

A study of *Mycobacterium tuberculosis* genotypic diversity & drug resistance mutations in Varanasi, north India

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Background & objectives: One-fifth of the world's new tuberculosis (TB) cases and two-thirds of cases in the South East Asian region occur in India. Molecular typing of *Mycobacterium tuberculosis* isolates has greatly facilitated to understand the transmission of TB. This study was aimed to investigate the molecular epidemiology of *M. tuberculosis* genotypes in Varanasi, north India, and their association with clinical presentation among patients with pulmonary TB.

Methods: *M. tuberculosis* isolates from 104 TB patients attending a tertiary referral hospital of north India were screened for susceptibility to isoniazid (INH), rifampicin (RIF), ethambutol (EMB) and streptomycin (STR) by proportion method and multiplex-allele-specific-polymerase chain reaction (MAS-PCR). These were genotyped by spoligotyping. The spoligotype patterns were compared with those in the international SITVIT2 spoligotyping database.

Results: Eighty three of 104 isolates were distributed in 38 SITs, of which SIT3366 was newly created within the present study. The mass of ongoing transmission with MDR-TB isolates in Varanasi, northern India, was linked to Beijing genotype followed by the CAS1_Delhi lineage. HIV-seropositive patients had a significantly higher proportion of clustered isolates than HIV-seronegative patients and compared with the wild type(wt) isolates, the isolates with *katG315Thr* mutation were considerably more likely to be clustered.

Interpretation & conclusions: This study gives an insight into the *M. tuberculosis* genetic biodiversity in Varanasi, north India, the predominant spoligotypes and their impact on disease transmission. In this region of north India, TB is caused by a wide diversity of spoligotypes with predominance of four genotype lineages: Beijing, CAS, EAI and T. The Beijing genotype was the most frequent single spoligotype and strongly associated with multi drug resistant (MDR)-TB isolates. These findings may have important implications for control and prevention of TB in north India.

Key words Beijing genotypes - drug resistance - HIV - molecular epidemiology - SITVIT2 - tuberculosis

India accounts for one fifth of the world's new tuberculosis (TB) cases and two-thirds of cases in the South East Asia¹. Regardless of the efforts to control TB, the disease burden of human TB is still a very serious and widespread public health problem particularly in developing countries. This situation is worsened by the appearance of multidrug-resistant (MDR), extensively drug resistant (XDR) strains of *Mycobacterium tuberculosis* and a high incidence of human immunodeficiency virus (HIV)/TB co-infection².

Molecular techniques in the TB field have provided new ways to study dissemination dynamics and evolutionary genetics of the pathogen, with a direct impact on TB control actions³. Spoligotyping is the second most widely used method for *M. tuberculosis* complex genotype after insertion sequence 6110 (IS6110)-based fingerprinting⁴. Spoligotyping in combination with mycobacterial interspersed repetitive units-variable-number of tandem DNA repeats (MIRU-VNTR) has been used to replace IS6110-restriction fragment length polymorphisms (RFLP) typing. The IS6110-RFLP method has been considered the gold standard for genotyping *M. tuberculosis*⁷, however, this is an expensive, laborious and extensive method that requires weeks for culturing and specific software to analyse the RFLP band patterns. Moreover, this method is not applicable to isolates having either too low or zero IS6110 copy number⁵.

Spoligotyping is based on a polymorphism in the chromosomal direct repeat (DR) locus³ and has been applied to the characterization of the *M. tuberculosis* complex. It is internationally accepted as a rapid, first line discriminatory test and the gold standard for the identification of Beijing strains of *M. tuberculosis*^{6,7}. The spoligotyping method was also the basis for making of the largest genotype database for *M. tuberculosis*, containing a global distribution and phylogenetic analysis for worldwide spoligotypes⁶.

A better understanding of drug-resistant TB epidemiology is essential to develop evidence-based control strategies for MDR-TB. Drug-resistance is associated with a number of factors including poor adherence to anti-TB treatment⁸. MDR-TB is a result of the step-wise accumulation of mutations in drug-resistance conferring genes. Previously, drug-resistant *M. tuberculosis* strains were thought to be less infectious and less likely to cause disease when compared to their drug-susceptible counterparts⁹. However, recent studies have shown that these are able to transmit and

cause disease as often as drug-susceptible organisms¹⁰. Further, the prevalence of different drug resistant clones of *M. tuberculosis* varies from one area to another and studies in different geographical settings are necessary to understand the epidemiology of disease¹¹.

