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CHAPTER

14

Membrane Transport

OUTLINE

A. Introduction	305	Bacterial Lactose Transport	322
Fick's First Law	307	Vectorial Metabolism	323
Osmosis	307	E. Ionophores	324
B. Simple Passive Diffusion	309	Valinomycin	325
C. Facilitated Diffusion Glucose Transporter Potassium Channels	311 311 312	2,4-Dinitrophenol (DNP) Crown Ethers Nystatin	326 326 327
Sodium Channel	313	F. Gap Junctions	328
Tetrodotoxin Saxitoxin Solute Equilibrium Aquaporins	314 314 315 316	G. Other Ways to Cross the Memb Receptor Mediated Endocytosis Pinocytosis and Phagocytosis Pinocytosis	rane 329 329 330 331
D. Active Transport	317	Phagocytosis	332
Primary Active Transport Na ⁺ /K ⁺ ATPase	319 319	Summary	334
Mechanism of the Na ⁺ /K ⁻ Secondary Active Transport	+ ATPase 321 321	References	335

A. INTRODUCTION

Life depends on a membrane's ability to precisely control the level of solutes in the aqueous compartments bathing the membrane. The membrane determines what solutes enter and leave a cell. Trans-membrane transport is controlled by complex interactions between membrane lipids, proteins, and carbohydrates. How the membrane accomplishes these tasks is the topic of Chapter 14.

14. MEMBRANE TRANSPORT

A biological membrane is semi-permeable, meaning it is permeable to some molecules, most notably water, while being very impermeable to most solutes (various biochemicals and salts) found in the bathing solution. This very important concept of unequal transmembrane distribution and hence permeability between water and other solutes came out of the pioneering work of Charles Overton in the 1890s (see Chapter 2). How does a biological membrane accomplish semi-permeability? The barrier to solute movement is largely provided by the membrane's hydrophobic core, a very thin (~40 Å thick), oily layer. The inherent permeability of this core varies from membrane to membrane. Generally, the more tightly packed the lipids comprising the bilayer, the lower its permeability will be. Lipid bilayers are very impermeable to most solutes because of their tight packing. Figure 14.1 depicts the membrane permeability of a variety of common solutes [1]. Note the data is presented as a log scale of solute permeability (P in cm/s) and ranges from Na⁺ = 10⁻¹² cm/s to water = 0.2×10^{-2} cm/s, spanning almost 10 orders of magnitude!



FIGURE 14.1 Log of the permeability (P in cm/s) across lipid bilayer membranes for common solutes ranging from Na⁺ (10^{-12} cm/s) to water (0.2×10^{-2} cm/s). This range spans almost 10 orders of magnitude [1].

A. INTRODUCTION

For example, LUVs made from DPPC (16:0, 16:0 PC) have a sharp phase transition temperature, T_m , of 41.3°C. At temperatures well below T_m , the LUVs are in the tightly packed gel state and permeability is extremely low. At temperatures well above T_m , the LUVs are in the loosely packed liquid disordered state (l_d , also called the liquid crystalline state) and permeability is high. However, maximum permeability is not found in the l_d state, but rather at the T_m [2]. As the LUVs are heated from the gel state and approach the T_m , domains of l_d start to form in the gel state. Solutes can then pass more readily through the newly formed l_d domains than the gel domains, resulting in an increase in permeability. At T_m there is a maximum amount of coexisting gel and l_d state domains that exhibit extremely porous domain boundaries. It is through these boundaries that most permeability occurs. As the temperature is further increased, the LUVs pass into the l_d state and the boundaries disappear, reducing permeability to that observed for the single component l_d state. Thus maximum permeability is observed at the T_m .

Fick's First Law

The tendency for solutes to move from a region of higher concentration to one of lower concentration was first defined in 1855 by the physiologist Adolf Fick (Figure 14.2). His work is summarized in what is now the very well known Fick's Laws of Diffusion [3]. The laws apply to both free solution and diffusion across membranes. Fick developed his laws by measuring concentrations and fluxes of salt diffusing between two reservoirs through tubes of water.

Fick's First Law describes diffusion as:

Diffusion rate = -DA dc/dx

Where:

D = diffusion coefficient (bigger molecules have lower Ds)

A = cross sectional area over which diffusion occurs

dc/dx is the solute concentration gradient (diffusion occurs from a region of higher concentration to one of lower concentration)

The relationship between a solute's molecular weight and its diffusion coefficient is shown in Table 14.1. Large solutes have low diffusion coefficients and therefore diffuse more slowly than small solutes. The diffusion rate for a particular solute under physiological conditions is a constant and cannot be increased. This defines the theoretical limit for an enzymatic reaction rate and also limits the size of a cell. If a solute starts at the center of a bacterial cell, it takes $\sim 10^{-3}$ s to diffuse to the plasma membrane. For this reason, typical cells are microscopic (see Chapter 1). At about 3.3 pounds and the size of a cantaloupe, the largest cell on Earth today is the ostrich egg. However, a dinosaur egg in the American Museum of Natural History in New York is about the size of basketball. Since an egg's only function is to store nutrition for a developing embryo, its size is many orders of magnitude larger than a normal cell.

Osmosis

Osmosis is a special type of diffusion, namely the diffusion of water across a semipermeable membrane. Water readily crosses a membrane down its potential gradient

14. MEMBRANE TRANSPORT



FIGURE 14.2 Adolf Fick (1829–1901) [61].

TABLE 14.1 The Relationship Between a Solute's Molecular Weight and its Diffusion Coefficient, D.

Compound	O ₂	Acetyl choline	Sucrose	Serum albumin
$D (cm^2/s \times 10^6)$	19.8	5.6	2.4	0.7
Molecular Weight	32	182	342	69,000

from high to low potential (Figure 14.3) [4]. Osmotic pressure is the force required to prevent water movement across the semi-permeable membrane. Net water movement continues until its potential reaches zero. An early application of the basic principles of osmosis came from the pioneering work on hemolysis of red blood cells by William Hewson in the 1770s (see Chapter 2). It has also been discussed that MLVs (multilamellar vesicles, liposomes) behave as almost perfect osmometers, swelling in hypotonic solutions and shrinking in hypertonic solutions (see Chapter 3) [5,6]. Liposome swelling and shrinking



FIGURE 14.3 Osmosis and osmotic pressure. Water is placed in a U-shaped tube where each of the tube arms is separated by a semi-permeable membrane with pores of a size that water can easily pass through but a solute cannot. Upon addition of the solute to the tube's right arm, water diffuses from left to right (high water potential to low). The column of water in the tube's right arm (the one containing the solute) rises until the extra weight of the column equals the osmotic pressure caused by the solute. A pump could then be used to counter the osmotic pressure whereupon the solution columns in the right and left arms of the tube are made the same. The pump pressure required to equalize the height of the two columns is the osmotic pressure [4]. Note a small amount of solute leaks from right to left since no filter is perfect.

can be easily followed by changes in absorbance due to light scattering using a simple spectrophotometer. Therefore, osmosis has been investigated for many years using common and inexpensive methodologies and a lot is known about the process.

