



# Trifecta outcomes of modified robot-assisted simple enucleation and standard robot-assisted partial nephrectomy for treating clinical T1b renal cell carcinoma

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**Background:** To compare perioperative outcomes and Trifecta achievement of modified robot-assisted simple enucleation (MRASE) with robot-assisted partial nephrectomy (RAPN) for treating clinical T1b renal tumors.

**Methods:** We analyzed 203 patients who underwent MRASE or RAPN for clinical T1b renal tumors at our institution from September 2014 to June 2018. The two groups were compared regarding perioperative outcome variables. Trifecta was defined as no perioperative complications, negative surgical margin, and ischemia time  $\leq 25$  minutes.

**Results:** In all, 139 patients underwent MRASE and 64 underwent RAPN respectively. Patients in the MRASE group had shorter operative time (197.7 vs. 215.6 min,  $P=0.039$ ) and warm ischemic time (21.2 vs. 24.1 min,  $P=0.004$ ) in comparison to the RAPN group. The groups were comparable in estimated blood loss (230.5 vs. 269.8 mL,  $P=0.259$ ). Tumor bed suturing was performed with a significantly lower frequency in the MRASE group than in the RAPN group (11.5% vs. 90.6%,  $P<0.01$ ). The incidence of perioperative complications was similar. The rate of positive surgical margins was similar in both groups (2.2% vs. 6.3%,  $P=0.284$ ). Trifecta was achieved in 61.2% and 42.2% of MRASE and RAPN patients ( $P=0.012$ ). On multivariable analysis, the type of procedure, RENAL score, estimated blood loss, and operative time were positive factors for the achievement of Trifecta.

**Conclusions:** In this series MRASE was superior to RAPN with regard to the achievement of Trifecta in treating T1b renal tumors. Besides, MRASE had better outcomes for shorter operative time, shorter warm ischemic time, and less need for tumor bed suturing as compared with RAPN.

**Keywords:** Renal cell carcinoma (RCC); robot-assisted; partial nephrectomy; simple enucleation; Trifecta

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## Introduction

Renal cell carcinoma (RCC) currently represents 2–3% of all adult malignant tumors (1,2). Partial nephrectomy (PN) is considered the standard surgical procedure

that excises the tumor with a visible margin of normal renal parenchyma (3). PN has now become the standard treatment for patients with clinical T1a renal tumors, and it is also applied for T1b renal tumors whenever technically

feasible (4,5). In the last decades, it is debate what is the suitable width of normal tissue excised with the tumor to ensure negative margins. Some studies have revealed that the width of resected normal parenchyma can be reduced to only a few millimeters without influencing the oncologic safety (6,7). In this context, several authors have proposed the application of the simple enucleation (SE) technique, in which the tumor is removed by the blunt excision without a visible rim of normal parenchyma and maximal amount of renal parenchyma can be preserved (8,9).

Robot-assisted partial nephrectomy (RAPN) was first proposed by Gettman in 2004 (10). This surgical technique is considered as a feasible and effective alternative to open and laparoscopic PN for treating renal tumors (11,12). With the advantages such as fully articulating instruments and magnified 3D imaging, the da Vinci Surgical System can overcome the technical difficulties and shorten the learning curve of minimally invasive PN (13). Robot-assisted SE (RASE), which can reserve maximal renal parenchyma theoretically without effecting the safety of oncology (14), may be a substitute for RAPN. However, few data comparing RASE and RAPN for T1b renal tumors have been reported.

Here, we compared the achievement of Trifecta and the perioperative results between modified robot-assisted simple enucleation (MRASE) and RAPN for treating clinical T1b renal tumors based on our large institutional experience. We present the following article in accordance with the STROBE reporting checklist (available at <http://dx.doi.org/10.21037/tau-20-1153>).

