SHORT COMMUNICATIONS

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CLEC5a-directed bispecific antibody for effective cellular phagocytosis

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ABSTRACT

While antibody-dependent cellular phagocytosis mediated by activating Fcγ receptor is a key mechanism underlying many antibody drugs, their full therapeutic activities can be restricted by the inhibitory Fcγ receptor IIB (FcγRIIB). Here, we describe a bispecific antibody approach that harnesses phagocytic receptor CLEC5A (C-type Lectin Domain Containing 5A) to drive Fcγ receptor-independent phagocytosis, potentially circumventing the negative impact of FcγRIIB. First, we established the effectiveness of such an approach by constructing bispecific antibodies that simultaneously target CLEC5A and live B cells. Furthermore, we demonstrated its in vivo application for regulatory T cell depletion and subsequent tumor regression.

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KEYWORDS

Phagocytosis; bispecific antibody; CLEC5A; Fcy receptor; treg; tumorassociated macrophage

Introduction

Phagocytosis mediated by Fcy receptors (FcyRs) is an important mechanism of action in many therapeutic antibodies,¹ such as daratumumab,² trastuzumab,³ and rituximab.⁴ Macrophages can phagocytose cells and particles through various mechanisms;⁵ while phagocytosis through FcyRs is the most commonly exploited mechanism in therapeutic antibodies, other mechanisms could provide advantages in specific contexts. For example, we recently described the use of bispecific antibodies targeting MerTK for promoting immunologically silent phagocytosis, which is distinct from FcyR-dependent cellular phagocytosis.⁶ Thus, the study of alternative phagocytosis mechanisms could reveal opportunities to develop therapeutic antibodies with advantages in some specific indications or patient populations.

CLEC5A (C-type Lectin Domain Containing 5A, also known as myeloid DAP12-associating lectin (MDL-1)) is a type II transmembrane protein that is expressed by monocytes, macrophages and neutrophils.^{7,8} It is associated with the immunoreceptor tyrosine-based activation motif (ITAM)- or YINM motifcontaining adaptor proteins DAP12 and DAP10, respectively.9,10 CLEC5A has not been demonstrated to induce phagocytosis, but other receptors, such as TREM2, that are associated with ITAM-containing proteins like DAP12 have been shown to induce phagocytosis.¹¹ Therefore, we hypothesized that CLEC5A could be harnessed to enhance phagocytosis through a bispecific antibody approach. Here, we show that CLEC5A agonized by bispecific antibodies can mediate phagocytosis in vitro as effectively as FcyRs. Moreover, we show that CLEC5A-directed bispecific antibodies were effective in inhibiting tumor growth in mouse tumor models.

Results

CLEC5A expression in macrophages

In order to harness the CLEC5A receptor through a bispecific antibody approach, we first characterized its expression. CLEC5A was detected by fluorescenceactivated cell sorting (FACS) on human primary macrophages, monocytes, and neutrophils (Figure 1a). Mouse bone marrow-derived macrophages (BMDMs) and peritoneal macrophages also expressed CLEC5A (Figure 1b). Gene expression can be affected by different macrophage polarization states.¹² To examine whether CLEC5A expression is modulated by macrophage polarization, we treated both human and mouse macrophages with macrophage colony-stimulating factor (M-CSF), (M-CSF + interferon (IFN)- γ), (M-CSF + interleukin (IL)-10), and (M-CSF + IL-4) to induce them into M0, M1, M2c or M2a phenotypes, respectively.¹³ Overall, we did not observe a significant difference in CLEC5A expression across different macrophage polarization conditions in vitro, with cells from all polarized states showing a robust signal (Figure 1c and D). To characterize CLEC5A expression in vivo, we examined CLEC5A expression on tumor-infiltrating myeloid cell populations in mouse MC38 colon adenocarcinoma and CT26 colorectal carcinoma tumor models. We confirmed by FACS that CLEC5A was expressed by monocytes, macrophages and neutrophils 12 days after tumor inoculation (Figure 2a, B and S1). Together, these data suggest CLEC5A as a potential target for engineering fitfor-purpose phagocytosis through a bispecific antibody approach.

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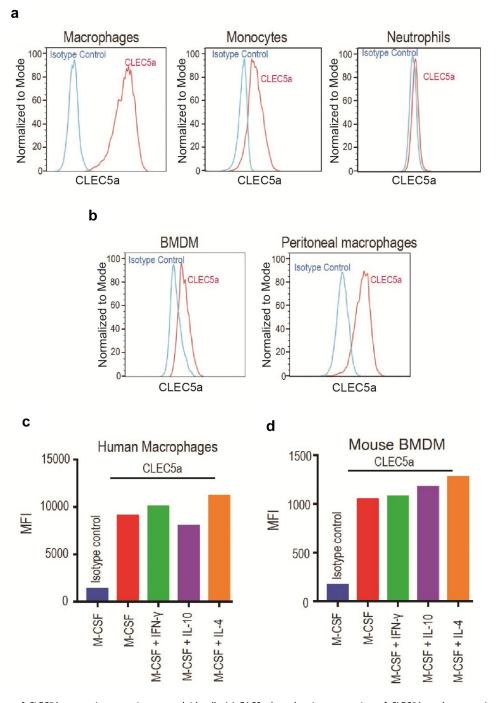


Figure 1. Characterization of CLEC5A expression on primary myeloid cells (a) FACS plots showing expression of CLEC5A on human primary monocyte-derived macrophages, monocytes, and neutrophils. (b) FACS plots showing expression of CLEC5A on mouse BMDMs and peritoneal macrophages. (c and d) Effect of human and mouse macrophage polarization states on CLEC5A expression. (A) Three panels of FACS histogram of CLEC5A expression on human macrophages (left panel, high signal), monocytes (central panel, moderate signal), and neutrophils (right panel, minimal signal). (B) Two panels of FACS histogram of CLEC5A expression on murine BMDMs (left panel), and meutrophages (right panel). (c). Bar graph with individual bars representing mean fluorescence intensity (MFI) of anti-CLEC5A staining on human macrophages with various treatments. (d). Bar graph with individual bars representing mean fluorescence intensity (MFI) of anti-CLEC5A staining on murine BMDMs with various treatments.

