

# High-level expression by tissue/cancer-specific promoter with strict specificity using a single-adenoviral vector

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## ABSTRACT

**Tissue-/cancer-specific promoters for use in adenovirus vectors (AdVs) are valuable for elucidating specific gene functions and for use in gene therapy. However, low activity, non-specific expression and size limitations in the vector are always problems. Here, we developed a 'double-unit' AdV containing the Cre gene under the control of an  $\alpha$ -fetoprotein promoter near the right end of its genome and bearing a compact 'excision-expression' unit consisting of a target cDNA 'upstream' of a potent promoter between two loxPs near the left end of its genome. When Cre was expressed, the expression unit was excised as a circular molecule and strongly expressed. Undesired leak expression of Cre during virus preparation was completely suppressed by a dominant-negative Cre and a short-hairpin RNA against Cre. Using this novel construct, a very strict specificity was maintained while achieving a 40- to 90-fold higher expression level, compared with that attainable using a direct specific promoter. Therefore, the 'double-unit' AdV enabled us to produce a tissue-/cancer-specific promoter in an AdV with a high expression level and strict specificity.**

## INTRODUCTION

Because tissue-specific promoters enable us to express a gene in a cell-type-specific manner *in vivo* or in a primary tissue culture, such promoters offer an attractive approach to studying the specific functions of a gene

product in the tissues of experimental animals, such as in the brain where cells of different types are present together in the same region. The use of transgenic/knockout mice and adenovirus vectors (AdVs) are the most common approaches for utilizing these promoters. Among such promoters, those specific to malignant cells may be valuable for specific gene therapy or the diagnosis of cancer.

However, one drawback of such promoters is that their expression levels are much lower than those of a versatile promoter, such as the cytomegalovirus (CMV) immediate-early, CAG (1) or EF1 $\alpha$  (2) promoters. For example, the  $\alpha$ -fetoprotein (AFP) promoter, which is a relatively strong promoter among tissue-specific promoters, is reported to be ~500-fold less active than the CAG promoter (3), limiting its usefulness. Another important problem associated with the use of these promoters is that their specificity was often not sufficiently strict. One possible reason is that the excised segment of a specific promoter lacks the sufficient control of specific silencers and shows some 'basal level' of expression. In some cases, the lack of specificity might arise from the DNA elements surrounding the promoter, rather than the intrinsic character of the specific promoter (4,5). In human gene therapy using AdV, a strict specificity is critically important for safety as well as efficacy.

As one solution, we previously reported a 'double-infection' method (3), in which the virtual activity of AFP promoter was increased by about 50-fold while maintaining a strict specificity. With this method, two AdVs are infected simultaneously: one AdV contains a 'switch unit' consisting of Cre gene under the control of AFP promoter, and the other AdV bears a 'target unit' consisting of the CAG promoter,

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*loxP*, the stuffer sequence, second *loxP*, and a target cDNA, in that order. In non-hepatocarcinoma cells, the expression of the reporter cDNA remains turned off. However, in target hepatocarcinoma cells, AFP promoter in the switch unit of one AdV is turned on, leading to the production of Cre enzyme; this in turn leads to the excision of the stuffer sequence in the other AdV by Cre-mediated site-specific recombination, leaving the CAG promoter and the target gene connected only through a *loxP* sequence and enabling the strong expression of the target gene. Even when AFP promoter is weak and only a small quantity of Cre protein is produced, Cre can act as an enzyme multiple times, allowing most of the target AdV genomes to be processed eventually and accounting for the very high level of expression that can be obtained. The double infection method has been applied in numerous *in vivo* studies examining gene therapy for lung, colon and gastric cancers using the carcinoembryonic antigen promoter (6–10), for thyroid cancer using the thyroglobulin promoter (11), for hepatocellular carcinoma using AFP promoter (12), for prostate cancer using the prostate-specific antigen promoter (13) and for astrocytoma using the astrocytoma-specific promoter for GFAP (14). The method has also been applied in brain research using a modified, neuron-specific promoter of superior cervical ganglion 10 (15).

