#### REPORT

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# A recycling anti-transferrin receptor-1 monoclonal antibody as an efficient therapy for erythroleukemia through target up-regulation and antibody-dependent cytotoxic effector functions

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### ABSTRACT

Targeting transferrin receptor 1 (TfR1) with monoclonal antibodies is a promising therapeutic strategy in cancer as tumor cells often overexpress TfR1 and show increased iron needs. We have re-engineered six anti-human TfR1 single-chain variable fragment (scFv) antibodies into fully human scFv<sub>2</sub>-Fcγ1 and IgG1 antibodies. We selected the more promising candidate (H7), based on its ability to inhibit TfR1-mediated iron-loaded transferrin internalization in Raji cells (B-cell lymphoma). The H7 antibody displayed nanomolar affinity for its target in both formats (scFv<sub>2</sub>-Fcγ1 and IgG1), but cross-reacted with mouse TfR1 only in the scFv<sub>2</sub>-Fc format. H7 reduced the intracellular labile iron pool and, contrary to what has been observed with previously described anti-TfR1 antibodies, upregulated TfR1 level in Raji cells. H7 scFv<sub>2</sub>-Fc format elimination half-life was similar in FcRn knock-out and wild type mice, suggesting that TfR1 recycling contributes to prevent H7 elimination *in vivo.* In vitro, H7 inhibited the growth of erythroleukemia and B-cell lymphoma cell lines (IC<sub>50</sub> 0.1 µg/mL) and induced their apoptosis. Moreover, the Im9 B-cell lymphoma cell line, which is resistant to apoptosis induced by rituximab (anti-CD20 antibody), was sensitive to H7. *In vivo*, tumor regression was observed in nude mice bearing ERY-1 erythroleukemia cell xenografts treated with H7 through a mechanism that involved iron deprivation and antibody-dependent cytotoxic effector functions. Therefore, targeting TfR1 using the fully human anti-TfR1 H7 is a promising tool for the treatment of leukemia and lymphoma.

# Introduction

Iron deprivation is an emerging strategy in cancer therapeutics. Tumors have high iron content and rely on iron for their growth and progression.<sup>1</sup> Cancer stem cells also require iron for their survival.<sup>2,3</sup> Iron levels in cells can be reduced with iron chelators,<sup>4</sup> which are already used in the clinic for iron overload disorders, or with monoclonal antibodies (mAbs) against transferrin receptor 1 (TfR1). TfR1 is the main receptor responsible for the cell iron supply through receptor-mediated internalization of serum Fe<sup>3+</sup>-loaded transferrin (holo-Tf). Within the cell, Fe<sup>3+</sup> is released, reduced, excluded from the early endosome by divalent metal ion transporter 1 (DMT1), and used for cell metabolism. Fe<sup>3+</sup> excess is stored in ferritin, while TfR1 is recycled at the cell surface together with iron-free transferrin (apo-Tf).<sup>5</sup>

Several studies reported that *in vitro*, incubation of tumor cell lines with some anti-TfR1 mAbs decreases cell viability. The reasons for the decrease of cell viability (cell cycle arrest, mechanism of cell death, if observed) and its intensity largely vary depending on the cancer cell type (for example, hematopoietic cancer cells are more sensitive than solid cancer cells), on the TfR1 epitope recognized by the antibody, and on the antibody format (bivalency is generally required). Inhibition of cell viability is observed when anti-TfR1 mAbs reduce the cell iron supply through competition with holo-Tf,<sup>5-8</sup> or inhibition of TfR1 internalization,<sup>9</sup> or induction of TfR1 degradation.<sup>10,11</sup>

We have recently obtained six rapidly internalized antagonistic competitive anti-TfR1 single-chain variable-fragment (scFv) antibodies by phage display.<sup>12</sup> In this monovalent format, the F12 and H7 antibodies were the best holo-Tf competitors. *In vitro*, they inhibited the growth of B-cell lymphoma and erythroleukemia cells (Raji and ERY-1 cell lines, respectively), and their efficiency increased upon conversion to the scFv homodimeric format (scFv<sub>2</sub>). However, we found that in nude mice with established subcutaneous (*s.c.*) ERY-1 erythroleukemia cell xenografts, treatment with scFv<sub>2</sub>-F12 significantly inhibited tumor growth, but tumor escape occurred.<sup>6</sup> We reasoned that because bivalent scFv<sub>2</sub> antibodies are prone to rapid elimination through the kidney due to their small size (50 kDa)<sup>13,14</sup> and

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because the Fc domain contributes to the long serum persistence of immunoglobulins *via* interaction with the neonatal Fc receptor (FcRn),<sup>15</sup> we might improve the *in vivo* therapeutic effect observed with the scFv<sub>2</sub> format by converting these anti-TfR scFv antibodies to formats containing a human  $Fc\gamma 1$  (scFv<sub>2</sub>-Fc and full length IgG1, Figure 1(a)). These formats were chosen to improve the antibody pharmacokinetics (PK)<sup>16</sup> and to add effector functions (such as antibody-dependent cell-mediated



**Figure 1.** Preliminary characterization of the reformatted anti-TfR1 scFvs (a) Graphic representation of the scFv<sub>2</sub>-Fc and the IgG1 formats, in grey variable domains (light grey, VH; dark grey, VL), in black, constant domains. (b) Validation of TfR1 surface expression on the lymphoma Raji cell line (human) and P815 mastocytoma cells (mouse) by FACS (FC500 cytometer) with a commercial mouse anti-human TfR1 IgG or a rat anti-mouse TfR1 IgG (10 µg/mL) followed by anti-mouse IgG or anti-rat IgG fluorescent secondary antibodies, respectively, or with fluorescent human holo-Tf (500 nM) (c) Detection of the binding of the panel of anti-TfR1 antibodies reformatted into bivalent scFv by fusion to Fc (upper panel) or in full-length human IgG1 (lower panel) to the Raji or the mouse P815 cell lines, as indicated. Binding is detected with an anti-human IgG1 antibody conjugated to FITC and FACS analysis (FC500 cytometer). Dark grey peaks represent fluorescent background of the secondary antibody alone or, in case of the detection of fluorescent holo-Tf internalization in Raji cells: antibodies at the indicated at 37°C with Raji cells for 3 h then cells are collected, washed with PBS and analyzed by FACS. Results are expressed in mean fluorescent intensity (MFI) relative to cells incubated with fluorescent holo-Tf only. Irr, irrelevant antibody of the same format. The data shown are representative of 3 independent experiments.

cytotoxicity (ADCC) and phagocytosis (ADCP)),<sup>17</sup> to the scFv intrinsic inhibitory potential.