## Methods

### Patients

From September 2014 to June 2018, we gathered the perioperative data from 218 consecutive patients treated with MRASE or RAPN for clinical T1b renal tumors. Nine patients without imaging for nephrometry scoring were excluded from the study. Patients with multifocal tumors (n=1) and solitary kidneys (n=5) were also excluded. A total of 203 patients were included in the study, of whom 139 patients underwent MRASE and 64 underwent RAPN. All the operations were performed by Doctor Hongqian Guo. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by institutional ethics committee of Nanjing Drum Tower Hospital (2019-153-02) and informed consent

was taken from all the patients.

Clinical records of patient demographics, perioperative outcomes and pathological findings were assessed. Physical condition was classified by the Eastern Cooperative Oncology Group (ECOG) criteria (15). The tumor presentation mode was classified according to the Patard classification (16). The RENAL nephrometry scoring system was applied to assess the tumor complexity by a radiologist and a urologist (17). Preoperative and postoperative complications were classified according to the modified Clavien-Dindo system (18). The modification of diet in renal disease formula was used to calculate the estimated glomerular filtration rate (eGFR) (19). For the last eGFR measurement, the eGFR at least 1 year after surgery was used. Trifecta was defined as a combination of negative surgical margin, zero perioperative complications, and ischemia time  $\leq 25$  min (20).

### Surgical technique

The surgical choice of RAPN or MRASE was determined mainly based on the tumor characteristics prior to surgery. Tumor enucleation was implemented according to imageological examination and intraoperative evaluation. The tumors with intact and distinct capsule displayed on ultrasonic contrast or radiography were mostly enucleated. Tumors with distinct borders were mainly characterized by the regular shape and clear boundaries with normal renal parenchyma.

The surgical techniques and placement of trocar in RAPN were reported in previous studies (21). We have described some important variations of SE technique in our published studies which was mentioned in the surgical procedure part below (8,14). The transperitoneal approach was generally applied, and the patients were positioned in flank position. Operations were performed using the Si da Vinci surgical system (Intuitive Surgical, Sunnyvale, USA) docked at the back of the patients. A three-arm arrangement and 30-degree downward-angled optics were applied in most situations. Monopolar scissors on the right arm and Fenestrated/Maryland graspers on the left arm were commonly used.

The renal pedicle was carefully isolated in advance. The kidney was completely isolated from the perirenal fat to exclude satellite lesions and determine tumor limits. For MRASE, the tumor is enucleated initially close to the tumor edge without warm ischemia. When the tumor capsule was reached or when bleeding interfered with the operative view,

the renal artery was clamped with vascular clamps. The surgeon enucleated the tumor by blunt dissection following the pseudocapsule, without visible normal parenchyma. Once the renal capsule was incised and the natural plane was reached, the tumor could be easily enucleated. After the tumor was removed, the tumor bed was checked to exclude tumor infiltration. Hemostasis was achieved with bipolar coagulation, and incidental opened calices was repaired by a single suture. The cortical defect was usually closed with horizontal and interrupted sutures using Hem-o-lok clips placed at the beginning and end of the suture on the renal capsule. It was defined as the single-layer renorrhaphy technique. At last, the vascular clamp was removed, and additional sutures were performed if necessary. In contrast, RAPN included the sharp excision of the tumor and a thin rim of normal tissue around the tumor. The tumor bed and the cortical defect were both closed with a running suture.

### Statistical analysis

Categorical variables were reported as proportions, whereas continuous variables were reported as mean  $\pm$  standard deviation (SD). Student's t-test was performed to compare continuous variables, and chi-square test or Fisher's exact test to compare categorical variables. Univariable and multivariable logistic regression models were used to evaluate the factors predicting Trifecta achievement. All statistical analyses were two-sided with statistically significant P value  $<0.05$ . All the analyses were performed with SPSS 17.0 (IBM Corp., Armonk, USA).

### Results

Clinical characteristics and perioperative data were summarized in *Table 1*. Overall, 139 patients underwent MRASE and 64 underwent RAPN. The two groups were similar in terms of mean age, body mass index (BMI), gender, ECOG score, tumor side, symptoms at diagnosis, clinical tumor size, RENAL score, and preoperative eGFR.

