

# Thin-section Computed Tomography findings before and after azithromycin treatment of neutrophilic reversible lung allograft dysfunction

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## Abstract

**Objectives** Recently a novel subgroup of bronchiolitis obliterans syndrome (BOS) has been described in patients after lung transplantation with high neutrophil counts in broncho-alveolar lavage and recovery of lung functional decline with azithromycin treatment. We aimed to describe the thin-section computed tomography (CT) findings of these neutrophilic reversible allograft dysfunction (NRAD) patients before and after azithromycin.

**Methods** A cohort of 100 lung transplant recipients with BOS were treated with azithromycin and underwent lung function testing, broncho-alveolar lavage and CT before azithromycin treatment and during follow-up. The 200 CT data sets were scored for bronchial dilatation, mucus plugging, centrilobular abnormalities, airway wall thickening, consolidation, ground glass and end-expiratory air trapping.

**Results** NRAD was characterized by more centrilobular abnormalities on CT ( $p=0.03$  for prevalence and  $p=0.06$  for severity) compared to non-responders. At follow-up NRAD patients showed improvement in all CT abnormalities including air trapping, but the degree of improvement in all CT abnormalities was significantly different between responders and non-responders (who showed progression of bronchus dilatation, consolidation and air trapping).

**Conclusions** Within BOS patients those with NRAD differ from azithromycin non-responders by more centrilobular abnormalities on CT before azithromycin and improvement in bronchus dilatation, consolidation and air trapping during treatment.

**Keywords** Computed tomography · Azithromycin · Bronchiolitis obliterans syndrome · Chronic allograft rejection · Neutrophilic broncho-alveolar lavage

## Key points

1. Bronchiolitis obliterans syndrome was considered irreversible in chronic lung transplant rejection
2. Recently, neutrophilic reversible allograft dysfunction (NRAD), was recognized as a treatable form
3. Computed tomography can demonstrate specific abnormalities in these patients
4. Computed tomography may aid physicians in early and accurate diagnosis of NRAD

## Introduction

The main obstacle to long-term survival of lung transplant recipients is the development of bronchiolitis obliterans syndrome (BOS) [1]. BOS is characterized by a progressive decline in the forced expiratory volume in one second (FEV<sub>1</sub>) for which no explanation such as infection or bronchus stenosis can be found. The syndrome BOS is introduced to describe chronic allograft rejection in the absence of histological proof of obliterative bronchiolitis. Indeed, classically it is thought that the dysfunction is caused by an

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irreversible process called constrictive bronchiolitis, pathologically characterized by fibroproliferative damage to the small airways. The radiological feature of this fibroproliferative form of BOS has been described as extensive air trapping (>32% of the lung had to be involved) on end-expiratory CT in patients with normal inspiratory CT findings [2].

Important recent observations revealed that the graft dysfunction is not always irreversible, but there exists an important subgroup of BOS patients who respond to azithromycin treatment [3]. These patients typically have extensive neutrophils in the broncho-alveolar lavage fluid and this new entity was called neutrophilic reversible allograft/airways dysfunction (NRAD) [3]. NRAD is strictly defined as an increase in FEV<sub>1</sub> ≥10% after 3 to 6 months of azithromycin treatment (compared to FEV<sub>1</sub> at start of treatment, based on two separate measurements with at least 3 weeks in between). Several groups [4–9] confirmed these observations and nowadays there is convincing evidence for the existence of NRAD [5, 9]. However, the radiological findings have not been described systematically [3, 10]. Knowledge about the presentation of NRAD on CT images would be important as not all patients respond to azithromycin and CT might be valuable in the diagnosis and treatment of this disease entity.

We aimed to describe the CT findings in patients with bronchiolitis obliterans syndrome either with or without neutrophilic reversible allograft dysfunction before and after azithromycin treatment.

