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**Citation:** Denorme F, Kraft P, Pareyn I, Drechsler C, Deckmyn H, Vanhoorelbeke K, et al. (2017) Reduced ADAMTS13 levels in patients with acute and chronic cerebrovascular disease. PLoS ONE 12 (6): e0179258. https://doi.org/10.1371/journal. pone.0179258

Editor: Jens Minnerup, University of Münster, GERMANY

Received: April 3, 2017

Accepted: May 28, 2017

Published: June 7, 2017

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**Data Availability Statement:** Data are available as Supporting Information file S1 Table.

**Funding:** This work was supported by the Fonds voor Wetenschappelijk Onderzoek Vlaanderen (G.0A86.13, 1509216N and G.0D66.15 to S.F. De Meyer, an Onderzoekstoelage grant from KU Leuven (OT/14/099 to S.F. De Meyer) and by the Deutsche Forschungsgemeinschaft (grant to C.K.).

**Competing interests:** The authors have declared that no competing interests exist.

**RESEARCH ARTICLE** 

# Reduced ADAMTS13 levels in patients with acute and chronic cerebrovascular disease

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#### Abstract

Von Willebrand Factor (VWF) plays a major role in thrombosis and hemostasis and its thrombogenicity is controlled by ADAMTS13. Whereas increasing evidence shows a clear association between VWF levels and acute ischemic stroke, little is known about a correlation with ADAMTS13. Therefore, the aim of this study was to compare plasma levels of ADAMTS13 between 85 healthy volunteers (HV), 104 patients with acute ischemic stroke and 112 patients with a chronic cerebrovascular disease (CCD). In this case-control study, plasma ADAMTS13 antigen levels were measured by ELISA and plasma VWF levels, measured previously, were next used to calculate VWF:ADAMTS13 ratios. ADAMTS13 levels and VWF:ADAMTS13 ratios were subsequently correlated with key demographic and clinical parameters. ADAMTS13 levels were significantly lower in acute ischemic stroke patients (82.6 ± 21.0%) compared with HV (110.6 ± 26.9%). Also, CCD patients (99.6 ± 24.5%) had significantly lower ADAMTS13 levels compared with HV however these were still higher than in acute stroke patients. Furthermore, when assessing the VWF:ADAMTS13 ratios, an even greater difference was revealed between stroke patients  $(2.7 \pm 1.9)$ , HV  $(1.1 \pm 0.5)$  and CCD patients (1.7 ± 0.7). The VWF:ADAMTS13 ratio was significantly associated with stroke severity and modality. In conclusion, both in acute and chronic cerebrovascular disease patients, ADAMTS13 levels were significantly decreased, with the lowest ADAMTS13 levels found in acute stroke patients. This difference was even more distinct when the ratio of VWF:ADAMTS13 was considered. These results demonstrate the potentially important involvement of the VWF/ADAMTS13 axis in ischemic stroke.

#### Introduction

Ischemic stroke is one of the leading causes of death and sustained disability in the Western world. While many risk factors have already been identified, the exact pathogenesis of ischemic stroke remains unclear and treatment options are limited. In recent years, the detrimental role of von Willebrand factor (VWF) in ischaemic stroke has gained increasing attention.[1] VWF

is a multimeric plasma glycoprotein that recruits platelets at sites of vascular injury and thereby contributes to thrombosis and hemostasis. By recruiting leukocytes, VWF also promotes inflammation thereby creating a thrombo-inflammatory environment.[2] The activity of VWF is controlled by the metalloproteinase ADAMTS13 (A Disintegrin And Metalloproteinase with Thrombospondin type 1 motif, member 13). ADAMTS13 cleaves ultra-large, highly reactive VWF multimers into smaller, less active ones, preventing spontaneous platelet-thrombus formation and reducing inflammation.[3] Preclinical animal studies have shown that absence of VWF is protective in ischemic stroke whereas absence of ADAMTS13 worsens disease outcome.[4–6] Increasing clinical evidence also demonstrates a clear association between high VWF-levels and acute ischaemic stroke in patients. The possible association between stroke and ADAMTS13 levels is however less studied, with some conflicting results reported.[7] We therefore performed a case-control study to investigate levels of ADAMTS13 in patients during the acute phase of ischemic stroke.

