#### RESEARCH ARTICLE

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# 4DNvestigator: time series genomic data analysis toolbox

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#### ABSTRACT

Data on genome organization and output over time, or the 4D Nucleome (4DN), require synthesis for meaningful interpretation. Development of tools for the efficient integration of these data is needed, especially for the time dimension. We present the '4DNvestigator', a user-friendly network-based toolbox for the analysis of time series genome-wide genome structure (Hi-C) and gene expression (RNA-seq) data. Additionally, we provide methods to quantify network entropy, tensor entropy, and statistically significant changes in time series Hi-C data at different genomic scales.

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#### Introduction

4D nuclear organization (4D Nucleome, 4DN) is defined by the dynamical interaction between 3D genome structure and function [1-3]. To analyze the 4DN, genome-wide chromosome conformation capture (Hi-C) and RNA sequencing (RNA-seq) are often used to observe genome structure and function, respectively (Figure 1a). The availability and volume of Hi-C and RNA-seq data is expected to increase as high throughput sequencing costs decline, thus the development of methods to analyze these data is imperative. The relationship of genome structure and function has been studied previously [3-7], yet comprehensive and accessible tools for 4DN analysis are underdeveloped. The 4DNvestigator is a unified toolbox that loads time series Hi-C and RNA-seq data, extracts important structural and functional features (Figure 1b), and conducts both established and novel 4DN data analysis methods. We show that network centrality can be integrated with gene expression to elucidate structural and functional changes through time, and provide relevant links to the NCBI and GeneCards databases for biological interpretation of these changes [8,9]. Furthermore, we utilize entropy to quantify the uncertainty of genome structure, and present a simple statistical method for comparing two or more Hi-C matrices.

#### **Materials and methods**

An overview of the 4DNvestigator workflow is depicted in Figure 2, and a Getting Started document is provided to guide the user through the main functionalities of the 4DNvestigator. The 4DNvestigator takes processed Hi-C and RNAseq data as input, along with a metadata file which describes the sample and time point for each input Hi-C and RNA-seq file (See Supplementary Materials 'Data Preparation'). A number of novel methods for analyzing 4DN data are included within the 4DNvestigator and are described below.

#### **4DN feature analyzer**

The '4DN feature analyzer' quantifies and visualizes how much a genomic region changes in structure and function over time. To analyze both structural and functional data, we consider the genome as a network. Nodes within this network are genomic loci, where a locus can be a gene or a genomic region at a particular resolution (i.e. 100 kb or 1 Mb bins). Edges in the genomic network are the relationships or interactions between genomic loci.

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Supplemental data for this article can be accessed here.

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**Figure 1.** The 4D Nucleome. (a) representative time series Hi-C and RNA-seq data correspond to genome structure and function, respectively. (b) genome structure and function are intimately related. the 4DNvestigator integrates and visualizes time series data to study their dynamical relationship.

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**Input**: Hi-C matrices  $\mathbf{A}^{(m)} \in \mathbb{R}^{n \times n}$ , and RNA-seq vectors

 $\mathbf{r}^{(m)} \in \mathbb{R}^{n \times 1}, \ m = 1, \ \ldots, \ T$ 

**Output**: Low dimensional space  $\mathbf{Y}^{(m)}$  and genes in loci with the largest structure-function changes

- 1 Compute degree, eigenvector, betweenness, and closeness centrality of  $\mathbf{A}^{(m)}$ , and define as  $\mathbf{b}_{deg}^{(m)}$ ,  $\mathbf{b}_{eig}^{(m)}$ ,  $\mathbf{b}_{bet}^{(m)}$ ,  $\mathbf{b}_{close'}^{(m)}$  respectively, where each  $\mathbf{b}^{(m)} \in \mathbb{R}^{n \times 1}$
- **2** Compute the first principal component (PC1) of  $\mathbf{A}^{(m)}$
- **3** Form the feature matrices  $\mathbf{X}^{(m)} = [\mathbf{b}_{deg}^{(m)}, \mathbf{b}_{eig}^{(m)}, \mathbf{b}_{bet}^{(m)}, \mathbf{b}_{close}^{(m)}, \mathbf{r}^{(m)}]$ , where  $\mathbf{X}^{(m)} \in \mathbb{R}^{n \times 5}$
- **4** Normalize the columns of  $\mathbf{X}^{(m)}$
- **5** Compute the common low dimensional space  $\mathbf{Y}^{(m)}$
- **6** Visualize the low dimensional projection  $Y^{(m)}$  or 4DN phase plane **Return**:  $Y^{(m)}$  and genes in loci with the largest structure-function changes