## Methods

### Subjects

We studied a retrospective cohort of 100 lung transplant recipients that was selected in a single centre as follows. Between July 1991 and January 2009 463 lung transplantations were performed. Patients who were never treated with a macrolide ( $n=216$ ), who were treated with a macrolide for other reasons than chronic lung allograft dysfunction ( $n=56$ ) or who participated in a placebo-controlled azithromycin trial ( $n=83$ ) were excluded. When the present study was designed, the trial was not unblinded, therefore these subjects were excluded. From the remaining 108 patients 1 was excluded because of follow-up less than 3 months and 7 were excluded because of missing CT data at the start of treatment and/or during follow-up. The resulting 100 patients were all treated with azithromycin for chronic lung allograft dysfunction. Treatment was following our clinical protocol starting with 250 mg azithromycin per day for the first 5 days and subsequently 250 mg azithromycin on Monday, Wednesday and Friday. The study was approved by the local University Hospital Ethical Review Board and all patients gave written informed consent.

### CT protocol

The CT examinations were performed on a Siemens Somatom Sensation 16 or 64, a Siemens Definition Flash (Siemens AG, Erlangen Germany) or a Philips Brilliance 64 (Philips Medical Systems, Best, The Netherlands) without intravascular contrast media. One CT data set of the entire thorax was obtained in suspended deep inspiration in the supine position using 120 kV and 140 mAs and reconstructed as follows: 1/0.5 mm axial, 5/5 mm axial and 3/3 mm coronal displayed in lung and mediastinal window-centre settings. Another CT data set was also obtained after breath-hold instruction at end-expiration with the patient supine but in a sequential mode with collimation 2×1 mm and table feed of 30 mm using 120 kV and 150 mAs. Reconstructions of 1 mm slice thickness were calculated and displayed in lung window-centre settings.

### CT scoring

The resulting 200 CT data sets in 100 patients were scored by using a previously described system [11]. This system semi-quantitatively scores on inspiratory CT the severity and extent of bronchus dilatation in the central and peripheral lung, extent of mucous plugging in large airways, extent of centrilobular nodules including tree-in-bud, extent and severity of airway wall thickening in the central and peripheral lung, extent of consolidation and extent of ground glass opacities and extent of air trapping on expiratory CT. In general abnormalities were defined according to the Fleischner Society nomenclature [12]. More specifically, bronchus dilatation was defined as a bronchus lumen diameter greater than the accompanying pulmonary artery outer diameter, lack of tapering of the bronchus or bronchi visible in the outer centimeter of the lung. Airway wall thickening was defined as a wall thickness to artery diameter ratio >0.2, this was assessed subjectively [13]. Each abnormality was scored in six lung lobes (lingula included), and per lobe the extent involved with the abnormality was estimated as less than one-third, between one-third and two-thirds, and more than two-thirds of the lobar volume. All scores presented are expressed on a scale 0–100 as previously described because this scale may enable easier interpretation. For example when one of six lobes demonstrated centrilobular changes and that lobe was involved with this abnormality for more than two-thirds, the centrilobular score would be  $100 \times ((0+0+0+0+0+2)/18) = 11\%$ , with 18 being the maximum possible score for that item. The CT score can thus be interpreted as a percentage of the lung being involved. CT data sets were scored by one observer for whom the reproducibility has previously been described [11]. CT examinations were scored independently in random order, but the observer was not blinded to

**Table 1** Characteristics of 100 lung transplant recipients with allograft dysfunction who were treated with azithromycin

	Patients responding to azithromycin (NRAD) N=41	Patients not responding to azithromycin N=59	Difference between groups <i>p</i> -value
Age in years; Median (25%–75%)	52.0 (40.5–59.0)	49.0 (29.0–56.0)	0.30
Gender male; N (%)	21 (52)	31 (53)	0.90
Single lung transplant; N (%)	12 (29)	20 (34)	0.63
Years after lung transplant of start allograft dysfunction; Median (interquartile range)	1.81 (0.59–3.82)	3.03 (1.33–5.19)	0.09
Months between CT Median (interquartile range)	7.0 (4.6–11.5)	8.1 (4.8–11.9)	0.39
Neutrophils% in broncho-alveolar lavage; Median (interquartile range)	29.3 (10.4–69.2)	12.8 (3.0–44.0)	0.04
Neutrophils% after azithromycin; Median (interquartile range)	6.0 (1.9–46.5)	10.4 (2.8–44.2)	0.37
FEV <sub>1</sub> % before treatment; Median (interquartile range)	67.0 (52.0–82.5)	60.0 (46.0–76.0)	0.10
FEV <sub>1</sub> % at follow-up; Median (interquartile range)	82.0 (64.5–99.0)	52.0 (38.0–73.0)	<0.0001