This study was undertaken to provide with an insight into the *M. tuberculosis* genotypic diversity and drug resistance mutations in Varanasi, north India. We also compared our data on a global scale to present an in-depth analysis of prevailing *M. tuberculosis* clones to understand their probable transmission dynamics.

Material & Methods

The study was conducted at the Department of Microbiology, Institute of Medical Sciences, Banaras Hindu University (BHU) and Sir SundarLal (SS) Hospital, a tertiary-care hospital of BHU. Sputum specimens were collected during the period of January 2008 to January 2010, from clinically suspected pulmonary TB patients, from Varanasi and adjoining districts of Uttar Pradesh, attending various OPDs of SS Hospital, BHU, Varanasi. Culture and drug susceptibility testing (DST) of *M. tuberculosis* was done at Department of Microbiology, Institute of Medical Sciences, Banaras Hindu University (BHU) while spoligotyping was done at the Laboratory Nuclear Medicine Section, Isotope Group, Bhabha Atomic Research Centre, Mumbai, India.

Specimens/mycobacterial isolates/phenotypic drug susceptibility testing: One hundred four clinical isolates of *M. tuberculosis* were recovered from sputum specimens from patients diagnosed with pulmonary TB on the basis of clinical symptoms, chest X-ray, and bacteriological examination. Demographic and epidemiological data were obtained on direct counselling with patients and from the medical records. Isolated cultures were identified by certain biochemical tests, such as heat-stable catalase, niacin accumulation, and susceptibility to *p*-nitro benzoic acid (PNB) followed by the amplification of a 523-bp DNA fragment specific for the IS6110 gene^{12,13} present in all isolates. For this purpose, bacterial DNA was extracted by using the protocol of van Embden *et al*⁵ with slight modifications. Identified *M. tuberculosis* isolates were subjected to indirect DST according to the gold standard proportion method (PM) with the recommended critical concentrations of 40 µg/ml for rifampicin (RIF), 0.2 µg/ml for isoniazid (INH), 2 µg/ml for ethambutal (EMB) and 4 µg/ml for streptomycin (STR)^{14,15}. H37Rv (ATCC 27294) and a known MDR

strain were used as negative and positive controls, respectively. Among the first line anti TB drugs pyrazinamide susceptibility testing was not done.

Overall, 47 pan susceptible, 51 MDR and six other *M. tuberculosis* isolates (resistant to one or more first line anti-TB drugs) to see the association of prevailing drug-resistance with different lineages of *M. tuberculosis*. The study protocol was approved by the ethical committee of the Institute.

HIV testing: Three rapid HIV test kits (initial screening by comb AIDS, if the test was reactive then Pareekshak Triline card and AIDSCAN Trispot test were performed) based on different antigens/principles were used to test the HIV status of patients according to NACO (National AIDS Control Organization) guidelines¹⁶.

Multiplex allele-specific (MAS)-PCR assay for detection of RIF, INH and EMB resistance determinants: A two-step MAS-PCR assay was performed to detect mutations at *rpoB* codons (516, 526 and 531), *katG* codon 315 and *embB* codon 306¹⁷⁻¹⁹. Two outer (forward & reverse) and three inner forward primers were used for three MAS-PCRs targeting three different codons of the *rpoB* gene while two outer and one inner reverse primers were used for *katG*315 MAS PCR and two outer and two inner primers were used for *embB*306 MAS PCR¹⁷⁻¹⁹.

Spoligotyping: Spoligotyping was performed (n=104) according to the standard method of Kamerbeek *et al*³. *M. tuberculosis* H37Rv and deionized autoclaved water were used as positive and negative controls in each experiment. The results were documented in the form of a binary code.