#### Structural data

Structure in the 4DN feature analyzer is derived from Hi-C data. Hi-C determines the edge weights in our genomic network through the frequency of contacts between genomic loci. To analyze genomic networks, we adopt an important concept from network theory called centrality. Network centrality is motivated by the identification of nodes that are the most 'central' or 'important' within a network [10]. The 4DN feature analyzer uses *degree, eigenvector, betweenness*, and *closeness* centrality (step 1 of Algorithm 1), which have been shown to be biologically relevant [7]. For example, eigenvector centrality can identify structurally defined regions of active/inactive gene expression, since it encodes clustering information of a network [7,11]. Additionally, betweenness centrality measures the importance of nodes in regard to the flow of information between pairs of nodes. Boundaries between euchromatin and heterochromatin, which often change in reprogramming experiments, can be identified in a genomic network through betweenness centrality [7].

# **Functional data**

Function in the 4DN feature analyzer is derived from gene expression through RNA-seq. Function is defined as the  $log_2$  transformation of Transcripts Per Million (TPM) or Reads Per Kilobase Million (RPKM). For regions containing more than one gene, the mean expression of all genes within the region is used. The 4DN feature analyzer can also use other one-dimensional features (e.g. ChIP-seq, DNase-seq, etc.). The interpretation of the results and visualizations would change accordingly.

## Integration of data

Hi-C data is naturally represented as a matrix of contacts between genomic loci. Network centrality measures are one-dimensional vectors that describe important structural features of the genomic network. We combine network centrality with RNA-seq expression to form a structure-function



Figure 2. Overview of the 4DNvestigator data processing pipeline. within this diagram, 4DN refers to the 4DNvestigator.

'feature' matrix that defines the state of each genomic region at each time point (Figure 3A, step 3 of Algorithm 1). Within this matrix, rows represent genomic loci and columns are the centrality measures (structure) and gene expression (function) of each locus. The z-score for each column is computed to normalize the data (step 4 of Algorithm 1).

## **4DN analysis**

The 4DN feature analyzer reduces the dimension of the structure-function feature matrix for visualization and further analysis (steps 5 and 6 of Algorithm 1). We include the main linear dimension reduction method, Principal Component Analysis (PCA), and multiple nonlinear dimension reduction methods: Laplacian Eigenmaps (LE) [12],t-distributed Stochastic Neighbor Embedding (t-SNE) [13], and Uniform Manifold Approximation and Projection (UMAP) [14] (Figure 3 C). These methods are described in detail more in Supplementary Materials 'Dimension Reduction'. The 4DN feature analyzer can also visualize the dynamics of genome structure and function using the 4DN phase plane (step 6 of Algorithm 1) [3,15]. We designate one axis of the 4DN phase plane as a measure of genome structure (e.g. eigenvector centrality) and the other as a measure of genome function (gene expression). Each point on the phase plane represents the structure and function of a genomic locus at a specific point in time (Figure 3B). The 4DN feature analyzer identifies genomic regions and genes with large changes in structure and function over time, and provides relevant links to the NCBI and GeneCard databases [8,9].

# Additional 4DNvestigator tools

## General structure and function analysis

The 4DNvestigator also includes a suite of previously developed Hi-C and RNA-seq analysis methods. Euchromatin and heterochromatin compartments can be identified from Hi-C [4,16], and regions that change compartments between samples are automatically identified. Significant changes in gene expression between RNA-seq samples can be determined through differential expression analysis using established methods [17].