#### Material and methods

#### Data collection

As cases, patients with acute ischemic stroke (AIS), transitory ischemic attack (TIA)) and chronic cerebrovascular disease (CCD) were enrolled.[8] Participants were recruited at the Stroke Unit (patients diagnosed with TIA or AIS), at the outpatient clinic for CCD, or after a call for healthy volunteers (HV) at the Neurology Department, University Hospital of Würzburg (Germany) between September 2010 and January 2013. Inclusion criteria included blood withdrawal within 24 h after symptom onset in AIS (defined as acute ischemic lesion on brain imaging) or TIA (no acute lesion) patients, presentation with extra- and/or intracranial stenosis of the large cerebral arteries with (n = 67) or without (n = 45) history of AIS or TIA for the CCD group and no history of stroke, myocardial infarction, or peripheral arterial disease for the HV group. Furthermore, HV had to be older than 50 years. Exclusion criteria were hemorrhagic stroke, age < 18 years, and known platelet dysfunction or plasmatic coagulation disorders based on a detailed medical history and collection of routine coagulation parameters. Only non-hemolyzed blood samples were analyzed. Overall, 104 patients with stroke (AIS or TIA), 112 patients with CCD, and 85 HV fulfilled the inclusion criteria and took part in the study. In the patients with AIS or TIA, TOAST (Trial of Org 10172 in Acute Stroke Treatment) criteria were applied in an adapted form: (1) cardio-embolism, (2) large-artery atherosclerosis, (3) small-vessel occlusion, or (4) other determined or undetermined etiology. The National Institute of Health Stroke Scale (NIHSS) and Barthel Index (BI) score and modified Ranking Scale (mRS) were determined at patient admission. The latency between symptom onset and blood withdrawal, platelet inhibitor pre-treatment and modality of acute stroke therapy (thrombolysis vs. no thrombolysis) were registered. Platelet inhibitors included aspirin, dipyridamol, clopidogrel and prasugrel.

#### **Blood collection**

Trisodium citrate anticoagulated blood was collected on day 0, 1, and 3 in patients with stroke, and once in CCD patients and HV between 08.00 and 12.00 hours from an antecubital vein using a 21-gauge butterfly needle.

#### Measurement of ADAMTS13 levels

ADAMTS13 levels in plasma samples were measured by an in-house ADAMTS13:Ag ELISA as previously described with minor modifications.[9] In brief, monoclonal anti-ADAMTS-13 antibody 3H9 was coated (5  $\mu$ g/mL) in a 96-well microtiter plate overnight at 4 °C in 0.05 M

carbonate/bicarbonate. Bound ADAMTS13 was detected using a mix of biotinylated anti-ADAMTS-13 antibodies 19H4 and 17G2 (1.5 µg/mL each in phosphate buffered saline, 0.3% milk) and HRP-labelled streptavidin (1/10 000 dilution in phosphate buffered saline, 0.3% milk). To compare all plasma samples, a dilution series (in phosphate buffered saline, 0.3% milk) of a normal human plasma (NHP) pool was applied as a standard on each plate. This NHP pool was composed of citrated plasma from 20 healthy donors, recruited at the local laboratory in KU Leuven Campus Kulak Kortrijk, Belgium. ADAMTS13 levels were subsequently expressed as a percentage of NHP ADAMTS13 antigen. VWF:ADAMTS13 ratios were determined for each patient using VWF antigen levels that were measured in a previous study on the same set of plasma samples.[8]

#### Statistical analysis

Continuous variables are expressed as mean with standard deviation or as median with interquartile range, as appropriate. The association of ADAMTS13 concentrations and the ratios of VWF:ADAMTS13 were explored with a range of demographic and clinical characteristics: age, sex, neurological scales, disease modality (TIA or AIS), TOAST criteria, duration between symptom onset and blood withdrawal, NIHSS, Barthel Index, treatment modality (intravenous thrombolysis or not), and intake of platelet inhibitors in the days before blood withdrawal. P values for comparisons across groups of clinical and demographic characteristics were derived from analysis of variance (ANOVA), and the chi-square test, as appropriate. ADAMTS13 levels and the ratios of VWF:ADAMTS13 were compared between the different patient groups (AIS/ TIA inpatients, CCD outpatients, or HV) by a Kruskal-Wallis test with a Dunn's Multiple comparison test and additionally adjusted for age and sex. Distribution was analyzed using the Kolmogorov-Smirnov test. To identify independent predictors of ADAMTS13 levels and the ratios of VWF:ADAMTS13, a linear regression model was used that included all variables without colinearity in a multivariate model adjusting for age and sex. Using this model, coefficients and the corresponding 95% confidence intervals (CIs) were estimated. All reported P values are two-sided and a P value < 0.05 was considered statistically significant. Analyses were performed using SPSS Version 21 and SAS software version 9.1 (SAS Institute Inc., Cary, NC).