Comparison of spoligotypes with an updated database: Spoligotypes in binary format were entered in the SITVIT2 database (Pasteur Institute of Guadeloupe, France), which is an updated version of the previously released SpolDB4 database⁶. At the time of the present study, SITVIT2 contained more than 3000 SITs (Spoligotype International Type) with global genotyping information on about 75,000 *M. tuberculosis* clinical isolates from 160 countries of origin. In this database, SIT designates spoligotypes shared by two or more patient isolates, as opposed to "orphan" which designates patterns reported for a single isolate. Major phylogenetic clades were assigned according to signatures provided in SpolDB4, which defined 62 genetic lineages/sub-lineages⁶.

Statistical analysis: Descriptive analyses were performed using SPSS 15.0 (SPSS, Chicago, IL, USA).

Binary logistic regression model was used for univariate and multivariate analyses to qualify and quantify the difference in clustering proportion between groups of subjects with different socio-demographic and clinical characteristics. The adjusted odds ratio (OR) and 95 per cent confidence interval (CI) were calculated by adjusting for the possible confounders (age and sex). Statistical significance was defined as *P* value of 0.05 or less. A cluster of *M. tuberculosis* isolates was defined as two or more isolates with identical spoligotyping patterns. A cluster of patients was defined as two or more patients with identical isolates. The geographical distribution of the clusters was assessed on the basis of the place of residence of the patients.

Results

In this study, a total of 104 isolates including drug susceptible and drug resistant both from the spectrum specimens collected from pulmonary TB patients were included. These were from 39 females (6 to 70 yr) and 65 males (16 to 75 yr). Further, 89 (85.58%) of these were HIV seronegative and 15 (14.42%) were HIV seropositive patients. Of all isolates, 47 (45.19%) were susceptible to all four first line drugs *i.e.*, INH and RIF, STR and EMB while 57 (54.81) were resistant to one of these drugs. Of the 57 any drug resistant isolates, 55 (96.49%), 52 (91.23%), 41 (71.93%), 45 (78.95%) and 51 (89.47%) were INH, RIF, STR, EMB and multidrug resistant TB isolates, respectively. New and retreated cases were 56 (53.85%) and 48 (46.15%), respectively. Among 57 any drug resistant cases, 21 (36.84%) and 36 (63.12%) were primary and acquired drug resistant cases, respectively.

Comparison of the spoligotypes with those in the international database: Comparison with the SITVIT2 database showed that 21 patterns (1 isolate per pattern) were not reported earlier to the database; these were classified as orphans (Table I), and corresponded to CAS1_DELHI (n=7), EAI5 (n=4), Manu 2 (n=3), Manu 1 (n=2), EAI3-IND (n=2), EAI4-VNM (n=1) families, respectively. The remaining two orphan isolates corresponded to an unknown pattern in one case, and an ambiguous pattern with a mixed LAM/T profile in another case. The remaining 83 isolates were distributed in 38 shared types or SITs (Table II). Twenty six (31.33%) isolates presented unique SITs, remaining 57 were clustered in 12 groups of 2 to 21 isolates each. SIT1 (Beijing clade) represented 20.39 per cent of all isolates, followed in predominance by SIT26 and SIT954 (CAS1_DELHI) which constituted 6.8 and 4.85 per cent of all isolates. Of the 38 SITs,

21.18 per cent from North America, but only 3.85 per cent from South Asia. The third highest proportion of predominant spoligotypes was from Western Europe. The mean age of the patients' harbouring predominant spoligotypes ranged from 22.25-36.36 years.

Distribution of predominant spoligotypes by gender, age, HIV status and DST: Almost all SITs were more prevalent in men, except SIT53, being isolated from the patients in their productive age (mean age, 27.47-36.33 yr). SIT53 was more prevalent than all other spoligotypes in women, being isolated from the patients with the age ranges from 14-20 yr (mean age, 16.33 yr) (Table III). SIT1/Beijing genotype was highly prevalent in the multidrug-resistant as well as HIV seropositive groups (13/21 isolates were MDR, four of these being isolated from HIV seropositive patients), followed by the CAS1_DELHI lineage (SIT26 and SIT654) in 6/12MDR isolates (Table III).

