

Citation: Martinez DR, Fouda GG, Peng X, Ackerman ME, Permar SR (2018) Noncanonical placental Fc receptors: What is their role in modulating transplacental transfer of maternal IgG? PLoS Pathog 14(8): e1007161. https://doi. org/10.1371/journal.ppat.1007161

Editor: Donald C. Sheppard, McGill University, CANADA

Published: August 30, 2018

Copyright: © 2018 Martinez et al. This is an open access article distributed under the terms of the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

Funding: David R. Martinez is supported by an American Society of Microbiology Robert D. Watkins Graduate Research Fellowship (https:// www.asm.org), a Burroughs Wellcome Graduate Diversity Fellowship (https://www.bwfund.org), and an NIH National Institute of Allergy and Infectious Diseases (NIAID: https://www.niaid.nih. gov) Ruth L. Kirschstein National Research Service Award F31 F31AI127303. Genevieve G. Fouda and Sallie R. Permar are partially supported by IMPAACT. Overall support for the IMPAACT group (http://impaactnetwork.org) is provided by the NIAID (U01 Al068632) and the Eunice Kennedy Shriver National Institute of Child Health and Human Development (NICHD: https://www.nichd. PEARLS

Noncanonical placental Fc receptors: What is their role in modulating transplacental transfer of maternal IgG?

David R. Martinez^{1,2}, Genevieve G. Fouda^{2,3}, Xinxia Peng⁴, Margaret E. Ackerman⁵, Sallie R. Permar^{1,2,3}*

1 Department of Molecular Genetics and Microbiology, Duke University Medical Center, Durham, North Carolina, United States of America, 2 Duke Human Vaccine Institute, Duke University Medical Center, Durham, North Carolina, United States of America, 3 Department of Pediatrics, Duke University Medical Center, Durham, North Carolina, United States of America, 4 Bioinformatics Research Center, North Carolina State University, Raleigh, North Carolina, United States of America, 5 Thayer School of Engineering, Dartmouth College, Hanover, New Hampshire, United States of America

* sallie.permar@duke.edu

Introduction

The transplacental transfer of maternal Immunoglobulin G (IgG) to the fetus is critical for protection against infectious diseases in the first year of life [1]. Maternal protective IgG is transferred from the maternal to the fetal circulatory system via the placenta, and this process begins in the first trimester of pregnancy [2]. By 37-40 weeks of gestation, maternal passively acquired IgG concentrations in newborns can exceed maternal IgG serum levels in normal pregnancies [3–7]. Yet, the molecular mechanisms of transplacental transfer of maternal IgG remain poorly understood. In order to reach the fetal circulatory system, maternal IgG must traverse three distinct placental anatomical barriers: (1) the syncytiotrophoblast cell barrier, (2) the villous stroma containing placental fibroblasts and Hofbauer cells, and (3) fetal endothelial cells. It is well established that IgG crosses the syncytiotrophoblast by binding to the canonical IgG shuttle receptor: Fragment crystallizable (Fc) receptor neonatal (FcRn) [2, 8]. However, how maternal IgG traverses the subsequent placental barriers is not completely understood, as they do not express FcRn, yet recent RNAseq analyses have shown that Fcy receptors, including FcyRIIa, FcyRIIa, FcyRIIb, and FcyRI, are expressed in term placentas [9]. However, it should be cautioned that it is not yet known if these noncanonical placental FcRs play a role, if at all, in the transplacental transfer of maternal IgG.

A deeper understanding of the molecular mechanism(s) of IgG binding to placentally expressed Fc receptors could be important (1) for the design of novel maternal IgG-based therapeutics and vaccines with optimal transplacental transfer efficiency, with the ultimate goal of increasing infant protection against congenital and neonatal infectious diseases, and (2) to optimize the Fc region of immunomodulatory IgG monoclonal antibody therapeutics for blunted transplacental transfer to potentially reduce the transplacental transport of maternal self-reactive IgG in women with autoimmune disorders.

