



# What Can Parasites Tell Us About the Pathogenesis and Treatment of Asthma and Allergic Diseases

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The same mechanisms that enable host defense against helminths also drive allergic inflammation. This suggests that pathomechanisms of allergic diseases represent evolutionary old responses against helminth parasites and that studying antihelminth immunity may provide insights into pathomechanisms of asthma. However, helminths have developed an intricate array of immunoregulatory mechanisms to modulate type 2 immune mechanisms. This has led to the hypothesis that the lack of helminth infection may contribute to the rise in allergic sensitization in modern societies. Indeed, the anti-inflammatory potential of helminth (worm) parasites and their products in allergy and asthma has been recognized for decades. As helminth infections bring about multiple undesired effects including an increased susceptibility to other infections, intended helminth infection is not a feasible approach to broadly prevent or treat allergic asthma. Thus, the development of new helminth-based biopharmaceuticals may represent a safer approach of harnessing type 2-suppressive effects of helminths. However, progress regarding the mechanisms and molecules that are employed by helminths to modulate allergic inflammation has been relatively recent. The scavenging of alarmins and the modulation of lipid mediator pathways and macrophage function by helminth proteins have been identified as important immunoregulatory mechanisms targeting innate immunity in asthma and allergy. In addition, by regulating the activation of dendritic cells and by promoting regulatory T-cell responses, helminth proteins can counterregulate the adaptive T helper 2 cell response that drives allergic inflammation. Despite these insights, important open questions remain to be addressed before helminth molecules can be used for the prevention and treatment of asthma and other allergic diseases.

**Keywords:** helminths, inflammation, macrophage, asthma, immune regulation, allergy, helminth molecules, type 2 immunity

## INTRODUCTION

Helminth infections affect about 2 billion people worldwide, and children in developing countries are particularly susceptible (1). Depending on parasite burden, helminth infections can be asymptomatic or induce pathology in the host, with malnutrition, anemia, educational loss, and cognitive deficits as major consequences (2–4).

Helminths usually infest their host as tissue-migratory larvae, which establish niches in the lung, skin, liver, or intestine, where they develop, mate, and release new infectious offspring.

The host plays a critical role in this life cycle and represents a vehicle for the spread of the parasite. During evolution, helminths have learned to suppress host defense and establish chronic infections that can endure up to 20 years (5). Helminths typically induce a host protective type 2 cell-mediated immunity, which limits type 1 inflammation, reduces host tissue damage, and ensures parasite survival (6). Helminth-induced type 2 immune responses are initiated by the damaged epithelium, which secretes alarmins [interleukin 25 (IL-25), IL-33, and thymic stromal lymphopoietin] that activate and recruit type 2 innate lymphoid cells (ILCs2) and CD4<sup>+</sup> T helper 2 (T<sub>H</sub>2) lymphocytes. The production of type 2 cytokines (IL-4, IL-5, IL-10, and IL-13), as well as granulocyte-macrophage colony-stimulating factor (GM-CSF), by these cells induces eosinophilia, M2 macrophage polarization, and the secretion of immunoglobulin G1 (IgG1), IgG4, and IgE (7–11).

A type 2 immune response is also a hallmark of asthma and allergy, suggesting that host defense and repair mechanisms of antihelminth immunity have implications for the pathogenesis and treatment of these inflammatory diseases. Epidemiological evidence on the reciprocity between helminthiasis and chronic inflammatory diseases has implicated helminth infections in the prevention of allergy and asthma [see previous reviews (12–14)]. Helminths produce molecules with powerful immunomodulatory activities such as the anti-inflammatory protein-2 (AIP-2) in hookworms, the transforming growth factor  $\beta$  (TGF- $\beta$ ) mimic (Hp-TGM), the alarmin release inhibitor (Hp-ARI), or the enzyme glutamate dehydrogenase (Hpb-GDH) in the nematode *Heligmosomoides polygyrus* (15–18). The anti-inflammatory effects of helminth products observed in experimental models of asthma prompt a better investigation of helminth-(product)-driven regulation of type 2 inflammation and its underlying mechanisms of action in human settings. Current research aims to translate promising findings from rodent models to human disease and to ultimately develop helminth-based biotherapeutics for the prevention and therapy of allergy and asthma.

