378 responses and inform therapeutic strategies that target cancer as an evolving, multi-clonal
379 disease.

Figure 1



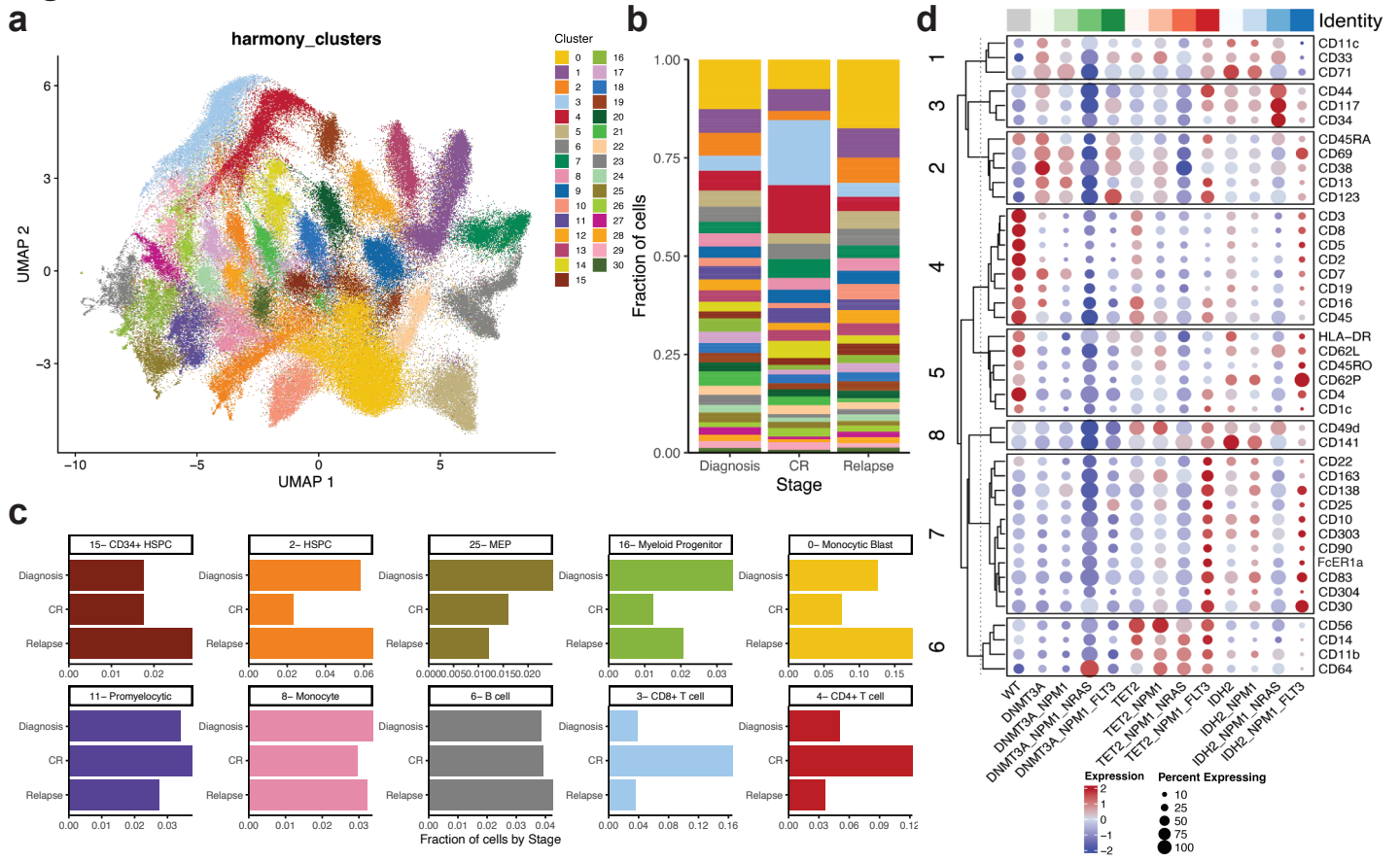
380 **Fig. 1. *NPM1*-mutated AML patient cohort. a)** Oncoprint of samples in patient cohort (n=32)
381 depicting mutations identified by targeted bulk sequencing. For patients with more than one
382 sample, only the diagnosis sample is displayed. **b)** Table of patient cohort (n=43 samples)
383 describing breakdown of samples by epigenetic co-mutation and disease state. **c)** Clonograph of
384 a representative patient sample (Pt I diagnosis) depicting clones present in sample. The height of
385 each bar represents the cell count of the clone identified below. Clone genotype is depicted by
386 color with wildtype (WT) in light beige and heterozygous mutations in orange denoted.

Figure 2



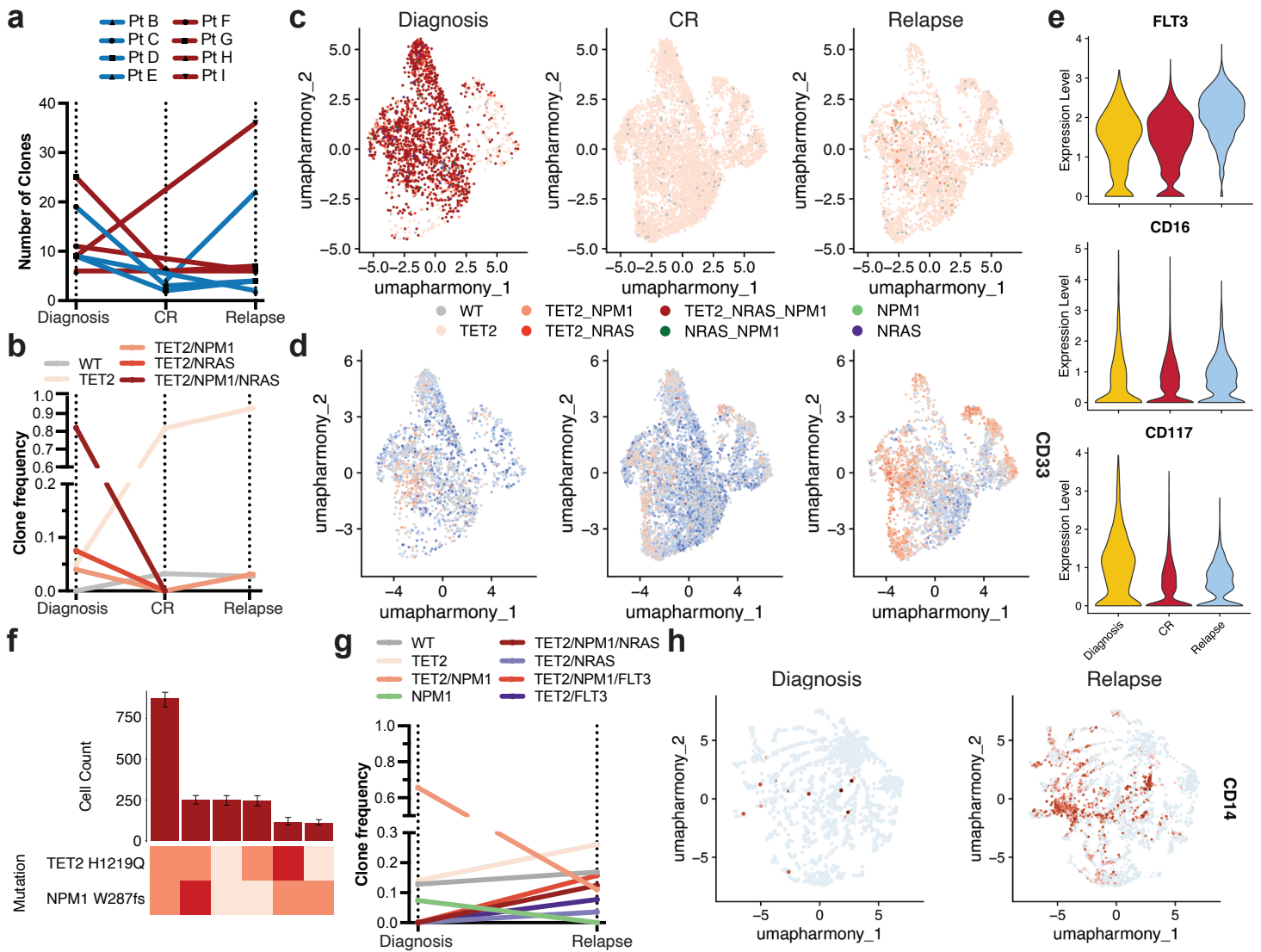
387 **Fig. 2. Clonal architecture patterns and mutational cooperativity by single-cell DNA**
388 **sequencing. a-c)** Bar graphs of clonal architecture metrics for entire cohort by disease state,
389 including (a) dominant clone size, (b) number of clones, and (c) clonal diversity, calculated by the
390 Shannon diversity index (mean \pm SD, n = 43). **d)** Violin plot of Shannon diversity index for
391 diagnosis and relapse samples harboring epigenetic mutations (n = 30) in *TET2* (red), *IDH1/2*
392 (blue) or *DNMT3A* (purple). Samples with more than one epigenetic mutation were excluded from
393 analysis. **e)** Violin plot of Shannon diversity index for diagnosis and relapse samples with
394 *RAS/MAPK* (n=13; orange), *FLT3* (n=11; green), or no signaling gene mutations (n=16; None;
395 black). Samples with both a *RAS/MAPK* and *FLT3* mutation were excluded. **f)** Number of clones
396 identified in samples with *RAS/MAPK* (n=13; orange), *FLT3* (n=11; green), or no signaling gene
397 mutations (n=16; None; black) stratified by disease state (Diagnosis, left panel; Relapse, right
398 panel). Kruskal-Wallis test was used to determine statistical significance amongst groups (a-f). **g)**
399 Fraction of sample in single- and double-mutant clones in samples with *DNMT3A-NPM1* (n = 9;
400 left panel), *IDH2-NPM1* (n = 12; center panel), and *TET2-NPM1* (n = 20; right panel) mutations.
401 Individual samples denoted by connecting lines. Two-way ANOVA used to determine statistical
402 significance (g) *P<0.05, **P<0.01, ***P<0.001 denoted for all panels.