Transplacental transfer activity of FcRn and its molecular interactions with IgG

Human FcRn consists of alpha and beta subunits that assemble to form a membrane-bound heterodimer receptor [8, 10]. FcRn is primarily expressed in intracellular endosomes in placental syncytiotrophoblast cells, and it shuttles maternal IgG from the apical side to the

nih.gov). Genevieve G. Fouda is supported by an NIH NIAID R01 Al131978. Sallie R. Permar is supported by NIH NIAID DP2 HD075699, R01 Al106380, and P01 Al117915. The funders had no role in study design, data collection, analysis, decision to publish, or preparation of the manuscript. The content is solely the view of the authors and does not necessarily represent the official views of the National Institutes of Health.

Competing interests: I have read the journal's policy and have the following conflicts: Sallie R. Permar is a consultant for Pfizer vaccines and has a sponsored program on preclinical cytomegalovirus vaccine development with Merck. All other authors declare no competing interests.

basolateral membrane [10]. In the proposed model of the transplacental transfer of IgG in syncytiotrophoblast cells, IgG is first phagocytosed into endosomes containing membrane-bound FcRn [10]. Upon exposure to endosome acidification from pH 7.4 to pH 6, IgG Fc binds to FcRn via electrostatic interactions [2, 10]. Next, the endosome is released on the basolateral side of the syncytiotrophoblast, and once the FcRn:IgG complex is extracellularly exposed to pH 7.4, the complex dissociates, releasing IgG into the villous stroma [10].

The acidic pH-dependent interaction of IgG and placental FcRn is modulated by the formation of salt bridges between basic amino acid residues H310 (IgG1 subclass amino acid numbering convention) in the constant heavy 2 (CH2) domain and H435 and H436 in the CH3 domain of the Fc region, and they interact with acidic amino acid residues E117, E132, and D137 in the beta subunit of FcRn [11]. While crystallography data demonstrate that amino acid residues within the CH2 and CH3 domains of IgG Fc interact with outer amino acid residues in the beta subunit of FcRn, mutational analyses suggest that additional amino acid residues outside the binding interface of IgG and Fc are also important for binding affinity [12]. For example, single amino acid residue substitutions of T307, E380, and N434 to alanine residues result in up to a 3-fold increase in binding to FcRn and up to a 12-fold increase when alanines at these positions are introduced in combination [12]. Thus, amino acid residues outside the binding interface of IgG Fc and FcRn may also be important for binding. Furthermore, recent studies demonstrated that IgG1 Fc region M428L and N434S mutations significantly improve the serum half-life of therapeutic IgG in adults by increasing binding affinity to FcRn [13]. Yet, the potential impact of these Fc region mutations on transplacental IgG transfer efficiency remains unknown and should be investigated.

The potential role of $Fc\gamma RIII$ and $Fc\gamma RII$ in transplacental IgG transfer

The molecular mechanisms of the transplacental IgG transfer beyond the syncytiotrophoblastic cell barrier remain poorly understood. Importantly, placental cell barriers internal to the syncytiotrophoblast layer, including fibroblasts and Hofbauer cells of the villous stroma, and fetal endothelial cells, do not express the canonical placental IgG shuttle receptor FcRn (Fig 1). Yet, these downstream placental cell barriers express noncanonical Fc receptors. For example, Hofbauer cells express FcyRIII, FcyRII, and FcyRI but not FcRn, whereas placental fibroblasts are not known to express any Fcy receptors. Finally, while the fetal endothelial cell-the final cell barrier that maternal IgG crosses before reaching the fetal circulatory system—does not express FcRn, it does express FcyRII [2, 14, 15]. Previous studies that examined the transplacental IgG transfer activity of FcyRIIb showed that endocytosed IgG colocalizes with FcyRIIb in endothelial cell endosomes [14-16]. Intriguingly, both IgG-bound FcyRIIb and free FcyRIIb were observed inside these endosomes, suggesting that this low-IgG-affinity receptor may play a role in the shuttling of maternal IgG into the lumen of fetal endothelial vessels [15]. In addition, FcyRIIIa and FcyRI, when engaged with IgG, can signal through Ig tyrosine-activating motif (ITAM), whereas FcyRIIb signals through Ig tyrosine-inhibition motif (ITIM) [17]. However, the Fc receptor IgG-dependent activation or inhibition of downstream placental cell signaling pathways as they relate to transplacental IgG transfer is unknown.