This report presents the *in vitro* characterization of the reformatted anti-TfR1 antibodies and their effects on hematological cancer cell lines, particularly of H7, the most efficient antibody that also displayed promising therapeutic efficacy *in vivo*.

# Results

# Antibody binding to TfR1 and inhibition of holo-Tf internalization

All the six parental anti-TfR1 scFv antibodies (H7, F12, C32, F2, H9, G9) could be converted into the scFv<sub>2</sub>-Fc and IgG1 antibody formats (Figure 1(a)), with high production yields except for F12-IgG1. The initial characterization was done to verify that the new antibody formats could bind to TfR1 and inhibit TfR1-mediated holo-Tf internalization, like the parental scFv antibodies.<sup>6</sup> The TfR1-expressing B-cell lymphoma Raji and mastocytoma P815 cell lines were used to test the binding to human and mouse TfR1, respectively (Figure 1(c)). Among the scFv<sub>2</sub>-Fc antibodies, only H7, F12 and C32 also recognized mouse TfR1 (Figure 1(c), upper panel). Among the IgG1 antibodies, H7 and C32 lost crossreactivity to mouse TfR1 (Figure 1(c), lower panel). All six scFv<sub>2</sub>-Fc antibodies inhibited internalization of 500 nM Alexa 488conjugated holo-Tf (holo-Tf-A488), and H7-Fc was the most efficient with 70% inhibition at 5  $\mu$ g/mL (50 nM) (Figure 1(d) and S1). Concerning the IgG1 antibodies, the inhibition of holo-Tf internalization by G9 and C32 was greatly reduced compared with the scFv<sub>2</sub>-Fc format. H7-IgG1 was again the most efficient with 50% inhibition at 5 µg/mL (33 nM). Another anti-TfR1 mAb Ba120 (mouse IgG1), which shows inhibitory activity in leukemia models,<sup>18</sup> had no effect.

H7 was then chosen for more extensive characterization and comparison with Ba120. To test their capacity to block internalization of holo-Tf at physiological concentrations, 5 µg/mL of H7-Fc and H7-IgG1 (i.e., 50 nM and 33 nM, respectively) were mixed with 10 µM holo-Tf-A488. H7-Fc, but not H7-IgG1, still inhibited holo-Tf internalization in Raji cells (Figure 2(a), left panel and right panel, respectively). Surprisingly, Ba120 increased holo-Tf internalization of more than 50%. The apparent affinity constant  $(EC_{50})$ (Figure 2(b)) and the antibody concentration that blocked 50% of holo-Tf-A488 binding (used at 500 nM) to human TfR1 (IC<sub>50</sub>) at 4°C in Raji cells (Figure 2(c)) were then determined. H7-Fc, H7-IgG1 and Ba120 displayed subnanomolar EC<sub>50</sub> values, showing better binding to human TfR1 than holo-Tf (EC<sub>50</sub> 16 nM) in the same conditions. Alternatively, H7-Fc and H7-IgG1 K<sub>D</sub> (dissociation constant) values were also determined by surface plasmon resonance (SPR) using a steady-state fitting model (Figure S2). Similar K<sub>D</sub> (5 nM) were found for H7-Fc and H7-IgG1. Moreover, H7-Fc and H7-IgG1 fully inhibited holo-Tf binding to human TfR1 (IC<sub>50</sub> of 5 nM), whereas Ba120 could only inhibit 50% of binding (Figure 2(c)), consistent with Ba120 inability to reduce holo-Tf internalization (Figures 1(d) and 2(a)). When measured on mouse TfR1 using the p815 mouse cell line, H7-Fc displayed an  $EC_{50}$  of 0.8 nM (Figure 2(d)), in the same range as the EC<sub>50</sub> for human TfR1 measured in Raji cells

(0.3 nM). Finally, analysis of antibody (1 nM) binding in the presence of increasing concentrations of holo-Tf at 4°C showed that in Raji cells, H7-Fc binding to human TfR1 could be fully inhibited (IC<sub>50</sub> 115 nM). Conversely, Ba120 binding was inhibited only by 50% even in the presence of a 1000 molar excess of holo-Tf (Figure 2(e)). Altogether, these results indicate a competitive inhibition of holo-Tf binding by H7 (*i.e.*, the H7 epitope on TfR1 overlaps with the holo-Tf binding site). Molecular modeling confirmed the TfR1-H7 (red) interaction, and showed that the Ba120 epitope (green) was away from the holo-Tf binding site (Figure S3).