## EPIDEMIOLOGICAL EVIDENCE FOR PROTECTIVE ROLES OF HELMINTHS IN ALLERGY AND ASTHMA

Helminths exert diverse effects on asthma and allergies depending on the species, parasite load, and time of infection (19, 20). Some parasites trigger or worsen asthma and allergic symptoms, whereas others tend to reduce the risk of these diseases (21).

*Ascaris lumbricoides* is a gastrointestinal parasite that passages through the lung. Studies in several countries have shown an association between *Ascaris* infection, asthma, and aeroallergen sensitization (22–24), which also correlated with *Ascaris*-specific IgE (sIgE) (25–27). A high prevalence of asthma and wheezing was particularly observed among *Ascaris*-infected children (28, 29). Similarly, infection with *Strongyloides* and *Toxocara* species correlates positively with allergic airway disorders. Infection with the intestinal parasite *Strongyloides stercoralis* was associated with an increased risk of asthma and its exacerbation (21, 30, 31) and *Toxocara* species infection resulted in increased allergy

and asthma prevalence in children, which positively correlated with serum IgE levels (32–34). Thus, some helminth species trigger mechanisms such as the production of cross-reactive IgE or inflammatory mediators that promote allergic sensitization and/or asthma symptoms. A detailed understanding of how parasites drive allergic inflammation may provide important insights into pathomechanisms and therapeutic targets of allergy and asthma.

However, other epidemiological studies have shown a lower prevalence of asthma and allergic disorders during chronic intestinal helminth infections (35–37). Hookworm infection appears to be particularly protective (21), whereas the results for other parasites vary, depending on study design and the assessed outcomes. In several studies, deworming of chronically infected people increased allergic reactions and overall responsiveness of patients' immune cells (38–41), and long-term antihelminthic treatment increased skin prick test reactivity to mite in *Ascaris* species and *Trichuris* species-infected children, as well as in allergic rhinitis patients (38–40). However, effects on asthma or rhinitis symptoms were not assessed in these studies. Direct evidence for helminth-driven modulation of allergic diseases in humans came from a multitude of studies on *Schistosoma* species infection. Children infected with *Schistosoma haematobium* displayed reduced skin prick test reactivity to house dust mite (HDM) and other aeroallergens (42) and lower allergic responses to mite were observed in *Schistosoma mansoni*-infected individuals (43). Allergy-protective effects of helminths were related to the intensity and chronicity of the infection, as well as parasite burden (36, 44, 45). Furthermore, in the presence of *S. mansoni*, peripheral blood mononuclear cells from asthmatic patients released a lower amount of inflammatory type 2 cytokines and higher levels of anti-inflammatory IL-10 (46). A lower hospitalization rate was observed for asthmatic patients infected with *S. mansoni*, suggesting that infection may reduce asthma morbidity (47).

In summary, the detrimental or protective effects of helminthiasis on asthma and allergy depend on the parasite species, the duration of the infection, and the immunological context. These diverse effects may be due to different antigen or mediator repertoires, which affect hallmark type 2 responses such as eosinophil recruitment, the activation of allergen-specific T<sub>H</sub>2 cells, or IgE class switching. Worm molecules may also exert a different propensity for uptake by antigen-presenting cells and thus differentially regulate the induction of T cell responses. Finally, environmental factors, the presence of coinfections, and microbiota composition influence the immune response toward helminth parasites, resulting in different outcomes in helminth-infected individuals from different locations (48–50).