N=Number, FEV<sub>1</sub>=Forced expiratory volume in one second. Between group differences are tested with Mann–Whitney-*U* test for continuous variables and Chi-square statistics for categorical variables. NRAD: neutrophilic reversible allograft dysfunction. Neutrophil counts were obtained at baseline in 34 (83%) responders and 47 (80%) non-responders and at follow-up in 29 (71%) responders and 43 (73%) non-responders

baseline or post-treatment status. The observer was blinded for patient characteristics including neutrophil count and azithromycin response. Both coronal and axial images could be used for scoring.

#### Other investigations

Broncho-alveolar lavage (BAL) was routinely performed, if possible, around fixed time points after lung transplantation (21 days, 3, 6, 12, 18, 24, 30 months, 3, 4, 5 years), or if acute rejection, infection or BOS was suspected. BAL fluid was evaluated for neutrophil% and was cultured for pathogenic organisms. Neutrophil counts were obtained at baseline in 34 (83%) responders and 47 (80%) non-responders and at follow-up in 29 (71%) responders and 43 (73%) non-responders. Spirometry was performed in agreement with American

Thoracic Society-criteria, prior to bronchoscopy (Master-screen, Jaeger, Germany) [14]. BOS was diagnosed and staged according to the The International Society for Heart and Lung Transplantation (ISHLT)-working formulation [1]. Response to azithromycin was defined as an increase in FEV<sub>1</sub> ≥10% after 3 to 6 months of treatment (compared to FEV<sub>1</sub> at start of treatment, based on two separate measurements with at least 3 weeks in between) and non-responders were defined as having an increase of less than 10%.

#### Data analysis

Responders were compared to non-responders by using Mann–Whitney-*U* test for continuous variables and Chi-square statistics for categorical variables. SPSS 18.0 (Inc. Chicago, IL, USA) was used for data analysis. Improve-

**Table 2** Prevalence of thin-section CT findings in lung transplant recipients with allograft dysfunction before start of azithromycin treatment

	Patients responding to azithromycin (NRAD) N=41	Patients not responding to azithromycin N=59	Difference between groups <i>p</i> -value
Bronchus dilatation N (%)	13 (32)	25 (42)	0.28
Large airway mucus N (%)	10 (24)	13 (22)	0.78
Centrilobular nodules N (%)	22 (54)	19 (32)	0.03
Airway wall thickening N (%)	14 (34)	16 (27)	0.45
Consolidation N (%)	15 (37)	17 (29)	0.41
Ground glass N (%)	17 (42)	20 (34)	0.44
Air trapping N (%)	34 (83)	53 (90)	0.31
Air trapping >32% N (%)	16 (39)	26 (44)	0.62

Between group differences are tested with a Chi-square test; N=number. NRAD: neutrophilic reversible allograft dysfunction

**Table 3** Thin-section CT scores in lung transplant recipients with allograft dysfunction before start of azithromycin treatment

	Patients responding to azithromycin (NRAD) N=41	Patients not responding to azithromycin N=59	Difference between groups p-value
Bronchus dilatation; Mean (range)	2.6 (0.0–35.2)	3.1 (0.0–22.2)	0.27
Large airway mucus; Mean (range)	3.7 (0.0–27.8)	3.2 (0.0–33.3)	0.73
Centrilobular nodules; Mean (range)	14.0 (0.0–88.9)	9.1 (0.0–66.7)	0.06
Airway wall thickening; Mean (range)	1.9 (0.0–14.8)	1.7 (0.0–16.7)	0.58
Consolidation; Mean (range)	3.9 (0.0–44.4)	4.1 (0.0–55.6)	0.55
Ground glass; Mean (range)	5.8 (0.0–33.3)	7.1 (0.0–66.7)	0.66
Air trapping; Mean (range)	22.6 (0.0–55.6)	29.5 (0.0–100.0)	0.27

Between group differences are tested with Mann–Whitney-*U* test. NRAD: neutrophilic reversible allograft dysfunction

ment and worsening in CT scores was defined as the post-treatment score being, respectively, lower and higher when compared to the pre-treatment CT score. Data are given as median (interquartile range) unless indicated otherwise and significance level was set at  $P < 0.05$ .