# Anti-TfR1 antibody intrinsic cytotoxic activity

After confirming that the ERY-1 and Raji cancer cell lines are sensitive to the iron chelator deferoxamine (DFO) (Figure 3(a), right panel), these cell lines were used to test the effect of the H7 and Ba120 antibodies on cell growth. After 5 days of incubation, H7-Fc and H7-IgG1 strongly decreased the viability of both cell lines (IC<sub>50</sub> in the range of 0.1  $\mu$ g/mL) (Figure 3(a)). Conversely, Ba120 had a limited effect, in agreement with its lower competition with holo-Tf (Figure 2(c,e)). Moreover, H7-IgG1 reduced rapidly (4 h) the levels of the intracellular labile iron pool (LIP) in Raji and ERY-1 cells, while Ba120 had a more limited effect, especially at the lowest concentration used (1.5 µg/mL) (Figure 3 (b)). In ERY-1 cells, apoptosis could be detected already after 1 day of incubation with H7-Fc or H7-IgG1. After 3 days, the percentage of apoptotic cells was higher than 50% using 5 nM of H7-Fc or H7-IgG1 (corresponding to 0.5 µg/mL and 0.75 µg/mL, respectively). Conversely, apoptosis was more limited with Ba120, even when used at high concentration (500 nM corresponding to 75  $\mu$ g/mL) (Figure 3(c)). Apoptosis upon H7 treatment was also detected in Raji cells, with the same kinetics than in ERY-1 cells, but to a lesser extent, consistent with this cell line displaying autophagic, but not apoptotic cell death features upon iron deprivation. Then, to compare apoptosis induced by rituximab (anti-CD20 antibody) and by H7, the Bp3 and Im9 B-cell lymphoma cell lines (sensitive and resistant to rituximab-induced apoptosis, respectively) were incubated with H7 or rituximab. H7 strongly induced apoptosis in both cell lines, (Figure 3(d)). In Bp3 cells (rituximab-sensitive), the apoptotic rate was higher upon incubation with H7 than with rituximab (RX), although H7 effect was delayed compared with rituximab. Ba120 induced apoptosis in both cell lines, but was less efficient than H7 (Figure 3(d)). H7 also induced an early moderate free iron level decrease in both Bp3 and Im9 cell lines (Figure S4). Altogether, these in vitro data indicate that the holo-Tf uptake blockade by H7 induces apoptosis in leukemia and lymphoma cell lines, including those resistant to rituximab, likely by reducing the LIP.

# H7 fate upon TfR1 binding

Upon binding of its natural ligand holo-Tf TfR1 is rapidly internalized and recycled after holo-Tf has released iron in the endosomes. In physiological conditions, TfR1 expression depends on LIP level through the regulation of TfR1 mRNA stability (for review, see Ref. <sup>5</sup>). Previously described anti-TfR1 competitive inhibitory antibodies decreased TfR1 levels



**Figure 2.** Characterization of the anti-TfR1 H7 scFv<sub>2</sub>-Fc and full length IgG1 antibodies (a) Interference of H7-Fc and H7-IgG1 (5  $\mu$ g/mL) (left and right panel, respectively) with the internalization of 10  $\mu$ M or 1  $\mu$ M Alexa 488-conjugated holo-Tf, measured as in Figure 1. (b) Apparent affinity of H7-Fc, H7-IgG1 and Ba120 (mouse monoclonal anti-TfR1 IgG1) and of Alexa 488-conjugated holo-Tf or human TfR1 measured by detection of the binding of increasing concentrations of antibody/holo-Tf in Raji cells at 4°C. Bound antibodies were detected with a mouse anti-human-Fc fluorescent antibody and analyzed by FACS (Gallios cytometer); results are expressed as MFI in function of the primary antibody concentration. The EC<sub>50</sub> values (nM) are indicated. (c) Measurement of the fluorescence signal in Raji cells after incubation (at 4°C for 1 h) with 500 nM Alexa 488-conjugated holo-Tf alone. (d) Apparent affinity of H7-Fc and H7-IgG1 for mouse TfR1 measured by detection of the binding of increasing concentrations of antibody in P815 cells at 4°C as in B, (e) H7-Fc (efft panel) and Ba120 (right panel) binding to TfR1 in Raji cells in the presence of increasing concentrations of holo-Tf. Bound antibodies were detected by FACS with anti-human -Fc fluorescent secondary antibodies, (Gallios cytometer) and results expressed as MFI. The IC<sub>50</sub> values (nM) are indicated. In b, d and e, similar EC<sub>50</sub> and IC<sub>50</sub> determinations were obtained in 2 to 3 independent experiments in the same setting.

through antibody-dependent TfR1 routing to the lysosome where it is degraded. Degradation of TfR1 upon non-ligand competitive anti-TfR1 antibody has been shown to be enhanced by high affinity or dimeric receptor binding

compared to lower affinity or monomeric binding of TfR1.<sup>19,20</sup> Here, incubation of Raji cells with the high affinity bivalent anti-TfR1 H7 (5  $\mu$ g/mL) for 36 h led to TfR1 level increase. Hypoxia-inducible factor 1-alpha (HIF-1 $\alpha$ ), the