Placental Fc γ RIII expression, as defined by immunohistochemistry analyses, is largely localized to syncytiotrophoblast cells in the placental villous tree (Fig 1) [1,18–21]. Fc γ RIIIa tryptophan amino acid residues interact with invariant prolines and the interchain disulfide bridge of the CH2 domains of IgG, and these amino acid residues are conserved among all four IgG subclasses in humans [22]. Specific Fc region amino acid residues are similarly required for binding to Fc γ RIIb. In fact, mutational analyses suggest that several Fc region amino acid



Fig 1. The distribution of Fc receptor expression in placental villous trees. Maternal IgG present in the intervillous space crosses through distinct cell barriers, including syncytiotrophoblasts, the villous stroma which contains Hofbauer cells and fibroblasts, and fetal endothelial cells. Placental FcRn and FcyRIIIa are expressed in the outermost cell barrier of the villous tree: the syncytiotrophoblast. Hofbauer cells located in the villous stroma express FcyRI, FcyRIIb, and FcyRIIIa. Fetal endothelial cells express FcyRIIb but not FcRn. Fc, Fragment crystallizable; FcRn, Fc receptor neonatal; FcyRI, Fragment crystallizable gamma RI; FcyRIIb, Fragment crystallizable gamma RIIb; FcyRIIIa, Fragment crystallizable gamma RIIIa; IgG, Immunoglobulin.

https://doi.org/10.1371/journal.ppat.1007161.g001

residues within the CH2 domain can alter binding to $Fc\gamma RIIb$ [23]. In addition to Fc region outer-surface contact amino acid residues, the IgG Fc region N-linked glycosylation (N297 in IgG1) is important for binding affinity to $Fc\gamma Rs$ [24], and this Fc region glycan is conserved among the four IgG subclasses [22]. For example, an Fc region digalactosylated glycan increases the affinity for $Fc\gamma RIIIa$, whereas fucose decreases the binding affinity [25, 26]. Therefore, both Fc region amino acid residues and Fc region N-linked glycans mediate IgG binding to placental $Fc\gamma$ receptors, raising the question of whether modulation of these IgG Fc characteristics could impact placental IgG transfer efficiency.

Fc receptor polymorphisms and their potential role on transplacental IgG transfer activity

To date, no common single nucleotide polymorphisms (SNPs) have been identified for human FcRn [27]. However, allelic variation near the FcRn promoter has been implicated in altered transcriptional activity of FcRn in distinct human populations. As an example, variable number of tandem repeats (VNTR) variant 3 is more prevalent in Caucasian populations, and this allelic variation in the promoter is associated with increased transcriptional activity compared to VNTR variant 2 [27]. Nonsynonymous polymorphisms can also alter expression levels of Fc γ RIIb. For example, Fc γ RIIb can encode a nonsynonymous T > C SNP, which leads to either an I232 or T232 [28]. Interestingly, a T232 has been implicated in reduced localization to the membrane [29], which could potentially alter the ability of Fc γ RIIb to shuttle maternal IgG in fetal endothelial cells. Promoter SNPs have also been implicated in modulating gene

expression levels of FcγRIIb [30], suggesting that SNPs regulate both the localization and expression levels of FcγRIIb. Similarly, nonsynonymous polymorphisms in FcγRIIIa, a nucleotide point mutation that leads to either F158 or V158, have been implicated in altering the binding affinity to IgG [31, 32]. For example, FcγRIIIa V158 has a stronger binding affinity for IgG subclasses compared to FcγRIIIa F158. Thus, polymorphisms among placentally expressed Fcγ receptors may play a role in transplacental IgG transfer.

How could the placentally expressed Fc receptors be harnessed for improving infant health?