Figure 3



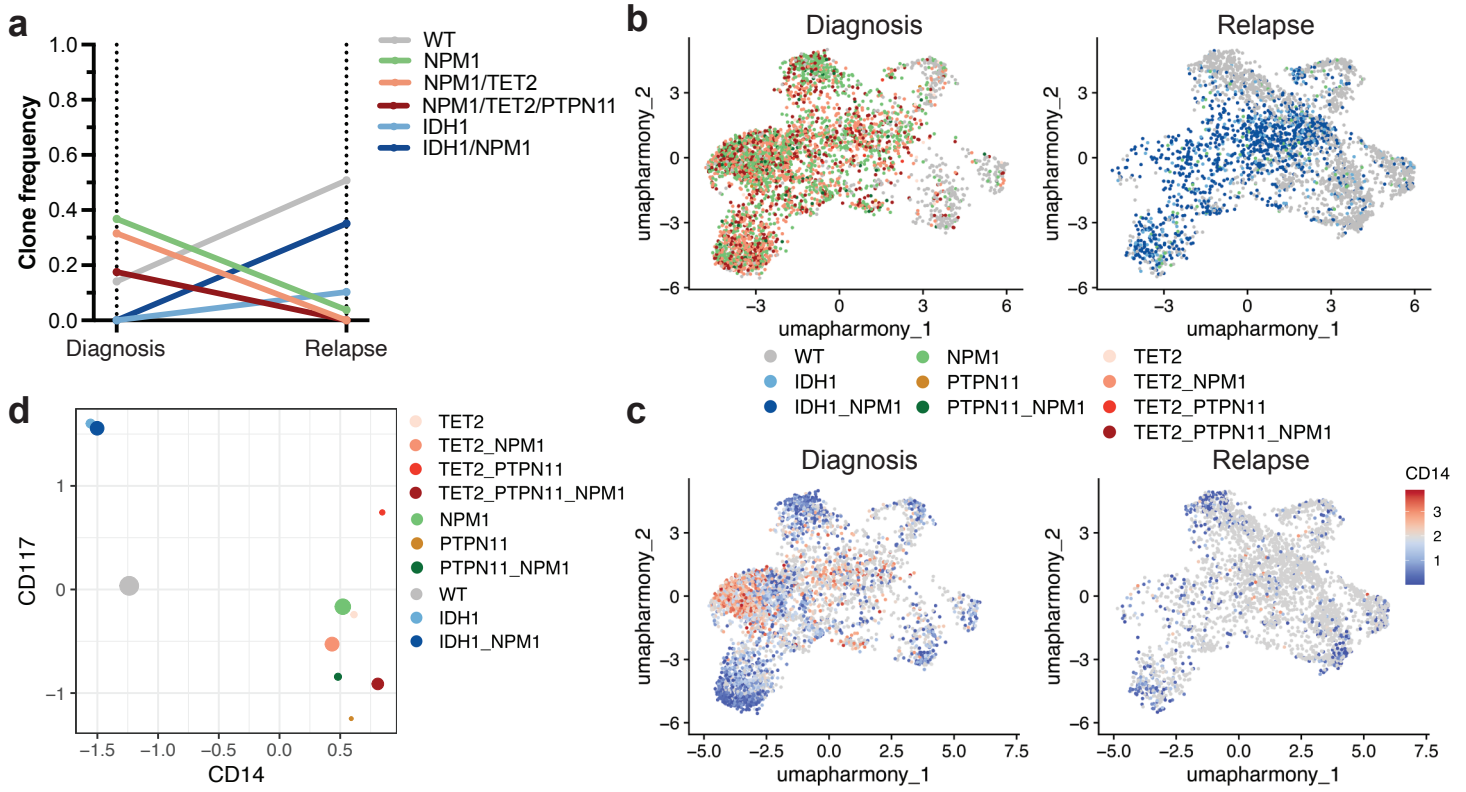
403 **Fig. 3. Identification of genotype-immunophenotype relationships using simultaneous**
404 **single-cell DNA+Protein sequencing. a)** Uniform manifold approximation and projection
405 (UMAP) plot of 31 communities identified based on aggregate protein data from entire patient
406 cohort (n=43) with cells clustered by immunophenotype. **b)** Fraction of cells within a given disease
407 stage (diagnosis, CR, relapse) clustered into the 31 communities previously identified across
408 cohort with colors matching community identity in Fig. 3a. **c)** Bar graphs depicting fraction of cells
409 from a given disease state (diagnosis, CR, relapse) identified within a community. Community
410 number with corresponding immunophenotype signature based on immunophenotype markers
411 enriched within that community denoted. Colors of community denoted in UMAP in Extended Data
412 Fig. 3b. **d)** Dot plot depicting expression of immunophenotypic markers by genotype-specific
413 clones. Normalized expression of each marker depicted by color (blue = low, red = high) with size
414 of dot denoting the fraction of cells within each genotyped clone that expresses the marker.
415 Immunophenotype markers grouped by corresponding lineage associations. Top bar, gray = WT,
416 green spectrum = *DNMT3A* clones, red spectrum = *TET2* clones, blue spectrum = *IDH2* clones.
417 Full genotype for each column denoted at bottom of the dotplot.