**Figure 3.** Functional properties of the anti-TfR1 H7 scFv<sub>2</sub>-Fc and full length IgG1 antibodies (a) Viability of ERY-1 erythroleukemia (upper panel) and Raji B-cell lymphoma (lower panel) cells was assessed with the MTS assay after incubation with H7-Fc, H7-IgG1 or Ba120 (5 days). Results are expressed as the percentage of viable cells compared with untreated cells. The iron chelator DFO was also tested in the same conditions; the IC<sub>50</sub> values ( $\mu$ g/mL for antibodies or  $\mu$ M for DFO) are indicated. The irrelevant scFv<sub>2</sub>-Fc antibody (Irr-Fc) did not have any effect on cell viability (H7-Fc panel). (b) Variation of intracellular soluble iron levels in ERY-1 and Raji cells induced by incubation with DFO, H7-IgG1 or Ba120 at 37°C for 4 h and 8 h. Before addition of the antibodies, cells were labeled with the intracellular iron-chelating dye calcein. Calcein fluorescence, which is quenched when chelated to iron, was measured by FACS. Results are expressed as the percentage of change in the fluorescence signal relative to untreated cells (NT). Apoptosis induction in (c) ERY-1 and Raji cells and in (d) Bp3 and Im9 B-cell lymphoma cells after incubation with H7-Fc, H7-IgG1 (or an irrelevant antibody in the same format, Irr.), Ba120, or rituximab (RX, human IgG1; only in d) for the indicated time. After treatment, cells were collected and stained with Annexin conjugated to FITC and 7-AAD, and analyzed by FACS. Results are expressed as the percentage of Annexin+/7AAD- cells (i.e., early apoptotic cells) compared with untreated cells. (e) Comparative effect of TfR1 H7-IgG1 (5  $\mu$ g/mL) and holo-Tf (10  $\mu$ M) treatment (36 h) of Raji cells on TfR1 and HIF-1a levels. Cells were alternatively treated with Ba120 or the corresponding irrelevant antibody format at the same concentration. After treatment, protein extracts (20  $\mu$ g) separated by SDS-PAGE (7% polyacrylamide separation gel) and analyzed by Western Blot. Quantification relative to actin is represented under the Western Blot. The data shown are representative of 3

stability of which is affected by LIP through iron-dependent proteases,<sup>21</sup> was also strongly increased by H7 treatment (Figure 3(e)). TfR1 increase upon treatment was strongly prevented by translation inhibition by cycloheximide and slightly increased by NH<sub>4</sub>Cl treatment that limits lysosome acidification (Figure 3(f)). Conversely, holo-Tf and Ba120 treatment reduced TfR1 level after 36 h of treatment (Figure 3(e)). These data suggest that unlike Ba120, H7 does not interfere with TfR1 recycling and induces limited TfR1 degradation. Finally, H7 binding to TfR1 was not decreased at pH 6 or lower compared with pH 7 (Figure S5), indicating that, like apo-Tf, H7 might not be released in the endosome and could be mostly recycled back to the cell surface together with TfR1. However, unlike apo-Tf, which has reduced affinity for TfR1 at extracellular pH,<sup>22</sup> H7 should not dissociate at the cell surface, and, therefore, reduce strongly the accessibility of the recycled TfR1 to iron-charged holo-Tf, thus explaining H7 high iron deprivation efficiency.

To explore the potential consequences of the TfR1 modulation by H7 observed in vitro on H7 PK/pharmacodynamics (PD), the biodistribution of a mixture of <sup>125</sup>I-labeled H7-Fc and <sup>131</sup>I-labeled irrelevant scFv<sub>2</sub>-Fc antibodies was evaluated in mice. The scFv<sub>2</sub>-Fc format was chosen because, differently from the IgG1 format, it can cross-react with mouse TfR1 (Figure 1(c)). Nude mice bearing subcutaneous ERY-1 tumor cell xenografts received one intravenous (i.v.) injection of the two antibody mixture (6  $\mu$ g, 5  $\mu$ Ci/each) (n = 4). The percentage of the injected dose (%ID) after 48 h in each individual mousewas similar for H7-Fc and the irrelevant scFv<sub>2</sub>-Fc antibody, consistent with the well-described enhanced permeability and retention (EPR) effect in tumors<sup>23</sup> (Figure 4(a), left panel). Individual variations among animals could be explained by the different tumor sizes (300 to 800 mm<sup>3</sup>). However, as indicated by the organ repartition index, H7-Fc specificity for mouse TfR1 resulted in increased radioactivity associated with the tumor compared with the irrelevant scFv2-Fc antibody (ratio >1) (Figure 4(a), right panel, and Figure S6). In a parallel experiment, H7-Fc (80 µg) was *i.v.* injected in C57Bl/6 wild type (WT) mice or in C57Bl/6 FcRn knockout (KO) mice and titered by ELISA in the serum (Figure 4 (b)). In WT mice (because the Figure 4(b) is already quoted the sentence before, H7-Fc was cleared from the serum more rapidly than the irrelevant scFv<sub>2</sub>-Fc, likely due to its binding to mouse TfR1. AUC and serum clearance (CL) classic constants are reported in Table S1. A two-compartment model (plasma and intracellular/central compartments) was designed to describe the cellular uptake and cellular recycling of the antibodies (see materials and methods section and Figure 4 (c)). This model is derived from previously published models.<sup>24,25</sup> In our model, the apparent distribution volume  $(V_D)$  was higher for H7-Fc than for Irr-scFv<sub>2</sub>-Fc, both in WT and C57Bl/6 FcRnKO mice, consistent with higher intracellular localization of H7-Fc (2.5 mL versus 1 mL for H7-Fc and Irr-scFv<sub>2</sub>-Fc, respectively, (LRT, p < 0.0005)). As expected,<sup>26</sup> the recycling of Irr-scFv<sub>2</sub>-Fc (k<sub>CS</sub>) was decreased in FcRnKO mice due to the lack of lysosomal rescue associated to the absence of FcRn<sup>15</sup> and consistently, its elimination half-life T<sub>1/2</sub> was lower in FcRnKO compared to WT background (1.7 to 7.9 days, LRT, p < 0.0005). However, strikingly, if the

recycling of H7-Fc was also decreased in FcRnKO mice, its elimination half-life was not affected and remained around 4 to 5 days in both genetic backgrounds (Figure 4(d) and Table 1). Since both FcRn and antibody factors were quantified simultaneously in the multivariate model, the effects due to FcRn or TfR1 binding and recycling are measured independently. Therefore, this could indicate that H7-Fc is protected from elimination by its binding to TfR1. Altogether, the biodistribution and PK results reveals a dominant target mediated stabilization mechanism for H7-Fc.