Association of clustered spoligotypes with clinical, epidemiological features of patients and phenotypic, genotypic DST: Binary logistic regression was applied to analyze the "clustering" of *M. tuberculosis* isolates in association with patients' demographics, clinical profiles and the bacteriologic features (Table IV). Smear-negative TB patients had a significantly higher proportion of clustered isolates than smear-positive patients (77.27 vs. 48.78%; adjusted OR, 0.248; *P*, 0.015; 95%CI, 0.080-0.765), as well as in the HIV seropositive patients in comparison to HIV seronegative patients (80.0 vs.50.56%; adjusted OR, 3.943; *P*, 0.045; 95%CI, 1.031-15.077). However, there were no significant association between clustered *M. tuberculosis* isolates and age (mean \pm SD, 31.47 \pm 13.9), sex, treatment history and radiological findings of the patients (Table IV).

Further, drug resistant/MDR-TB and acquired drug resistant/primary drug resistant isolates were clustered in almost same proportion compared to total genotyped isolates. Compared with wild type (wt) isolates, the isolates with the *katG315Thr* mutation were more likely to be clustered (60.0 vs. 20.0%) however, the proportion was not significant. Further, among RIF and EMB resistant isolates, *rpoB516Leu*, *rpoB526Arg*, *rpoB531Leu* and *embB306Val*, *embB306Ile* alleles, respectively were not associated with clustering (Table IV).

Discussion

The genetic diversity of *M. tuberculosis* genome and hence their population structure, is strongly linked

to geography reinforcing the importance of localized effort to control tuberculosis. Utilizing these data for the clinical benefit of individual patients remains a challenge. Epidemiological studies are important in the surveillance of the disease to define its origin and spread in the community and for effective control and prevention. In this study, we have tried to define the genetic structure of the population of circulating *M. tuberculosis* isolates in and around Varanasi, north India. When the spoligotypes of these isolates were compared with those in the international spoligotype database of the Institute Pasteur de Guadelupe, it was found that 21 isolates were not identified in the SITVIT2 database. Of these 21 orphan isolates, only 8 cases were pan susceptible while the remaining 13 (61.90%) isolates corresponded to MDR-TB. This observation indicates the need of conducting multicenter studies to pinpoint all the prevalent *M. tuberculosis* spoligotypes in the Indian subcontinent. The remaining 83 isolates were distributed in 38 shared types or SITs. Twenty six (31.33%) isolates presented unique SITs, while the remaining 57 were clustered in 12 groups of 2 to 21 isolates each.

TB is a leading health problem in India, still our knowledge regarding the circulating strains of *M. tuberculosis* is limited. Studies done so far, suggest Central Asian family (CAS) to be the major clade in the north whereas East African Indian (EAI) predominates in the southern part of India^{1,20,21}. In general, Beijing genogroup is present in low percentage throughout the country²⁰⁻²³. The emergence of this family continues to pose a serious threat to TB control due to its high virulence and frequent association with multi-drug resistance. Beijing family is highly prevalent throughout Asia and Eurasia²⁴, with a reported prevalence of approximately 3–11 per cent in India^{20,21,25}. In our region spoligotype patterns showed that Beijing clade was the largest clade (22.11%) corresponded by SIT1, SIT250 and SIT621 and this was quite higher than the above reported frequencies. In the international database, SIT1 contains 10.7 per cent of the isolates reported. Association of Beijing strain with MDR-TB has been noted in studies carried out in United States, Estonia, Vietnam and Russia²⁶⁻²⁸ but others have not found any association between Beijing family and drug resistance^{29,30}. However, in the present study the association of Beijing strain with MDR-TB was observed at a high frequency (29.41%), accounting for nearly one third of the MDR-TB isolates. While another five of 23 Beijing genotypes were pan susceptible, two isolates were resistant to INH, STR and EMB and one

Table IV. Association of clustered *M. tuberculosis* isolates with clinical, epidemiological characteristics of patients and different drug-resistant pattern and genetic mutations* (n=104)