## Results

Clinical characteristics of responders (NRAD) versus non responders

Of the 100 subjects 41 responded and 59 did not respond to azithromycin treatment. Both responders and non-responders were on average around 50 years of age, a little over 50% was male and approximately one-third received single lung transplantation. Clinical characteristics were not different between the groups, except the expected neutrophil percentage in the BAL before treatment which was significantly higher in the responders and the FEV<sub>1</sub> after

treatment which was significantly higher in the responders. These findings are summarized in Table 1.

Prevalence and severity of CT abnormalities before azithromycin

Before treatment NRAD responders had more often centrilobular changes (nodules and tree-in-bud,  $p = 0.03$ ) and tended to have higher centrilobular scores ( $p = 0.06$ ) in comparison with non-responders. Bronchus dilatation, mucous plugging, airway wall thickening, consolidation, ground glass and air trapping presence and severity were not different between responders and non-responders at baseline. These findings are summarized in Tables 2 and 3.

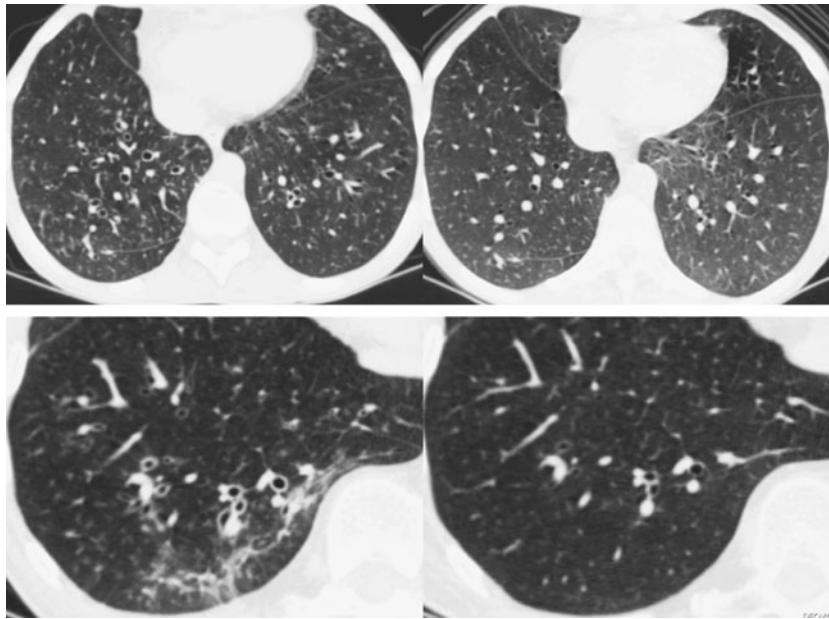
Changes in CT findings after treatment

In azithromycin responders all abnormalities including bronchus dilatation, mucous plugging, centrilobular abnormalities airway wall thickening, consolidation,

**Table 4** Longitudinal thin-section CT scores in lung transplant recipients with allograft dysfunction during azithromycin treatment

	Patients responding to azithromycin (NRAD) Number=41	Patients not responding to azithromycin Number=59	Difference between groups p-value
Bronchus dilatation; Mean (range)	-0.79 (-20.4–0.0)	1.5 (0.0–27.8)	<0.0001
Large airway mucus; Mean (range)	-2.4 (-27.8–5.6)	-0.09 (-33.3–22.2)	0.04
Centrilobular nodules; Mean (range)	-10.7 (-88.9–22.2)	-2.8 (-55.6–22.2)	0.001
Airway wall thickening; Mean (range)	-1.5 (-14.8–3.7)	-1.2 (-12.0–4.6)	0.004
Consolidation; Mean (range)	-3.0 (-33.3–0.0)	1.0 (-11.1–33.3)	0.004
Ground glass; Mean (range)	-4.9 (-33.3–0.0)	-0.28 (-33.3–55.6)	0.009
Air trapping; Mean (range)	-2.0 (-33.3–38.9)	3.5 (-33.3–55.6)	0.02