Finally, nude mice with established *s.c.* ERY-1 tumor cell xenografts were treated with H7-Fc (5 mg/kg via intraperitoneal (*i.p.*) administration twice a week) or phosphate-buffered saline (PBS) (n = 5 animals/group). After 4 weeks of treatment, two animals were cured in the H7-Fc group. Moreover, western blot analysis of the tumors (Figure 4(e)) showed that TfR1 levels were increased in the tumors of the other three mice treated with H7-Fc compared with the tumors of the PBS group. IHC analysis of one tumor for each group (900 mm<sup>3</sup>) with an anti-TfR1 antibody showed higher TfR1 staining in the H7-Fc treated sample (Figure 4(f)). These data indicate that H7-Fc treatment upregulates TfR1 *in vivo*, as observed *in vitro*, suggesting that tumors treated with H7 undergo iron deprivation.

# The H7 antibody can mediate ADCC

Cytotoxic effector cells require interaction with the Fc portion of an antibody to initiate FcyR-dependent degranulation and perform ADCC. TfR1 is a rapidly internalizing receptor, and H7 was isolated on the basis of its rapid internalization.<sup>27</sup> To determine whether this feature affected H7-mediated ADCC, the antibody was added to the target cells (Raji cells or HMC11 cells) for 30 min, to allow TfR1 internalization, before addition of freshly prepared peripheral blood mononuclear cells (PBMC) for 3 h. In this assay, H7-IgG1, induced ADCC in HMC11 cells (TfR1<sup>pos</sup>, CD117<sup>pos</sup>, CD20<sup>neg</sup>) and Raji cells (TfR1<sup>pos</sup>, CD117<sup>neg</sup>, CD20<sup>pos</sup>), with comparable efficiency (Figure 5(a)), while the anti-CD117 mAb 2D1 (human IgG1), and the anti-CD20 rituximab (human IgG1) mediated toxicity only on HMC11 and Raji cells, respectively. Compared with H7-IgG1, H7-Fc effect was more limited and observable only after 16 h of incubation with PBMC (Figure 5 (b)). This difference was confirmed in two other cell lines (Figure S7).

# In vivo effects of H7

Nude mice with established *s.c.* ERY-1 tumors were treated with PBS (controls) or H7-Fc (100 µg per *i.p.* injection twice a week) for 4 weeks (n = 7/group). Mice were sacrificed when tumors reached 1600 mm<sup>3</sup>. Compared with controls, tumor growth in the H7-Fc group was significantly reduced (p < 0.05) during the treatment time. Specifically, three mice responded to the treatment, and one was totally cured (Figure 6(a,b)). However, survival was not significantly improved (Figure 6(c)). Weight loss was not observed in any mouse during the experiment. In the next experiment, (Figure 6(d)), in which H7-IgG1



**Figure 4.** PK/PD of the crossreactive anti-TfR1 H7 scFv<sub>2</sub>-Fc format (H7-Fc) (a) Four nude mice bearing ERY-1 tumors were injected *i.v.* with a mixture of <sup>125</sup>I-labelled H7-Fc and <sup>131</sup>I irrelevant scFv<sub>2</sub>-Fc (6 µg, 5 µCi each). After 48 h, mice were killed and the radioactivity in all organs and tissues was quantified by dual-channel  $\gamma$  scintillation counting of both iodine isotopes. Results are expressed as the % of the injected dose (ID) in the whole mice (left panels) or as the organ specificity index at the time of sacrifice (<sup>125</sup>I % cpm relative to total body dose)/(<sup>131</sup>I % cpm relative to total body dose for a specific organ) (right panels; raw data are available in Fig. Sc). (b) Two groups of 10 WT and FcRnKO C57BI/6 mice were injected *i.v.* with 4 mg/kg of H7-Fc (about 80 µg) (left panel) or irrelevant scFv<sub>2</sub>-Fc (right panel). Antibody concentration in serum at various time after injection was evaluated by ELISA by Fc domain detection and normalized for each mice to the constration reasured 2 h. after injection. (c) Two-compartment model describing antibody PK, where k<sub>Sc</sub>, k<sub>CS</sub> and k<sub>E</sub> are cellular uptake, cellular recycling constant k<sub>CS</sub> determined by the model, \*\*\*, p < 0,005 (e, f) Groups of 5 nude mice with ERY-1 subcutaneous tumors of 200 mm<sup>3</sup> were treated for 4 weeks with either PBS or H7-Fc (5 mg/kg injected *i.p.* 2 times a week) and (e) all tumors were then processed for protein extraction and Western Blot for TfR1 detection, the relative intensity of the TfR1 band and the size of individual tumors at the time of analysis are indicated. (f) One tumor of similar size at the time of sacrifice in each group (900 mm<sup>3</sup>) was analyzed by IHC for TfR1 expression.

(200 µg per *i.p.* injection twice a week) was used instead of H7-Fc, tumor regression was observed in all mice treated with H7-IgG1, but in none of the PBS group or of the irrelevant IgG1 group (n = 6 mice/group). In five mice of

the H7-IgG1 group, tumors were undetectable at day 60 after the end of the treatment. Finally, treatment with a non-glycosylated variant of H7-IgG1 (H7-IgG1 del297, defective in ADCC and ADCP due to reduced affinity for

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Table 1. scFv<sub>2</sub>-Fc antibody formats pharmacokinetic constants\*.

mouse phenotype	mAb	V <sub>D</sub> (mL)	$k_{CS}(h^{-1})$	k <sub>E</sub> (day <sup>-1</sup> )	T½ (days)
WT	H7-Fc	2.4 [2.1–2.6]	2.5 [2.3–2.8]	0.53 [0.40-0.70]	4.0 [3.0-4.8]
	Irr-Fc	0.9 [0.88–1.1]	2.9 [2.6–3.2]	0.26 [0.21-0.42]	7.9 [5.5–10.3]
FcRn KO	H7-Fc	2.6 [2.3–2.8]	0.52 [0.44-0.58]	0.15 [0.07-0.35]	5.8 [1.5–7.6]
	Irr-Fc	1.0 [0.0–1.1]	0.45 [0.37-0.49]	0.53 [0.23-0.72]	1.7 [1.2–3.8]

V<sub>D</sub>, apparent distribution volume; k<sub>CS</sub>, cellular recycling constant, k<sub>E</sub>, intracellular elimination constant, T<sup>1</sup>/<sub>2</sub> elimination half life; numbers in brackets represent maxima and minima within the groups of 10 mice. Irr-Fc, irrelevant scFv2-Fc. \*the constants of our model are represented here, classic AUC and Clearance values are represented in Table S1.