From our current understanding of the placental transfer of IgG, it remains unclear if placentally expressed Fc receptors [33], such as the Type II Fc receptor Dendritic Cell-Specific Intercellular adhesion molecule-3-Grabbing Non-integrin (DC-SIGN), or undiscovered IgG shuttle receptors, play a role, if at all, in transplacental IgG transfer. A deeper understanding of the molecular mechanisms of maternal IgG binding to alternative placental Fc receptors could be important for designing IgG-based therapeutics that increase infant protection against congenital viral infections and in early life. In fact, maternal passive immunization with polyclonal IgG during pregnancy has shown to be protective against congenital cytomegalovirus infection and is also being tested as a treatment strategy against congenital Zika syndrome [34, 35]. Therefore, future studies are needed to (1) define whether or not these noncanonical placentally expressed Fc receptors play a role in mediating the transplacental transfer of maternal IgG and (2) define how Fc receptor allelic variation impacts the transplacental transfer of maternal IgG. These data will guide the design of IgG-based maternal vaccines and therapeutics, fine-tuning transplacental transfer of IgG to improve maternal and infant health.

Acknowledgments

The authors thank Eliza Hompe for helpful discussions of placental Fc receptor biology.

References

- 1. Fouda GG, Martinez DR, Swamy GK, Permar SR. The Impact of IgG transplacental transfer on early life immunity. Immunohorizons. 2018; 2(1):14–25. Epub 2018/02/20. https://doi.org/10.4049/ immunohorizons.1700057 PMID: 29457151; PubMed Central PMCID: PMCPmc5812294.
- Simister NE. Placental transport of immunoglobulin G. Vaccine. 2003; 21(24):3365–9. Epub 2003/07/ 10. PMID: 12850341.
- Erener-Ercan T, Aslan M, Vural M, Erginoz E, Kocazeybek B, Ercan G, et al. Tetanus and diphtheria immunity among term and preterm infant-mother pairs in Turkey, a country where maternal and neonatal tetanus have recently been eliminated. Eur J Pediatr. 2015; 174(3):339–44. Epub 2014/08/31. https://doi.org/10.1007/s00431-014-2400-9 PMID: 25172444.
- 4. Kohler PF, Farr RS. Elevation of cord over maternal IgG immunoglobulin: evidence for an active placental IgG transport. Nature. 1966; 210(5040):1070–1. Epub 1966/06/04. PMID: 5950290.
- Malek A, Sager R, Kuhn P, Nicolaides KH, Schneider H. Evolution of maternofetal transport of immunoglobulins during human pregnancy. Am J Reprod Immunol. 1996; 36(5):248–55. Epub 1996/11/01. PMID: 8955500.
- Malek A, Sager R, Schneider H. Maternal-fetal transport of immunoglobulin G and its subclasses during the third trimester of human pregnancy. Am J Reprod Immunol. 1994; 32(1):8–14. Epub 1994/08/01. PMID: 7945815.
- 7. Tatra G, Placheta P. IgG levels in maternal and umbilical cord serum after vaginal delivery and after elective Caesarean section. Arch Gynecol. 1979; 227(2):135–40. Epub 1979/08/01. PMID: 485221.
- Simister NE, Story CM, Chen HL, Hunt JS. An IgG-transporting Fc receptor expressed in the syncytiotrophoblast of human placenta. Eur J Immunol. 1996; 26(7):1527–31. Epub 1996/07/01. https://doi.org/10.1002/eji.1830260718 PMID: 8766556.
- 9. Pavlicev M, Wagner GP, Chavan AR, Owens K, Maziarz J, Dunn-Fletcher C, et al. Single-cell transcriptomics of the human placenta: inferring the cell communication network of the maternal-fetal interface.

Genome Res. 2017; 27(3):349–61. Epub 2017/02/09. https://doi.org/10.1101/gr.207597.116 PMID: 28174237; PubMed Central PMCID: PMCPMC5340963.