Membranes are rarely, if ever, perfectly semi-permeable. Deviation from ideality is defined by a reflection coefficient (σ). For an ideal semi-permeable membrane where a solute is totally impermeable, $\sigma = 1$. If a solute is totally permeable (its permeability is equal to water), $\sigma = 0$. Biological membranes are excellent semi-permeable barriers with $\sigma = 0.75$ to 1.0.

B. SIMPLE PASSIVE DIFFUSION

Movement of solutes across membranes can be divided into two basic types, passive diffusion and active transport [7]. Passive diffusion requires no additional energy source other than what is found in the solute's electrochemical (concentration) gradient and results in the solute reaching equilibrium across the membrane. Passive diffusion can be either simple passive diffusion, where the solute crosses the membrane anywhere by simply crossing the lipid bilayer, or facilitated passive diffusion, where the solute crosses the membrane at specific locations where diffusion is assisted by solute-specific facilitators or carriers. Active transport requires additional energy, often in the form of ATP, and results in a nonequilibrium, net accumulation (uptake) of the solute on one side of the membrane. The basic types of membrane transport, simple passive diffusion, facilitated diffusion (by channels and carriers) and active transport are summarized in Figure 14.4 [8]. There are countless different examples of each type of membrane transport process [7]. Only a few representative examples will be discussed here.



FIGURE 14.4 Basic types of membrane transport: simple passive diffusion; facilitated diffusion (by channels and carriers); and active transport [8].

Even for simple passive diffusion it requires energy to cross a bilayer membrane. In order to cross a membrane the solute must first lose its waters of hydration, diffuse across the membrane, and then regain its waters on the opposite side. The limiting step involves the energy required to lose the waters of hydration. Table 14.2 shows the relationship between the waters of hydration (proportional to the number of -OH groups on a homologous series of solutes) and the activation energy for trans-membrane diffusion. As the number of waters of hydration increases from glycol < glycerol < erythritol, the activation energy for diffusion also increases. The activation energy compares very well with the energy of hydration.

However, water diffusion does not fit this model. Water permeability is just too high. Several possibilities have been suggested to account for the abnormally high membrane permeability of water:

- 1. Water is very small and so just dissolves in bilayers better than larger solutes.
- **2.** Due to its size, water can enter very small statistical pores (~4.2Å in diameter) more readily. Statistical pores result from the simultaneous lateral movement of adjacent

07		
Solute	-OH groups	Activation energy (KJ/mol)
Glycol (HO-CH ₂ -CH ₂ -OH)	2	60
Glycerol (HO-CH ₂ -CH(OH)-CH ₂ -OH)	3	77
Erythritol (HO-CH ₂ -CH(OH)-CH(OH)-CH ₂ -OH)	4	87

TABLE 14.2	Relationship Between the Waters of Hydration (Related to the Number
	of -OH Groups on a Homologous Series of Solutes) and the Activation
	Energy for Trans-Membrane Diffusion.

membrane phospholipids in opposite directions. Statistical pores have only a fleeting existence and cannot be isolated or imaged.

- 3. Passage down water chains.
- **4.** Water can be carried down kinks in acyl chains that result from acyl chain melting (see lipid melting in Chapter 9).
- **5.** Water may rapidly cross membranes through non-lamellar membrane patches (e.g. micelle, cubic or H_{II} phase).
- **6.** High water permeability will occur at locations of packing defect (e.g. surface of integral proteins, boundary between membrane domains).
- 7. Through pores or channels used to conduct ions.
- 8. Through specific water channels known as aquaporins.

The only molecules that can cross a membrane by simple passive diffusion are water, small non-charged solutes, and gasses. Charged or large solutes are virtually excluded from membranes and so require more than just simple passive diffusion to cross a membrane.

C. FACILITATED DIFFUSION

Facilitated diffusion (also known as carrier-mediated diffusion) is, like simple passive diffusion, dependent on the inherent energy in a solute gradient. No additional energy is required to transport the solute and the final solute distribution reaches equilibrium across the membrane. Facilitated diffusion, unlike simple diffusion, usually requires a highly specific trans-membrane integral protein to assist in the solute's membrane passage. Facilitators come in two basic types, carriers and gated channels. Facilitated diffusion exhibits Michaelis-Menton saturation kinetics (Figure 14.5), indicating the carrier has an enzyme-like active site. Like enzymes, facilitated diffusion carriers recognize their solute with exquisite precision, easily distinguishing chemically similar isomers like D-glucose from L-glucose and exhibit saturation kinetics. Figure 14.5 compares simple passive diffusion to facilitated diffusion. The figure is not to scale, however, as facilitated diffusion is orders of magnitude faster than simple passive diffusion.

Glucose Transporter

A well-studied example of a facilitated diffusion carrier is the glucose transporter or GLUT [9]. From the activation energy for trans-membrane simple passive diffusion of glycol, glycerol and erythritol presented in Table 14.2, it can be estimated that the activation energy for glucose should be well over 100 KJ/mol, but instead it is only 16 KJ/mol. This large discrepancy is attributed to the presence of a glucose facilitated diffusion carrier. Figure 14.6 demonstrates the mode of action of one of these transporters, GLUT-1, from the erythrocyte [10]. GLUTs occur in nearly all cells and are particularly abundant in cells lining the small intestine. GLUTs are but one example in a superfamily of transport facilitators. GLUTs are integral membrane proteins whose membrane-spanning region is composed of 12 α -helices. GLUTs function through a typical membrane transport mechanism [10]. Glucose binds to the



FIGURE 14.5 (a) Simple passive diffusion (Top, Left) and (b) Facilitated diffusion (Top, Right). Passive diffusion results in a final equilibrium distribution of a solute across the membrane. For a non-charged solute, the final distribution of the solute would find equal amounts of S on both sides of the membrane. Facilitated diffusion employs a specific transporter and exhibits Michaelis-Menten saturation kinetics. Active transport (Bottom) utilizes energy, often in the form of ATP, to drive solute uptake against its gradient resulting in a net accumulation of the solute.

membrane outer surface site causing a conformational change associated with transport, releasing glucose to the inner side of the membrane where it enters into the internal aqueous solution (Figure 14.6).