**Figure 5.** ADCC on Raji cells using the anti-TfR1 H7 scFv<sub>2</sub>-Fc and full length lgG1 antibodies. Live lymphoma Raji cells (a,b) or mastocytoma leukemic HMC11 cells (a) were stained with the fluorescent dye PKH-67 and then pre-incubated with H7-lgG1 (A,B), H7-Fc (b), anti-CD117 (2D1) or anti-CD20 (RX) human lgG1 at the indicated concentrations for 30 min before addition of freshly prepared PBMC (effector cells/target cells ratio = 50). After 3 h (or 16 h), cells were collected and stained with the 7-AAD fluorescent dye. The percentage of dead cells (7AAD<sup>+</sup>) among the target PKH-67<sup>+</sup> cells was determined by FACS analysis. In a, experiments were in duplicate.

the Fc $\gamma$  receptors and defective in complement-dependent cytotoxicity, due to reduced affinity for C1q,<sup>28</sup> (n = 6 mice) inhibited tumor growth (p < 0.05) and two mice were cured (Figure 6(c)). Therefore, H7 treatment reduced ERY-1 tumor growth, with a stronger effect observed with the IgG1 than the scFv<sub>2</sub>-Fc format. Altogether, these results indicate that the higher therapeutic efficiency of H7-IgG1 compared with H7-Fc correlates with its higher ADCC activity *in vitro*.

# Discussion

Starting from a panel of anti-TfR1 scFv antibodies that were isolated for their rapid cell internalization upon antigen binding, we engineered bivalent antibodies harboring a human Fc $\gamma$ 1. We found that for the scFv<sub>2</sub>-Fc $\gamma$ 1 format, H7-Fc was the most efficient antibody concerning inhibition of holo-Tf uptake (Figure 1(d)). This was due to H7 great efficiency in blocking holo-Tf binding (2 log lower molar concentrations of H7 are required to block holo-



**Figure 6.** Therapeutic effect of the anti-TfR1 H7 scFv<sub>2</sub>-Fc and full length lgG1 antibodies ERY-1 cells were implanted *s.c.* into nude mice. When tumors reached 200 mm<sup>3</sup>, (a, b, c) H7-Fc (100  $\mu$ g in 200  $\mu$ L of PBS) or PBS (200  $\mu$ L) or (d, e, f) H7-IgG1, unglycosylated H7-IgG1 (H7-IgG1 del297) or irrelevant lgG1 (200  $\mu$ g in 200  $\mu$ L PBS), or PBS (200  $\mu$ L), were injected *i.p* twice a week for 4 weeks and tumor growth was monitored. (a, d) Tumor volume of individual mice or (b, e) average tumor volumes and (c, f) percent of mice with tumor volume<1600 mm<sup>3</sup> are represented as a function of time. Shaded zone represent treatment period. Experiments A,B,C and D,E,F were performed independently. \*, p < 0.05, \*\*, p < 0.01.

Tf binding, and 2 log higher molar concentrations of holo-Tf are required to block H7 binding) (Figure 2(c,e)). H7-IgG1 maintained this feature, but lost cross-reactivity to mouse TfR1 (Figures 1(c) and 2(d)). This loss of cross-reactivity after reformatting has previously been observed with other antibodies.<sup>29,30</sup> Despite the similar apparent affinity of the two H7 formats measured in Raji cells or by SPR on recombinant human TfR1 (Figure 2(b), S2), H7-IgG1-mediated ADCC in Raji cells was strong, whereas H7-Fc mediated limited ADCC (Figure 5). This is surprising because several studies reported that the Fc domain of scFv<sub>2</sub>-Fc antibodies can direct effector cell toxicity to antigenexpressing target cells.<sup>31,32</sup> However, geometry differences in the scFv<sub>2</sub>-Fc and the IgG1 formats that modify Fc region access to the FcyR of immune effector cells and affect antibody-dependent cellmediated killing have also been recently reported.<sup>33</sup> As the two formats were not produced using the same cell system, this discrepancy could be also due to differences in glycosylation enzymes between HEK-293 T (production of H7-Fc) and Chinese hamster ovary (CHO) cells (production of H7-IgG1). Indeed, in HEK-293 T cells, the increased content of N-acetylneuraminic acid (sialic acid) of N-glycostructures<sup>34</sup> can reduce the affinity for FcγRIIIa, the receptor on natural killer cells.<sup>35,36</sup>