- Roopenian DC, Akilesh S. FcRn: the neonatal Fc receptor comes of age. Nat Rev Immunol. 2007; 7 (9):715–25. Epub 2007/08/19. https://doi.org/10.1038/nri2155 PMID: 17703228.
- Martin WL, West AP Jr., Gan L, Bjorkman PJ. Crystal structure at 2.8 A of an FcRn/heterodimeric Fc complex: mechanism of pH-dependent binding. Mol Cell. 2001; 7(4):867–77. Epub 2001/05/05. PMID: 11336709.
- Shields RL, Namenuk AK, Hong K, Meng YG, Rae J, Briggs J, et al. High resolution mapping of the binding site on human IgG1 for Fc gamma RI, Fc gamma RII, Fc gamma RIII, and FcRn and design of IgG1 variants with improved binding to the Fc gamma R. J Biol Chem. 2001; 276(9):6591–604. Epub 2000/11/30. https://doi.org/10.1074/jbc.M009483200 PMID: 11096108.
- Gaudinski MR, Coates EE, Houser KV, Chen GL, Yamshchikov G, Saunders JG, et al. Safety and pharmacokinetics of the Fc-modified HIV-1 human monoclonal antibody VRC01LS: A Phase 1 open-label clinical trial in healthy adults. PLoS Med. 2018; 15(1):e1002493. Epub 2018/01/25. https://doi.org/10. 1371/journal.pmed.1002493 PMID: 29364886; PubMed Central PMCID: PMCPmc5783347.
- Simister NE. Human placental Fc receptors and the trapping of immune complexes. Vaccine. 1998; 16 (14–15):1451–5. Epub 1998/08/26. PMID: 9711787.
- Takizawa T, Anderson CL, Robinson JM. A novel Fc gamma R-defined, IgG-containing organelle in placental endothelium. J Immunol. 2005; 175(4):2331–9. Epub 2005/08/06. PMID: 16081803.
- Mishima T, Kurasawa G, Ishikawa G, Mori M, Kawahigashi Y, Ishikawa T, et al. Endothelial expression of Fc gamma receptor IIb in the full-term human placenta. Placenta. 2007; 28(2–3):170–4. Epub 2006/ 04/08. https://doi.org/10.1016/j.placenta.2006.01.024 PMID: 16600368.
- Ghazizadeh S, Bolen JB, Fleit HB. Physical and functional association of Src-related protein tyrosine kinases with Fc gamma RII in monocytic THP-1 cells. J Biol Chem. 1994; 269(12):8878–84. Epub 1994/ 03/25. PMID: 8132624.
- Bright NA, Ockleford CD, Anwar M. Ontogeny and distribution of Fc gamma receptors in the human placenta. Transport or immune surveillance? J Anat. 1994; 184 (Pt 2):297–308. Epub 1994/04/01. PMID: 8014121; PubMed Central PMCID: PMCPmc1259990.
- Kameda T, Koyama M, Matsuzaki N, Taniguchi T, Saji F, Tanizawa O. Localization of three subtypes of Fc gamma receptors in human placenta by immunohistochemical analysis. Placenta. 1991; 12(1):15– 26. Epub 1991/01/01. PMID: 1827890.
- Kristoffersen EK, Matre R. Co-localization of the neonatal Fc gamma receptor and IgG in human placental term syncytiotrophoblasts. Eur J Immunol. 1996; 26(7):1668–71. Epub 1996/07/01. <u>https://doi.org/10.1002/eji.1830260741</u> PMID: 8766579.
- Sedmak DD, Davis DH, Singh U, van de Winkel JG, Anderson CL. Expression of IgG Fc receptor antigens in placenta and on endothelial cells in humans. An immunohistochemical study. Am J Pathol. 1991; 138(1):175–81. PMID: 1987763; PubMed Central PMCID: PMCPmc1886035.
- Sondermann P, Huber R, Oosthuizen V, Jacob U. The 3.2-A crystal structure of the human IgG1 Fc fragment-Fc gammaRIII complex. Nature. 2000; 406(6793):267–73. Epub 2000/08/05. <u>https://doi.org/ 10.1038/35018508</u> PMID: 10917521.
- Armour KL, van de Winkel JG, Williamson LM, Clark MR. Differential binding to human FcgammaRIIa and FcgammaRIIb receptors by human IgG wildtype and mutant antibodies. Mol Immunol. 2003; 40 (9):585–93. Epub 2003/11/05. PMID: 14597161.
- Nose M, Wigzell H. Biological significance of carbohydrate chains on monoclonal antibodies. Proc Natl Acad Sci U S A. 1983; 80(21):6632–6. Epub 1983/11/01. PMID: 6579549; PubMed Central PMCID: PMCPmc391224.
- 25. Li T, DiLillo DJ, Bournazos S, Giddens JP, Ravetch JV, Wang LX. Modulating IgG effector function by Fc glycan engineering. Proc Natl Acad Sci U S A. 2017; 114(13):3485–90. Epub 2017/03/16. https:// doi.org/10.1073/pnas.1702173114 PMID: 28289219; PubMed Central PMCID: PMCPmc5380036.
- Niwa R, Natsume A, Uehara A, Wakitani M, Iida S, Uchida K, et al. IgG subclass-independent improvement of antibody-dependent cellular cytotoxicity by fucose removal from Asn297-linked oligosaccharides. J Immunol Methods. 2005; 306(1–2):151–60. Epub 2005/10/13. https://doi.org/10.1016/j.jim. 2005.08.009 PMID: 16219319.
- Sachs UJ, Socher I, Braeunlich CG, Kroll H, Bein G, Santoso S. A variable number of tandem repeats polymorphism influences the transcriptional activity of the neonatal Fc receptor alpha-chain promoter. Immunology. 2006; 119(1):83–9. Epub 2006/06/30. https://doi.org/10.1111/j.1365-2567.2006.02408.x PMID: 16805790; PubMed Central PMCID: PMCPmc1782336.
- 28. Kyogoku C, Dijstelbloem HM, Tsuchiya N, Hatta Y, Kato H, Yamaguchi A, et al. Fcgamma receptor gene polymorphisms in Japanese patients with systemic lupus erythematosus: contribution of FCGR2B