Figure 4



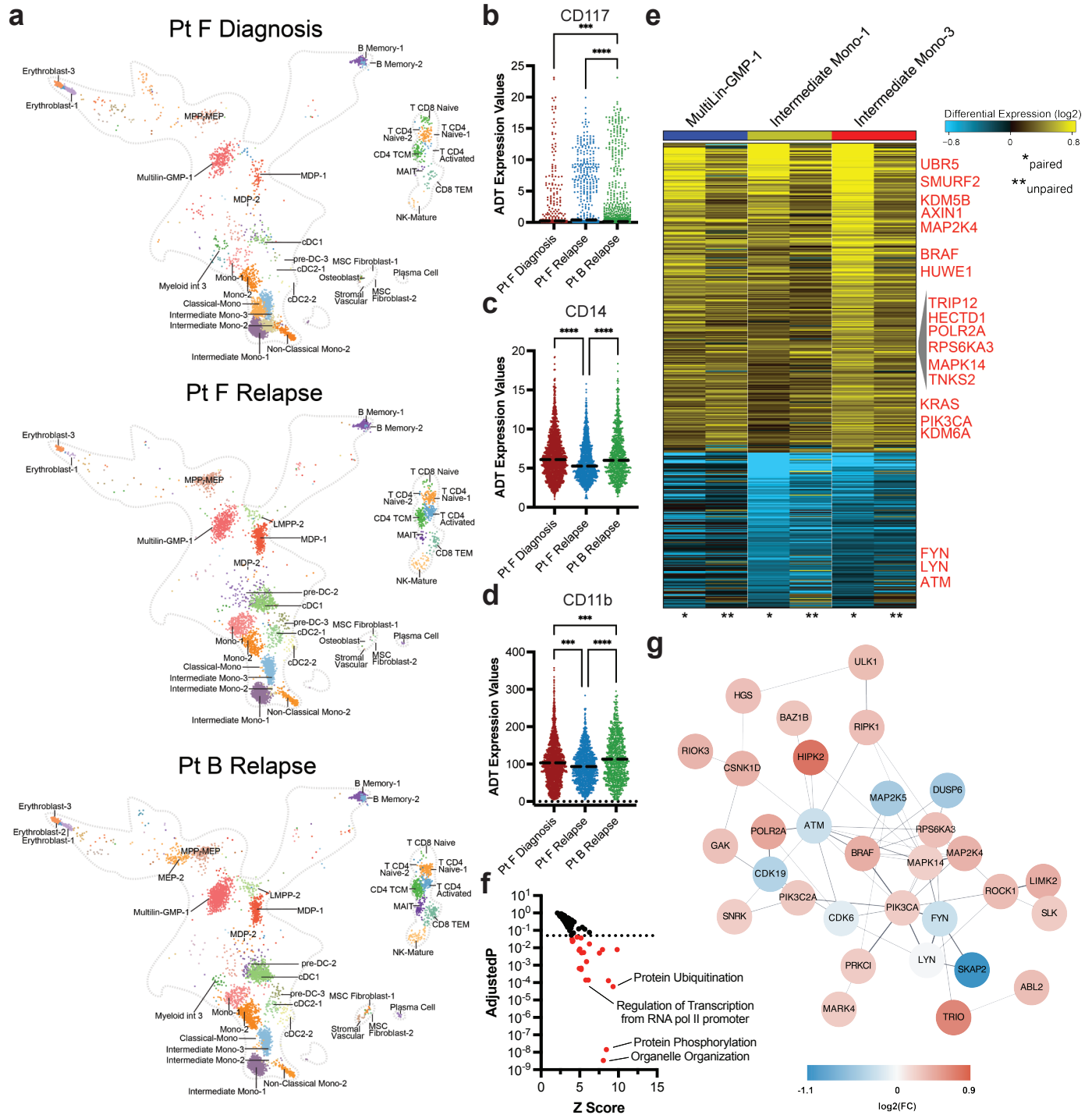
418 **Fig. 4. Clonal and immunophenotypic single cell analysis of longitudinal patient samples**
419 **while undergoing 7+3 chemotherapy. a)** Changes in number of clones for *NPM1*-mutant
420 patients (n=8) where samples were analyzed longitudinally while undergoing therapy. Individual
421 patients are indicated by connecting line with point at each disease state for which sample was
422 available. Blue = *IDH2* co-mutation at diagnosis by bulk sequencing (n=4 patients); Red = *TET2*
423 co-mutation at diagnosis by bulk sequencing (n=4 patients). **b-e)** Analysis of paired samples of
424 representative patient G (Pt G) that underwent clonal change during treatment. **b)** Changes in
425 clone frequencies at each disease state. Only genotypes identified in 1% or higher of total cells
426 from at least one sample are depicted for clarity. Color of line denotes specific genotype also used
427 in Fig. 4c. **c)** Uniform manifold approximation and projection (UMAP) plot of Pt G samples at
428 diagnosis (left), CR (center), and relapse (right) clustered by immunophenotype with genotype
429 overlaid. **d)** UMAPs of Pt G samples denoting relative expression of CD135 (FLT3) as patient
430 underwent therapy. Color depicts relative expression (blue = low, red = high). **e)** Violin plots of
431 selected immunophenotype markers (CD135/FLT3, top; CD16, center; CD117, bottom) that
432 change significantly from diagnosis to relapse in Pt G samples. Color denotes disease state
433 (diagnosis, yellow; CR, red; relapse, blue). **f-h)** Analysis of paired samples of representative
434 patient I (Pt I) that underwent clonal change during treatment. **f)** Clonograph of diagnosis sample
435 from patient I. Height of each bar represents the cell count of the clone identified below. Clone
436 genotype is depicted by color with WT in light beige, heterozygous mutations in orange, and
437 homozygous mutations in red. **g)** Changes in clone frequencies at each disease state as in Fig.
438 4b. **h)** Uniform manifold approximation and projection (UMAP) plots of Pt I samples at diagnosis
439 (left) and relapse (right) clustered by immunophenotype with relative expression of CD14 overlaid.
440 Color denotes relative expression (blue = low, red = high).

Figure 5



441 **Fig. 5. scDNA+Protein analysis of clonal sweep in Patient F. a)** Changes in clone frequencies
442 at each disease state. Only genotypes identified in 1% or higher of total cells from at least one
443 sample are depicted for clarity. The color of line denotes specific genotype also used in Extended
444 Data Fig. 5a. **b)** Uniform manifold approximation and projection (UMAP) plot of Pt F samples at
445 diagnosis (left) and relapse (right) clustered by immunophenotype with genotype overlaid. **c)**
446 UMAP from **b** with relative expression of CD14 overlaid. Color depicts relative expression (blue =
447 low, red = high). **d)** Plot depicting expression of immunophenotypic markers CD117 (Y axis) and
448 CD14 (X axis) by each identified clone found in Pt F samples. Normalized expression of each
449 marker is depicted by dot location with size of dot denoting the fraction of the clone that expresses
450 the marker. Genotype is denoted by same color as in Fig. 5a and 5b.

Figure 6



451 **Fig. 6. Matched CITE-seq analysis correlates scDNA+Protein results and identifies**
452 **differentially expressed pathways upon relapse. a)** UMAPs derived from CITE-seq analysis
453 and clustered based on similarities to reference cell clusters (Extended Data Fig. 6a) for Pt F
454 diagnosis (top), Pt F relapse (center), and Pt B relapse (bottom). Cell cluster identities are denoted
455 based on cell identity in reference atlas (Extended Data Fig. 6a). **bcd)** Scatter dot plots of single
456 cell CD117 (**b**), CD14 (**c**) and CD11b (**d**) antibody tag reads from cells clustered as Multilin-GMP-
457 1 (**b**) or Intermediate Mono-1 (**cd**) cells from each sample (n=3). Bold dotted line denotes the
458 mean. Kruskal-Wallis test was used to determine statistical significance amongst groups.
459 ***P<0.001, ****P<0.0001 denoted. **e)** Heatmap of genes found to be differentially expressed in
460 Multilin-GMP-1 (left, blue bar), Intermediate Mono-1 (center, yellow bar), and Intermediate Mono-
461 3 (right, red bar) cell clusters between Pt F Diagnosis and both relapse samples (Pt F Relapse,
462 Pt B Relapse). Heatmap scale denotes log fold gene expression from high (yellow) to low (blue).
463 Select genes are denoted in red. Asterisks indicate whether column is a comparison between the
464 paired samples (* denotes Pt F Diagnosis-Pt F Relapse) or unpaired samples (** denotes Pt F
465 Diagnosis-Pt B Relapse). **f)** Waterfall plot of Z-scores (X) and adjusted P values (Y) for
466 GO:Biological Processes found to be differentially expressed in Pt F diagnosis sample compared
467 to Pt F and Pt B relapse samples by AltAnalyze. Color of dot denotes significance based on
468 adjusted P values < 0.05 (dashed line) in red. **g)** Network connectivity map denoting the
469 interactions of differentially expressed genes from Fig. 6e.

470 **Extended Table 1.** Single cell sequencing analytical metrics for each sample in the *NPM1*-mutant
 471 AML cohort (n=43). Values provided by the Mission Bio Tapestri pipeline after initial processing.
 472 Longitudinal samples from the same patient are denoted with sample name with an underline and
 473 disease state annotation (diagnosis= d, complete response= cr, relapse= r). PBMC = peripheral
 474 blood mononuclear cells; BM = bone marrow
 475

Sample Name	Cell count	Panel Uniformity	Mean read/cell/amplicon	%DNA read pairs assigned to cell	Mean reads/cell/antibody	Sample Type
A	11,402	93%	67	76%	193	PBMC
AA	5,082	79%	91	22%	297	BM
AB	40,663	93%	58	75%	160	PBMC
AC	9,939	80%	13	13%	108	BM
AD	9,429	93%	95	63%	166	BM
AE	31,027	94%	55	75%	73	BM
AF	45,758	94%	72	78%	147	PBMC
B_cr	7,631	94%	87	78%	312	PBMC
B_r	13,936	95%	38	69%	177	BM
C_cr	12,865	95%	44	78%	321	BM
C_d	9,669	94%	55	72%	468	BM
C_r	4,248	94%	207	66%	421	BM
D_cr	10,218	96%	35	58%	195	PBMC
D_d	7,112	92%	62	48%	119	PBMC
D_r	8,726	94%	60	51%	175	PBMC
E_d	8,442	92%	69	52%	189	PBMC
E_r	1,184	90%	541	54%	491	PBMC
F_d	8,175	94%	205	74%	611	BM
F_r	7,036	94%	100	71%	344	BM
G_cr	15,545	95%	55	76%	162	BM
G_d	6,414	95%	178	77%	379	BM
G_r	9,427	95%	69	74%	250	BM
H_d	7,522	94%	78	51%	188	BM
H_r	10,404	92%	64	52%	98	BM
I_d	2,562	93%	255	55%	546	PBMC
I_r	10,911	92%	67	65%	151	PBMC
J	9,556	92%	82	57%	139	PBMC
K	3,800	92%	200	63%	248	PBMC

L	17,317	94%	75	68%	187	PBMC
M	881	81%	301	48%	230	PBMC
N	2,051	90%	283	58%	483	PBMC
O	22,651	92%	72	66%	79	BM
P	17,451	93%	109	67%	50	BM
Q	27,726	93%	74	65%	73	BM
R	26,340	93%	68	73%	167	BM
S	31,160	93%	39	61%	183	BM
T	25,512	93%	74	69%	184	PBMC
U	6,315	94%	102	77%	468	BM
V	10,602	93%	18	64%	177	BM
W	35,124	94%	74	69%	184	PBMC
X	13,452	93%	99	80%	420	BM
Y	26,905	93%	61	70%	242	BM
Z	17,144	93%	53	68%	275	BM
Average	14,170	92%	104.74	64%	244.88	
Total	609,314					