CLEC5A-targeting bispecific agonistic antibodies

We envisioned that a CLEC5A antibody with agonistic potential could be used for further engineering to trigger CLEC5Adependent phagocytosis. To identify such agonist antibodies, we screened a collection of monoclonal antibodies (mAbs) from a hamster immunization campaign for their ability to stimulate primary human macrophages. Because tumor necrosis factor (TNF) is a known target downstream of CLEC5A signaling,¹⁴ its secretion by macrophages incubated with the different anti-CLEC5A antibodies was used as the primary readout for agonist activity. Using this assay, we identified an agonist antibody, 1F7. We found that an effectorless 1F7,

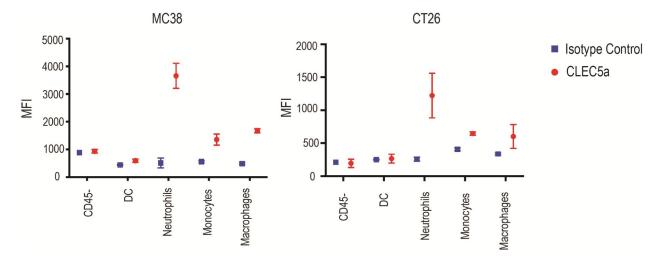


Figure 2. Characterization of CLEC5A expression on mouse tumor infiltrating cells CLEC5A expression on different cell types in MC38 and CT26 tumors (Mean ± S.D of MFI from 3 independent tumors).

produced as a murine IgG2a-carrying mutations D265A and N297A (DANA) in the Fc region to disrupt binding to Fc γ Rs,^{15,16} could still induce high levels of TNF. In contrast, an effectorless (mIgG2a, LALAPG) agonistic antibody against the macrophage phagocytic receptor MerTK, or a nonmacrophage binding anti-CD20 IgG1, failed to do so (Figure 3a). Having identified an anti-CLEC5A agonistic antibody, we decided to make a bispecific antibody by combining with an antibody against a tumor marker. Rituximab, a CD20-targeting mAb approved for the treatment of B-cell malignancies, relies on multiple mechanisms of actions, including anti-

body-dependent cellular phagocytosis (ADCP).¹⁷ Thus, it provided a good system for comparing phagocytosis mediated by CLEC5A or FcγRs.

To establish a proof-of-principle of exploiting CLEC5A agonism for targeted phagocytosis, we made bispecific antibodies targeting CLEC5A and CD20 using the knob-hole technology^{18,19} (Figure 3b and 3C). To abrogate phagocytosis mediated by FcγRs, the bispecific antibodies were produced as human IgG1s with the LALAPG mutations (L234A, L235A and P329G) known to minimize binding to FcγRs.^{20–22} We characterized the specific binding of CD20/CLEC5A bispecific

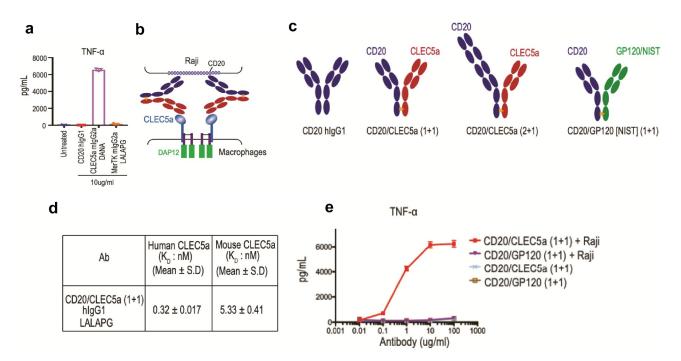


Figure 3. Identification and characterization of CLEC5A agonist antibodies CLEC5A agonist antibody induced TNF production from CLEC5A + human primary macrophages (Mean \pm S.D of triplicates from one donor). (b) Schematic depiction of B cell-dependent engagement of CLEC5A via CD20/CLEC5A bispecific antibody. (c) Schematic depiction of different CLEC5A bispecific antibodies. Bispecific antibodies carry LALAPG mutation in their Fc while CD20 hlgG1 has a wild-type Fc. (d) Binding Kd values of CLEC5A bispecific antibodies for human and mouse CLEC5A were determined by Biacore. (e) Activation of CLEC5A signaling (TNF production) in human macrophages by the bispecific antibody in the presence of target Raji cells (Mean \pm S.D of triplicates from one donor).

antibodies to CLEC5A using recombinant human and mouse CLEC5A proteins by surface plasmon resonance (SPR), obtaining Kd values of single-digit nM or lower for both species (Figure 3d). While the CD20/CLEC5A (1 + 1) bispecific antibody has a configuration of monovalent binding to CLEC5A, we anticipated that clustering of the bispecific antibodies by CD20-expressing cells could activate CLEC5A expressed by macrophages (Figure 3b). Indeed, when we co-cultured macrophages and Raji cells, we observed robust production of TNF, which was not observed in the absence of Raji cells (Figure 3e). These results suggested that the monovalent CLEC5A arm in the bispecific antibody alone cannot trigger CLEC5A activation, but once clustered by binding to the target cell surface, it became an effective CLEC5A agonist. Similar results were obtained when we measured other cytokines/chemokines in a Luminex panel that includes IL-6, IL-1β, IL-8, RANTES, MIP-1 α , MIP-1 β and Il-10 (Supplemental Figure 2).