#### Ethics

Informed written consent was obtained from all participants. The study protocol was approved by the ethics committee of the medical faculty of the University of Würzburg, Germany (reference number 65/2010). Study participation had no influence on treatment and patient care.

#### Results

#### Overall clinical characteristics

A total of 104 acute stroke patients were included in this study. The mean age of these patients was  $69 \pm 12.5$  years and 54.3% were male. The baseline clinical severity measured with NIHSS and Barthel Index was  $4.7 \pm 6.0$  and  $75 \pm 28$ , respectively. More than half of the patients had AIS (58%). A detailed descriptive analysis of the characteristics of the patients with acute cerebrovascular event is given in Table 1.

## Lower ADAMTS13 levels and higher VWF:ADAMTS13 ratios in acute and chronic cerebrovascular disease patients

ADAMTS13 antigen levels were determined for the HV, the CCD and the acute stroke patients (Fig 1A). We found that acute stroke patients had significantly lower ADAMTS13 levels

Table 1. Baseline characteristics of the acute stroke patients (acute ischemic stroke (AIS) and transient ischemic attack (TIA)). Data are represented as mean ± standard deviation (SD). (NIHSS: National Institutes of Health stroke scale; TOAST: Trial of Org 10172 in Acute Stroke Treatment).

Characteristic	Value
Age, years (median (range))	71 (36–96)
Sex (n (%))	
Male	57 (54.3)
Female	48 (45.7)
Modality (n (%))	
AIS	61 (58.1)
TIA	44 (41.9)
Modified TOAST criteria (n (%))	
Cardio embolism	61 (58.1)
Large-artery atherosclerosis	4 (3.8)
Small-vessel occlusion	11 (10.5)
Other or undetermined etiology	29 (27.6)
Thrombolysis (n (%))	84 (80)
Platelet inhibitor before blood withdrawal (n (%))	66 (62.9)
NIHSS at admission (mean)	4.7 ± 6.0
Barthel Index at admission (mean)	75 ± 28
Modified Rankin Scale at admission (mean)	2.2 ± 1.6

https://doi.org/10.1371/journal.pone.0179258.t001

(82.6 ± 21.0%) on the day of admission compared with HV (110.6 ± 26.9%; p<0.0001). Remarkably, also CCD patients had ADAMTS13 levels significantly lower than HV (99.6 ± 24.5%; p<0.03), which were however still higher than those in acute stroke patients (p<0.0001). After adjustment for age and sex, these results remained significant (data not shown). In particular, the combination of high amounts of VWF with low levels of ADAMTS13 could form a harmful imbalance for the development of ischemic stroke. We therefore calculated the VWF:ADAMTS13 ratios in our study cohorts using previously determined VWF:Ag levels (Fig 1B).[8] Interestingly, stroke patients had a significantly higher VWF:ADAMTS13 ratio on day 0 (2.7 ± 1.9) compared with HV (1.1 ± 0.5; p<0.0001) and CCD patients (1.7 ± 0.7; p<0.0001). Also, CCD patients had significantly higher VWF: ADAMTS13 ratios compared with HV (p<0.0001). After adjustment for age and sex, these results remained significant. The time point of blood withdrawal for the stroke patients (days 0, 1 and 3) did not influence ADAMTS13 levels (p = 0.630; S1A Fig) or the VWF:ADAMTS13 ratio (p = 0.990; S1B Fig). Finally, no correlation was observed between ADAMTS13:Ag and VWF:Ag levels in acute stroke patients, CCD patients or healthy volunteers (p>0.05; S2 Fig).