Potassium Channels

In virtually all organisms there exists a wide variety of ion channels, the most widely distributed being potassium channels [11]. There are four basic classes of potassium

C. FACILITATED DIFFUSION



FIGURE 14.6 Glucose facilitated diffusion transporter GLUT-1 [10].

channels, all of which provide essential membrane-associated functions including setting and shaping action potentials and hormone secretion:

- 1. Calcium-activated potassium channel.
- Inwardly rectifying potassium channel.
- 3. Tandem pore domain potassium channel.
- 4. Voltage-gated potassium channel.

Potassium channels are composed of four protein subunits that can be the same (homotetramer) or closely related (heterotetramer). All potassium channel subunits have a distinctive pore-loop structure that sits at the top of the channel and is responsible for potassium selectivity [12]. This is often referred to as a selectivity or filter loop. The selectivity filter strips the waters of hydration from the potassium ion. Further down the structure is a 10 Å diameter trans-membrane, water filled central channel that conducts potassium across the membrane. Elucidating the three-dimensional structure of this important integral membrane protein by X-ray crystallography (Figure 14.7) [12] was a seminal accomplishment in the field of membrane biophysics. For this work, from 1998, Rod MacKinnon of Rockefeller University (Figure 14.8) was awarded the 2003 Nobel Prize in Chemistry. Until the potassium channel work, just obtaining the structure of non-water soluble proteins was next to impossible. MacKinnon's work not only elucidated the structure of the potassium channel but also its molecular mechanism. It has served as a blueprint for determining the structure of other membrane proteins and has greatly stimulated interest in the field.

Sodium Channel

In some ways Na^+ channels [13] parallel the action of K^+ channels. They are both facilitated diffusion carriers that conduct the cation down the ion's electrochemical gradient. In excitable cells such as neurons, myocytes, and some glia, Na^+ channels are responsible for



FIGURE 14.7 Three-dimensional structure of the potassium channel [12]. The channel itself is the clear opening in the center of the structure and a single K^+ is shown in the center of the channel.

the rising phase of action potentials. Therefore, agents that block Na⁺ channels also block nerve conduction and so are deadly neurotoxins. There are two basic types of Na⁺ channels, voltage-gated and ligand-gated. The opening of a Na⁺ channel has a selectivity filter that attracts Na⁺. From there the Na⁺s flow into a constricted part of the channel that is ~3–5 Å wide. This is just large enough to allow the passage of a single Na⁺ with one attached water. Since the larger K⁺ cannot squeeze through, the channel is selective for Na⁺. Of particular interest are two extremely potent biological toxins, tetrodotoxin (TTX) and saxitoxin (STX) [14], that have killed and injured many humans. Both toxins shutdown Na⁺ channels by binding from the extra-cellular side.

Tetrodotoxin

Tetrodotoxin (TTX) is encountered primarily in puffer fish but also in porcupine fish, ocean sunfish, and triggerfish. TTX is a potent neurotoxin (Figure 14.9) that blocks Na^+ channels while having no effect on K^+ channels. Puffer fish are the second most poisonous vertebrate in the world trailing only the Golden Poison Frog. In some parts of the world puffer fish are considered to be a delicacy, but must be prepared by chefs that really know their business, as a slight error can be fatal. Puffer poisoning usually results from consumption of incorrectly prepared puffer soup, and TTX has no known antidote!

Saxitoxin

Saxitoxin (STX) is a neurotoxin produced by some marine dinoflagellates that can be accumulated in shellfish during toxic algal blooms known as Red Tide. Saxitoxin is one of the

C. FACILITATED DIFFUSION



FIGURE 14.8 Rod MacKinnon (1956–) [62].





most potent natural toxins (Figure 14.10) and it has been estimated that a single contaminated mussel has enough STX to kill 50 humans! STX's toxicity has not escaped the keen eye of the United States military, which has weaponized the toxin and given it the designation TZ.

Solute Equilibrium

The driving force for trans-membrane solute movement by simple or passive diffusion is determined by the free energy change ΔG .

$$\Delta G = RT \ln[s_o']/[s_o] + ZF\Delta \Psi$$



FIGURE 14.10 Saxitoxin.

Where:

 ΔG is the free energy change

 $[s_0]$ is the solute concentration on the right side of a membrane

[s_o] is the solute concentration on the left side of a membrane

R is the gas constant

T is the temperature in °K

Z is the charge of the solute

F is the Faraday

 $\Delta \Psi$ is the trans-membrane electrical potential

Solute movement will continue until $\Delta G = 0$. If ΔG is negative, solute movement is left to right (it is favorable as written). If ΔG is positive, solute movement is right to left (it is unfavorable in the left to right direction) or energy must be added for the solute to go from left to right The equation has two parts: a trans-membrane chemical gradient ($[s_0'] / [s_0]$); and a transmembrane electrical gradient ($\Delta \Psi$). The net movement of solute is therefore determined by a combination of the solute's chemical gradient and an electrical gradient inherent to the cell. If the solute has no charge, Z = 0 (as is the case for glucose) and the right hand part of the equation ($ZF\Delta\Psi$) drops out. Therefore, the final equilibrium distribution of glucose across the membrane will have the internal glucose concentration equal to the external glucose concentration and is independent of $\Delta\Psi$, the electrical potential. At equilibrium for a non-charged solute, $\Delta G = RT \ln [s_0'] / [s_0]$ and ΔG can only be equal to zero if $[s_0'] = [s_0]$.

The situation for a charged solute like K^+ is more complicated. The net ΔG is determined by both the chemical gradient ($[s_0'] / [s_0]$) and electrical gradient ($\Delta \Psi$). The $\Delta \Psi$ results from the sum of all charged solutes on both sides of the membrane, not just K^+ . Therefore, even if the K^+ concentration is higher inside the cell than outside (the chemical gradient is unfavorable for K^+ uptake), the $\Delta \Psi$ may be in the correct direction (negative interior) and of sufficient magnitude to drive K^+ uptake against its chemical gradient.