In the MRASE group, the patients had shorter operative time (197.7 vs. 215.6 min,  $P=0.039$ ) and warm ischemic time (WIT) (21.2 vs. 24.1 min,  $P=0.004$ ) in comparison with the RAPN group. Tumor bed suturing was performed with a significantly lower frequency in the MRASE group than in the RAPN group (11.5% vs. 90.6%,  $P<0.01$ ). The estimated blood loss was similar in the two groups (230.5 vs. 269.8 mL,  $P=0.259$ ). The two groups did not differ in terms of hospital stay, postoperative eGFR, and change in eGFR.

The rate of positive surgical margin (PSM) was tended to be lower in the MRASE group, but the difference was not statistically significant (2.2% vs. 6.3%,  $P=0.284$ ).

The two groups did not differ in terms of the rate of intraoperative complications (2.2% vs. 6.3%,  $P=0.284$ ). In the MRASE group the complications included only renal hemorrhage requiring transfusion. In the RAPN group, there were three cases of hemorrhage from the tumor bed requiring transfusion and one case of renal vein injury requiring sutures. The incidence of postoperative complications was also similar (10.1% vs. 14.1%,  $P=0.405$ ), and Clavien-Dindo grade 1 or 2 complications were the majority. Clavien-Dindo grade 3 complications occurred in three cases. One superselective arterial embolization and one ureteral stenting for urinary fistula occurred in RAPN group, and 1 superselective arterial embolization in MRASE group. The median follow-up was 32 months (range, 15–47 months) with MRASE and 30 months (range, 14–45 months) with RAPN. The two groups did not differ for the rate of local recurrence or distant metastases (2.2% vs. 1.6%,  $P=1.000$ ).

Trifecta was accomplished in 61.2% and 42.2% of MRASE and RAPN cases ( $P=0.012$ ). On univariable analysis, larger tumor size, higher RENAL score, longer operative time, longer WIT, higher blood loss, longer hospital stay and increased eGFR percentage change were negative predictors for Trifecta achievement (*Table 2*). On multivariable analysis, procedure type, RENAL score, operative time, and estimated blood loss were positive factors for achievement of Trifecta (*Table 3*).

### Discussion

The da Vinci Surgical System has been more and more widely used for the treatment of renal tumors (22), and the indications for robotic approach have gradually expanded to more complex and challenging cases (23). The use of the term Trifecta to describe the effectiveness and outcomes of PN is relatively new and may contribute standardized evaluation of outcomes (24). Trifecta criteria principally consist of absence of complications, negative surgical margins, and minimal renal function decrease. To our knowledge, this is the first analysis to compare RASE with RAPN for T1b renal tumors in the era of Trifecta achievement.

Trifecta assessment for evaluating perioperative outcomes has some limitations due to the lack of a standardized definition. Buffi *et al.* described the term MIC (negative surgical margin, WIT  $<20$  min and no

**Table 1** Clinical characteristics and perioperative data of MRASE and RAPN

Variable	MRASE	RAPN	P value
Patients (n)	139	64	–
Mean age (years)	55.7±13.3	53.7±14.4	0.337
BMI (kg/m <sup>2</sup> )	24.6±3.6	24.7±2.6	0.937
Gender, n (%)			0.676
Male	87 (62.6)	42 (65.6)	
Female	52 (37.4)	22 (34.4)	
ECOG, n (%)			0.729
0	101 (72.7)	45 (70.3)	
≥1	38 (27.3)	19 (29.7)	
Side, n (%)			0.190
Left	81 (58.3)	31 (48.4)	
Right	58 (41.7)	33 (51.6)	
Symptoms at diagnosis, n (%)			0.721
Asymptomatic	124 (89.2)	56 (87.5)	
Symptomatic	15 (10.8)	8 (12.5)	
Clinical tumor size (cm)	4.5±1.0	4.8±1.1	0.145
RENAL score	8.7±1.2	8.8±1.2	0.577
Operative time (min)	197.7±54.6	215.6±61.6	0.039
Warm ischemic time (min)	21.2±6.4	24.1±6.9	0.004
Estimated blood loss (mL)	230.5±207.0	269.8±273.3	0.259
Suturing tumor bed, n (%)	16 (11.5)	58 (90.6)	<0.01
No hilar clamping, n (%)	10 (7.2)	3 (4.7)	0.759
Complications, n (%)			
Intraoperative	3 (2.2)	4 (6.3)	0.284
Postoperative	14 (10.1)	9 (14.1)	0.405
Clavien 1–2	13 (9.4)	7 (10.9)	
Clavien 3–4	1 (0.7)	2 (3.1)	
Tumor histology			0.860
Clear cell	108 (77.7)	47 (73.4)	
Papillary	7 (5.0)	3 (4.7)	
Chromophobe	4 (2.9)	3 (4.7)	
Other types	20 (14.4)	11 (17.2)	
Positive surgical margin, n (%)	3 (2.2)	4 (6.3)	0.284
Hospital stay (days)	6.5±2.7	7.2±3.2	0.107
Trifecta achievement, n (%)	85 (61.2)	27 (42.2)	0.012