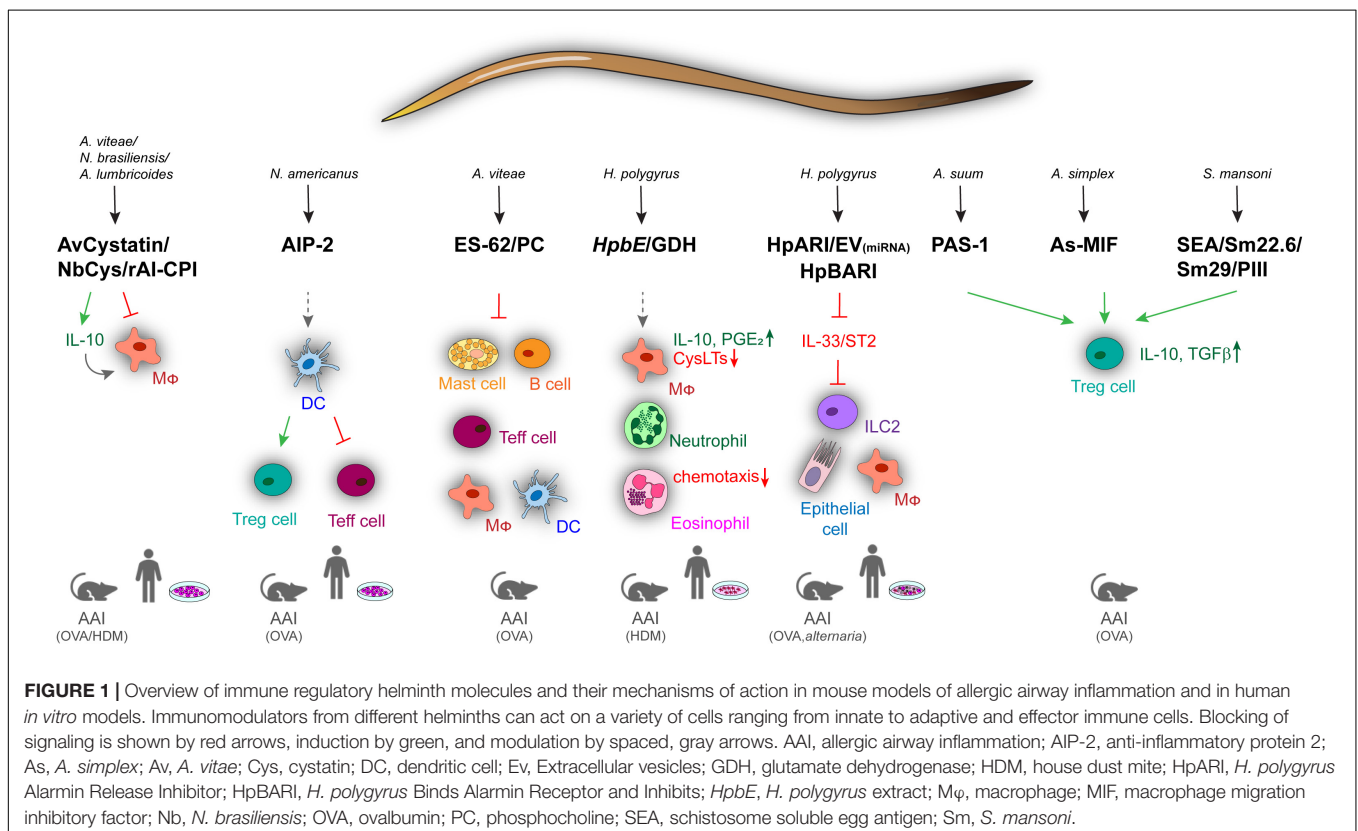
## IMMUNOMODULATION OF ASTHMA AND ALLERGIC DISEASES BY HELMINTH MOLECULES

As helminth infection has been implicated in the prevention of allergy and asthma, experimental infection with helminths has been used in humans and animals to test potential therapeutic effects. Although rodent studies have demonstrated that helminth infection ameliorates allergic inflammation, clinical trials have

not found the same benefits (51–54). Encouraging results regarding the modulation of the immune response during asthma were observed in experimental infections with *Schistosoma* species, *H. polygyrus*, and *Nippostrongylus brasiliensis*. *S. mansoni* and *Schistosoma japonicum* are natural human parasites that showed anti-inflammatory effects in models of ovalbumin (OVA) and HDM allergy (45, 55–57). Protection against allergic airway inflammation (AAI) in *Schistosoma*-infected mice was associated with the upregulation of IL-10, downregulation of IL-5, and induction of regulatory T cells (Tregs), which together induce a modified type 2 immune response (58–60). Induction of Tregs and IL-10 production is also implicated in allergy-suppressive actions of the gastrointestinal mouse parasite *H. polygyrus* (61–64). Infection with *H. polygyrus* suppressed airway inflammation, by reducing eosinophil recruitment, and this effect was associated with Treg and Breg expansion and the upregulation of anti-inflammatory IL-10 (63, 65). IL-10-dependent prevention of allergy has also been observed with the parasite *N. brasiliensis*, in a model of OVA-induced airway hyperresponsiveness in rats. These studies suggest shared allergy-suppressive mechanisms among different parasite species (66).