However, because the double-infection method uses two different AdVs simultaneously, controlling the infection is complicated and not often reproducible. Additionally, the toxicity and inflammation are at least doubled, compared with a single viral infection, for transducing the same amount of the target unit. Moreover, since a single cell infected with only one of the vectors does not produce any target protein, many such cells remain unused, causing a low expression as a result of dilution (12). Therefore, as a simpler, safer and more effective vector, an AdV bearing both the switch and the target unit simultaneously in a single genome is needed. However, the development of such an AdV has been regarded as being very difficult, since the sum of the lengths of both units exceeds the maximum length of the AdV genome. Furthermore, a leak in the expression of Cre can produce a large amount of stuffer-lacking AdV during vector preparation, causing severe non-specific expression. In addition, an enhancer of the potent promoter in the target unit may decrease the specificity of the specific promoter in the switch unit. Thus, a new vector is needed to solve these problems.

Here, we report the development and successful preparation of an AdV containing both units in a single genome. The vector, called a 'double-unit' AdV, possesses an extraordinary 'excisional-expression' structure and solves the aforementioned problems simultaneously, because the target unit of this vector lacks a stuffer sequence and because the target gene is expressed not from its genome, but from an excised circular DNA. The developed AdV shows a high level of expression (40- to 90-fold) while maintaining a very strict specificity.

## MATERIALS AND METHODS

### Cells and AdVs

The human embryo kidney cell line, 293 (16), constitutively expresses adenoviral E1 genes and supports the replication of E1-substituted AdV. HepG2 (17) and HuH7 (18) cells are human hepatocellular carcinoma cell lines that produce AFP. SK-Hep-1 (19) cells are a human hepatocellular carcinoma cell line that lack AFP production. HeLa cells, derived from cervical cancer, do not express AFP (20). The cell line CV1 is derived from African green monkey kidney. The AFP promoter used here was the (AB)2S6 AFP promoter (3). The EF1 $\alpha$  promoter has been described previously (2). AxA2ANCre was described previously (3). AxLR14EL-AC, AxLR16EL-AC, AxLR16EFL, Ax-AC, AxNZ, AxLNZCAL, AxALNZCAL, AxA2AdsR and AxEFdsR are described for the first time in this work. AdVs described here were constructed using cosmid transfection (21). All the aforementioned viruses except for AxA2AdsR and AxEFdsR possessed E3 region with a 2.4-kb deletion, while the latter two viruses bore E3 region with the 1.9-kb deletion described in reference (21) (see 'Discussion' section). The switch unit was inserted at the *Sna*BI site (nt position 35770), located 165-nt downstream from the right end of the adenovirus-5 (Ad5) genome. Because the standard Ad5 genome does not contain the *Sna*BI site, we generated a restriction site using nucleotide substitution, inserted a *Swa*I linker, and then cloned the switch unit. All the viruses were purified using a CsCl step gradient (22) and titrated using a method measuring 50% tissue culture infectious dose (TCID<sub>50</sub>) (22); the viral particle: TCID<sub>50</sub> ratio was ~20:100, including double-unit viruses. The viral titer of all the AdV, including double-unit vectors was measured with TCID<sub>50</sub> using normal 293 cells.

### Dominant negatives and shRNAs of Cre and isolation of Cre-suppressed 293 cells

dnCreRY was a dominant negative of Cre, where Arg173 and Tyr324 were mutated to Ala and Phe, respectively. pyCANCERYit2 is a plasmid expressing dnCreRY under the control of CAG promoter. The dnCreRY cDNA was excised as a *Sma*I-*Bgl*II fragment and inserted into pTrcHis2A (Invitrogen) between the *Ecl*36II and *Bgl*II sites under the *Escherichia coli* trc promoter (23). The *Bss*SI-*Sph*I fragment containing trc-dnCreRY was transferred upstream of the cos site of cosmid pAxLR16EL-AC and pAxLR14EL-AC, which were both derived from pAxcwit2 (21). The resulting cosmids were named ptdC-AxLR16EL-AC and ptdC-AxLR14EL-AC, respectively. shCreD (TA0493-4-D) was an shRNA of Cre, gatccGAAGCAACTCATCGATTGAtagtctctgttgTCAATCGATGAGTTGCTTCtttttta (Cre sequences are in capitals), which efficiently suppressed Cre activity. TA0493-4-D was inserted under the human U6 promoter of plasmid pBasi hU6 Pur (Takara Bio). The 293dnCreRY8 and 293shCreD13 were the best cell lines containing dnCreRY under the control of CAG promoter

and shCreD driven by human U6 promoter suppressing Cre activity among those tested, respectively.