Between group differences are tested with Mann–Whitney-*U* Test. NRAD: neutrophilic reversible allograft dysfunction

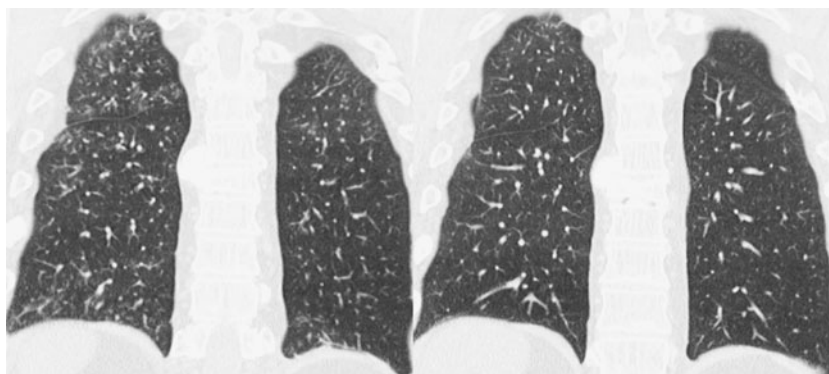


**Fig. 1** Longitudinal CT findings in a 15-years old heart-lung transplant recipient with BOS responding to azythromycin (neutrophilic reversible allograft dysfunction (NRAD)). Four axial thin-slice CT images at inspiration before (*left*) and after (*right*) treatment with azithromycin in a patient who responded favorably. The pre-treatment CT images on the left demonstrate bronchial dilatation, bronchial wall

thickening and centrilobular abnormalities all of which resolved on the follow-up CT images on the right. The lung allograft dysfunction occurred 3.2 years after transplantation, neutrophil counts are unknown. FEV<sub>1</sub> had deteriorated 16% from baseline at the start of treatment and 13% was recovered after 6 months. This patient was judged to have reversible allograft dysfunction

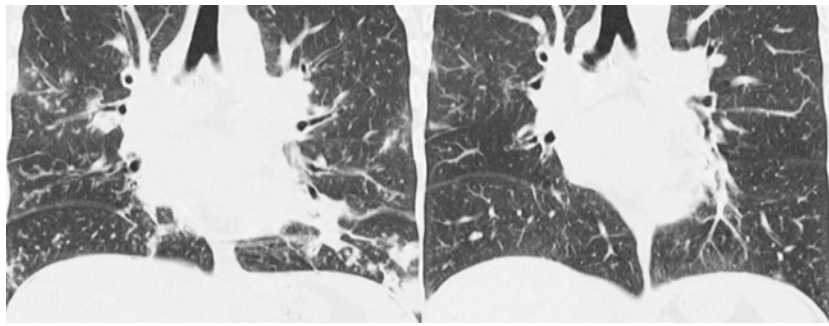
ground glass and air trapping improved significantly. The degree of improvement was for all CT abnormalities significantly different from the degree of change in the non-responders. In the non-responders some abnormalities improved (mucous plugging, centrilobular abnormalities, airway wall thickening and ground glass opacities), but bronchial dilatation, consolidation and air

trapping worsened. These findings are summarized in Table 4. Figures 1, 2, 3 and 4 demonstrate the improvement in bronchial dilatation, centrilobular abnormalities, consolidation and air trapping in azithromycin responders. Figures 5 and 6 demonstrate progressive air trapping in non-responders with the classical fibroproliferative form of BOS or constrictive bronchiolitis.