Although animal models of helminth infection have contributed to the understanding of parasite-driven immune regulation in asthma and allergy, deeper insights into immunomodulatory effects of helminths have been provided by studying active molecules produced by parasites. The systematic analysis of parasite products by the help of proteomics and genomics has identified a comprehensive collection

of helminth-derived molecules with immunomodulatory effects on asthma and allergic diseases (Figure 1). One of the best characterized helminth-derived immunomodulators is ES-62, a phosphorylcholine (PC)-containing glycoprotein secreted by the parasitic filarial nematode *Acanthocheilonema viteae*. ES-62 has shown protective effects in mouse models of asthma, lung fibrosis, and rheumatoid arthritis (67–70), with its immunomodulatory capacity depending on the PC moiety (71). Through PC modification, ES-62 can act on a variety of cells of the immune system, ranging from mast cells (MCs), macrophages, dendritic cells (DCs) to B cells, to affect intracellular pathways associated with antigen receptor and TLR signaling (67, 72–75). In MCs, ES-62 inhibits high-affinity IgE receptor (FcεRI)-induced degranulation, resulting in reduced ear swelling and hypersensitivity in a mouse model of oxazolone-induced skin inflammation. The suppression of MC activity by ES-62 further diminished airway hyperresponsiveness, lung pathology, and eosinophilia during OVA-induced AAI (67). The regulatory effects of ES-62 were mediated by the suppression of OVA-specific CD4<sup>+</sup> T cell proliferation, concomitant with decreased production of IL-4, IL-13, and interferon  $\gamma$  (IFN- $\gamma$ ) (76). The regulatory potential of ES-62 on MCs depended on the inhibition of MyD88-mediated signaling downstream of TLR4 and FcεRI3, which was partially dependent on IL-33/ST2 signaling (75, 77). The suppression of IL-33 signaling was also described as a key mechanism underlying the *H. polygyrus*-driven modulation of type 2 immune responses. This effect is mediated by the secretion



of an Alarmin Release Inhibitor (HpARI), which binds and blocks IL-33, and by the recently discovered Binds Alarmin Receptor and Inhibits (HpBARI) protein, which blocks the IL-33 ST2 receptor in mice and human cells (18, 78). HpARI was shown to hamper IL-33 release in human lung explants and in a human IL-33 transgenic mouse model after *Alternaria* allergen administration (18), whereas HpBARI inhibited eosinophil recruitment after *Alternaria* allergen administration (78). Another undefined *H. polygyrus* product was able to downregulate IL-33 production through the induction of IL-1 $\beta$ , thus promoting parasite chronicity (79). In *Alternaria*-induced AAI, *H. polygyrus* downregulated the IL-33 receptor via releasing extracellular vesicles containing microRNAs, resulting in reduced eosinophilia and improved lung function (18, 80, 81). These results indicate that vesicle release represents an efficient way to deliver immunomodulatory molecules to host immune cells. Similar to scavenging of IL-33 by HpARI, the recently identified protein p43 from *Trichuris muris* can bind IL-13 and thereby inhibit parasite expulsion (82), raising the question if this molecule can also modulate IL-13–driven airway inflammation.

Another conserved mechanism of helminth-driven immune regulation is the use of cysteine protease inhibitors (cystatins). Mammalian cysteine proteases are required for proteolytic processing of antigens, enabling presentation on MHC class II molecules and effective T cell responses. Cystatins from *A. viteae*, *Brugia malayi*, *N. brasiliensis*, *Onchocerca volvulus*, *Clonorchis sinensis*, *A. lumbricoides*, *H. polygyrus*, and *Litomosoides sigmodontis* have been shown to interfere with this process to evade antigen-induced immunity (83–94). AvCystatin from *A. viteae* mitigated airway inflammation and colitis in mice through the induction of IL-10–producing macrophages (93) and reduced pollen-specific responses in lymphocytes from allergic patients (94). Cystatin from *N. brasiliensis* (NbCys) dampened OVA-specific splenocyte proliferation, as well as IgE and cytokine production by inhibiting cathepsins L and B (89). Similar effects were observed for cystatin (rAl-CPI) from *A. lumbricoides*, which decreased T<sub>H</sub>2 cytokine and IgE production in a mouse model of HDM-induced AAI (92).