To generate 293dnCreRY8, pBCANCRYSAPur was constructed, expressing dnCreRY under the control of the CAG promoter and the puromycin-resistant (Pur<sup>R</sup>) gene under the control of the SV40 early promoter (Figure 5a). To generate 293shCreD13, pBSAPurhU6shCre was constructed, expressing one of the short-hairpin (sh) RNAs against Cre under the control of the human U6 promoter (Takara Bio) and the Pur<sup>R</sup> gene identical to that expressed by pBCANCRYSAPur (Figure 5b). Ten micrograms of each plasmid DNA were transfected into 293 cells using Transfast<sup>R</sup> (Promega). Two days after transfection, puromycin was added at a concentration of 2.5 µg/ml. We selected the cell line that most efficiently caused a reduction of Cre activity for the simultaneous transfection in Cre-expressing and target pCALNLG plasmids (24).

### Preparation of total cell DNA for restriction-enzyme digestion and for real-time PCR

Infected cell DNA was prepared on a 24-well plate as previously described (25) with some modifications: the cells were suspended in 0.4 ml of TNE-PK [50 mM Tris-HCl (pH 8), 100 mM NaCl, 10 mM EDTA, 100 mg/ml proteinase K], followed by the addition of SDS (final 0.1%). After incubation at 55°C for 2 h, the mixture was extracted once with phenol-chloroform and once with chloroform, and precipitated with 1 or 1.5 volumes of ethanol at -20°C for >1 h and then washed once with 70% ethanol. The pellet was dissolved with 50 µl of TE containing 20 mg/ml RNase A and stored at -20°C. This DNA was sufficient not only for real-time PCR but also for gel electrophoresis to observe the structure of the AdV genome.

To detect viral DNA, total DNA extracted from AxLR16EL-AC-infected, Cre-suppressed 293 cells in a 24-well plate was digested with *Bmg*BI. The *Bmg*BI recognition sequence, CACGTG, contains a -CG- dinucleotide, which is mostly methylated in the mammalian genome and cannot be cleaved by a restriction enzyme, while replicating adenoviral DNA is not methylated and can be cleaved. Consequently, restricted patterns of only adenoviral DNA can be seen.

### Quantification of AdV transduction efficiency and expressed RNA

Total cell DNA was prepared as described above. Real-time PCR was performed to detect the adenovirus genome using a probe for the pIX gene, and human chromosome was simultaneously detected using a probe for the  $\beta$ -actin gene or the ornithine transcarbamylase (OTC) gene. The threshold cycle (cT) values were obtained. All the probes used in the study are shown in Table 1. The cT value of the AdV was corrected according to that for the human chromosome probe. The sequences of these probes were shown in Table 1. Infected cell RNA was prepared using the RNeasy protect mini kit (Qiagen) according to the manufacturer's protocol. To prepare the cDNA, the TaqMan Reverse Transcriptase Reagent kit

**Table 1.** Sequences of probes used in real-time PCR

| Probe <sup>a</sup> | Primer sequences <sup>b</sup>  |
|--------------------|--|
| AdV-1              | F: TGTGATGGGCTCCAGCATT<br>P: ATGGTCGCCCCGTCTCGCC<br>R: TCGTAGGTCAAGGTAGTAGAGTTGC                       |
| hOTC-1             | F: CCACTACAAAATAAAGTGCAGCTGAA<br>P: CCGTGACCTTCTACTCTAAAAAACTT<br>R: CTGATAGCCATAGCATATATTTAATTTCTTCTC |
| $\beta$ -Act-1     | F: CTCGCAGCTCACCATGGAT<br>P: ATGATATCGCCGCGCTCGTCGT<br>R: ATGCCGGAGCCGTTGTC                            |
| dsRed-1            | F: GCAGCTGCCCGGCTACT<br>P: CGTGGACTCCAAGCTGGACATCACCT<br>R: CGATGGTGTAGTCTCGTTGTG                      |

18S rRNA primers used were Ribosomal Enkaryotic 18S rRNA kit (Applied BioSystems).