	No. of isolates/patients		Adjusted OR ^b	P value ^c	95% CI ^b
	Total	n (%) clustered			
Age (yr)					
Mean (Years) (\pm SD)	31.47 (\pm 13.9)	30.05 (\pm 12.8)	-	-	-
0-20	22	13 (59.09)	-	-	-
21-40	65	36 (55.38)	-	-	-
41-60	11	7 (63.64)	-	-	-
>60	6	1 (16.67)	-	-	-
Sex					
Female	39	19 (48.72)	-	-	-
Male	65	38 (58.46)	-	-	-
HIV status					
Seronegative	89	45 (50.56)	1		
Seropositive	15	12 (80.00)	3.943	0.045	1.031-15.077
Treatment history					
New	56	30 (53.57)	1		
Prior treated	48	27 (56.25)	0.954	0.908	0.425-2.139
Sputum smear test					
Negative	22	17 (77.27)	1		
Positive	82	40 (48.78)	0.248	0.015	0.080-0.765
Chest X-ray					
Negative	77	38 (49.35)	1		
Positive	27	19 (70.37)	2.338	0.081	0.902-6.061
Type of drug resistance					
	Any drug resistance=57	Any drug resistance=33			
Acquired	36	19 (52.78)			
Primary	21	14 (66.67)	-	-	-
Drug-resistance					
Pan-drug susceptible	47	24 (51.06)	0.905	0.817	0.386-2.119
Any drug-resistant	57	33 (57.89)	1.105	0.817	0.472-2.589
Isoniazid resistant	55	31 (56.36)	0.919	0.849	0.385-2.191
Rifampicin resistant	52	28 (53.85)	0.708	0.433	0.298-1.679
Streptomycin resistant	41	25 (60.98)	1.269	0.593	0.530-3.043
Ethambutol resistant	45	27 (60.00)	1.256	0.601	0.535-2.952
Multidrug resistant	51	27 (52.94)	0.662	0.347	0.280-1.566
Drug-resistant isolates with mutation in;					
<i>katG</i>					
Others/wt	5	1 (20.00)			
315Thr	50	30 (60.00)	0.635	0.298	0.269-1.495
<i>rpoB</i>					
Others/wt	7	4 (57.14)			

Contd...

	No. of isolates/patients		Adjusted OR ^b	P value ^c	95% CI ^b
	Total	n (%) clustered			
516Leu or Trp	6	4 (66.67)	0.690	0.681	0.118-4.044
526Arg, Tyr, Asp, Gly or Asn	15	11 (73.33)	0.454	0.214	0.131-1.576
531Leu or Trp	34	16 (47.06)	1.920	0.156	0.780-4.727
526Arg, Tyr...+531Leu or trp	7	5 (71.43)	-	-	-
516Leu or Trp +531Leu or Trp	2	2 (100)	-	-	-
<i>embB</i>					
Others/wt	20	10 (50.00)			
306Val or Leu	15	11 (73.33)	0.438	0.194	0.126-1.521
	10	6 (60.00)	0.863	0.833	0.221-3.370

*Mutation detection was done by Multiplex-allele specific-PCR; ^acOR: crude odds ratio was calculated by comparing the clustering proportion between drug-resistant and drug-susceptible isolates in binary logistic regression model; ^baOR: adjusted odds ratio and 95%CI were calculated by comparing the clustering proportion between drug-resistant isolate and drug-susceptible isolates, adjusted by age and sex of the subjects in a binary logistic regression model; ^cP<0.05

isolate was resistant to INH only. Of the 23 patients harbouring Beijing genotypes, 13 were previously treated and 10 of them were having MDR-TB Beijing genotypes. Three of them were HIV seropositive with non-MDR-TB Beijing genotypes. A study by Almeida *et al*³¹ showed agreement with our finding and found 35 per cent of the Beijing genotype among MDR-TB isolates recovered in and around Mumbai, India. The authors did not report any pan susceptible Beijing isolate in their study sample. In another study from Kanpur, north India, among eight Beijing isolates, six (75%) were MDR-TB, one isolate was resistant to RIF, STR and kanamycin (KAN) and one isolate was sensitive to all six drugs tested¹². However, the results of the present study regarding Beijing genotype should not be overinterpreted, as our samples were obtained from a tertiary care center, and sampling bias cannot be ruled out. Also, the fact that many of the Beijing strains were from patients with acquired resistance strongly suggested that a susceptible population could be present in our setting.