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**Figure 3.** 4DN feature analyzer. (A) 4DN data is input to the 4DN feature analyzer. Top: Structure data (Hi-C) is described using onedimensional features for compatibility with function data (RNA-seq). Bottom: Multiple structural features and function data are integrated into the structure-function feature matrix. (B) The 4DN feature analyzer can use structure and function data directly to visualize a system's dynamics using the 4DN phase plane [3,15]. Structure defines the *x*-axis (left: eigenvector centrality, right: PC1) and function defines the *y*-axis (left: log<sub>2</sub>(RPKM), right: log<sub>2</sub>(TPM)), and points show structure-function coordinates through time. Left: Maternal and paternal alleles of nine cell cycle genes through G1, S, and G2/M phases of the cell cycle (adapted from [15]). Right: Top ten genomic regions (100 kb) with the largest changes in structure and function during cellular reprogramming [7]. (C) Multiple dimension reduction techniques can be used to visualize the 4DN feature analyzer's structure-function feature matrix (from left to right: LE, UMAP, and t-SNE). Top: 100 kb regions of Chromosome 4 across six time points during cellular differentiation [28]. Bottom: 100 kb regions of Chromosome 11 across three time points during cellular reprogramming [7]. (D) Example output of the 4DN feature analyzer. The output includes genes contained in loci with the largest changes, and links to their NCBI and GeneCards database entries [8,9].

#### **Network entropy**

Entropy measures the amount of uncertainty within a system [18]. We use entropy to quantify the organization of chromatin structure from Hi-C data, where higher entropy corresponds to less structural organization. Since Hi-C is a multivariate analysis measurement (each contact coincidence involves two variables, the two genomic loci), we use multivariate entropy as follows:

$$\mathbf{Entropy} = -\sum_{j} \lambda_j \ln \lambda_j, \tag{1}$$

where  $\lambda_i$  represents the dominant features of the Hi-C contact matrix. In mathematics, these dominant features are called eigenvalues [19]. Biologically, genomic regions with high entropy likely correlate with high proportions of euchromatin, as euchromatin is more structurally permissive than heterochromatin [20,21]. Furthermore, entropy can be used to quantify stemness, since cells with high pluripotency are less defined in their chromatin structure [22]. We provide the full algorithm for network entropy and calculate the entropy of Hi-C data

from multiple cell types in Supplementary Materials 'Network Entropy'.

## **Tensor entropy**

The notion of transcription factories supports the existence of simultaneous interactions involving three or more genomic loci [23]. This implies that the configuration of the human genome can be more accurately represented by k-uniform hypergraphs, a generalization of networks in which each edge can join exactly k nodes (e.g. a standard network is a 2-uniform hypergraph). We can construct k-uniform hypergraphs from Hi-C contact matrices by computing the multicorrelations of genomic loci. Tensor entropy, an extension of network entropy, measures the uncertainty or disorganization of uniform hypergraphs [24]. Tensor entropy can be computed from the same entropy formula (1) with generalized singular values  $\lambda_i$  from tensor theory [24,25]. We provide the definitions for multi-correlation and generalized singular values, the algorithm to compute tensor entropy, and an application of tensor entropy on Hi-C data in Supplementary Materials 'Tensor Entropy'.

#### Larntz-Perlman procedure

The 4DNvestigator includes a statistical test, proposed by Larntz and Perlman (the LP procedure), that compares correlation matrices [26,27]. The LP procedure is applied to correlation matrices from Hi-C data, and is able to determine whether multiple Hi-C samples are significantly different from one another. Suppose that  $\mathbf{C}^{(m)} \in \mathbb{R}^{n \times n}$  are the sample correlation matrices of Hi-C contacts with corresponding population correlation matrices  $\mathbf{P}^{(m)} \in \mathbb{R}^{n \times n}$  for m = 1, 2, ..., k. The null hypothesis is  $H_0: \mathbf{P}^{(1)} = ... = \mathbf{P}^{(k)}$ . First, compute the Fisher z-transformation  $\mathbf{Z}^{(m)}$  by

$$\mathbf{Z}_{ij}^{(m)} = \frac{1}{2} \ln \frac{1 + \mathbf{C}_{ij}^{(m)}}{1 - \mathbf{C}_{ij}^{(m)}}.$$
 (2)