CLEC5A-targeting Bispecific Antibodies Mediate FcyRsindependent Cellular Phagocytosis of B cells by Human Macrophages in vitro

We then assessed the activity of the bispecific antibodies in a phagocytosis assay using human macrophages as phagocytes and CD20-expressing Raji cells as targets in a FACS-based assay with a gating strategy shown in Supplemental Figure 3A. We found that the CD20/CLEC5A bispecific antibody was able to induce macrophage phagocytosis of Raji cells in a dosedependent manner (Figure 4a). This effect was CLEC5Adependent since it was blocked by an excessive amount of a monospecific CLEC5A-blocking antibody, but not by an irrelevant IgG1 (Figure 4a). Moreover, soluble recombinant CLEC5A protein was also able to inhibit the pro-phagocytosis effect of the bispecific antibody (Figure 4b). As expected, CD20/ CLEC5A bispecific antibodies failed to induce phagocytosis of CD20-negative Jurkat cells by macrophages (Figure 4c and S3B). Next, we compared the phagocytic activity mediated by CLEC5A with that of FcyRs. For phagocytosis through FcyR, we used anti-CD20 hIgG1 carrying a wild-type Fc. First, we compared the potency of the phagocytic activity mediated by CLEC5A or Fc γ R. Because the CD20/CLEC5A (1 + 1) bispecific antibody is monovalent for CD20 and differs from anti-CD20 IgG1 not only in the mechanism mediating phagocytosis but also in the valency for CD20, we decided to make a CD20/CLEC5A bispecific antibody bivalent for CD20, CD20/CLEC5A (2 + 1) (Figure 3c). Comparing the EC₅₀ of phagocytosis of Raji cells by primary macrophages derived from monocytes of four different human donors, we found that all three molecules exhibited comparable activity (Figure 4d). The maximum extent of tumor cell phagocytosis was also comparable between the two mechanisms (figure 4f).

While phagocytosis mediated by Fc γ R and CLEC5A seemed to have comparable potencies and efficiencies, it was possible that one mechanism had faster kinetics than the other. To investigate this possibility, we performed a time-course IncuCyte phagocytosis assay over a 24-hour period. Raji cells prelabeled with a pH-sensitive dye (pHrodo) were incubated with human macrophages in the presence of anti-CD20 /CLEC5A (1 + 1), anti-CD20/GP120 (1 + 1) as a control antibody or anti-CD20 IgG1, and images were taken at different time points (Figure 4e). Image analysis showed very few intracellular pHrodo-positive macrophages in the absence of anti-CD20/CLEC5A (Figure S4A). In contrast, in the presence of anti-CD20/CLEC5A, a high proportion of macrophages were intracellular pHrodo-positive (Figure S4B-E). The time course analysis indicated that CD20/CLEC5A bispecific antibody and $Fc\gamma R$ -dependent CD20 monospecific antibody exhibited similar kinetics (Figure 4e).

Cellular phagocytosis driven by dual action of CLEC5A and FcyRs

To explore whether phagocytosis is mediated by $Fc\gamma R$ and CLEC5A with two independent mechanisms that can be combined for an additive effect, we compared the phagocytic activity of CLEC5A/CD20 (1 + 1), anti-CD20 hIgG1, and a combination of both. We observed a moderate increase in activity in the combination treatment (figure 4f). It is important to note that the bispecific antibody and the anti-CD20 IgG1 competed for binding to overlapping epitopes on CD20,²³ and a more pronounced effect could be observed for noncompeting antibodies.

CLEC5A-targeting bispecific antibody for cellular phagocytosis of epithelial tumor cells

To determine whether our approach is applicable to epithelial cancer cells, we developed CLEC5A bispecific antibodies against HER2 receptor, a well-characterized protein overexpressed in breast and gastric tumors. Indeed, the HER2/CLEC5A (1 + 1) bispecific antibody robustly induced target-dependent TNF secretion (Figure 5a) and phagocytosis of HER2⁺ SK-BR-3 cells (Figure 5b) by human macrophages. Overall, these findings support that CLEC5A could be broadly harnessed for targeted phagocytosis.

Treg depletion and tumor growth inhibition by CLEC5A-targeting bispecific antibody

Our CLEC5A bispecific antibodies bind both human and mouse CLEC5A (Figure 3d) although with slightly lower affinity for the murine CLEC5A. To determine if they also exhibit cross-species agonistic activity, we used mouse BMDMs and tested the ability of CD20/CLEC5A (1 + 1) bispecific antibody to induce TNF release when co-incubated with Raji cells. Indeed, CD20/CLEC5A (1 + 1) antibody was able to trigger TNF production in a dose-dependent manner (Figure 6a) although at a lower level than those previously observed with human macrophages. The TNF production was both CLEC5Aand target cell-dependent (Figure 6a). Similar to what was observed with human macrophages, the CLEC5A/CD20 bispecific antibody led to robust phagocytosis of target cells in a dose-dependent manner (Figure 6b). These studies paved the way for further *in vivo* evaluation in mouse models.

Having characterized the efficiency of CLEC5A-engaging bispecific antibodies *in vitro*, we next explored their activity in *vivo*. Targeting regulatory T cells (Tregs) in the tumor microenvironment (TME) has been explored to enhance