## Association of ADAMTS13 and VWF:ADAMTS13 ratio with demographic and clinical parameters in acute cerebrovascular disease patients

Given the potential role of ADAMTS13 and VWF in stroke progression and severity, we correlated the levels of ADAMTS13 and the VWF:ADAMTS13 ratios in AIS and TIA patients on day 0 with key demographic and clinical parameters by univariate (Table 2) and multivariate (Table 3) analysis. In the univariate analysis, ADAMTS13 levels were only associated with sex (p = 0.018). In addition, lower ADAMTS13 levels were found in patients with the most severe stroke on admission as assessed with the National Institutes of Health Stroke Scale (NIHSS), Barthel Index (BI) and modified Ranking scale (mRS) although this did not reach statistical





Fig 1. Patients with acute or chronic cerebrovascular disease have lower ADAMTS13 levels and a higher VWF:ADAMTS13 ratio compared to healthy volunteers. ADAMTS13 antigen levels from 104 patients with acute ischemic stroke, 112 patients with a chronic cerebrovascular disease (CCD) and 85 healthy volunteers, were measured. Levels of ADAMTS13 were plotted as a percentage of the level in a normal human plasma pool (NHP), consisting of pooled plasma from 20 healthy donors. VWF levels were measured previously and were used to calculate the VWF:ADAMTS13 ratios. Both ADAMTS13 levels [A] and

VWF:ADAMTS13 ratios **[B]** are depicted in box-and-whisker plots indicating the first and third quartiles as well as the interquartile range (IQR, Tukey plot). Outliers outside the 1.5 IQR are visualized by single dots. ADAMTS13 levels and the VWF:ADAMTS13 ratios showed significant differences over the three groups. Data were analyzed using a Kruskal-Wallis test with a Dunn's multiple comparison test. \*: p < 0.05; \*\*\*\*: p < 0.0001.

https://doi.org/10.1371/journal.pone.0179258.g001

significance (p = 0.265; p = 0.100 and p = 0.163, respectively). Moreover, the univariate analysis revealed that VWF:ADAMTS13 ratios were significantly associated with stroke severity (NIHSS: p = 0.048; BI: p = 0.004 and mRS: p = 0.015) and stroke modality (AIS or TIA; p = 0.023) (Table 2). Also age significantly influenced VWF:ADAMTS13 ratios, with the oldest patients having the highest VWF:ADAMTS13 ratios (p = 0.038). Patients on anti-platelet treatment had higher VWF:ADAMTS13 ratios (p = 0.038) and patients who received thrombolysis had significantly lower VWF:ADAMTS13 ratios (p = 0.028).

In a multivariate analysis adjusted for age and sex we tried to identify parameters that independently influenced ADAMTS13 levels. A multivariate analysis of all variables using a linear regression model identified age (p = 0.002) and sex (p = 0.016) as independent predictors for ADAMTS13 levels (Table 3). Upon multivariate regression analysis: age (p = 0.014), CRP upon admission (p < 0.001), NIHSS (p = 0.003) and the use of platelet inhibitors (p = 0.006) were identified as independent predictors of VWF:ADAMTS13 ratio's (Table 3).

#### Discussion

In this study, we report that patients with acute or chronic cerebrovascular disease have significantly lower ADAMTS13 levels. The lowest ADAMTS13 levels were found in acute ischemic stroke patients. These results further add to the notion that reduced ADAMTS13 activity is a risk factor for ischemic stroke.[10–15]

Due to the case-control design of our study we cannot draw conclusions about causality of low ADAMTS13 levels and the occurrence of ischemic stroke. Recently, however, a prospective study found that patients with the lowest ADAMTS13 activity had the highest risk for ischemic stroke, independent of other known demographic and cardiovascular risk factors. [16] Likewise, a link was recently identified between the genetic architecture of ADAMTS13, ADAMTS13 levels and stroke susceptibility.[17] These results all point towards a possible causative role for ADAMTS13 in the development of acute ischemic stroke in patients. Reduced levels of ADAMTS13 indeed could lead to a prothrombotic state allowing local accumulation of ultra large VWF at sites of vascular damage, promoting the formation of (VWFrich) thrombo-embolic occlusions. Such local imbalance of VWF and ADAMTS13 was recently demonstrated at sites of critical coronary stenosis in patients with ST-elevation myocardial infarction.[18] The pathogenic relevance of this imbalance is further supported by our results showing that the discrepancy between acute ischemic stroke patients and healthy volunteers is even more apparent when using the ratio of VWF:ADAMTS13 as compared to ADAMTS13 alone. Similarly, in a large prospective study, Sonneveld and colleagues found that individuals who had both the lowest ADAMTS13 activity ( $\leq$ 25th percentile) and the highest VWF:Ag levels ( $\geq$ 75th percentile) had an increased risk of ischemic stroke compared with the remaining individuals.[16] More prospective studies are needed to further establish the role of low ADAMTS13 levels as a risk factor for ischemic stroke. Not surprisingly, VWF levels have been shown to independently determine ischemic stroke risk.[7] In agreement with previous studies[11,16], we did not find a correlation between VWF and ADAMTS13 levels. Hence ADAMTS13 and VWF could be independent risk factors for ischemic stroke.