**Fig. 2** Longitudinal CT findings in a 52-years old double lung transplant recipient with BOS responding to azythromycin (neutrophilic reversible allograft dysfunction (NRAD)). Two coronal thin-slice CT imaging at inspiration before (*left*) and after (*right*) treatment with azithromycin in a patient who did respond. The pre-treatment CT image demonstrates centrilobular nodules and tree-in-

bud mainly at the apex and base of the right lung, which normalised on the follow CT. The lung allograft dysfunction occurred 4.2 years after transplantation, the broncho-alveolar lavage fluid revealed 89.6% neutrophils. FEV<sub>1</sub> had declined to 75% at the start of treatment and improved to 90% in the subsequent 6 months. This patient was judged to have neutrophilic reversible allograft dysfunction



**Fig. 3** Longitudinal CT findings in a 14-years old double lung transplant recipient with BOS responding to azithromycin (neutrophilic reversible allograft dysfunction (NRAD)). Two coronal thin-slice CT imaging at inspiration before (*left*) and after (*right*) treatment with azithromycin in a patient who did respond. The pre-treatment CT image demonstrates centrilobular nodules and tree-in-bud and consol-

idation bilateral, which nearly reversed on the follow CT. The lung allograft dysfunction occurred 0.96 years after transplantation, the broncho-alveolar lavage fluid revealed 97% neutrophils. FEV<sub>1</sub> had deteriorated 14% from baseline at the start of treatment and recovered in the subsequent 6 months. This patient was judged to have neutrophilic reversible allograft dysfunction

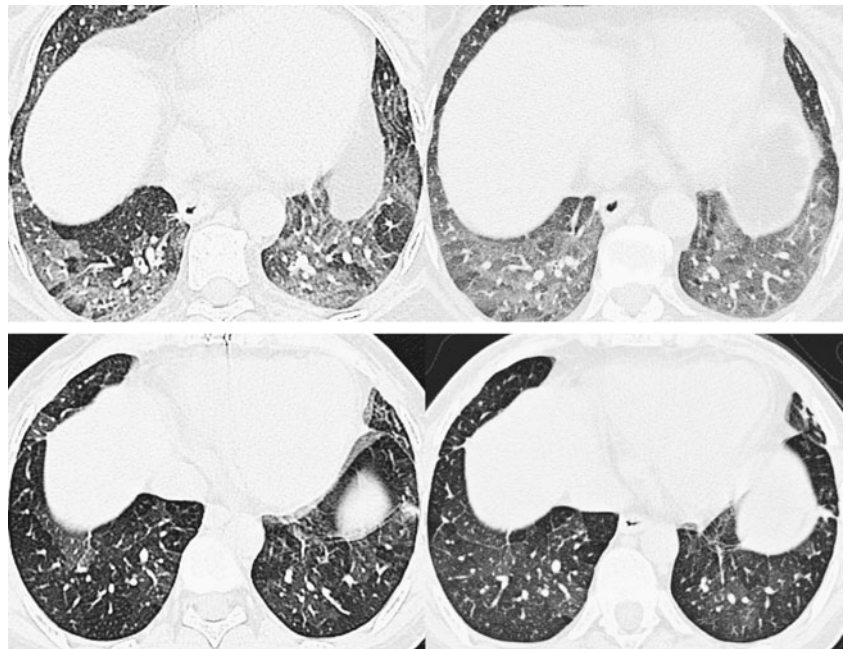
## Discussion

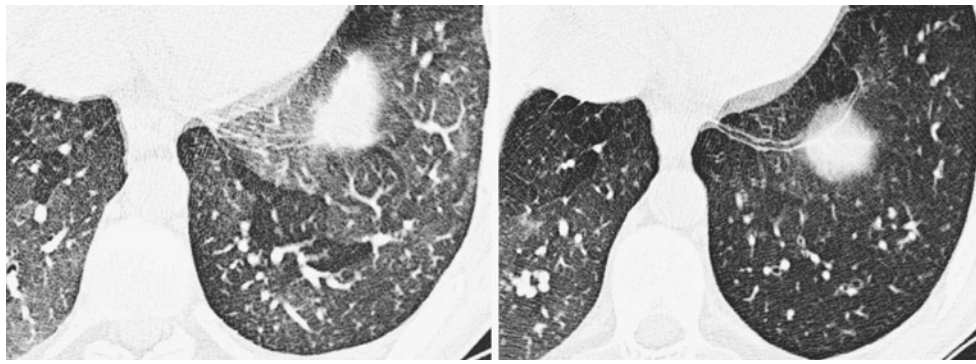
We studied the thin-section CT imaging features in a cohort of lung transplant recipients with bronchiolitis obliterans syndrome (BOS) before and after azithromycin treatment. The BOS patients who did improve—those with neutrophilic reversible allograft dysfunction or NRAD—had significantly more often centrilobular abnormalities with or without tree-in-bud on the pre-treatment CT. In this group the severity of bronchus dilatation, mucous plugging, centrilobular abnormalities, airway wall thickening, consolidation, ground glass and air trapping improved significantly more when compared with the BOS patients who did not improve.