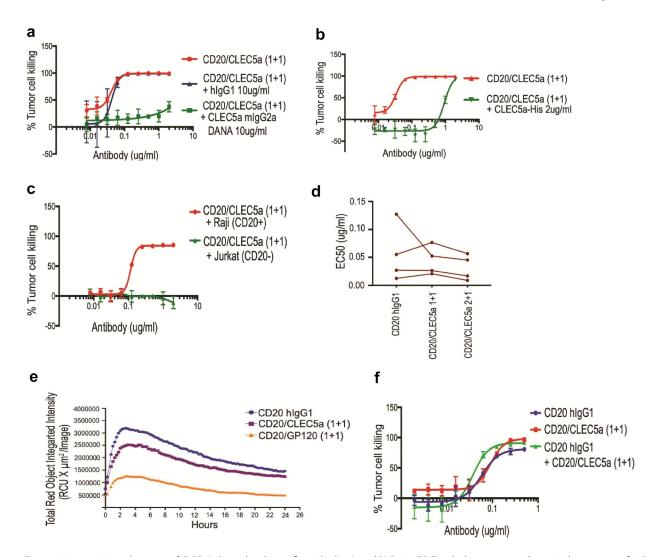


Figure 4. Characterizing activity and potency of CLEC5A-dependent bispecific antibodies (a and b) Raji cell killing by human macrophages in the presence of indicated bispecific antibody and blocking agents (Mean ± S.D of triplicates from one donor, Effector: Target ratio is 5:1). (c) CD20/CLEC5A-dependent human macrophage-mediated cell-killing is specific to Raji (CD20⁺) but not to Jurkat (CD20⁻) (Mean ± S.D of triplicates from one donor, Effector: Target ratio is 5:1). (d) EC50 of macrophage-mediated tumor (Raji) cell-killing in the presence of indicated antibodies. Data were derived from 4 independent human donors. Effector: Target ratio is 5:1. (e) IncuCyte phagocytosis assay demonstrates the uptake of pHrodo-labeled Raji cells by the human macrophages in the presence of indicated antibodies. Antibody concentration, 2ug/ml. Effector: Target ratio is 1:5. (f) Testing the dual action of anti-CD20 and CLEC5A bispecific antibody in human macrophage-mediated Raji cell killing (Mean ± S.D of triplicates from one donor, Effector: Target ratio is 5:1).

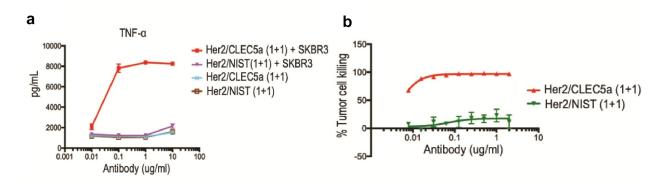


Figure 5. Demonstration of CLEC5A-dependent phagocytosis of solid tumor cells Activation of CLEC5A (TNF production) by the bispecific antibody in the presence of target SK-BR-3 cells (Mean \pm S.D of triplicates from one donor). (b) Macrophage-mediated tumor (SK-BR-3) cell killing in the presence of indicated bispecific antibody (Mean \pm S.D of triplicates from one donor). Effector: Target ratio for phagocytosis and cytokine assay is 5:1.

antitumor immunity. For instance, depletion of Treg cells through activation of FcyRs leads to robust antitumor efficacy

in preclinical models.^{24,25} We decided to explore Treg depletion using the CLEC5A bispecific antibody approach. OX40,

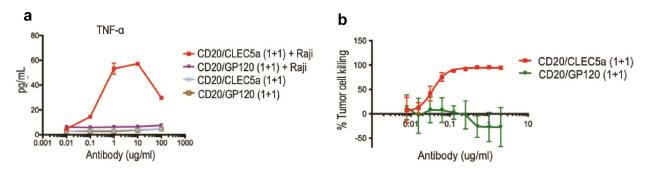


Figure 6. Characterization of cross-species agonistic activity of CLEC5A bispecific antibody Activation of CLEC5A (TNF production) in mouse BMDMs by the bispecific antibody in the presence of target Raji cells (Mean \pm S.D of triplicates). (b) Mouse BMDM-mediated tumor (Raji) cell-killing in the presence of indicated bispecific antibodies (Mean \pm S.D of triplicates). Effector: Target ratio for phagocytosis and cytokine assays is 5:1.

a trimeric co-stimulatory receptor belonging to the TNFR superfamily, exhibits higher expression in FoxP3⁺ Tregs compared to FoxP3⁻ CD4⁺ and CD8⁺ T cells.²⁵ We generated OX40 (clone OX86)/CLEC5A (1 + 1) and (2 + 1) bispecific mouse IgG2a antibodies with LALAPG mutations to abolish binding to FcγRs. Monospecific bivalent anti-OX40 (clone OX86) antibody (mIgG2a) was used as a positive control of ADCP in this study. First, we examined the activity of both OX40/CLEC5A (1 + 1) and (2 + 1) bispecific antibodies in an *in vitro* phagocytosis assay using mOX40-overexpressing EL4 murine lymphoma cells as the target cells (Figure 7a). Both antibodies showed dose-dependent activity, with the (2 + 1) format being more potent (Figure 7b).

We next decided to investigate OX40/CLEC5A in *vivo* using syngeneic mouse tumor models. MC38 and CT26 are two syngeneic mouse tumor models widely used for preclinical mechanistic studies and have been previously used to study the effect of anti-OX40 mAb clone OX86.^{25,26} We found that in both models, the OX40/CLEC5A bispecific antibody exhibited robust antitumor activity (Figure 8a and B). To determine that the antitumor efficacy by the OX40/CLEC5A bispecific was due to depletion of Tregs, we quantified CD4⁺ FoxP3⁺ CD25⁺ Treg cells in CT26 tumors 3 days after a single intravenous dosing. Indeed, treatment with the OX40/CLEC5A bispecific antibody resulted in significant depletion of Treg cells, comparable to that caused by the Ox86 mAb (Figure 8c and S5). Together, these data showed that the bispecific OX40/CLEC5A exhibited the antitumor activity *in vivo* by the expected mechanism of action, making CLEC5A an attractive target for further exploring therapeutic applications.

Discussion

While CLEC5A shows high homology to TREM2, another lectin expressed on myeloid cells with a well-known role in phagocytosis, to our knowledge, a direct observation of a similar function for CLE5A, was not previously made. Here, we describe, for the first time, the use of agonistic antibodies to exploit a CLEC5A-mediated phagocytosis.