A large repertoire of immunomodulatory molecules is also present in the egg stage of some parasites. Schistosome soluble egg antigen (SEA) from *S. japonicum* showed inhibitory effects on the development of airway inflammation in a CD4<sup>+</sup> CD25<sup>+</sup> T cell–dependent manner during OVA-induced asthma in mice (95). In the same model, antigens from *S. mansoni* (Sm22.6, Sm29, and PIII) reduced airway inflammation, eosinophilia, OVA-specific IgE levels, and T<sub>H</sub>2 cytokine production in the BAL. The beneficial effects of Sm22.6 were due to the induction of IL-10, similar to the *S. mansoni* egg glycoprotein IPSE/ $\alpha$ -1, which induced IL-10–producing Bregs (96). In contrast, SM22.6 and PIII triggered the expansion of CD4<sup>+</sup>Foxp3<sup>+</sup> T cells suggesting that both Treg and Breg cells are involved in the modulation of type 2 inflammation by SEA (97).

Helminth molecules can also mimic host-derived mediators. *H. polygyrus* or administration of its excretory–secretory products (HES) induces Treg cells, suppressing effector cell proliferation *in vitro* and AAI *in vivo*. This regulatory response was mediated by Hp-TGM, a protein with TGF- $\beta$ –like activity (15, 64). TGH-2 from *B. malayi* similarly activated

TGF- $\beta$  pathways, suggesting TGF- $\beta$  signaling as a shared immunomodulatory mechanism among parasite species (98). *B. malayi*, *Ancylostoma ceylanicum*, *Trichinella spiralis*, and *Anisakis simplex* also produce homologs of the mammalian cytokine macrophage migration inhibitory factor (MIF) (99–103). MIF homologs from *B. malayi* (99) and *T. spiralis* (100) functionally reflect host MIF proteins, e.g., regarding chemotactic effects on monocytes, whereas the MIF homolog from *A. simplex* (As-MIF) showed direct anti-inflammatory activity on OVA-induced AAI, where it suppressed the production of T<sub>H</sub>2 cytokines (IL-4, IL-5, and IL-13), as well as eosinophilia and goblet cell hyperplasia in the airways. These effects were again associated with the recruitment of CD4<sup>+</sup>CD25<sup>+</sup>Foxp3<sup>+</sup> T cells and the upregulation of IL-10 and TGF- $\beta$  (102, 103).

Treg cell induction *in vivo* was also observed for an excretory/secretory protein of *Ascaris suum* (PAS-1), which inhibited airway inflammation in a murine model of OVA-induced AAI by decreasing eosinophilia and T<sub>H</sub>2 cytokines in the BAL, as well as OVA-specific serum IgE (104). PAS-1 also abrogated airway inflammation and airway hyperreactivity induced by the proinflammatory *A. suum* molecule APAS-3 by reducing the production of proinflammatory cytokines in the airways and IgG1 and IgE levels in the serum (105). The amelioration of OVA-induced asthma by PAS-1 was mediated by IL-10/TGF- $\beta$ –producing Treg cells (CD4<sup>+</sup>CD25<sup>+</sup>) and IFN- $\gamma$ –producing CD8<sup>+</sup> T cells (104, 106). Thus, many helminth molecules target IL-10, TGF- $\beta$ , and IFN- $\gamma$ , which efficiently suppress type 2 cytokine and antibody responses involved in antihelminth immunity and allergic inflammation (107).

Recently, a metalloprotease (TIMP)–like protein from *Necator americanus* (AIP-2) with Treg-mediated anti-inflammatory effects on AAI was identified. AIP-2 did not suppress matrix metalloprotease catalytic activity, but modulated the activity of CD103<sup>+</sup> DCs that reduced the expression of costimulatory markers and expanded Treg cells. Thus, administration of AIP-2 reduced eosinophil recruitment, type 2 cytokine (IL-5, IL-13) production in the airways, and OVA-specific IgE in the serum. Importantly, AIP-2 also inhibited the proliferation of T effector cells from the blood of human HDM allergic patients (17).