Aquaporins

Aquaporins are also known as water channels and are considered to be 'the plumbing system for cells' [15,16]. For decades it was assumed that water simply leaked through biological membranes by numerous processes described above. However, these methods of water permeability could not come close to explaining the rapid movement of water across some cells. Although it had been predicted that water pores must exist in very leaky cells, it wasn't until 1992 that Peter Agre (Figure 14.11) at Johns Hopkins University identified a specific trans-membrane water pore that was later called aquaporin-1. For this accomplishment Agre shared the 2003 Nobel Prize in Chemistry with Rod MacKinnon for his work on

D. ACTIVE TRANSPORT



FIGURE 14.11 Peter Agre (1949–). Courtesy of The Johns Hopkins University, The Johns Hopkins Hospital, and Johns Hopkins Health System

the potassium channel. Aquaporins are specific for water permeability, excluding the passage of other solutes. A type of aquaporin known as aqua-glyceroporins can also conduct some very small uncharged solutes such as glycerol, CO_2 , ammonia, and urea across the membrane. However, all aquaporins are impermeable to charged solutes. Water molecules traverse the aquaporin channel in single file (Figure 14.12) [17].

D. ACTIVE TRANSPORT

A characteristic of all living membranes is the formation and maintenance of transmembrane gradients of all solutes including salts, biochemicals, macromolecules, and even water. In living cells large gradients of Na^+ and K^+ are particularly important. Typical cell concentrations are:

Cell Interior: 400 mM K⁺, 50 mM Na⁺ Cell Exterior: 20 mM K⁺, 440 mM Na⁺

Living cells will also have a $\Delta \Psi$ from -30 to -200mV (negative interior) resulting from the uneven distribution of all ionic solutes including Na⁺ and K⁺. The chemical and electrical gradients are maintained far from equilibrium by a multitude of active transport systems. Active transport requires a form of energy (often ATP) to drive the movement of solutes against their electrochemical gradient, resulting in a non-equilibrium distribution of the solute across the membrane. A number of non-exclusive and overlapping terms are commonly used to describe the different types of active transport. Some of these are depicted in Figure 14.13 [18].



FIGURE 14.12 Aquaporin [17]. Water molecules pass through the aquaporin channel in single file.



FIGURE 14.13 Basic types of active transport [18].

D. ACTIVE TRANSPORT

ATP-utilizing primary	
active transport systems	Example
Р-Туре	Na ⁺ /K ⁺ ATPase
	Ca ²⁺ pump
	H ⁺ acid pump
F-Type	Mitochondrial ATP synthase
	Chloroplast ATP synthase
V-Type	Vacuolar ATPase
	ABC
(ATP binding cassette transporter)	Many

TABLE 14.3	The Four Types of ATP-utilizing Primary Active
	Transport Systems.

Primary Active Transport

Primary active transport is also called direct active transport or uniport. It involves using energy (usually ATP) to directly pump a solute against its electrochemical gradient.

The most studied example of primary active transport is the plasma membrane Na^+/K^+ ATPase discussed below. Other familiar examples of primary active transport are the redox H^+ -gradient generating system of mitochondria, the light-driven H^+ -gradient generating system of photosynthetic thylakoid membranes and the ATP-driven acid (H^+) pump found in the epithelial lining of the stomach. There are 4 basic types of ATP-utilizing primary active transport systems (Table 14.3).

Na⁺/K⁺ ATPase

A crucial active transport protein is the plasma membrane-bound Na^+/K^+ ATPase. This single enzyme accounts for one-third of human energy expenditure and is often referred to as the 'pacemaker for metabolism'. As a result, the Na^+/K^+ ATPase has been extensively studied for more than fifty years. The enzyme was discovered in 1957 by Jens Skou (Figure 14.14) who, 40 years later, was awarded the 1997 Nobel Prize in Chemistry. As is often the case in biochemistry, a serendipitous discovery of a natural product from the jungles of Africa has been instrumental in unraveling the enzyme's mechanism of action. The compound is ouabain, a cardiac glucoside, first discovered in a poison added to the tip of Somali tribesmen's hunting arrows (Figure 14.15). In fact the name ouabain comes from the Somali word *waabaayo* which means 'arrow poison'. The sources of ouabain are ripe seeds and bark of certain African plants and it is potent enough to kill a hippopotamus with a single arrow. For decades after its



FIGURE 14.14 Jens Skou (1918e). Copyright to Scanpix Danmark



Ouabain (g-Strophanthin)

FIGURE 14.15 Ouabain structure. Courtesy of B. Bos

discovery, ouabain was routinely used to treat atrial fibrillation and congestive heart failure in humans. More recently, ouabain has been replaced by digoxin, a structurally related, but more lipophilic cardiac glucoside.

There are several important observations about the Na^+/K^+ ATPase that had to be factored in before a mechanism of action could be proposed. These include:

- **1.** Na^+/K^+ ATPase is an example of primary active transport and active antiport.
- **2.** Na^+/K^+ ATPase is inhibited by ouabain, a cardiac glycoside.
- **3.** Ouabain binds to the outer surface of the Na⁺/K⁺ ATPase and blocks K⁺ transport into the cell.
- **4.** Na⁺ binds better from the inside.
- **5.** K⁺ binds better from the outside.
- 6. ATP phosphorylates an aspartic acid on the enzyme from the inside.
- **7.** Phosphorylation is related to Na⁺ transport.
- **8.** Dephosphorylation is related to K⁺ transport.
- 9. Dephosphorylation is inhibited by ouabain.
- **10.** 3 Na⁺s are pumped out of the cell as 2 K⁺s are pumped in.
- **11.** The Na^+/K^+ ATPase is electrogenic.

The mechanism of the Na⁺/K⁺ ATPase [19] is based on toggling back and forth between two conformational states of the enzyme, ENZ-1 and ENZ-2. Three Na⁺s bind from the inside to the Na⁺/K⁺ ATPase in one conformation (ENZ-1). This becomes phosphorylated by ATP causing a conformation change to ENZ-2~P. ENZ-2~P does not bind Na⁺ but does bind 2 K⁺s. Therefore 3 Na⁺s are released to the outside and 2 K⁺s are bound generating ENZ-2~P (2K⁺). Upon hydrolysis of ~P, the Na⁺/K⁺ ATPase reverts back to the original ENZ-1 conformation that releases 2 K⁺s and binds 3 Na⁺s from the inside. Ouabain blocks the dephosphorylation step.