A key requirement for the potential therapeutic use of CLEC5A-mediated phagocytosis is that tumor-associated macrophages (TAMs) express CLEC5A and respond to CLEC5A-engaging antibodies. As it has been widely reported that macrophages in different states of polarization express different sets of genes,²⁷ we first characterized whether CLEC5A expression was specific for a specific type of polarization. We observed that, although with minor variations in mean fluorescence intensity, CLEC5A was expressed in macrophages polarized with different stimuli. This observation opened the possibility that the mechanism could be functional across different sets of macrophage subpopulations. Macrophage polarization is a continuum between fully M1 and M2 sites, with multiple factors present in the TME being capable of influencing the polarization state. As a result,

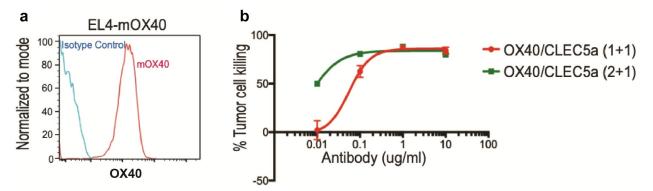


Figure 7. Characterization of the OX40/CLEC5A bispecific antibodies FACS plots depicting stable expression of mOX40 on EL4 cells (left panel). (b) Human macrophagemediated EL4-mOX40 cell-killing in the presence of indicated bispecific antibody (Mean \pm S.D of triplicates from one donor, Effector: Target ratio is 5:1).

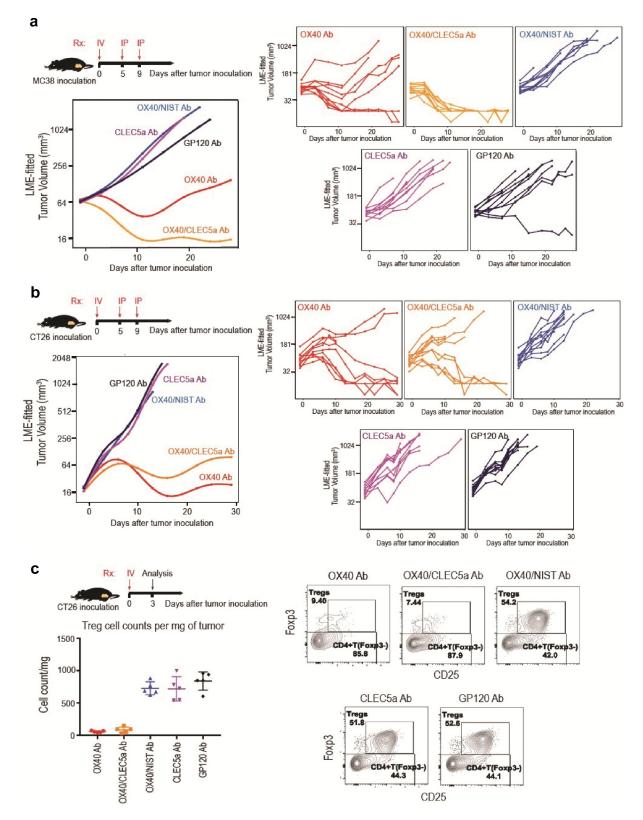


Figure 8. Depletion of Tumor-infiltrating regulatory T cells by the OX40/CLEC5A bispecific antibody results in antitumor efficacy (a and b) Growth of MC38 and CT26 tumors in mice treated with the indicated antibodies (n = 10 mice/group). Treatment started 9 days after tumor cell inoculation. (c) Quantification of CD4⁺ FoXP3⁺ CD25⁺ tumor Treg cells after treatment with indicated antibodies (Left panel). Representative FACS plots showing the percentage of Treg cells within total CD4⁺ T cells in tumors with different treatments (Right panel). Mice were treated with indicated antibodies (n = 5 mice/group) on day 9 after CT26 tumor inoculation, and tumors were harvested on day 3 after antibody treatment. Antibodies used: OX40 mlgG2a (OX40 Ab), OX40/CLEC5A mlgG2a LALAPG (OX40/CLEC5A Ab), OX40/NIST mlgG2a LALAPG (OX40/NIST Ab), CLEC5A Ab), and GP120 mlgG2a LALAPG (GP120 Ab).

macrophages present in the TME are usually a mixed population in different polarization states.²⁸ In order to analyze macrophages in the context of TME, TAMs from two different tumor models, MC38 and CT26, were characterized and confirmed for their expression of CLEC5A, consistent with data from patients with ovarian cancer,²⁹ osteosarcoma,³⁰ and melanoma.³¹

Human macrophages express three activating Fcy receptors (FcyR), FcyRI, FcyRIIA and FcyRIIIA, which contain ITAM, and one inhibitory FcyRIIB with an immunoreceptor tyrosine-based inhibitory motif (ITIM).15,32 Antibodyopsonized targets, such as cancer and virus-infected cells, cross-link activating FcyRs, which results in phosphorylation of tyrosine residues in ITAMs and recruitment of spleen tyrosine kinase (SYK) to activate downstream signaling events that result in phagocytosis and pro-inflammatory cytokine release. The overactivation of phagocytosis by ITAM-containing receptors is prevented by the function of inhibitory FcyRIIB. Concurrent activation of FcyRIIB results in ITIM phosphorylation and recruitment of SHIP (SH2- domain-containing inositol polyphosphate 5' phosphatase) and SHP1 (SH2-domain-containing protein tyrosine phosphatase 1), which dampens activating signaling pathways.³² One potential application of CLEC5Atargeting bispecific antibodies is promoting the phagocytosis in a way less dependent on the expression of inhibitory FcyRs. To this end, we compared antibodies with the same target arm and valency, but relying on either CLEC5A or FcyR for triggering phagocytosis. Our results showed comparable potency and kinetics. Thus, the potential advantage of using CLEC5A-mediated phagocytosis to avoid the negative effect of FcyRIIB expression can be achieved without the expense of potency.