476

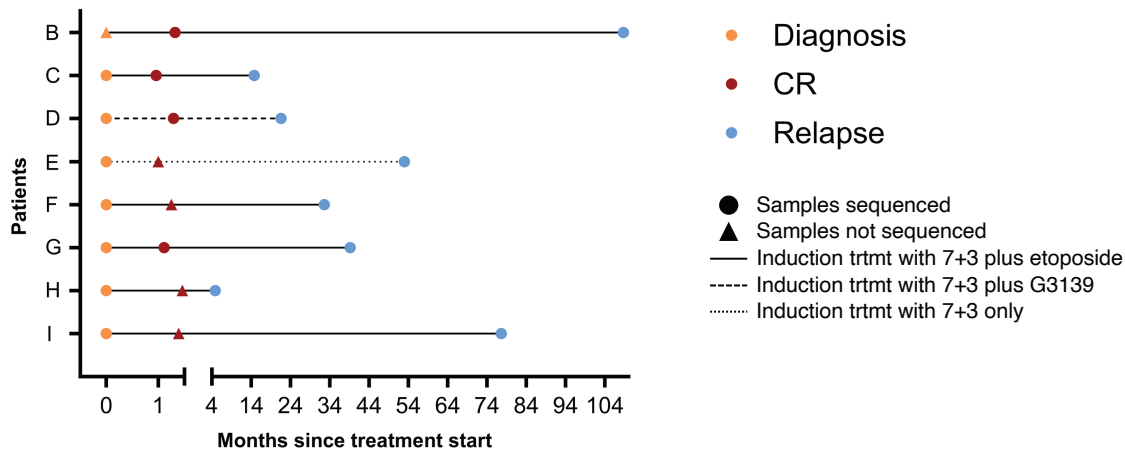
477 **Extended Table 2.** Clinical characteristics and treatment information of patients with
478 longitudinal samples analyzed by scDNA+Protein. Ara-C and Daunorubicin treatment is also
479 known as 7+3 therapy.

480

Patient	Induction Regimen	Age (y)	Sex	Race	Days from treatment start to CR	Days from treatment start to Relapse	Days from CR to Relapse
B	Ara-C, Daunorubicin, Etoposide	54	Male	White	27	3044	3017
C	Ara-C, Daunorubicin, Etoposide	75	Male	White	39	415	376
D	Ara-C, Daunorubicin, G3139	74	Female	White	41	606	565
E	Ara-C, Daunorubicin	60	Male	White	31	1484	1453
F	Ara-C, Daunorubicin, Etoposide	53	Male	White	35	914	879
G	Ara-C, Daunorubicin, Etoposide	29	Female	White	28	1099	1071
H	Ara-C, Daunorubicin, Etoposide	74	Male	White	39	136	97
I	Ara-C, Daunorubicin, Etoposide	60	Male	White	27	2175	2148

481

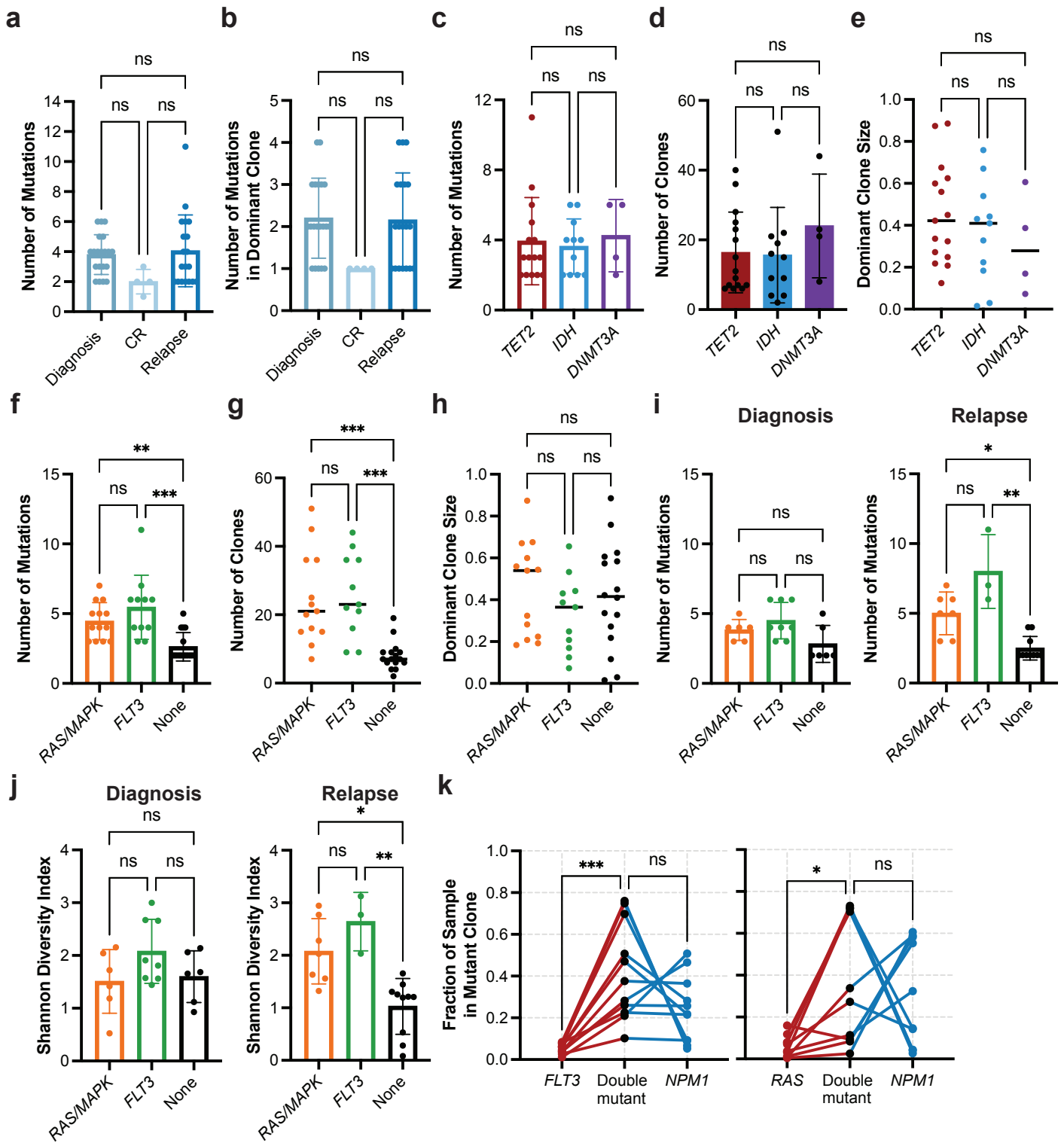
Extended Figure 1



482 **Extended Data Fig. 1. Treatment response courses for patients (n=8) while on 7+3 therapy.**