Mechanism of the Na^+/K^+ ATPase

ENZ-1 (3Na⁺) + ATP \rightarrow ENZ-2~P + 3Na⁺ released outside (inside) (outside) \downarrow ENZ-2~P (2K⁺) \leftarrow ENZ-2~P + 2 K⁺ (outside) (outside) \downarrow ENZ-1 (3Na⁺) + Pi + 2K⁺s released inside (inside)

Secondary Active Transport

Secondary active transport (also known as co-transport) systems are composed of two separate functions. The energy-dependent movement of an ion (e.g. H^+ , Na^+ or K^+) generates an electrochemical gradient of the ion across the membrane. This gradient is coupled to the movement of a solute in either the same direction (Symport), or in the

14. MEMBRANE TRANSPORT

opposite direction (Antiport, see Figure 14.13 [18]). Movement of the pumped ion down its electrochemical gradient is by facilitated diffusion. The purpose of both types of cotransport is to use the energy in an electrochemical gradient to drive the movement of another solute against its gradient. An example of Symport is the SGLT1 (sodium glucose transport protein-1) in the intestinal epithelium [20]. SGLT1 uses the energy in a downhill trans-membrane movement of Na⁺ to transport glucose across the apical membrane against an uphill glucose gradient so that the sugar can be transported into the bloodstream on the opposite side of the cell.

Bacterial Lactose Transport

The secondary active symport system for lactose uptake in *E. coli* is shown in Figure 14.16 [21]. Lactose uptake is driven through a channel by an H⁺ gradient generated by the bacterial electron transport system [22]. The free energy equation for transport described above ($\Delta G = RT \ln [s_0'] / [s_0] + ZF\Delta\Psi$) can be rearranged for cases employing H⁺ gradients to:

$$\Delta \mu_{H+} = \Delta \Psi - RT/nF \Delta pH$$

Where: $\Delta \mu H$ + is the proton motive force $\Delta \Psi$ is the trans-membrane electrical potential



Protonmotive

FIGURE 14.16 Lactose transport system in *Escheria coli* [21]. Uptake of lactose is coupled to the movement of H^+ down its electrochemical gradient. This is an example of active transport, co-transport, and active symport.

D. ACTIVE TRANSPORT

R is the gas constant T is the temperature in °K n is the solute charge (+1 for protons) F is the Faraday ΔpH is the trans-membrane pH gradient

It is the *force* on an H⁺ (called the proton motive force, $\Delta \mu_{H+}$) that drives lactose uptake. Note that the ability to take up lactose is a combination of the electrical gradient and the pH gradient. Although lactose uptake is directly coupled to H⁺ trans-membrane movement, it may be possible to take up lactose even if the pH gradient is zero (i.e. the $\Delta \Psi$ is sufficiently large).

Vectorial Metabolism

Over fifty years ago Peter Mitchell recognized the importance of what he termed 'vectorial metabolism' [23,24]. Water-soluble enzymes convert substrate to product without any directionality. Mitchell proposed that many enzymes are integral membrane proteins that have a unique trans-membrane orientation. When these enzymes convert substrate to product they do so in one direction. This enzymatic conversion is therefore 'vectorial' or unidirectional. Mitchell expanded this basic concept into his famous chemiosmotic mechanism for ATP synthesis in oxidative phosphorylation [25,26]. For this idea Mitchell was awarded the 1997 Nobel Prize in Chemistry. Vectorial metabolism has been used to describe several membrane transport systems. For example, it has been reported in some cases the uptake of glucose into a cell may be faster if the external source of glucose is sucrose rather than free glucose. Through a vectorial trans-membrane reaction, membrane-bound sucrase may convert external sucrose into internal glucose + fructose more rapidly than the direct transport of free glucose through its transport system.

Mitchell defined one type of vectorial transport as Group Translocation, the best example being the PTS (phosphotransferase system) discovered by Saul Roseman in 1964. PTS is a multicomponent active transport system that uses the energy of intracellular phosphoenol pyruvate (PEP) to take up extracellular sugars in bacteria. Transported sugars may include glucose, mannose, fructose, and cellobiose. Components of the system include both plasma membrane and cytosolic enzymes. Energy to drive the system comes from PEP (ΔG of hydrolysis is -61.9 KJ/mol). The high energy phosphoryl group is transferred through an enzyme bucket brigade from PEP to glucose producing glucose-6-phosphate (PEP \rightarrow EI \rightarrow HPr \rightarrow EIIA \rightarrow EIIB \rightarrow EIIC \rightarrow glucose-6-phosphate). The sequence is depicted in more detail in Figure 14.17 [27]. HPr stands for heat-stable protein that carries the high energy ~P from EI (enzyme-I) to EIIA. EIIA is specific for glucose and transfers ~P to EIIB that sits next to the membrane where it takes glucose from the trans-membrane EIIC and phosphorylates it producing glucose-6-phosphate. Although it is glucose that is being transported across the membrane, it never actually appears inside the cell as free glucose, but rather as glucose-6phosphate. Free glucose could leak back out of the cell, but glucose-6-phosphate is trapped inside, where it can be rapidly metabolized through glycolysis. Group translocation is defined by a transported solute appearing in a different form immediately after crossing the membrane.



FIGURE 14.17 The bacterial PTS system for glucose transport [27].

E. IONOPHORES

The term ionophore means 'ion bearer'. Ionophores are small, lipid-soluble molecules, usually of microbial origin, whose function is to conduct ions across membranes [28,29]. They are facilitated diffusion carriers that transport ions down their electrochemical gradient. Ionophores can be divided into two basic classes: channel formers and mobile carriers (Figure 14.18) [30]. Channel formers are long lasting, stationary structures that allow many ions to rapidly flow across a membrane. Mobile carriers bind to an ion on one side of a membrane, dissolve in the membrane bilayer, and release the ion on the other side. They can only carry one ion at a time. Four representative ionophores will be discussed, the K⁺ ionophore valinomycin, the proton ionophore 2,4-dinitrophenol, synthetic crown ethers, and the channel forming ionophore nystatin (Figure 14.19).



FIGURE 14.18 Two basic types of ionophores, channel formers (left) and mobile carriers (right) [30].



FIGURE 14.19 Representative examples of ionophores: (a) Valinomycin (b) 2,4-dinitrophenol (c) Nystatin (d) Crown ether.