Table 1 (continued)

**Table 1** (continued)

Variable	MRASE	RAPN	P value
Preoperative eGFR (mL/min/1.73 m <sup>2</sup> )	100.1±17.9	101.9±19.9	0.503
Last eGFR (mL/min/1.73 m <sup>2</sup> )	87.7±18.4	83.3±17.8	0.111
Change in eGFR (%)	-14.0±18.7	-15.0±16.1	0.733

MRASE, modified robot-assisted simple enucleation; RAPN, robot-assisted partial nephrectomy; BMI, body mass index; ECOG, Eastern Cooperative Oncology Group; eGFR, estimated glomerular filtration rate.

**Table 2** Clinical characteristics and perioperative data of Trifecta achievement

Variable	Trifecta	No Trifecta	P value
Patients (n)	112	91	
Mean age (years)	55.1±14.3	55.0±12.8	0.964
BMI (kg/m <sup>2</sup> )	24.3±2.9	25.0±3.7	0.116
ECOG, n (%)			0.442
0	83 (74.1)	63 (69.2)	
≥1	29 (25.9)	28 (30.8)	
Side, n (%)			0.233
Left	66 (58.9)	46 (50.5)	
Right	46 (41.1)	45 (49.5)	
Surgical procedure, n (%)			0.012
MRASE	85 (75.9)	54 (59.3)	
RAPN	27 (24.1)	37 (40.7)	
Clinical tumor size (cm)	4.3±1.0	4.9±0.9	<0.01
RENAL score	8.4±1.2	9.0±1.2	<0.01
Operative time (min)	176.6±40.4	236.3±58.2	<0.01
Warm ischemic time (min)	18.0±4.8	27.2±4.9	<0.01
Estimated blood loss (mL)	167.4±138.5	335.8±281.5	<0.01
Hospital stay (days)	6.3±2.5	7.3±3.3	0.016
Preoperative eGFR (mL/min/1.73 m <sup>2</sup> )	100.4±18.1	100.8±19.2	0.689
Last eGFR (mL/min/1.73 m <sup>2</sup> )	90.8±15.2	80.8±20.3	<0.01
Change in eGFR (%)	10.1±14.9	19.6±19.9	<0.01

BMI, body mass index; ECOG, Eastern Cooperative Oncology Group; MRASE, modified robot-assisted simple enucleation; RAPN, robot-assisted partial nephrectomy; eGFR, estimated glomerular filtration rate.

major complications) as their ideal outcome definition (25). Khalifeh *et al.* summarized Trifecta as negative surgical margins, no perioperative complications and a WIT ≤25 min (26). Hung *et al.* defined Trifecta as a composite of negative margins, no urological complications and an

actual *vs.* predicted postoperative eGFR >90% (24). The need of achieving negative margins and no complications is common among all these definitions, but there is debate as to whether the WIT or renal function preservation determined by the eGFR, should be used as a criterion