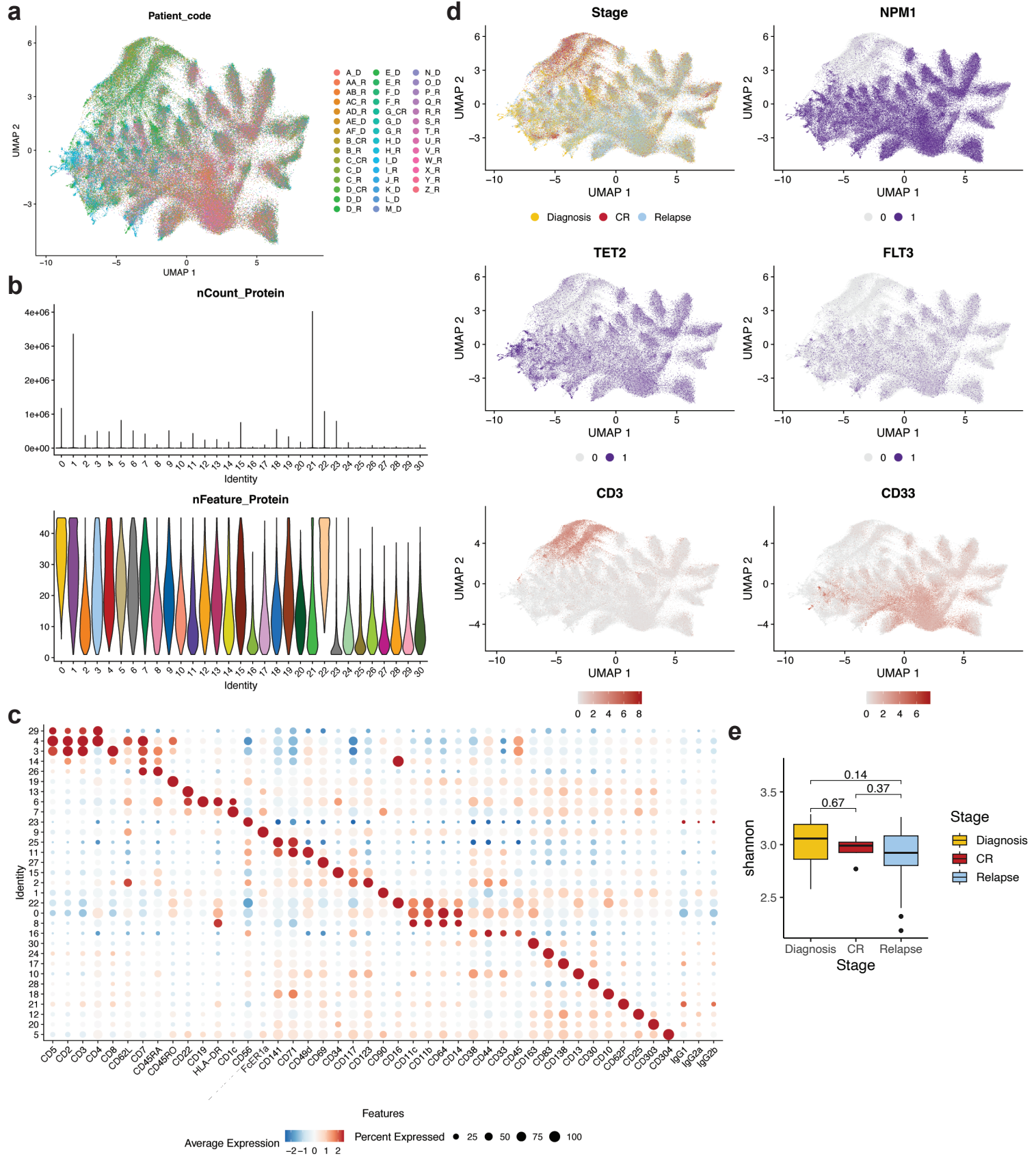
483 Each patient is labeled on Y axis with months since treatment start denoted on X axis. Diagnosis
484 (yellow), complete response (red), and relapse (blue) samples that were available for sequencing
485 denoted by colored circles with timepoints with unavailable samples depicted by triangles at time
486 point based on location of dot. Therapy is denoted by line style (complete = 7+3 plus etoposide;
487 large dash = 7+3 plus G3139; small dash = 7+3 alone). Patient outcomes are not provided or
488 denoted on graph.

Extended Data Figure 2



489 **Extended Data Fig. 2. Analysis of clonal architecture by disease state and by gene**
490 **mutation. a-b)** Clonal architecture metrics for entire cohort (n=43 samples) by disease state,
491 including (a) number of mutations per sample, and (b) number of mutations in the dominant clone.
492 **c-e)** Bar graphs depicting clonal architecture metrics of samples (n=30) with different epigenetic
493 gene mutations in *TET2* (red), *IDH1/2* (blue), or *DNMT3A* (purple) at diagnosis and relapse states,
494 including (c) number of mutations per sample, (d) number of clones per sample, and (e) dominant
495 clone size. **f-h)** Number of mutations per sample (f), number of clones per sample (g) and
496 dominant clone size (h) for samples with *RAS/MAPK* (n=13; orange) or *FLT3* (n=11; green)
497 mutations vs. no signaling gene mutations (n=16; None, black), at diagnosis and relapse states
498 combined. **i)** Number of mutations per sample (as in Extended Data Fig 2f) stratified by diagnosis
499 (left panel) or relapse (right panel). **j)** Clonal diversity, as calculated by Shannon diversity index,
500 for samples with *RAS/MAPK* (n=13) or *FLT3* (n=11) mutations vs. no signaling gene mutations
501 (n=16) at diagnosis and at relapse. **k)** Fraction of sample in single- and double-mutant clones in
502 *FLT3-NPM1* (n = 11; left panel) and *RAS-NPM1* (n = 10; right panel) mutant samples. Individual
503 samples denoted by connecting lines. **a-d, f, i-j)** Mean value for each cohort shown by height of
504 bar with standard deviation depicted with error bars. **e, g-h)** Center line - median value for each
505 cohort. Kruskal-Wallis test was used to determine statistical significance amongst groups for all
506 panels except **k** where a two-way ANOVA was used. *P<0.05, **P<0.01, ***P<0.001 denoted for
507 all panels.

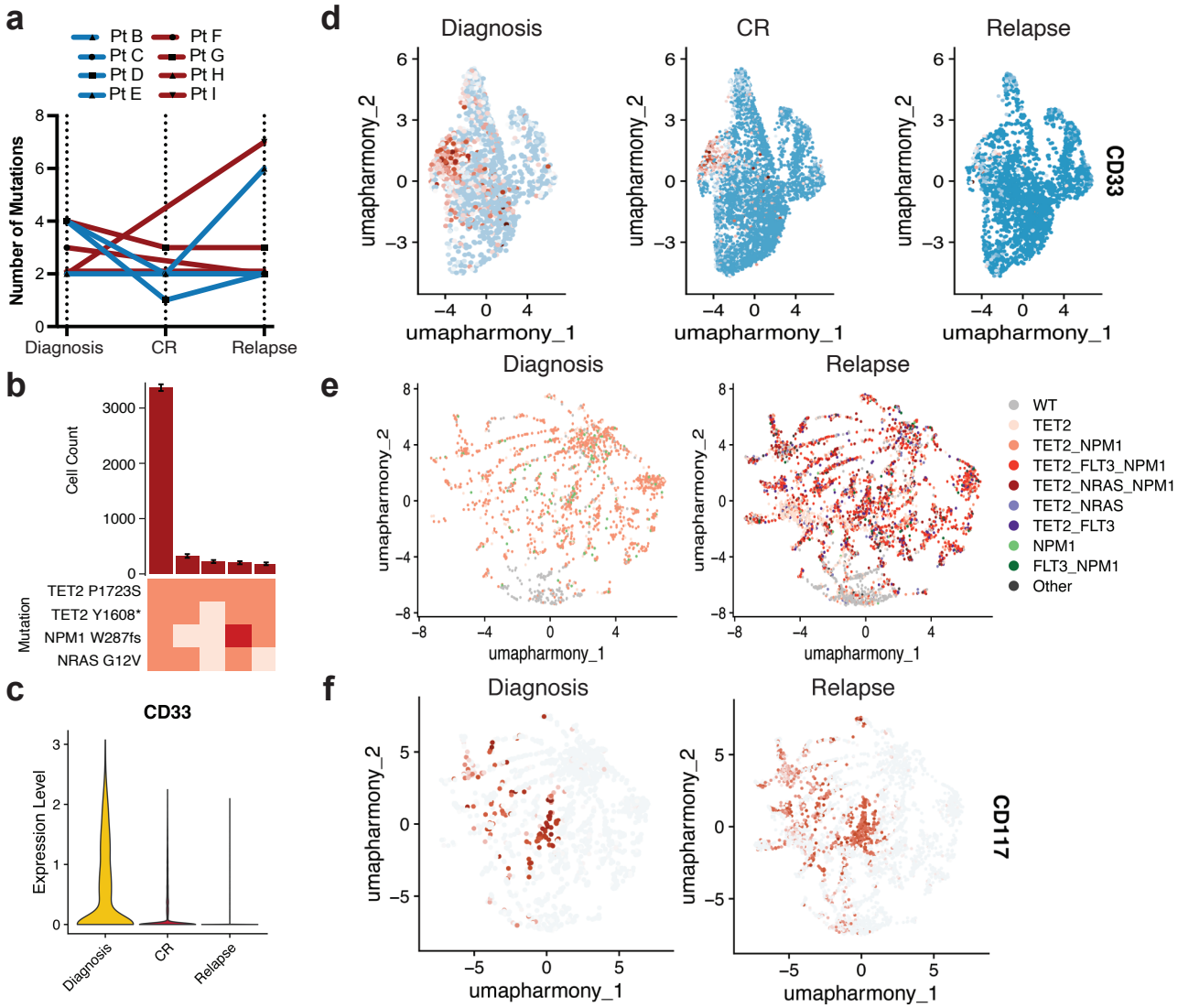
Extended Data Figure 3



508 **Extended Data Fig. 3. Immunophenotype analysis of all single-cell DNA+Protein samples.**