Valinomycin

Superficially, valinomycin resembles a cyclic peptide (Figure 14.19). However, upon closer examination the ionophore is actually a 12 unit (dodeca) depsipeptide where amino acid peptide bonds alternate with amino alcohol ester bonds. Therefore the linkages that hold the molecule together alternate between nitrogen esters (peptide bonds) and oxygen esters. The units that comprise valinomycin are D- and L-valine (hence the name 'valinomycin'), hydroxyvaleric acid, and L-lactic acid. The circular structure is a macrocyclic molecule where 12 carbonyl oxygens face the inside of the structure where they chelate a single K⁺. The outside surface of valinomycin is coated with 9 hydrophobic side chains of D- and L-valine and L-hydroxyvaleric acid. The polar interior of valinomycin precisely fits one K⁺. The binding constant for K⁺-valinomycin is 10^6 while Na⁺-valinomycin, therefore, has an oily surface that readily dissolves in a membrane lipid bilayer, carrying K⁺ across the membrane down its electrochemical gradient.

Valinomycin was first recognized as a potassium ionophore by Bernard Pressman in the early 1960s [31,32]. He reported that valinomycin, a known antibiotic, stimulated K^+ uptake and H^+ efflux from mitochondria. Many studies showed that valinomycin dissipates essential

trans-membrane electrochemical gradients causing tremendous metabolic upheaval in many organisms including microorganisms. It is for this reason that valinomycin was recognized as an antibiotic long before it was identified as an ionophore. Currently several ionophores are added to animal feed as antibiotics and growth enhancing additives [33]. Recently valinomycin has been reported to be the most potent agent against SARS-CoV (severe acute respiratory syndrome-coronavirus), a severe form of pneumonia first identified in 2003 [34].

2,4-Dinitrophenol (DNP)

2,4-Dinitrophenol (DNP) is considered to be the classic uncoupler of oxidative phosphorylation. It is a synthetic lipid-soluble proton ionophore that dissipates proton gradients across bioenergetic membranes (mitochondrial inner, thylakoid, bacterial plasma). An uncoupler is therefore an H⁺ facilitated diffusion carrier. Elucidating the role of DNP in uncoupling oxidative phosphorylation was an essential component in support of Peter Mitchell's Chemiosmotic Hypothesis [25]. Electron movement from NADH or FARH₂ to O_2 via the mitochondrial electron transport system generates a considerable amount of electrical energy that is partially captured as a trans-membrane pH gradient. The movement of H⁺s back across the membrane, driven by the electrochemical gradient, is through a channel in the F₁ATPase (an F-type primary active transport system, see above) that is coupled to ATP synthesis. DNP short-circuits the H⁺ gradient before it can pass through the F₁ATPase, thus uncoupling electron transport, the energy source for generating the H⁺ gradient, from ATP synthesis. Therefore, in the presence of DNP, electron transport continues, even at an accelerated rate, but ATP production is diminished. The energy that should have been converted to chemical energy as ATP is then released as excess heat.

This combination of properties led to the medical application of DNP to treat obesity from 1933 to 1938 [35]. Upon addition of DNP:

- The patient became weak due to low ATP levels.
- Breathing increased due to increased electron transport to rescue ATP production.
- Metabolic rate increased.
- Body temperature increased due to inability to trap electrical energy as chemical energy in the form of ATP.
- Body weight decreased due to increased respiration burning more stored fat.

DNP was indeed a successful weight loss drug. Two of the early proponents of the use of DNP as a diet drug, Cutting and Tainter at Stanford University, estimated that more than 100,000 people in the United States had tested the weight-loss drug during its first year in use [35]. DNP, however, did have one disturbing side effect – death! Fatality was not caused by a lack of ATP, but rather by a dangerous increase in body temperature (hyperthermia). In humans, 20–50 mg/kg of DNP can be lethal. Although general use of DNP in the United States was discontinued in 1938, it is still employed in other countries and by bodybuilders to eliminate fat before competitions.

Crown Ethers

Crown ethers are a family of synthetic ionophores that are generally similar in function to the natural product valinomycin [36]. The first crown ether was synthesized by Charles Pederson



FIGURE 14.20 Charles Pedersen (1904–1989). Reprinted with permission. [63]

(Figure 14.20) while working at DuPont in 1967. For this work Pedersen was co-awarded the 1987 Nobel Prize in Chemistry. Crown ethers are cyclic compounds composed of several ether groups. The most common crown ethers are oligomers of ethylene oxide with repeating units of $(-CH_2CH_2O_n)$ where n = 4 (tetramer), n = 5 (pentamer) or n = 6 (hexamer). Crown ethers are given names X-crown-Y, where X is the total number of atoms in the ring and Y is the number of these atoms that are oxygen. The term crown refers to the crown-like shape that the molecule takes. Crown ether oxygens form complexes with specific cations that depend on the number of atoms in the ring. For example, 18-crown-6 has high affinity for K⁺, 15-crown-5 for Na⁺, and 12-crown-4 for Li⁺. The crown ether depicted in Figure 14.19d is 18-crown-6. Like valinomycin, the exterior of the ring is hydrophobic, allowing crown ethers to dissolve in the membrane lipid bilayer while carrying the sequestered cation. It is now possible to tailor-make crown ethers of different sizes that can encase a variety of phase transfer catalysts. These crown ethers are used to catalyze reactions inside the membrane hydrophobic interior.

Nystatin

Nystatin is a channel forming ionophore that creates a hydrophobic pore across a membrane [37,38]. Channel-forming ionophores allow for the rapid facilitated diffusion of various ions that depend on the dimensions of the pore. Nystatin, like other channel-forming ionophores amphotericin B and natamycin, is a commonly used anti-fungal agent. Finding medications that can selectively attack fungi in the presence of normal animal cells presents a difficult challenge since both cell types are eukaryotes. Bacteria, being

14. MEMBRANE TRANSPORT

prokaryotes, are sufficiently different from eukaryotes to present a variety of anti-bacterial approaches not amenable to fungi. However, Fungi do have an Achilles Heel. Fungal plasma membranes have as their dominant sterol ergosterol, not the animal sterol cholesterol (see Chapter 5). Nystatin binds preferentially to ergosterol, thus targeting fungi in the presence of animal cells. When present in sufficient levels, nystatin complexes with ergosterol and forms trans-membrane channels that lead to K⁺ leakage and death of the fungus. Nystatin is a polyene anti-fungal ionophore that is effective against many molds and yeast including *Candida*. A major use of nystatin is as a prophylaxis for AIDS patients who are at risk for fungal infections.