**Table 3** Univariable and multivariable analysis for Trifecta achievement

Variable	Univariable analysis			Multivariable analysis		
	OR	95% CI	P value	OR	95% CI	P value
Age	1.000	0.980–1.021	0.964	0.979	0.952–1.006	0.129
BMI	0.934	0.857–1.017	0.118	1.005	0.899–1.124	0.924
RAPN vs. MRASE	2.155	1.182–3.937	0.012	2.302	1.039–5.100	0.040
Clinical tumor size	0.521	0.382–0.712	<0.01	0.734	0.500–1.078	0.115
RENAL score	0.657	0.514–0.841	<0.01	0.702	0.510–0.966	0.030
Preoperative eGFR	0.987	0.974–1.031	0.689	1.002	0.983–1.022	0.819
Operative time	0.961	0.951–0.972	<0.01	0.969	0.957–0.980	<0.01
Estimated blood loss	0.995	0.993–0.997	<0.01	0.997	0.995–1.000	0.024

OR, odds ratio; CI, confidence interval; RAPN, robot-assisted partial nephrectomy; MRASE, modified robot-assisted simple enucleation; BMI, body mass index; eGFR, estimated glomerular filtration rate.

for the Trifecta definition. The WIT is an important indicator for evaluating immediate renal function, and a WIT between 20 and 30 min is safe (24). Because WIT of 25 min is reported to be significantly associated with newly developed stage IV chronic kidney disease, it was set as the cutoff in this series (27).

In this study, the MRASE group showed shorter operative time and WIT than RAPN. The estimated blood loss was comparable in both groups. Tumor bed suturing was performed with a lower frequency in the MRASE group than RAPN. The incidence of complications and PSM was comparable. The type of procedure, RENAL score, operative time, and blood loss were positive factors for Trifecta achievement.

A high tumor complexity score was a predictor of no Trifecta achievement. Several previous studies have reported on the correlation between a high tumor complexity scores, and a longer WIT and a higher incidence of complications (28). The estimated blood loss and operative time are indicators for the difficulty of operation and are likely to be associated with developing perioperative complications (29).

The technique of tumor enucleation consists of removing the tumor by blunt dissection along the natural plane (30–32). In the present study, despite the two groups showed similar patient demographics and tumor characteristics, the MRASE group had a less frequency of tumor bed suturing and accomplishment of delayed vascular control, which may help shorten the WIT. These results may be explained by the effects of tumor compression and some pathologic changes

like glomerulosclerosis and vascular degeneration close to the tumor (33). Some previous studies also showed superior surgical outcomes of SE compared with standard PN (8,34). Moreover, the MRASE technique reduced damaging the residual renal tissue, which would benefit the renal function, and reduce severe bleeding and urinary collecting system laceration.

One of the challenges in SE is the achievement of negative surgical margins. Several studies have shown doubt about the PSM risk and local recurrence due to the surgical excision method. However, the tumor pseudocapsule and the degenerated adjacent parenchyma could prevent the tumor invasion into the normal renal parenchyma (35). During MRASE, the tumor capsule acts as the surgical landmark, and surgeons can use this natural plane for tumor enucleation. Moreover, the 3D surgical view and fully flexible instrument of robotic system can help avoid the risk of PSM. In the present study, the incidence of PSM in MRASE group was only 2.2%. During standard PN, because no anatomical landmarks can be used, it is difficult to determine the excision plane and easy to enter into the tumor especially in endogenic cases.

There are limitations to the present study. First, this is a retrospective study. Second, this study lacks of randomization and a standardized manner of the procedure choice. Third, the choice of surgical technique was on the basis of tumor characteristics and surgeon's preference, which lead to selection bias. Moreover, the decrease in eGFR of the operated kidney could not be estimated, and a volumetric study was not included.

## Conclusions

MRASE was superior to RAPN for perioperative surgical results measured by Trifecta in T1b renal tumors. Moreover, MRASE has better outcomes for shorter operative time, shorter WIT, and less need for tumor bed suturing as compared with RAPN. The type of procedure, RENAL score, estimated blood loss, and operative time were predictive factors for the Trifecta achievement.

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## Footnote

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by institutional ethics committee of Nanjing Drum Tower Hospital (2019-153-02) and informed consent was taken from all the patients.

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