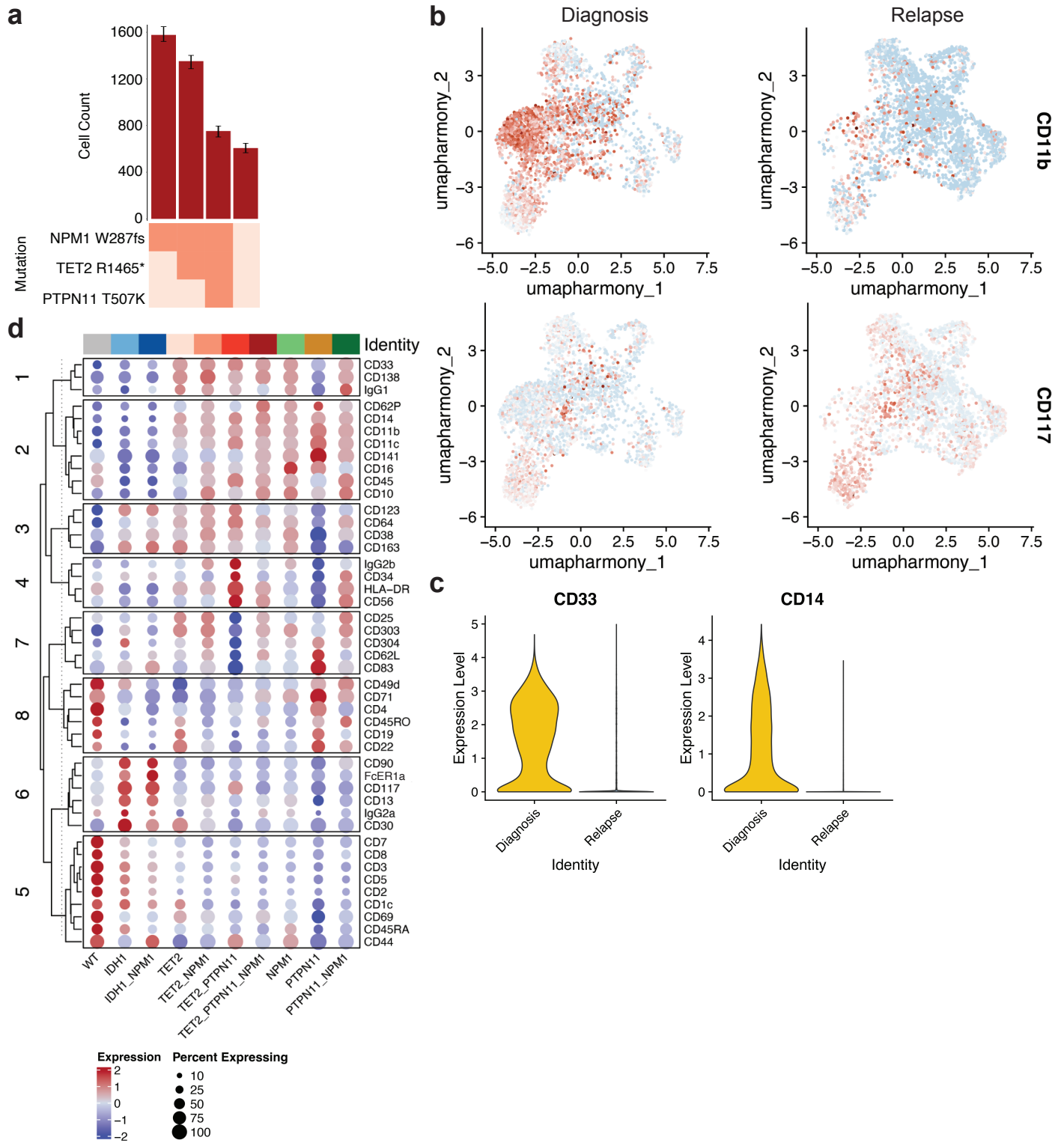
509 **a)** Uniform manifold approximation and projection (UMAP) plot of all patient samples (n=43)
510 clustered by immunophenotype. Cells from the same patient sample are shown in the same color.
511 **b)** Single cell immunophenotype metrics for each community denoted by total number of
512 sequencing reads for each community (nCount Protein; top panel) and violin plot denoting number
513 of unique proteins expressed in each community (nFeature Protein; bottom panel. Colors of each
514 community in bottom panel match colors from Extended Data Fig. 3b. **c)** Dot plot depicting relative
515 expression of each immunophenotypic marker within each community. Normalized expression of
516 each marker depicted by color (blue = low, red = high) with size of dot denoting the fraction of
517 cells within each community that expresses the marker. **d)** Uniform manifold approximation and
518 projection (UMAP) plots of entire patient cohort (n=43) with cells clustered by immunophenotype.
519 Top left, disease stage overlaid onto the UMAP (Diagnosis, yellow; Complete response, CR, Red;
520 Relapse, blue). Top right panel (NPM1) and middle panels (TET2, right; FLT3, left), select mutant
521 genes overlaid onto the UMAP (wildtype, grey; mutant, purple). Bottom panel, select
522 immunophenotypic markers (CD3, left panel; CD33, right panel) overlaid onto the UMAP with
523 expression from low (grey) to high (red) expression depicted. **e)** Box and whisker plot of
524 community diversity within each disease stage (diagnosis, yellow; CR, red; relapse, blue)
525 calculated by Shannon diversity index.

Extended Data Figure 4



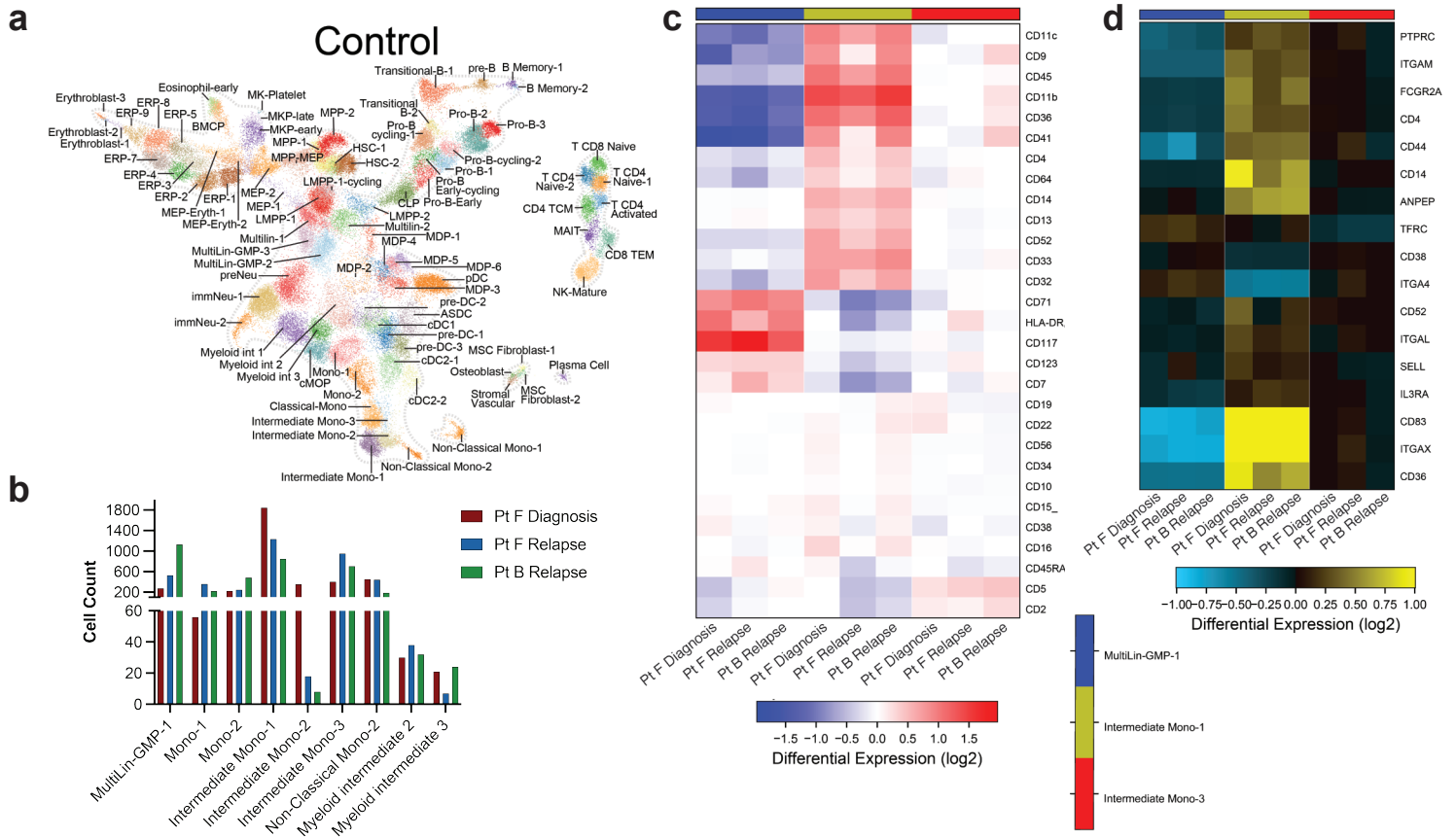
526 **Extended Data Fig. 4. Alterations in clonality and immunophenotype during 7+3 therapy.**
527 **a)** Changes in number of mutations for *NPM1*-mutant patients (n=8) where samples were
528 analyzed longitudinally while undergoing therapy. Individual patients indicated by connecting line
529 with point at each disease state for which sample available. Blue = *IDH2* co-mutation at diagnosis
530 by bulk sequencing (n=4 patients); Red = *TET2* co-mutation at diagnosis by bulk sequencing (n=4
531 patients). **b)** Clonograph of diagnosis sample from Pt G. Height of each bar represents the cell
532 count of the corresponding identified clone noted below. Clone genotype is depicted by color with
533 WT (light beige), heterozygous (orange), and homozygous (red) mutations denoted. **c)** Violin plot
534 of CD33 in Pt G samples. Color denotes disease state (diagnosis, yellow; CR, red; relapse, blue).
535 Bold dotted line denotes the mean with quartiles shown by thin dotted lines. **d)** UMAPs of Pt G
536 samples denoting relative expression of CD33 as patient underwent therapy. Color depicts
537 relative expression (blue = low, red = high). **e-f)** UMAP plots of Pt G samples at diagnosis (left),
538 CR (center), and relapse (right) clustered by immunophenotype with genotype (**e**) or relative
539 expression of CD117 (**f**) overlaid. Colors in **e** denote genotype colors in Fig. 4g. Colors in **f** denote
540 relative expression of CD117 (blue = low, red = high).