F. GAP JUNCTIONS

Gap junctions are a structural feature of many animal plasma membranes [39,40]. In plants similar structures are known as plasmodesmata. Gap junctions were introduced earlier in Chapter 11 (see Figure 11.6). Gap junctions represent a primitive type of intercellular communication that allows trans-membrane passage of small solutes like ions, sugars, amino acids, and nucleotides while preventing migration of organelles and large polymers like proteins and nucleic acids. Gap junctions connect the cytoplasms of two adjacent cells through non-selective channels. Connections through adjacent cells are at locations where the gap between cells is only 2–3 nm. This small gap is where the term 'gap junction' originated. Gap junctions are normally clustered from a few to over a thousand in select regions of a cell plasma membrane.

Early experiments involved injecting fluorescent dyes, initially fluorescein (MW 300), into a cell and observing the dye movement into adjacent cells with a fluorescence microscope [41,42]. Currently, Lucifer Yellow has become the fluorescent dye of choice for gap junction studies, replacing fluorescein. The dye at first only appeared in the initially labeled cell. With time, however, the dye was observed to spread to adjacent cells through what appeared to be points on the plasma membrane. These points were later recognized as gap junctions. By varying the size of the fluorescent dye it was shown that there was an upper size limit for dye diffusion. Solutes had to have a molecular weight of less than ~1,200 to cross from one cell to another [41].

Although gap junctions were obviously channels that connected the cytoplasms of adjacent cells, it was years before their structure, shown in Figure 14.21 [43], was determined [44]. Each channel in a gap junction is made up of 12 proteins called connexins. Six hexagonally arranged connexins are associated with each of the adjacent cell plasma membranes that the gap junction spans. Each set of six connexins is called a connexon and forms half of the gap junction channel. Therefore, one gap junction channel is composed of two aligned connexons and 12 connexins. Each connexin has a diameter of ~7nm and the hollow center formed between the six connexins, (the channel), is ~3nm in diameter. Gap junctions allow adjacent cells to be in constant electrical and chemical communication with one another. Of particular importance is the rapid transmission of small second messengers, such as inositol triphosphate (IP₃) and Ca²⁺.

It appears that all cells in the liver are interconnected through gap junctions. This presents a possible dilemma. If even a single cell is damaged, deleterious effects may be rapidly

G. OTHER WAYS TO CROSS THE MEMBRANE





spread throughout the entire liver. Preventing this is one important function of Ca^{2+} . Extracellular Ca^{2+} is ~10⁻³ M while intracellular levels are maintained at ~10⁻⁶ M. If a cell is damaged, Ca^{2+} rushes in, dramatically increasing intracellular Ca^{2+} . Gap junction channels close if intracellular Ca^{2+} reaches 10⁻³ M, thus preventing the spread of damage.

Gap junctions are particularly important in cardiac muscle as the electrical signals for contraction are passed efficiently through these channels [45]. As would be expected, malfunctions of gap junctions lead to a number of human disorders including demyelinating neurodegenerative diseases, skin disorders, cataracts, and even some types of deafness.

G. OTHER WAYS TO CROSS THE MEMBRANE

There are several other ways that solutes, including large macromolecules, can cross membranes. These methods, receptor-mediated endocytosis (RME), phagocytosis, pinocytosis, exocytosis, and membrane blebbing involve large sections of a membrane containing many lipids and proteins.

Receptor Mediated Endocytosis

Receptor mediated endocytosis (RME) [46,47] is also known as clathrin-dependent endocytosis because of involvement of the membrane-associated protein clathrin in forming membrane vesicles that become internalized into the cell. Clathrin plays a major role in formation of clathrin coated pits and coated vesicles. Since clathrin was first isolated and named by Barbara Pearse in 1975 [48], it has become clear that clathrin and other coatproteins play essential roles in cell biology. Clathrin is an essential component in building small vesicles for uptake (endocytosis) and export (exocytosis) of many molecules. While the previously discussed methods of membrane transport involved small solutes, RME is the primary mechanism for the specific internalization of most macromolecules by eukaryotic cells.



Clathrin-dependent endocytosis

FIGURE 14.22 Receptor mediated endocytosis [49].

RME begins with a ligand binding to a specific receptor that spans the plasma membrane (Figure 14.22, [49]). Examples of these ligands include hormones, growth factors, enzymes, serum proteins, LDL (with attached cholesterol), transferrin (with attached iron), antibodies, some viruses, and even bacterial toxins. After receptor binding, the complex diffuses laterally in the plasma membrane until it encounters a specialized patch of membrane called a coated pit. The receptor-ligand complexes accumulate in these patches as do other proteins including clathrin, adaptor protein, and dynamin. Since coated pits occupy ~20% of the plasma membrane surface area, they are not minor membrane features. The collection of these proteins starts to curve a section of the membrane that eventually pinches off to form an internalized coated vesicle. Clathrin and dynamin then recycle back to the plasma membrane leaving an uncoated vesicle that is free to fuse with an early endosome. After the early endosomes mature into late endosomes, they then go on to the lysosome for digestion. RME is a very fast process. Invagination and vesicle formation takes ~1 min. One single cultured fibloblast cell can produce 2,500 coated pits per minute.

One example of RME has received a great deal of attention because of its essential role in human health, namely maintaining the proper level of cholesterol in the body. Malfunctions in the RME process for uptake of the cholesterol carrying LDL lead to hypercholesterolemia and cardiovascular disease [46,50]. RME and its role in cholesterol metabolism was discovered by Michael Brown and Joseph Goldstein (Figure 14.23) of the University of Texas Health Science Center in Dallas (now the UT Southwestern Medical Center) who received the 1985 Nobel Prize in Physiology and Medicine for their iconic work.

Pinocytosis and Phagocytosis

Two similar transport processes that have been known for a long time are pinocytosis and phagocytosis [51]. Both involve non-specific uptake (endocytosis) of many things from water



FIGURE 14.23 Left: Joseph Goldstein (1940-); Right: Michael Brown (1941-) [64].

and ions through to large macromolecules and, for phagocytosis, even whole cells. Pinocytosis is Greek for 'cell drinking' and involves the plasma membrane invaginating a volume of extra-cellular fluid and anything it contains including water, salts, biochemicals, and even soluble macromolecules. Phagocytosis is Greek for 'cell eating' and involves the plasma membrane invaginating large insoluble solids.