The main obstacle to long-term survival of lung transplant recipients remains the development of bronchio-

litis obliterans syndrome (BOS). Imaging research has focused on the thin-section CT features of this syndrome and whether these features may be able to demonstrate the syndrome earlier, before lung function deteriorates. It is indeed thought that only at a very early stage the process of obliterative bronchiolitis might be halted with intensive immune-suppression. In general, it is accepted that, although a variety of abnormalities are seen, air trapping is the main finding in patients with obliterative bronchiolitis [15–23] and air trapping involving more than 32% of the lung was shown to have good accuracy for the diagnosis of BOS [2]. Whether thin-section CT in lung transplant recipients is able to detect disease earlier than spirometry or transbronchial biopsy remains controversial, with some studies suggesting its value [2, 24], while others could not

**Fig. 4** Longitudinal CT findings in two lung transplant recipients with BOS responding to azithromycin (neutrophilic reversible allograft dysfunction (NRAD)) who demonstrated a reduction in the extent of air trapping on expiratory CT. Axial thin-slice CT images at end-expiration before (*left*) and after (*right*) treatment with azithromycin in two patients (upper and lower panel) who did respond to azithromycin. Air trapping decreased to some extent on CT which is inconsistent with fibroproliferative obliterative bronchiolitis as this is thought to be an irreversible process





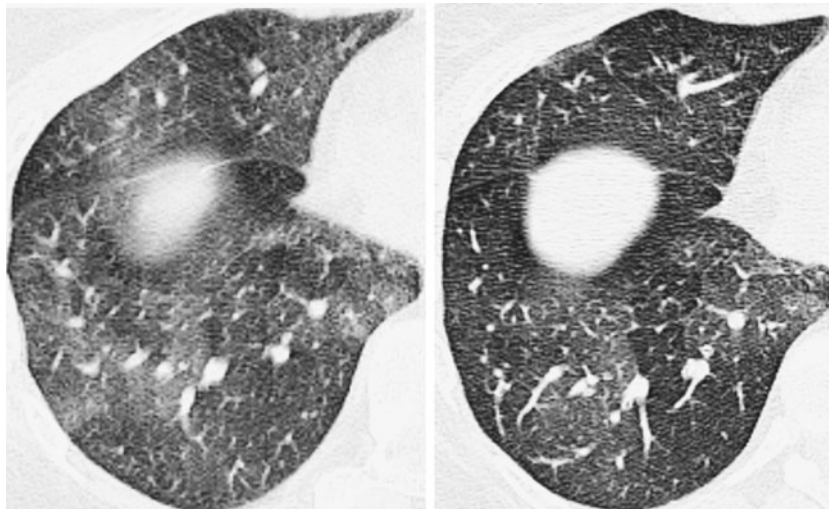
**Fig. 5** Longitudinal CT findings in a 33-years old double lung transplant recipient with progressive air trapping whose allograft dysfunction did not respond to azithromycin therapy. Two axial thin-slice CT imaging at end-expiration before (*left*) and after (*right*) treatment with azithromycin in a patient who did not respond. The pre-treatment CT image demonstrates some secondary pulmonary lobules with air trapping. The follow-up CT image on the right

demonstrates more lobules with air trapping in the medial right lower lobe and diffuse air trapping in the left lower lobe. The lung allograft dysfunction occurred 1.4 years after transplantation, the broncho-alveolar lavage fluid revealed 3.5% neutrophils. FEV<sub>1</sub> had declined to 76% at the start of treatment and declined further to 46% in the subsequent 6 months. This patient was judged to have the original form of obliterative bronchiolitis