Incubation of cells with H7-Fc or H7-IgG1 increased TfR1 levels (Figure 3(e)) similarly to incubation with the 50 kDa dimeric (scFv)<sub>2</sub> H7 antibody (H7-scFv<sub>2</sub>).<sup>6</sup> Therefore, the presence of an Fc region did not change the receptor modulation. To our knowledge, this property is unique because, like the anti-TfR1 Ba120 mAb tested in this study,<sup>18</sup> other previously described high affinity anti-TfR1 antibodies in the IgG1 format decrease TfR1 level through traffic diversion and degradation within lysosomes.<sup>10,11</sup> TfR1 normal trafficking is not extensively diverted to lysosome by H7 binding (Figure 3(f)). Combined with the efficient iron deprivation that promotes TfR1 translation, this property contributes to the TfR1 level increase observed in vitro and in vivo upon H7 treatment (Figures 3(e) and 4(e)). H7mediated iron deprivation is higher than with Ba120 in ERY-1 and Raji cells lines (Figure 3(b)). We also find that Ba120 increases rapidly soluble iron levels in Bp3 and Im9 cells lines (Figure S4). Because Ba120 induces TfR1 degradation, visible after 36 h in Raji cells (Figure 3(e)), the increase in soluble iron level mediated by Ba120 is probably only transient. As H7 binds with similar affinity to TfR1 at extracellular and endosomal pH (Figure S5B), H7 may be recycled at the cell surface with the receptor after it has induced its internalization, thus immediately preventing TfR1 association with extracellular holo-Tf. This mechanism of action could contribute to the fast and strong effect observed upon incubation with H7 in vitro (apoptosis detected after 36 h in the 4 cell lines tested (Figure 3(c,d)). The increased efficiency (>2 log) of ERY-1 cell viability inhibition by the bivalent H7-IgG1 (IC50 0.5 nM), H7-Fc (IC<sub>50</sub> 1.4 nM) and H7-scFv<sub>2</sub> (IC<sub>50</sub> 2 nM)<sup>6</sup> compared with the monovalent H7-scFv (IC50 200 nM)<sup>6</sup> suggests that these bivalent antibodies can bind to two proximal TfR1 receptors on cells in which the receptor is present at high density. Accordingly, lower toxicity is expected in cells that express low levels of TfR1, as previously suggested for the anti-TfR1 mAb A24<sup>7</sup> and demonstrated for the anti-TfR1 JST-TFR09 antibody.8 In agreement, no obvious toxicity was observed in mice treated with H7-Fc (crossreactive with mouse TfR1) for 1 month compared with untreated mice (PBS), indicating that despite background TfR1 expression in many tissues, iron deprivation due to H7 should have limited toxicity in vivo. However, since non-competitive effector competent anti-TfR1 antibodies have been shown to transiently elicit acute clinical signs and to clear immature blood reticulocytes in mice,<sup>37</sup> it is not excluded that such a toxicity may occur with the competitive anti-TfR1 H7 of this study. This will need to be determined using an effector function competent variant of scFv<sub>2</sub>-Fc H7 in mice.

As TfR1 is expressed at low level by many cell types, we hypothesized that antigen-dependent recycling of H7 could protect this antibody from degradation in an FcRn-like process. Indeed, FcRn and TfR1 share similar intracellular trafficking and both can rescue their respective ligands from lysosomal degradation.<sup>15,38-40</sup> To test this hypothesis, because human Fcγ1 binding to mouse FcRn receptors allows relevant PK observations

in mice,<sup>41</sup> we compared the PK of the cross-reactive H7-Fc in WT and FcRnKO mice. In our model, compared to WT mice, the elimination half-life of H7-Fc was only weakly affected in FcRnKO mice (Figure 4(d)), while the elimination half-life of the irrelevant scFv<sub>2</sub>-Fc antibody was dramatically reduced, as previously reported for this antibody format.<sup>32,42</sup> Moreover, the apparent volume of distribution of H7-Fc was more than twice larger compared to the apparent volume of distribution of the irrelevant scFv<sub>2</sub>-Fc. Additionally, H7 bound with similar affinity to TfR1 at extracellular and endosomal pH. These observations are consistent with the hypothesis of a mechanism of stabilization of H7 through TfR1 binding and recycling. This could also explain the antitumor effect of H7 in a scFv<sub>2</sub> format in nude mice harboring s.c. ERY-1 tumors, although no therapeutic effect was expected because of its small size (50 kDa) and potential fast serum clearance through kidneys.43,44 H7 specificity and its unique mode of interaction with TfR1 (it acts like an exact mimic of the natural ligand) could increase its persistence in vivo through an FcRn-like mechanism that is independent of the Fc part of the antibody.

In vitro, H7 had a strong inhibitory effect in different lymphoma and leukemia cell lines, including the rituximab-resistant B-cell lymphoma cell line Im9 (Figure 3(a,d)). H7 drastically reduced cell viability of Raji and ERY-1 cells (IC<sub>50</sub> in the range of 0.1 µg/mL) and induced apoptosis in ERY-1, Raji, Bp3 and Im9 cells. In Raji cells, in addition to limited apoptosis, autophagic cell death features were observed with increased cell granularity and volume (not shown), as previously described with H7-scFv2.6 Ba120 showed a delayed and weaker effect compared with H7. In vitro, IC<sub>50</sub> as low as those for H7 in cancer cells have been observed only with the recently described anti-TfR1 JST-TFR09 antibody in adult T-cell leukemia/lymphoma (ATLL) cells.8 Moreover, in Raji and ERY-1 cells, H7 also induced immunogenic cell death features<sup>45</sup> with exposure of calreticulin at the cell surface of pre-apoptotic cells and ATP release (data not shown). Similar effects were also observed upon incubation with DFO in both cell lines (data not shown). Therefore, in addition to the direct effect on tumor cells and on the recruitment of cytotoxic cells, H7mediated iron deprivation could also prime the adaptive immune response.