A role of CLEC5A in proinflammatory responses has been observed in a variety of settings, during infections with CLEC5A-binding virus such as influenza,¹⁴ dengue⁷ and Japanese encephalitis virus,³³ in chronic obstructive pulmonary disease⁸ and in inflammatory colon diseases.³¹ Interestingly, an activating mutation in CLEC5A was associated with Crohn's disease.³⁴ In one study, the proinflammatory responses mediated by CLEC5A led to an increase of immune cell infiltration in the lungs of mice infected with influenza virus.¹⁴ Similarly, a CLEC5A-blocking antibody prevented the infiltration of immune cells in the brains of mice infected with Japanese encephalitis virus.³³ In this study, we show that agonism of CLEC5A using a bispecific antibody also resulted in the secretion of proinflammatory cytokines. In addition to the direct cancer cell elimination by phagocytosis, the concurrent release of proinflammatory chemokines and cytokines could have additive antitumor effects by recruiting other immune cells. It is known that FcyRIIB, in addition to negatively regulating phagocytosis, also dampens secretion of proin-flammatory cytokines.³⁵ On the other hand, activation mediated by DAP10/DAP12 is not as sensitive to ITIMinhibition as those initiated by ITAM-containing receptors, at least in the context of NKG2D.36,37 Thus, a CLEC5Abased bispecific antibody could lead to a more robust antitumor response.

The CLEC5A-dependent and FcyRs-dependent mechanisms need not be mutually exclusive for targeted phagocytosis. We investigated whether a monospecific anti-CD20 mAb with a wild-type Fc could be combined with an anti-CD20/CLEC5A bispecific antibody to get an additive effect. Although we used anti-CD20 mAbs with competing epitopes,²³ we did observe a modest additive effect, opening the possibility that a more robust effect could be obtained for noncompeting antibodies. Alternatively, the two mechanisms could be integrated into a single molecule in an anti-CD20/CLEC5A antibody with a wild-type Fc. However, a concern for this application is the potential bridging of two different immune cells. While in a scenario of a balanced expression of CLEC5A and FcyRs on macrophages, the molecule would likely bind to both receptors in cis, an imbalanced expression of both receptors could open the possibility of the binding of both CLEC5A and FcyRs in trans with the potential unwanted redirection of immune cells against each other.

To assess CLEC5A-engaging bispecific antibody in vivo, we treated mice carrying either MC38 or CT26 syngeneic tumors with anti-OX40/CLEC5A. As a comparator, we used an anti-OX40 monospecific antibody with a wildtype Fc. While the treatment with both monospecific and bispecific antibodies in the CT26 model led to robust tumor growth inhibition and response curves with comparable trends, treatment of MC38 tumors with the bispecific mAb led to a more pronounced antitumor effect than with the anti-OX40 monospecific antibody. While OX86 relies on engaging activating Fcy receptors²⁵ present on macrophages and natural killer cells (NK), CLEC5A bispecific antibodies engage macrophages and potentially neutrophils. The content of NK cells, for example, is less than 1% of CD45+ cells in MC38 tumors, but 15-20% of CD45+ cells in CT26 tumors.³⁸ It is possible that the lower NK-cell content in MC38, among other factors, could lead to the less robust antitumor effect of OX86 IgG.

TAMs are among the most abundant immune cells in the TME, making them an attractive therapeutic target for cancer therapy. Our current study demonstrated that targeting CLEC5A on TAMs could be a new weapon in our arsenal against cancer.

Materials and methods

CLEC5A antibodies

Hamsters were immunized with murine CLEC5A, and hybridoma clones were obtained from the immunized animals by standard procedures. Cell clones that produced IgGs reactive with murine and human CLEC5A by enzyme-linked immunosorbent assay (ELISA) and FACS were selected for subsequent screening for agonistic properties using TNF secretion from primary human macrophages as a readout. Clone 1F7 showed the strongest agonist activity and was selected for molecular cloning and reformatting into a half antibody.

Bispecific antibodies were expressed in either hIgG1 or mIgG2a framework carrying LALAPG mutations to abrogate effector functions.²⁰⁻²² Bispecific antibodies were

produced in knobs-into-holes format as previously described.¹⁹ Briefly, half antibodies were expressed in separate Chinese hamster ovary cell cultures, purified by standard ProteinA affinity capture and annealed in vitro using redox conditions that allowed the formation of hinge disulfides.^{39,40} After in vitro annealing, undesired side products were removed by ion exchange chromatography using a pH gradient. Characterization of the purified antibodies by analytical size exclusion chromatography, mass spectrometry and LAL assays indicated the absence (<0.5%) of aggregates, half antibodies (<1%), homodimers (<2%) or endotoxins (< 0,5EU/mg). The CD20 arm is clone 2H7,¹⁸ HER2 arm is clone 4D5,⁴¹ whereas the OX40 arm is clone OX86.25 In the in vivo experiments, an anti-GP120 mAb was used as a control for the OX86 mAb, whereas and anti-OX40/NIST antibody was used as a control for the bispecific mAb. NIST is a humanized IgG1k specific to the respiratory syncytial virus protein F (RSVF)⁴² and often used as a nonbinding control arm.

Affinity determination

To measure the binding affinity of CLEC5A agonistic antibody, a SPR BIAcore[™]-T200 instrument was used. Series S sensor chip Protein A (GE Healthcare) was applied to capture each antibody on different flow cell (FC) to achieve approximately 200 response units (RU), followed by injection of five-fold serial dilutions of human (R&D systems, Catalog 8544-CL) or mouse (R&D systems, Catalog 8438-CL) CLEC5A (0.8 nM to 500 nM) in HBS-EP buffer (100 mM HEPES pH7.4, 150 mM NaCl, 3 mM EDTA, 0.05% (v/v) Surfactant P20) with a flow rate of 100 ul/min at 25°C. Association rates (kon) and dissociation rates (koff) were calculated using a simple one-to-one Langmuir binding model (BIAcore T200 evaluation software version 2.0). The equilibrium dissociation constant (K_D) was calculated as the ratio koff/kon.

Preparation of human primary macrophages

Human peripheral blood mononuclear cells (PBMCs) from healthy donors were isolated from buffy coat using a Ficoll gradient centrifugation. CD14⁺ monocytes were purified from PBMCs by negative selection using a CD14 isolation kit without depletion of CD16⁺ cells (StemCell Technologies, Catalog 19058). About 20X10^6 cells were differentiated in 15 cm² plates to M0 for 7 days with 50 ng/ml macrophage colony stimulating factor (M-CSF, StemCell Technologies, Catalog 78057) in RPMI media containing penicillin/streptomycin, 10% heat inactivated fetal bovine serum (FBS), 2 mM glutamine (RPMI complete media). On day 6, M0 macrophages were either left unpolarized or polarized with 25 ng/mL of IL-10 (StemCell Technologies, Catalog 78024), or 25 ng/mL of IL-4 (StemCell Technologies, Catalog 78045), or 50 ng/mL of IFN-y (StemCell Technologies, Catalog 78020) for another 24 hours (day 7).