In our study, the second largest clade was CAS family (21.15%) represented by SIT24, SIT26, SIT288, SIT429, SIT599, SIT794, SIT954, SIT1327, SIT1346 and SIT2392 and among these the most predominant spoligotype was SIT26 (16.35%). Studies in north India showed that CAS1-DELHI strain was prevalent in 22-37% of isolates^{12,20,21,23} whereas it was found at a lower frequency (7.4%) in a study from Mumbai²² and represents only 1.7 per cent of strains in the SITVIT2

database. SIT26 represents 1.63 per cent of isolates in the updated SITVIT2 database and has been reported from 39 countries in varying numbers, with maximum number of isolates from Asian countries. A study from Pakistan also showed CAS1-DELHI type (39%) as the dominant isolate³². SIT26 is limited mainly to the Middle East and to Central Asia. It has also been found in regions in which frequent migration to and from the Indian subcontinent occurs, *e.g.*, Saudi Arabia, Kenya, South Africa, Malaysia, Myanmar, Australia, USA and parts of Europe. The third-largest spoligotype found in our study was EAI family lineages (17.31%) with predominance of EAI3_IND (7.69%). It has also been found to be a major spoligotype in other studies from Delhi^{22,23}. Further, 13 (12.5%) isolates belonged to T super family (prototype T, T1 and T3), a widespread yet poorly defined super family, needing better markers for proper characterizations²⁷ among which 11 (10.58%) belonged to T1 prototype. Mathuria *et al*²⁴ found 9.6 per cent prevalence of T1 prototype of T super family. Predominant spoligotypes, *i.e.*, SIT1, 11, 26, 53, 288 and 954, within this study were also most prevalent in North America and United States. The SIT (SIT3366, n=3) which was newly created within the study was also found predominantly in South Asia. Among these three patients with SIT3366 isolate, two were from Jaunpur and one was from Varanasi region of north India. One of these three patients had HIV seropositivity and another one had previous treatment history.

Binary logistic regression was applied to analyze the "clustering" of *M. tuberculosis* isolates in association

with patients' demographics, clinical profiles and the bacteriologic features. The isolates were more likely to be clustered in HIV seropositive patients, and the percentage of clustering was significantly higher in smear-negative TB patients as compared to smear-positive patients. However, most of these smear-negative specimens were found to be culture positive. The overall numbers of clustered isolates were also high among smear-positive patients, which may extend the transmission period of the pathogen between hosts by infecting more persons around an index case. Also, the presence of HIV is known to increase the risk of rapid progression from infection to disease, a fact that may indirectly increase TB transmission rates in the community¹⁴.

Early TB detection and efficient treatment are necessary steps to control this infectious disease; hence the information regarding the transmission patterns of drug-resistant *M. tuberculosis* isolates is a prerequisite in decision-making for TB control. High TB burden regions^{34,35} are known to harbour a higher prevalence of the *katG315Thr* mutation in INH resistant isolates compared to low TB burden areas³⁶. Among drug-resistant TB patients, a considerably high clustering proportion of *katG315Thr* allele was observed in our study. This allele was associated with MDR-TB as reported elsewhere³⁶, and might serve as a surrogate marker to identify the recent transmission of MDR-TB and INH resistant isolates in studied areas.

TB epidemic in Varanasi, north India is caused by a wide diversity of spoligotypes with predominance of four genotype lineages: Beijing, CAS, EAI and T. The Beijing genotype was the most frequent single spoligotype and strongly associated with MDR-TB isolates in Varanasi, North India. We showed that the bulk of ongoing transmission with MDR-TB strains in Varanasi, northern India is linked to Beijing genotype followed by the CAS1_Delhi lineage. These findings have important implications for the control and prevention of tuberculosis.

Larger studies with representative sampling are needed to elucidate the actual status and role of these genotypes in the dissemination and transmission of TB in Northern India as well as elsewhere in India. This study represents a baseline study of the *M. tuberculosis* population structure in Eastern Uttar Pradesh, and may serve as an impetus for future molecular epidemiological studies in north India, and help comprehend the global tubercle bacilli genotypic diversity. Additional studies using 2nd-line typing using MIRU-VNTRs over an

extended period of time and an exhaustive recruitment of patients would be beneficial to fully understand the epidemiology of TB and its transmission dynamics in this region.

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