In vivo, in nude mice xenografted with ERY-1 erythroleukemia cells, H7-IgG1 treatment allowed curing five of the six mice with established tumors. Iron deprivation is part of the mechanism of action of H7-IgG1 because H7-Fc and H7-IgG1 del297 (both with limited effector function) reduced tumor growth compared with PBS or irrelevant antibody treatment. However, effector functions improve the effect of TfR1 targeting because H7-IgG1 was clearly more efficient than H7-Fc and H7-IgG1 del297. The upregulation of TfR1 mediated by LIP decrease through the Iron regulatory protein/iron responsive element (IRP/IRE) system,<sup>1</sup> likely potentiates antibodymediated immune effector recruitment to tumor cells in H7-IgG1-treated mice, and, therefore, iron deprivation may both participate directly and indirectly to the overall drastic efficiency of H7-IgG1 treatment. The lower H7-Fc efficacy could also be linked to a lower localization in the tumor compared with H7-IgG1 because of antigen-driven localization of the cross-reactive H7-Fc in other tissues. It could be interesting to produce an effector function-competent H7-Fc in CHO cells and test its effect in this erythroleukemia model, or in syngeneic tumor models to address H7 therapeutic effect and toxicity in a more relevant setting.

In conclusion, we developed a promising fully human anti-TfR1 antibody with unique PK/PD properties that displays high therapeutic efficiency in an erythroleukemia mouse model with no apparent toxicity. As TfR1 expression is increased in many tumors, this antibody could also be active in other cancer types.

# Material and methods

## Antibodies, cells and reagents

The scFv<sub>2</sub>-Fc antibodies were produced in HEK-293 T cells<sup>46</sup> and the H7-IgG1 in CHO cells (EVITRIA, Switzerland). The anti-TfR1 H7-IgG1 del297 and Ba120 mAbs were provided by A. Fontayne (LFB, France). The Bp3, Im9,<sup>47</sup> Raji, and ERY-1<sup>48</sup> cell lines were grown in RPMI; the P815 and HMC11 cell lines in IMDM (both media were supplemented with 10% fetal bovine serum and antibiotics). Commercial antibodies and reagents for fluorescent-activated cell sorting (FACS), western blotting and immunohistochemistry (IHC) are listed in the on-line supplement.

## In vitro assays

Holo-Tf uptake, apparent affinity and ligand competition, cell viability and apoptosis assays were performed as described in Ref. <sup>6</sup> Intracellular free iron levels were measured using calcein, as described in Ref. <sup>49</sup>. For the ADCC assay, target cells were stained with the PKH26 fluorescent dye. Then, 50  $\mu$ L of stained cells (50,000 cells) were combined with 50  $\mu$ L of antibody for 30 minutes, followed by 50  $\mu$ L (2.5 10<sup>6</sup> cells) of PBMC at 37°C for 3 h. Cells were stained with 7-AAD before FACS analysis. Details are given in the on-line supplement. Cells were incubated with 50  $\mu$ g/mL cycloheximide and 10 mM NH<sub>4</sub>Cl (SIGMA) in some experiments.

# Pharmacokinetics

Ten WT C57Bl/6 (Janvier, Saint-Berthevin, France) and FcRnKO (B6.Cg-Fcgrt<sup>tm1Dcr</sup>)<sup>50</sup> (Jackson Laboratory, Bar Harbor, ME) mice received an i.v. retro-orbital injection of 80 µg of scFv<sub>2</sub>-Fc (single dose). From 2 h to day 21 postinjection, blood samples were collected and scFv<sub>2</sub>-Fc titered by ELISA (see on-line supplement). A two-compartment model was designed to describe cellular uptake of antibodies. Compartments were serum (S) and intracellular (C) and k<sub>SC</sub>, k<sub>CS</sub> and k<sub>E</sub> are cellular uptake, cellular recycling and intracellular elimination rate constants, respectively (Figure 4(c)). The PK of antibodies was analyzed using population PK modelling using Monolix<sup>®</sup>2018 suite (Lixoft, Orsay, France). Interindividual and residual variabilities of the PK parameters were estimated using exponential and proportional models, respectively. The association of FcRn (WT vs. KO) and antibody (Irrelevant scFv<sub>2</sub>-Fc vs H7-Fc) factors was tested as dichotomous covariates on PK parameter interindividual distributions. These covariates were tested using likelihood ratio tests (LRT) based on objective function value (OFV). From

pairs of nested models (i.e., models with vs. without covariate), the difference between their OFV was tested using a chisquare test. A covariate was considered as significant if corresponding p-value was < 0.05.

# **Biodistribution**

A mixture of <sup>125</sup>I-labeled H7-Fc and <sup>131</sup>I-labeled irrelevant scFv<sub>2</sub>-Fc (6 µg, 5 µCi each) was injected (*i.v.*) in four 6 to 8-week-old female athymic mice (Harlan Labs) xenografted with ERY-1 cells ( $2 \times 10^6$ ) by *s.c.* injection in the flank and in four 6 to 8-week-old BALB/C mice (Envigo, France). After 48 h, mice were killed, and the radioactivity of both iodine isotopes was quantified in all organs and tissues by using a dual-channel  $\gamma$  scintillation counter.

# In vivo erythroleukemia model and H7-based therapy

When tumors reached an average volume of 200 mm<sup>3</sup>, mice bearing *s.c.* ERY-1 tumors were randomized in different treatment groups (6 to 8 animals/group): 100  $\mu$ g of H7-Fc, 200  $\mu$ g of H7-IgG1, H7-IgG1 del297 or PBS, all by *i.p.* injection twice a week for 4 weeks. For survival analysis, mice were sacrificed when tumors reached a volume of 1,600 mm<sup>3</sup>. Mice were considered as cured when tumor was not palpable. The statistical analyses are described in the on-line supplement.

# **Abbreviations**

- ADCC Antibody-dependent cell-mediated cytotoxicity
- CHO Chinese hamster ovary
- KO knock-out
- DFO deferoxamine
- *i.p.* intraperitoneal
- *i.v.* intravenous
- mAb monoclonal antibody
- PBMC peripheral blood mononuclear cells
- PD pharmacodynamics
- PK pharmacokinetics
- s.c. subcutaneous
- TfR1 transferrin receptor 1
- WT wild type

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### Disclosure of potential conflicts of interest

No potential conflicts of interest were disclosed.

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