to genetic susceptibility. Arthritis Rheum. 2002; 46(5):1242–54. Epub 2002/07/13. https://doi.org/10. 1002/art.10257 PMID: 12115230.

- 29. Kono H, Kyogoku C, Suzuki T, Tsuchiya N, Honda H, Yamamoto K, et al. FcgammaRIIB Ile232Thr transmembrane polymorphism associated with human systemic lupus erythematosus decreases affinity to lipid rafts and attenuates inhibitory effects on B cell receptor signaling. Hum Mol Genet. 2005; 14 (19):2881–92. Epub 2005/08/24. https://doi.org/10.1093/hmg/ddi320 PMID: 16115811.
- 30. Su K, Wu J, Edberg JC, Li X, Ferguson P, Cooper GS, et al. A promoter haplotype of the immunoreceptor tyrosine-based inhibitory motif-bearing FcgammaRIIb alters receptor expression and associates with autoimmunity. I. Regulatory FCGR2B polymorphisms and their association with systemic lupus erythematosus. J Immunol. 2004; 172(11):7186–91. Epub 2004/05/22. PMID: 15153543.
- Wu J, Edberg JC, Redecha PB, Bansal V, Guyre PM, Coleman K, et al. A novel polymorphism of FcgammaRIIIa (CD16) alters receptor function and predisposes to autoimmune disease. J Clin Invest. 1997; 100(5):1059–70. Epub 1997/09/01. https://doi.org/10.1172/JCI119616 PMID: 9276722; PubMed Central PMCID: PMCPmc508280.
- Bruhns P. Properties of mouse and human IgG receptors and their contribution to disease models. Blood. 2012; 119(24):5640–9. Epub 2012/04/27. <u>https://doi.org/10.1182/blood-2012-01-380121</u> PMID: 22535666.
- Pöhlmann S. DC-SIGNR, a DC-SIGN homologue expressed in endothelial cells. 2001; 98(5):2670–5. https://doi.org/10.1073/pnas.051631398 PMID: 11226297; PubMed Central PMCID: PMCPmc30196.
- Nigro G, Adler SP, La Torre R, Best AM. Passive immunization during pregnancy for congenital cytomegalovirus infection. N Engl J Med. 2005; 353(13):1350–62. Epub 2005/09/30. https://doi.org/10. 1056/NEJMoa043337 PMID: 16192480.
- 35. Magnani DM, Rogers TF, Maness NJ, Grubaugh ND, Beutler N, Bailey VK, et al. Fetal demise and failed antibody therapy during Zika virus infection of pregnant macaques. Nat Commun. 2018; 9. <u>https:// doi.org/10.1038/s41467-018-04056-4</u> PMID: 29691387; PubMed Central PMCID: PMCPMC5915455.