Extended Data Figure 5



541 **Extended Data Fig. 5. Clonal sweep during 7+3 therapy. a)** Clonograph of diagnosis sample
542 from patient F. Height of each bar represents the cell count of the corresponding identified clone
543 noted below. Clone genotype is depicted by color with WT (light beige), heterozygous (orange),
544 and homozygous (red) mutations denoted. **b)** UMAPs from Fig. 5b with relative expression of
545 CD11b (top) and CD117 (bottom) overlaid. Color depicts relative expression (blue = low, red =
546 high). **c)** Violin plot of CD33 (right panel) and CD14 in Pt F samples. Color denotes disease state
547 (diagnosis, yellow; relapse, blue). Bold dotted line denotes the mean with quartiles shown by thin
548 dotted lines. **d)** Dot plot depicting expression of immunophenotypic markers by genotype-specific
549 clones identified in Pt F samples. Normalized expression of each marker depicted by color (blue
550 = low, red = high) with size of dot denoting the fraction of cells within each genotyped clone that
551 expresses the marker. Immunophenotype markers grouped by corresponding lineage
552 associations. Full genotype for each row denoted at left of the dotplot.

Extended Data Figure 6



553 **Extended Data Fig. 6. CITE-seq analysis of clonal evolution. a)** UMAP cell cluster atlas²⁵ of
554 human hematopoiesis derived from CITE-seq analysis and used as reference map for Pt F and
555 Pt B samples in Fig. 6. Cell cluster identities denoted. **b)** Bar plot of cell counts for selected cell
556 clusters identified from CITE-seq analysis for samples analyzed (n=3). Color of bar denotes the
557 sample identity with legend. **c)** Heatmap of cell surface marker ADT read counts for antibodies
558 used in the CITE-seq panel across Multilin-GMP-1 (left, blue column), Intermediate Mono-1
559 (center, yellow column), and Intermediate Mono-3 (right, red column) cell clusters. Heatmap scale
560 denotes log fold differences in read counts from high (red to low (blue). **d)** Heatmap of cell surface
561 marker gene expression for antibodies used in either CITE-seq and/or scDNA+Protein panel
562 across Multilin-GMP-1 (left, blue column), Intermediate Mono-1 (center, yellow column), and
563 Intermediate Mono-3 (right, red column) cell clusters. Only differentially expressed genes are
564 included. Heatmap scale denotes log fold gene expression from high (yellow) to low (blue).

565 **Methods**

566 *Reagents*

567 Tapestri related reagents were included as part of the Myeloid Clonal Evolution DNA+Protein
568 sequencing kit purchased from Mission Bio with the following exceptions: TotalSeqD Antibody
569 Cocktail v2, Cell Staining Buffer, TotalSeqD CD135 antibody were purchased from Biolegend. The
570 Myeloid Clonal Evolution amplicon panel has been described previously⁸.

571

572 *Patient samples*

573 Patient consent was obtained according to protocols approved by the Institutional Review Boards
574 in accordance with the Declaration of Helsinki. This study was approved by CCHMC IRB (protocol
575 2022-0806), MSKCC IRB (protocol #15-017), and OSU IRB (#2023C0062). WHO classification
576 criteria were used for diagnosis and disease status assignment⁴. Patient samples were collected
577 and processed by institutional biorepositories. Peripheral blood or whole bone marrow
578 mononuclear cells were isolated by centrifugation on Ficoll and viably frozen. High-throughput
579 genetic sequencing was utilized to profile each sample. MSKCC samples were profiled using
580 HemePACT, a targeted deep sequencing of 685 genes or ThunderBolt Myeloid Panel (RainDance
581 Technologies), a NGS panel covering 49 genes frequently mutated in myeloid disorders, as
582 described previously⁴². CALGB/Alliance samples were sequenced using a NGS panel covering
583 80 cancer and/or leukemia associated genes as described previously⁴³. Patient samples were
584 selected based on the presence of *NPM1* mutations with additional co-occurring mutations of
585 *DNMT3A*, *TET2*, *IDH1/2*, *NRAS*, and/or *FLT3* due to their high frequencies in AML patients. For
586 longitudinal samples, only diagnosis samples were molecularly profiled. Patients for longitudinal
587 analysis were prioritized if they had *TET2* or *IDH2* co-occurring mutations at diagnosis. Treatment
588 information for patients with longitudinal samples is summarized in Extended Table 2 and
589 displayed in Extended Data Figure 1.

590

591 *Single-cell DNA and protein (scDNA+Protein) library preparation and sequencing*

592 Patient samples were thawed, washed with FACS buffer, filtered into single cell suspensions, and
593 quantified using a CellDrop (Denovix). Cells (1×10^6 viable cells) were then incubated with
594 TruStainFcX and Tapestri blocking buffer for 15min on ice followed by a 30min incubation with the
595 TotalSeqD Antibody Cocktail on ice. A select number of samples were also supplemented with
596 2 μ L of TotalSeqD CD135 during this step. Stained cells were then washed three times with Cell
597 Staining Buffer. Cells were filtered through a Flowmi cell strainer (vendor), centrifuged,
598 resuspended with Tapestri cell buffer, quantified and loaded into the Tapestri microfluidics
599 cartridge. Single cells were encapsulated, lysed, and barcoded as described previously⁸. DNA
600 PCR products and Protein products were isolated and purified using AMPure XP beads and
601 Streptavidin C1 beads, respectively. DNA PCR products and C1-bead immobilized Protein
602 products were each used as PCR templates for DNA and Protein-derived DNA library generations,
603 respectively followed by a final purification using AMPure XP beads. DNA and Protein derived
604 libraries were quantified using an Agilent Bioanalyzer and Qubit (Invitrogen) and pooled for
605 sequencing on an Illumina NovaSeq6000. Sequencing of pooled libraries were performed by the
606 MSKCC Integrated Genomics Core and the DNA Genomic Sequencing shared facility at CCHMC.
607 scDNA+Protein sequencing metrics for all samples are provided in Extended Table 1.

608

609 *CITE-seq*

610 Patient samples were thawed, washed, and quantified as above. Cells were then stained with 7-
611 AAD (Biolegend) and viable cells (200,000 per sample) sorted using a Sony MA900 cell sorter. A
612 previously used⁴⁴ custom Total-seq A oligo-conjugated antibody panel from Biolegend was used
613 to stain live sorted AML cells. Sorted cells (200,000/sample) were stained for 60 minutes on ice,
614 washed using laminar flow (Curiox), and resuspended prior to counting. Cells (16,000 per well)
615 were loaded using 10X Chromium Gene Expression 3' version 3.1 kit (1000268, 10X Genomics).
616 Emulsion, GEM collection, clean-up and cDNA amplification were performed according to 10X

617 Genomic protocol. Library preparation was performed according to the manufacturer's protocols.
618 Final transcriptome libraries were quantified and analyzed using a Qubit dsDNA HS assay kit
619 (Q32854, Invitrogen), a High-Sensitivity DNA kit (5067-4626, Agilent Technologies) on a 2100
620 Bioanalyzer (G2939BA, Agilent Technologies) and a KAPA HiFi library quantification kit (KK4824,
621 Roche). Dual-indexed transcriptome libraries were pooled and sequenced on two X plus lanes
622 with the PE100 settings (Illumina). BCL files were demultiplexed into fastq files for CellRanger
623 V7.1.2 input. The transcriptome was mapped to hg38 reference genomes for downstream
624 analysis and visualization.

625

626 *CITE-seq analysis*

627 All Cell Ranger-produced count matrices underwent ambient RNA exclusion using the software
628 SoupX⁴⁵ with a contamination fraction of 15% and quality control filtering by HTO and Seurat V4⁴⁶.
629 Ambient corrected transcriptome counts and associated ADT counts were supplied as input to the
630 software TotalVI to obtain normalized and denoised ADT counts. To derive clusters from our
631 previously published human bone marrow progenitor atlas²⁵, the software cellHarmony⁴⁷ was
632 used to transfer labels from CPTT normalized expression centroids from synapse. Cell
633 annotations from our previously generated human bone marrow CITE-seq atlas were projected
634 onto the merged dataset using cellHarmony. Cells with a poor mapping score to the final clusters
635 (linear support vector classification coefficient > 0) were excluded from the analysis (for example,
636 doublets). Differential gene expression analysis was performed between these three samples with
637 cellHarmony at a threshold of log₂ fold change >1.2 and *P* value <0.05.