Then, form the matrices  $\mathbf{S}^{(m)}$  such that

$$\mathbf{S}_{ij}^{(m)} = (n-3) \sum_{m=1}^{k} (\mathbf{Z}_{ij}^{(m)} - \mathbf{Z}_{ij})^2, \qquad (3)$$

where,  $\overline{\mathbf{Z}}_{ij} = \frac{1}{k} \sum_{m=1}^{k} \mathbf{Z}_{ij}^{(m)}$ . The test statistic is given by  $T = \max_{ij} \mathbf{S}_{ij}$ , and  $H_0$  is rejected at level  $\alpha$  if  $T\chi^2_{k-1,\in(\alpha)}$  where  $\chi^2_{k-1,\in(\alpha)}$  is the chi-square distribution with k-1 degree of freedom, and  $\varepsilon(\alpha) = (1-\alpha)^{2/(n(n-1))}$  is the Šidák correction. Finally, calculate the *p*-value at which  $T\chi^2_{k-1,\in(\alpha)}$ . We note that this *p*-value is conservative, and that the actual *p*-value may be smaller depending upon the amount of correlation among the variables. The LP procedure determines the statistical significance of any differences between multiple Hi-C samples for a genomic region of interest. We provide benchmark results of the LP procedure with other Hi-C comparison methods in Supplementary Materials 'LP Procedure for Comparing Hi-C Matrices'.

#### Results

We demonstrate how the 4DN feature analyzer can process time series structure and function data (Figure 3A) with three examples (Figure 3B-D).

**Example 1: Cellular Proliferation**. Hi-C and RNA-seq data from B-lymphoblastoid cells (NA12878) capture the G1, S, and G2/M phases of the cell cycle for the maternal and paternal genomes [15]. We visualize the structure-function dynamics of the maternal and paternal alleles for nine cell cycle regulating genes using the 4DN phase plane (Figure 3B, left). We are interested in the importance of these genes within the genomic network through the cell cycle, so we use eigenvector centrality as the structural measure. This analysis highlights the coordination between the maternal and paternal alleles of these genes through the cell cycle.

**Example 2: Cellular Differentiation**. We constructed a structure-function feature matrix from time series Hi-C and RNA-seq data obtained from differentiating human stem cells [28]. These data consist of six time points which include human embryonic stem cells, mesodermal cells, cardiac mesodermal cells, cardiac progenitors, primitive cardiomyocytes, and ventricular cardiomyocytes [28]. We analyze Chromosome 4 across the six time points in 100 kb resolution by applying three dimension reduction techniques to the structure-function feature matrix: LE, UMAP, and t-SNE (Figure 3 C, top). There is a better separation of the cell types during differentiation using UMAP and t-SNE than from LE. The optimal methods for visualization and analysis are data dependent, so the 4DNvestigator offers multiple tools for the user's own exploration of their data.

Example 3: Cellular Reprogramming. Time series Hi-C and RNA-seq data were obtained from an experiment that reprogrammed human dermal fibroblasts to the skeletal muscle lineage [7]. We analyze samples collected 48 hr prior to, 8 hr after, and 80 hr after the addition of the transcription factor MYOD1. The ten 100 kb regions from Chromosome 11 that varied most in structure and function are visualized using the 4DN phase plane in Figure 3B (right). We also construct a structure-function feature matrix of Chromosome 11 in 100 kb resolution. Similar to the differentiation data analysis, we use LE, UMAP, and t-SNE to visualize the structurefunction dynamics. These low dimensional projections show the separation of the three time points corresponding to before, during, and after cellular reprogramming (Figure 3 C, bottom). We show an example output of the 4DN feature analyzer, which highlights genes contained in the genomic loci that have the largest structure-function changes through time and provides links to the NCBI and GeneCards database entries for these genes (Figure 3D) [8,9].

#### Discussion

The 4DNvestigator provides rigorous and automated analysis of Hi-C and RNA-seq time series data by drawing on network theory, information theory, and multivariate statistics. It also introduces a simple statistical method for comparing Hi-C matrices, the LP procedure. The LP procedure is distinct from established Hi-C matrix comparison methods, as it takes a statistical approach to test for matrix equality, and allows for the comparison of many matrices simultaneously. Thus, the 4DNvestigator provides a comprehensive toolbox that can be applied to time series Hi-C and RNA-seq data simultaneously or independently. These methods are important for producing rigorous quantitative results in 4DN research.

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#### **Data availability**

https://github.com/lindsly/4DNvestigator

#### **Disclosure statement**

Samuel Dilworth is an employee of iReprogram.

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