Another recent study showed that in addition to products of the adult L5 stage of *H. polygyrus* (e.g., HES, HpARI), a preparation of the infective larval (L3) stage could protect mice against the development of AAI. The *H. polygyrus* larval extract (*HpbE*) and its active protein component, *Hpb* GDH, efficiently suppressed HDM-induced AAI *in vivo*. In particular, *HpbE* and recombinant *Hpb* GDH modulated the arachidonic acid metabolism of macrophages, inducing an anti-inflammatory, type 2 suppressive eicosanoid profile (16). *HpbE*–/GDH-treated macrophages exhibited high IL-10 and prostaglandin E<sub>2</sub> (PGE<sub>2</sub>) production, but low production of proinflammatory leukotrienes, which are key mediators of AAI (16, 108). Macrophage-derived PGE<sub>2</sub> was particularly important for the *HpbE*-driven regulation of AAI in this study, and another study found that also helminths themselves can produce this immunomodulatory mediator (109). The *HpbE*-induced eicosanoid switch was largely mediated through nuclear factor  $\kappa$ B, p38 mitogen-activated protein kinase, hypoxia-inducible factor 1 $\alpha$ , and the cyclooxygenase-2 pathway.

Finally, *HpbE* reduced the chemotaxis of granulocytes from patients suffering from type 2 airway inflammation (16).

Together, these studies reveal that helminth molecules are efficient modulators of the innate and adaptive immune responses that drive AAI.

## DISCUSSION

Helminths have unique immune regulatory potential, and understanding the complex array of immune responses triggered by these parasites may be instrumental for the diagnosis, prevention, and treatment of type 2 inflammatory diseases, such as allergic asthma. Identifying the molecules and mechanisms that determine whether a parasite will promote or suppress allergic inflammation may foster both the definition and targeting of pathomechanisms of chronic type 2 inflammation. Parasitic infections influence immunity and inflammation by a variety of molecular and cellular mechanisms, including the induction of Treg cells and regulatory macrophages, producing anti-inflammatory mediators, such as TGF- $\beta$ , IL-10, and PGE<sub>2</sub>, with beneficial effects in experimental models of asthma. However, the translation of these results from rodents to humans is not trivial. For instance, little is known about the correct dose or duration of parasite infection required for protective effects in humans. Safety concerns about detrimental effects of parasite infection limit clinical trials, and high immunological variation, e.g., due to different genetic background, complicates the interpretation of data from experimental helminth infection in humans. Indeed, not all studies show an impact of helminth infection or deworming on allergic inflammation (110, 111), which is in line with the lack of a therapeutic effect of intended helminth infection on AAI in humans (51–53) [for a comprehensive review, see Evans and Mitre (112)]. It is important to note that epidemiological studies commonly assess effects of helminth infection on skin prick test reactivity (e.g., atopy) rather than asthma symptoms, which may explain disparities between different studies.

Safety concerns regarding live helminth infections may be overcome by the identification and characterization of helminth-derived anti-inflammatory molecules, which may be developed as biotherapeutics. Therapeutic approaches exploiting the immunomodulatory potential of helminths, while avoiding infection-related side effects, represent an attractive treatment option for major chronic airway diseases. The identification

of the cellular and molecular pathways targeted by helminth molecules (e.g., T cells, DCs, TLR-/IL-33 signaling) should aid the discovery of new worm-based drugs. Such drugs will have to be delivered preferentially locally, i.e., to the inflamed tissue at an optimal dose, route, and frequency of administration, which remains to be determined for each molecule. The recent identification of immune regulatory molecules that reduce AAI upon local delivery and simultaneously act on key human cells involved in asthma (e.g., epithelial cells, macrophages, eosinophils) (16–18) justifies the hope that effective topical helminth-based biotherapeutics can be developed. Formulation for local delivery into the airways represents a vital alternative to current biologics or oral corticosteroids that today represent the standard treatment for more severe forms of type 2 airway inflammation. However, before helminth-derived molecules can reach the clinics, there are several hurdles to be cleared. This particularly includes the immunogenicity of helminth molecules, potential proinflammatory side effects, as well as their half-life in the human organism. Reducing the immunogenicity of foreign helminth molecules represents a major challenge that may, e.g., be tackled by packaging immune regulatory proteins into nanocarriers for targeted delivery to a specific cell type or by designing non-immunogenic (humanized) mutants. Despite these challenges, significant scientific progress has been made to turn worm molecules into drug candidates. The unique and diverse modes of action of helminth-derived molecules make them promising candidates to become the next generation of biotherapeutics for the treatment of type 2 inflammatory disorders.

## AUTHOR CONTRIBUTIONS

SB, FT, MR, and JE wrote the manuscript, SB and FT prepared the figures. All authors contributed to the article and approved the submitted version.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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