Pinocytosis

Pinocytosis is a form of endocytosis involving fluids containing small solutes. In humans this process occurs in cells lining the small intestine and is used primarily for absorption of fat droplets. In endocytosis the cell plasma membrane extends and folds around desired extra-cellular material forming a pouch that pinches off creating an internalized vesicle (Figure 14.24, [52]). The invaginated pinocytosis vesicles are much smaller than those generated by phagocytosis. The vesicles eventually fuse with the lysosome whereupon the vesicle contents are digested. Pinocytosis involves a considerable investment of cellular energy in the form of ATP and so is many thousand times less efficient than receptormediated endocytosis. Also, in sharp contrast to RME, pinocytosis is non-specific for the substances it accumulates. Pinocytosis is not a recent discovery but was first observed decades before the other transport systems discussed above. Its discovery is attributed to Warren Lewis in 1929.

14. MEMBRANE TRANSPORT





Phagocytosis

Phagocytosis is a type of endocytosis that involves uptake of large solid particles, often $>0.5 \mu m$ [53]. The particles are aggregates of macromolecules, parts of other cells and even whole microorganisms and, in contrast to pinocytosis, phagocytosis (shown in Figure 14.25) has surface proteins that specifically recognize and bind to the solid particles. Figure 14.25 [54] depicts events in phagocytosis. Phagocytosis is a routine process that amoeba and ciliated protozoa use to obtain food. In humans phagocytosis is restricted to specialized cells called phagocytes that include white blood cell neutrophils and macrophages. As with pinocytosis, phagocytosis generates intracellular vesicles called phagosomes that have sequestered solid particles they transport to the lysosome for digestion. Phagocytosis is



FIGURE 14.25 Phagocytosis, a type of endocytosis that involves uptake of large solid particles [65].



a major mechanism used by the immune system to remove pathogens and cell debris. In fact very early studies of the immune system led Elie Metchnikoff to discover phagocytosis in 1882. For this work Metchnikoff shared the 1908 Nobel Prize in Medicine with Paul Ehrlich.

Exocytosis is the process by which cells excrete waste and other large molecules from the cytoplasm to the cell exterior [55] and therefore is the opposite of endocytosis. Exocytosis generates vesicles referred to as secretory or transport vesicles. In exocytosis intracellular vesicles fuse with the plasma membrane and release their aqueous sequestered contents to the outside at the same time that the vesicular membrane hydrophobic components (mostly lipids and proteins) are added to the plasma membrane (Figure 14.26, [56]). Steady state composition of the plasma membrane results from a balance between endocytosis and exocytosis. The resultant process of plasma membrane recycling is amazingly fast. For example, pancreatic secretory cells recycle an amount of membrane equal to the whole surface of the cell in ~90 min. Even faster are macrophages that can recycle the contents of the plasma membrane in only 30 min.

Before approaching the plasma membrane for fusion, exocytosis vesicles have a prior life that will not be considered here. The vesicles must first dock with the plasma membrane, a process that keeps the two membranes separated at <5-10 nm. During docking, complex molecular rearrangements occur to prepare the membranes for fusion. The process of vesicle fusion and release of aqueous compartment components is driven by SNARE proteins (see Chapter 10) [57]. Therefore by the process of exocytosis:

 The surface of the plasma membrane increases by the size of the fused vesicular membrane. This is particularly important if the cell is growing.



FIGURE 14.26 Exocytosis. Intra-cellular secretory vesicles fuse with the plasma membrane releasing their water-soluble contents to the outside and adding membrane material to the plasma membrane [56].



FIGURE 14.27 Membrane blebbing during apoptosis [60].

- The material sequestered inside the vesicle is released to the cell exterior. Included in the vesicle contents may be waste products and intracellular toxins or signaling molecules like hormones or neurotransmitters.
- Proteins embedded in the vesicular membrane become part of the plasma membrane. This makes correct protein orientation in the vesicular membrane absolutely essential. The side of the protein facing the inside of the vesicle before fusion faces the outside of the plasma membrane after fusion.
- A special type of exocytosis called 'kiss-and-run' occurs in synapses [58]. The vesicles only make very brief contact with the plasma membrane whereupon they release their contents (neurotransmitters) to the outside and immediately return to the cytoplasm empty. Since fusion does not occur, the vesicular membrane is not incorporated into the plasma membrane.

Plasma membrane blebbing is a morphological feature of cells undergoing late stage apoptosis (programmed cell death) [59]. A bleb is an irregular bulge in the plasma membrane of a cell caused by localized decoupling of the cytoskeleton from the plasma membrane. The bulge eventually blebs off from the parent plasma membrane, taking part of the cytoplasm with it. It is clear in Figure 14.27 [60] that the plasma membrane of an apoptotic cell is highly disintegrated and has lost the integrity required to maintain essential trans-membrane gradients. Blebbing is also involved in some normal cell processes including cell locomotion and cell division.

SUMMARY

Carefully controlled solute movement into and out of cells is an essential feature of life. There are many ways solutes are transported across the thin (~40 Å) membrane hydrophobic barrier. Transport is divided into passive diffusion and active transport. A biological membrane is semi-permeable, being permeable to some molecules, most notably water (osmosis), while being very impermeable to most solutes that require some form of transporter.

Passive diffusion (simple and facilitated) only requires the energy inherent in the solute's electrochemical gradient and results in its equilibrium across the membrane. In contrast, active transport requires additional energy (i.e. ATP), and results in a non-equilibrium, net

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accumulation of the solute. Passive transport can involve simple diffusion or facilitated carriers including ionophores and channels. Active transport comes in many, often complex forms. Examples of active transport include primary active transport (uniport), secondary active transport (co-transport, antiport), and group translocation.

Besides the multitude of transport systems, transport can be accomplished by gap junctions, receptor mediated endocytosis, phagocytosis, pinocytosis, exocytosis, and apoptotic membrane blebbing.

The last chapter of this book (Chapter 15) will discuss some biological aspects of membrane structure including how liposomes can be used for drug delivery. Also, the 'bad' dietary fatty acids (*trans* fatty acids) will be contrasted with a 'good' fatty acid (the omega-3 fatty acid docosahexaenoic acid), as they impact human health.

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336

14. MEMBRANE TRANSPORT

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