638

639 *Single cell DNA sequencing analysis*

640 Sequencing reads were trimmed, aligned to the human genome (hg19), assigned barcodes, and
641 genotyped were called with GATK by the cloud-based Mission Bio Tapestri v2 pipeline. Processed
642 H5 files were further analyzed using the scDNA package (<https://github.com/bowmanr/scDNA>,

643 v1.01) in R v4.3. In the scDNA package, H5 files from the Mission Bio Tapestri pipeline were used
644 as input and variants of interest were identified in the following genes *DNMT3A*, *TET2*, *IDH1*,
645 *IDH2*, *NPM1*, *FLT3*, *PTPN11*, *NRAS*, and *KRAS*. All variants included in this study were manually
646 investigated in IGV. We selected exonic, non-synonymous variants that were genotyped in >50%
647 of cells assayed and had a computed VAF >1%. For samples acquired at remission we decreased
648 the VAF cutoff to 0.1%. We further refined the variant list to exclude those that were either 1)
649 confirmed SNPs, 2) were recurrently mutated at a fixed VAF broadly across the cohort, 3) only
650 represented in low quality reads or clipped reads visual inspection in IGV. Excluded variants
651 included: TET2.I1762V, TET2.961*, TET2.Y1579*, TET2.A1283T, TET2.L1721W,
652 DNMT3A.F772C, NRAS.L56P, NRAS.T58A, NRAS.L56Q, NRAS.D57N, DNMT3A.I310S,
653 NRAS.T58I, DNMT3A.I292S, DNMT3A.L888Q, DNMT3A.L888P, PTPN11.L525R,
654 DNMT3A.N489T, DNMT3A.K429T, DNMT3A.N757T, NRAS.T58P, NRAS.D57Y, NRAS.Q61P,
655 TET2.Q618H, TET2.L1819F, DNMT3A.Y481S, FLT3.N847T, TET2.A584T, TET2.A584P,
656 DNMT3A.F290L. In the case of paired samples, we included variants that were below the 1% VAF
657 threshold if they were present in another sample in the pair so as to identify rare subclonal events.
658 Following variant selection, the 'tapestri_h5_to_sce' function from the scDNA package was used
659 to generate a SingleCellExperiment class object using the default cutoffs of depth (DP) >10,
660 genotype quality (GQ) >30, and allele frequency (AF) variance >25. The AF variance refers to the
661 maximum deviation from 50% by which a heterozygous call from GATK should be masked as
662 inaccurate. Finally, we retained variants that passed all three of these filters in over 80% of cells.
663 Only cells that passed all three filters were included in the final analysis and were termed
664 "Complete" cells, indicating they received a reliable genotype for all genes of interest. Following
665 variant identification, clones were identified and statistically summarized using the
666 'enumerate_clones' and 'compute_clone_statistics' functions respectively.

667

668

669 *Single cell DNA+Protein (scDNA+Protein) sequencing analysis*

670 Following genotyping and clone enumeration above, protein matrices were extracted from H5 files
671 from the tapestry pipeline using the scDNA package. The SingleCellExperiment object was
672 converted to a Seurat object (v5.1) and genotype information was stored as metadata. For global
673 protein analyses across all samples, all complete cells identified above were bound to a single
674 protein matrix, and each sample was downsampled to 7,000 cells. For samples with <7,000 cells,
675 all cells were included. Protein data was normalized across cells using CLR (margin=2), scaled
676 across all samples, and analyzed by PCA. Samples were integrated with Harmony, then clustered
677 (SLM) and visualized by UMAP⁴⁸. Clusters with high protein counts, high protein feature
678 abundance (e.g. possessed every antibody) and high abundance of IgG antibodies were
679 considered 'dead' and removed from the analysis. Following dead cells removal, we reran the
680 steps above from Normalization through to UMAPs. A similar process was undertaken for patient
681 sample pairs, starting from a raw read count matrix that only contained the patient sample of
682 interest. Cell type calls were performed by manual interpretation of protein expression. Data was
683 visualized using Seurat, ggplot2 and scCustomize packages ([https://samuel-](https://samuel-marsh.github.io/scCustomize/)
684 [marsh.github.io/scCustomize/](https://samuel-marsh.github.io/scCustomize/)).

685

686 *Statistical analysis*

687 Comparisons of clonal architecture metrics were analyzed by Kruskal-Wallis tests. Two-way
688 ANOVA tests were used to analyze clonal synergies between co-mutations. A Wilcoxon Rank Test
689 was used to assess significant differences in protein expression in the scDNA+Protein data.

690

691 *Plotting and graphical representations*

692 Clonal architecture metric plots (Fig. 2, Extended Data Fig. 2), clonal frequency plots (Fig. 3-4,
693 Extended Data Fig. 3-4), and treatment response courses (Extended Data Fig. 1) were generated
694 using GraphPad Prism. Error bars depict standard error of the mean. The oncoprint in Fig. 1a was

695 generated in R using oncoPrint package. For patients who had more than one sample in the
696 cohort (n=8), we only included one sample prioritizing the diagnosis sample, if possible. No
697 complete response (CR) samples were included in the oncoprint. UMAP data was plotted using
698 the ggplot2 package in R. Other data processing was performed in R utilizing packages including:
699 tidy, dplyr, RColorbrewer, pals, and cowplot. Differentially expressed genes and ADT counts (Fig.
700 6) were plotted in heatmaps by Alt Analyze⁴⁹ and used to identify common perturbed biological
701 processes. GO Biological Processes were plotted based on Z-score and adjusted P values (Fig.
702 6e). The values in each row were normalized to the median of the row and used to derive the
703 heatmaps. Network graph in Figure 6f was plotted using Cytoscape⁵⁰ with log fold gene
704 expression denoted by color of circles (high = red, low = blue).

705

706 *Data availability*

707 All scripts and processed data files are available for DNA+Protein analyses at
708 <https://github.com/bowmanr/scDNA>. Raw data files are available upon request from the authors
709 and are being uploaded to dbGAP prior to final publication.

710

711 *Code availability*

712 Once processed through the Tapestry pipeline, samples were initially filtered and analyzed using
713 a custom code scripted in R (github.com/bowmanr/scDNA). Scripts for CITE-seq processing
714 through Seurat can be found at <https://github.com/satijalab/seurat>. The AltAnalyze v.2.1.4
715 graphical user interface was utilized for the cellHarmony and differential expression analyses as
716 described. GraphPad Prism v.10 was used for sample and cell frequency plotting.

717

718

719

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733

734 **Author contributions**

735 L.A.M., R.L.B., R.L.L., H.L.G conceptualized studies. R.L.B., and M.B. designed and optimized
736 single cell DNA/Protein experimental methodologies and bioinformatic workflow. X.Z., B.K., N.S.,
737 and H.L.G. designed and optimized CITE-seq protocol and the bioinformatic workflow. D.N., R.S.,
738 K.M., A.J.C., A.K.E., R.L.L., and J.C.B. provided de-identified patient samples and annotated
739 clinical information. M.D., D.L., B.K., X.Z., and L.A.M. performed library preparation and
740 sequencing. X.Z. M.B., N.S., and R.L.B. performed all computational multiomic analysis. M.D.,
741 D.L., X.Z., Z.W., R.L.B. and L.A.M. generated manuscript figures. L.A.M. funded the study. M.D.,
742 D.L., and L.A.M. wrote and edited the manuscript with contributing edits from K.M., D.S., A.K.E.,
743 H.L.G., and R.L.B.. All authors read the manuscript and agreed on the final version.

744

745

746 **Competing Interests**

747 L.A.M. and R.L.B. had previously received honoraria for speaking arrangements and had
748 previously served on a Speakers Bureau for Mission Bio, Inc. R.L.L. is on the supervisory board
749 of QIAGEN and serves as a scientific advisor to Auron, Imago, Prelude, Zentalis Pharmaceuticals
750 Mission Bio, Syndax, Ajax, Bakx, C4 Therapeutics and Isoplexis, for which he receives equity
751 support. R.L.L. receives research support from Abbvie and Ajax, has served as a consultant for
752 MorphoSys, Janssen, Incyte, and Novartis. J.C.B. has ownership interest in Vincera, an advisory
753 and consultancy role with Novartis, Syndax, and Vincera, research funding from Genentech,
754 Janssen, Acerta, and Pharmacyclics, an AbbVie company. R.L.L. has received honoraria from
755 AstraZeneca and Incyte for invited lectures. A.-K.E. has received an honorarium from
756 AstraZeneca for serving on their Diversity, Equity, and Inclusion Advisory Board and has received
757 a research grant from Novartis. Spouse of A.-K.E. has ownership interest in Karyopharm
758 Therapeutics. The other authors declare no competing interests.

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