Preparation of human primary neutrophils

Human primary neutrophils were isolated using a human neutrophil isolation kit according to the manufacturer's instructions (StemCell Technologies, Catalog 17957). Cell purity was determined by neutrophil marker CD66b-FITC (Biolegend, Catalog 305103).

Isolation of mouse primary macrophages

For BMDM differentiations, femurs from C57BL/6 J mice were dissected and sterilized. Bone marrow was flushed with the syringe filled with RPMI complete media. The resulting marrow suspension was filtered through 100 μ M nylon filter and treated with ACK lysis buffer to lyse red blood cells. Cells were washed twice with RPMI media and differentiated with 50 ng/ ml M-CSF (StemCell Technologies, Catalog 78059) for 7 days in RPMI complete media. On day 6, M0 macrophages were either left unpolarized or polarized with 25 ng/mL of IL-10 (StemCell Technologies, Catalog 78079.1), or 25 ng/mL of IL-4 (StemCell Technologies, Catalog 78047), or 50 ng/mL of IFN- γ (StemCell Technologies, Catalog 78021) for another 24 hours (day 7).

For peritoneal macrophages, cells were harvested from the mouse peritoneal cavity in 10 ml of phosphate-buffered saline (PBS) with 4% HI-FBS and plated on culture dishes in RP10 medium (RPMI supplemented with 10% heat-inactivated fetal bovine serum, 10 mM HEPES, 2 mM L-Glutamine, 10 mM MEM nonessential amino acid solution, 55 μ M β -mercaptoethanol, 100 units/ml penicillin and 100 μ g/ml streptomycin). After incubation at 37°C for 2 hours, nonadherent cells were removed, and the adherent macrophages were cultured overnight prior to assays.

All mice were either generated at Genentech or obtained from Charles River Laboratories or Jackson Laboratory and maintained in accordance with American Association of Laboratory Animal Care (AALAC) guidelines. The experiments were conducted in compliance with the National Institutes of Health Guide for the Care and Use of Laboratory Animals and were approved by the Genentech Institutional Animal Care and Use Committee.

Twenty-four hours phagocytosis assay with human/mouse macrophages

Human primary macrophages differentiated with M-CSF (M0, Day7) were harvested with cell dissociation buffer (Gibco, Catalog 13151–014). Dead/apoptotic cells from Raji cell culture were depleted using a dead cell removal kit (Miltenyi Biotec, Catalog 130–090-101). Cells with viability more than 95% (as determined by Vi-Cell) were labeled with 0.5 μ M carboxyfluorescein succinimidyl ester (CFSE), using a CFSE Cell Proliferation Kit (Life Technologies, Catalog C34554). About 20,000 labeled target cells and 100,000 human macrophages (1:5) were added into a 96-well round bottom (ultra-low attachment plate, corning catalog 4520) in complete RPMI media in the presence of serially diluted indicated antibodies for 24 hours (final volume 200 ul). After incubation, 50 ul of counting beads were added

(ThermoFisher, Catalog C36950). The absolute number of Raji cells in each well was quantified by counting a fixed number of counting beads.

Percentage of tumor cells killed is calculated as follows:

{(Number of CFSE-positive target cells in no mAb control) – (Number of CFSE-positive target cells in the presence of mAb)/ (Number of CFSE-positive target cells in no mAb control)} *100.

Live-cell imaging phagocytosis assay with human macrophages

Human primary macrophages differentiated with M-CSF (M0, Day7) were harvested with cell dissociation buffer (Gibco, Catalog 13151-014). About 15,000 macrophages were seeded into a 96-well flat-bottom plate 24 hours prior to the assay in the presence of M-CSF. Dead/apoptotic cells from Raji cell culture were depleted using a dead cell removal kit (Miltenyi Biotec, Catalog 130-090-101). Cells with viability more than 95% (as determined by Vi-Cell) were labeled with pHrodo (ThermoFisher, Catalog P36600). For preparing pHrodo stock solution, pHrodo was reconstituted in 154 ul of dimethyl sulfoxide (DMSO). Furthermore, it was diluted at 1:25 in DMSO to prepare a working solution. Raji cells at a 1 10⁶/mL concentration in PBS were prepared and were treated with 1:1000 of pHrodo working solution. Cells were mixed gently and kept on rocker in the dark for 30 mins. To quench the excess dye, cells were pelleted and resuspended in RPMI complete media for 5 mins. Finally, labeled cells were resuspended at a $1.5 \times 10^6/ml$ concentration and 50 ul (75 K cells) was used per reaction with a final volume of 200 ul.

Incucyte acquisition settings:

10× objective. Standard Scan, 1 image/well. Every 15 mins for 24-hours. "RED" and "PHASE" images were acquired (800 ms acquisition time).

Cytokine release assays

For activation of human primary macrophages with soluble anti-CLEC5A, indicated antibodies at 10 ug/ml in 300 ul of RPMI complete media were added to 2×10^5 human primary macrophages in a 24-well plate (differentiated in M-CSF for 7 days and polarized with 50 ng/ml of IFN- γ). After 24 hours, supernatant was collected to quantify TNF.

For activation of human primary macrophages/mouse BMDM with bispecific antibodies and target cells, about 20,000 target cells and 100,000 human macrophages/BMDM (differentiated in M-CSF for 7 days and polarized with 50 ng/ ml of IFN- γ) (1:5) were combined into a 96-well round bottom plate (Corning, Catalog 4520) in complete RPMI media in the presence of serially diluted antibodies for 24 hours (final volume 200ul). After incubation, cytokines were analyzed by Luminex analysis (Millipore). Additionally, TNF levels were measured with human (Abcam, Catalog ab181421) and mouse (R&D systems, Catalog MTA00B) ELISA kits.