confirm these findings [18–20, 23]. Interestingly the paradigm has changed for an important subgroup of BOS patients as this ‘irreversible’ disease has proven reversible in a substantial subgroup with azithromycin therapy. Azithromycin is a macrolide antibiotic which has antibacterial but also a variety of immunomodulatory effects [25]. These patients are further characterized by extensive neutrophils in the broncho-alveolar lavage fluid (without specific pathogens being cultured) and research to determine the pathogenesis is actively ongoing [26]. In order to determine whether thin-section CT could have a diagnostic

role in this new disorder, the signs have to be known first. Therefore the present study is important since we showed that most CT abnormalities largely overlap between NRAD patients and non-responders to azithromycin, but that centrilobular nodules including tree-in-bud are significantly more common in NRAD patients. For the non-responders the question still remains whether CT has a role in the early diagnosis of small airways narrowing in the fibrotic subgroup of bronchiolitis obliterans syndrome.

We also showed the changes in CT abnormalities under azithromycin treatment. Especially the improvement in air



**Fig. 6** Longitudinal CT findings in a 29-years old single right lung transplant recipient with subtle progressive air trapping whose dysfunction did not respond to azithromycin therapy. Two axial thin-slice CT images at end-expiration before (*left*) and after (*right*) treatment with azithromycin in a patient who did not respond. The pre-treatment CT image demonstrates about half of the secondary pulmonary lobules with air trapping. The follow-up CT image on the right demonstrates more

lobules lateral in the lower lobe and more diffuse air trapping in the middle lobe. The lung allograft dysfunction occurred 2.8 years after transplantation, the broncho-alveolar lavage fluid revealed 6.0% neutrophils. FEV<sub>1</sub> had declined to 40% at the start of treatment and declined further to 30% in the subsequent 6 months. This patient was judged to have the fibrotic subgroup of bronchiolitis obliterans syndrome, probably representing true obliterative bronchiolitis

trapping is interesting as this challenges the conventional opinion that air trapping is due to irreversible constrictive bronchiolitis in all patients with bronchiolitis obliterans syndrome. Apparently the air trapping in NRAD patients cannot be fully explained by obliterative bronchiolitis and possibly spasm, plugging or inflammation of the walls of small airways may be responsible for the reversible air trapping. Also bronchial dilatation was reversible in some patients and the dilated bronchi on pre-treatment CT does not necessarily represent irreversible bronchiectasis in lung transplant recipients with NRAD [10]. This ‘reversible bronchiectasis’ phenomenon has also been observed by others in acute disease [27, 28].

Our study has limitations. First, we did not control for inspiratory or expiratory levels, other than using breath-hold instruction by experienced CT technicians. Air trapping can be masked by insufficient expiration. We therefore re-evaluated the subjects with improvement in air trapping scores, which convinced us that air trapping can be reversible in NRAD subjects, which is not explained by level of expiration or other factors. Second, we used a single reader in this study, given the time-consuming nature of detailed visual scores and the previously described observer agreement in a different cohort of lung transplant recipients [11]. The presented findings are fully in line with our clinical impression and we checked the CT scores of the subjects with ‘reversible’ air trapping, therefore we have no doubts about our findings.

In conclusion, post lung transplant patients with bronchiolitis obliterans syndrome showing recovery of the lung functional decline with azithromycin treatment (neutrophilic reversible lung allograft dysfunction (NRAD)) differ from patients showing no recovery by more centrilobular abnormalities on thin-section CT before the start of treatment and by regression of bronchus dilatation, consolidation and air trapping that are not seen in non-responders. The optimal diagnostic strategy for NRAD and the role of CT imaging, especially centrilobular disease, and neutrophil counts requires further research. Radiologists could include neutrophilic reversible allograft dysfunction (NRAD) in the differential diagnosis of lung transplant recipients with allograft dysfunction when centrilobular abnormalities with or without a tree-in-bud pattern are seen on thin-section CT.

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