Table 2. Univariate analysis of ADAMTS13 levels and the VWF:ADAMTS13 ratio with demographic and clinical parameters. Data are represented as mean ± standard deviation (SD). Data were analyzed by an independent samples Mann-Whitney U test or Kruskal-Wallis test, where applicable. (AIS: acute ischemic stroke; TIA: transient ischemic attack; NIHSS: National Institutes of Health stroke scale).

	ADAMTS13:Ag (%)	P value	VWF:ADAMTS13	P value
Sex				
Male	79.5 ± 20.7		2.6 ± 2.0	
Female	86.2±21.0	0.018	2.7 ± 2.0	0.827
Age, years				
<55	88.0 ± 19.9		1.8±0.6	
55–64	88.4 ± 24.3		2.8 ± 2.8	
65–74	83.8 ± 18.9		2.4 ± 1.0	
75–84	75.9 ± 20.1		3.1 ± 1.5	
>84	74.1 ± 19.1	0.101	3.6 ± 3.2	0.038
Disease modality				
AIS	81.7 ± 21.9		3.0 ± 2.3	
TIA	83.7 ± 20.0	0.780	2.3 ± 2.2	0.023
Modified TOAST criteria				
Cardio embolism	82.8±21.6		2.6 ± 1.6	
Large-artery atherosclerosis	80.9 ± 30.7		$3.4 \pm 0.9$	
Small-vessel occlusion	89.7 ± 24.1		2.1 ± 1.4	
Other or undetermined etiology	79.6 ± 17.4	0.668	2.9 ± 2.8	0.137
Thrombolysis				
Yes	84.7 ± 21.2		2.4 ± 1.3	
No	77.5 ± 19.9	0.255	3.4 ± 2.9	0.028
Platelet inhibitor				
Yes	84.5 ± 22.0		3.2 ± 2.5	
No	79.3 ± 19.0	0.198	2.4 ± 1.5	0.038
NIHSS				
0-4	84.5 ± 20.3		2.2 ± 1.2	
5–9	83.7 ± 17.1		2.7 ± 1.8	
10–15	83.5 ± 23.7		2.8 ± 0.7	
>15	66.0 ± 25.6	0.265	$5.4 \pm 4.9$	0.048
Barthel Index				
0–30	68.8 ± 15.9		$3.9 \pm 2.2$	
35–70	85.8 ± 18.8		2.4 ± 1.4	
>70	87.5 ± 22.9	0.100	2.1 ± 0.9	0.004
Modified Ranking Scale				
0	82.2 ± 17.1		$1.9 \pm 0.9$	
1	82.6 ± 13.7		2.3 ± 1.1	
2	88.5 ± 29.4		2.8 ± 1.7	
3	84.4 ± 17.5		2.4 ± 0.8	
4	87.5±21.4		2.5 ± 0.8	
5	63.2 ± 23.6	0.163	5.6 ± 4.5	0.015

https://doi.org/10.1371/journal.pone.0179258.t002

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Besides increasing the risk of developing ischemic stroke, reduced ADAMTS13 activity might also aggravate the progression and outcome of ischemic stroke. First, lower levels of ADAMTS13 could hamper spontaneous thrombolysis. We recently described a pro-thrombolytic effect of ADAMTS13 on VWF-rich thrombi in mice.[19] Furthermore, low ADAMTS13 levels correlated with poor response to thrombolysis in stroke patients.[20] Second, insufficient



	ADAMTS13			VWF:ADAMTS13		
	Coefficient	95% confidence interval	P value	Coefficient	95% confidence interval	P value
Sex						
Male	Reference			Reference		
Female	9.96	1.90 to 18.03	0.016	-0.17	-0.83 to 0.49	0.609
Age, years						
<55	Reference			Reference		
55–64	0.13	-13.51 to 13.77	0.985	0.57	-0.55 to 1.68	0.313
65–74	-5.25	-18.24 to 7.74	0.425	0.30	-0.77 to 1.36	0.581
75–84	-13.75	-27.50 to 0.00	0.050	0.79	-0.33 to 1.92	0.164
>84	-14.75	-31.98 to 2.48	0.092	1.01	-0.39 to 2.42	0.157
NIHSS						
0-4	Reference			Reference		
5–9	5.77	-6.81 to 18.35	0.365	-0.40	-1.43 to 0.63	0.443
10–15	1.04	-12.71 to 14.78	0.881	0.32	-0.80 to 1.45	0.567
>15	-13.62	-30.24 to 2.99	0.107	2.89	1.53 to 4.24	< 0.001
Disease modality	-1.77	-10.43 to 6.88	0.684	0.40	-0.31 to 1.11	0.268
Thrombolysis	-3.89	-14.50 to 6.72	0.469	-0.03	-0.90 to 0.83	0.942
Platelet inhibitor	7.47	-1.47 to 16.41	0.101	-1.04	-1.78 to -0.31	0.006
CRP at admission	-2.09	-4.52 to 0.34	0.091	0.38	0.19 to 0.58	< 0.001