Tumor studies

Female C57BL/6 J and BALB/C mice were inoculated subcutaneously on the lower right flank with 0.1 million MC38 and CT26 cells in PBS/Matrigel (1:1, v/v). Animals were grouped based on weight and tumor volume to ensure similar weight and starting tumor volume distribution before treatment. Antibodies were administered via intravenous (IV) tail injection for the first dose and subsequently two more doses of intraperitoneal (IP) injection at a dose of 1 mg/kg. Isotype-matched anti-GP120 antibody was used as control antibody. Tumors were monitored twice a week. Mice were humanely euthanized if ulceration occurred or tumor volume reached 2,000 mm³. Tumor volume was calculated as $(length x width^2)/2$. A linear mixed effects (LME) modeling was performed to analyze repeated measurements of tumor volume over time from the same animals to properly account for both latitudinal variability (differences in tumor volumes at each study day) and longitudinal variability (differences in how individual tumors change over the course of the study).¹⁷ LME modeling also accounts for missing values from study dropouts due to ulceration, or tumor volume exceeds 2,000 mm³. Tumors tend to exhibit exponential growth for the most part, and therefore, tumor volumes were subjected to natural log transformation before being analyzed. Changes in ln(tumor volumes) over time for all groups are described by fits (regression splines with auto-generated spline bases). Both individual tumor growth curves and LME-fitted tumor growth curves of each group are presented for each tumor growth efficacy study.

Tumor digestion and flow cytometry

Tumors were dissected and dissociated using a mouse Tumor Dissociation Kit (Miltenyi Biotec, Catalog 130-096-730) to obtain single cell suspension following the manufacturer's protocol. After lysis of red blood cells using ACK lysing buffer (Lonza), cells were filtered through a 70-µm cell strainer. Cells were blocked with mouse Fc Block (Miltenyi Biotec, Catalog 130-092-575). For characterizing expression of CLEC5A, cells were then stained with fluorochrome-conjugated antibodies: anti-CLEC5A antibody (R&D systems, Catalog FAB1639P), isotype antibody (R&D systems, Catalog IC00P), anti-CD11b antibody (eBioscience, Catalog 45-0112), anti-CD45 antibody (BD Bioscience, Catalog 564279), anti-CD11c antibody (BD Bioscience, Catalog 558079), anti-Ly6G antibody (BD Bioscience, Catalog 565707), anti-MHC Class II antibody (BD Bioscience, Catalog 562366), anti-CD24 antibody (BD Catalog 565308), antibody Bioscience, anti-Ly6C (BioLegend, Catalog 128036), anti-CD64 antibody (BioLegend, Catalog 139309), anti-F4/80 antibody (BioLegend, and live/dead Catalog 123108), dye (eBioscience, Catalog 65-0865-14).

To examine Treg depletion, cells were surface stained with live/dead dye (ThermoFisher scientific, catalog L10119), anti-CD25 antibody (ThermoFisher scientific, catalog 53–0251-82), anti-CD4 antibody (BioLegend, catalog 100528), anti-CD44 antibody (ThermoFisher scientific, catalog 17–0441-83), anti-CD8b antibody (BD Biosciences, catalog 740387), anti-CD90.2 antibody (BioLegend, catalog 105331), and anti-CD45 antibody (BD Biosciences, catalog 564279). Cells were fixed and intracellularly stained with anti-Foxp3 antibody (ThermoFisher scientific, catalog 45–5773-82) using the Foxp3 transcription factor staining buffer set (ThermoFisher scientific, catalog 00–5523-00) following manufacturer's protocol.

Multicolor analysis was performed on a BD FACSymphony analyzer, and data were analyzed using Flowjo software (FlowJo LLC). Gating strategies for analysis of immune cell populations have been described previously.^{18,19}

Abbreviations

ADCP Antibody-Dependent Cellular Phagocytosis BMDM Bone Marrow-Derived Macrophages CFSE Carboxyfluorescein Succinimidyl Ester CLEC5A C-type Lectin Domain Containing 5A DAP DNAX-Activating Protein DMSO Dimethyl Sulfoxide ELISA Enzyme-Linked Immunosorbent Assay FACS Fluorescence-Activated Cell Sorting FBS Fetal Bovine Serum FcyR Fcy receptor FITC Fluorescein isothiocyanate IgG Immunoglobulin G **IP** Intraperitoneal IV Intravenous ITAM Immunoreceptor Tyrosine-based Activation Motif ITIM Immunoreceptor Tyrosine-based Inhibitory Motif LME Linear Mixed Effects mAb monoclonal antibody M-CSF: Macrophage Colony Stimulating Factor MERTK MER proto-oncogene Tyrosine Kinase MFI: Mean Fluorescence Intensity NK Natural Killer PBMC Peripheral Blood Mononuclear Cell PBS Phosphate-buffered Saline SHIP SH2-domain-containing inositol polyphosphate 5' phosphatase SHP1 SH2-domain-containing protein tyrosine phosphatase 1 SPR surface Plasmon Resonance SYK Spleen Tyrosine Kinase TAM Tumor Associated Macrophage TME: Tumor Microenvironment TNF Tumor Necrosis Factor TREM2 Triggering Receptor Expressed on Myeloid Cells 2 Treg Regulatory T cell YINM Tyrosine-containing Motif

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Authors Contributions

VK and MY designed the research and wrote the paper, with input from all authors. VK performed all in vitro experiments and tumor studies and analyzed the data. DE designed and purified bispecific antibodies and wrote the paper. MF designed and performed Treg depletion assay. GZ assisted in tumor studies and Treg depletion assay. WCL performed BIAcore experiments. EC and JZ carried out IV antibody dosing. HH and WL supervised IV antibody dosing. YC designed bispecific antibody constructs. YW supervised antibody generation.

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