#### Table 3. Multivariate analysis of ADAMTS13 levels and the VWF:ADAMTS13 ratios with demographic and clinical parameters.

https://doi.org/10.1371/journal.pone.0179258.t003

ADAMTS13 activity or high VWF activity contributes to cerebral ischemia/reperfusion injury after successful recanalization.[4–6] Our observation that the VWF:ADAMTS13 ratio was the highest in patients with the most severe stroke further underscores this importance of the VWF/ADAMTS13 axis in stroke progression and is in line with other case-control studies. [10,11]

Finally, we also report reduced levels of ADAMTS13 in patients with chronic cerebrovascular disease. CCD patients presented with extra—and/or intracranial stenosis of the larger cerebral arteries. Previously, experimental studies have identified a protective role for ADAMTS13 during the progression of atherosclerosis. Mice lacking ADAMTS13 had accelerated atherosclerotic plaque formation, which was associated with increased vascular inflammation.[21,22] Additionally, *in vivo* as well as *in vitro* experiments have demonstrated that shear gradients typically occurring at stenotic vessels, exacerbate pathological thrombus formation in a VWF-dependent manner.[23] The observed increased VWF:ADAMTS13 ratio in CCD patients further suggests the involvement of VWF and ADAMTS13 in atherosclerotic disease. However, as mentioned previously, the case-control design of our study restricts us from making conclusions about causality and future prospective clinical trials are needed to confirm these findings.

This study has some limitations. As already mentioned, because of the case–control study design of this study, it is not possible to assign causality of ADAMTS13 levels to the occurrence of stroke. Furthermore, for ethical reasons, we could not recruit patients who were unable to give informed consent. Therefore, patients with very large strokes and/or aphasia might be under-represented. Another limitation includes the relatively high proportion of TIA patients (41.9%) for which a non-vascular origin of symptoms cannot be excluded.

In conclusion, our results show the importance of ADAMTS13 in cerebrovascular disease and put forward the VWF/ADAMTS13 axis as a potential biomarker for ischemic stroke risk and severity.

#### **Supporting information**

**S1 Fig. Time point of blood withdrawal did not influence ADAMTS13 levels or VWF: ADAMTS13 ratio in acute stroke patients.** Levels of ADAMTS13 were plotted as a percentage of the level in a normal human plasma pool (NHP), consisting of pooled plasma from 20 healthy donors. VWF levels were measured previously and were used to calculate the VWF: ADAMTS13 ratios. Both ADAMTS13 levels (A) and VWF:ADAMTS13 ratios (B) are depicted in box-and-whisker plots indicating the first and third quartiles as well as the interquartile range (IQR, Tukey plot). Outliers outside the 1.5 IQR are visualized by single dots. Data were analyzed using a Kruskal-Wallis test with a Dunn's multiple comparison test. (TIFF)

**S2 Fig. Correlation analysis between ADAMTS13 and VWF levels.** A spearman correlation analysis was performed for the healthy volunteers (**A**), the chronic cerebrovascular disease patients (**B**) and on day 0 in the acute stroke patients (**C**). No correlation was found between plasma ADAMTS13 and VWF antigen levels. (TIFF)

**S1 Table. Raw de-identified dataset.** For sex: 1 indicates male, 2 indicates female; for modality: 1 indicates TIA, 2 indicates stroke. (XLSX)

#### **Author Contributions**

Conceptualization: FD PK SFDM.

Formal analysis: FD PK CD SFDM.

Funding acquisition: SFDM.

Investigation: FD PK IP CD.

Resources: SFDM CK PK.

Supervision: SFDM.

Writing - original draft: FD SFDM.

Writing - review & editing: FD PK HD KV CK SFDM.

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