<sup>a</sup>Name of the probes. Reporters used were FAM except  $\beta$ -Act-1 (VIC reporter).

<sup>b</sup>F, forward primer; P, probe; R, reverse primer. Real-time PCR was purchased from Applied BioSystems.

(Applied BioSystems) was used. The sequences of the dsRed probes are shown in Table 1. The 18S rRNA primer used in the study were Ribosomal Eukaryotic 18S rRNA kit (Applied BioSystems).

To examine the expressed dsRed RNA, the cells were infected with AdV and total DNA and the total RNA was extracted. From the total DNA, the amounts of AdV genome and  $\beta$ -actin gene were simultaneously quantified using real-time PCR and the ratio of AdV genome per cell was obtained. Similarly, from the total RNA, the amounts of dsRed RNA and 18S-rRNA (the correction standard) were quantified using reverse transcription and real-time PCR, and the ratio of dsRed RNA to 18S-rRNA was obtained. Based on these results, the ratio of the dsRed RNA level between the two cell lines was calculated.

### Detection of expressed fluorescence

To evaluate the different EF1 $\alpha$  promoter versions, the cells were washed twice with Hank's balanced salt solution 3 days after transfection, and the intensity of GFP fluorescence was quantified using Fluoroskan Ascent FL (Labsystems) (26). Cells infected with AxLR16EL-AC and AxLR14EL-AC were sorted using dsRed fluorescence using FACS (FACSCalibur, Becton-Dickinson). The dsRed fluorescence was also measured using Ascent fluorescent meter. To calculate the relative strengths among the various promoters, the steady-state level of the expressed dsRed RNA together with the dsRed DNA in the AdV genome in infected cells was quantified using a real-time PCR (Prism 7000, Applied Biosystems), and the former value was divided by the latter.

## RESULTS

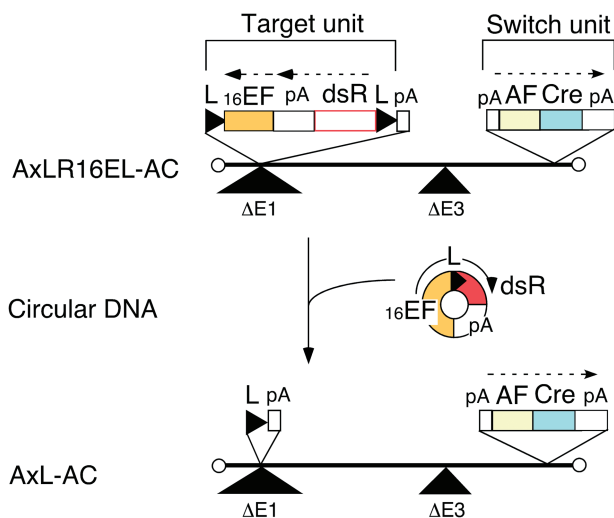
### Structure of 'double-unit' vector containing 'excisional-expression' unit

The structure of the 'double-unit' AdV vector AxLR16EL-AC is shown in Figure 1. This vector is a



first generation AdV containing the switch unit inserted near the right end of the adenovirus genome (27) (denoted in this paper at the E4 position) and the target unit inserted in the E1 region. Since the adenovirus genome can be packaged into a capsid up to ~105% of, or 2 kb more than, the wild-type genome size (28), it was impossible to generate an AdV containing both the switch and the target units using a typical construction strategy because of over-sizing. Therefore, to shorten the total length of the units, an ‘excisional-expression’ structure was adopted for the target unit. Although a typical target unit for conditional expression possesses (in order) the potent promoter, *loxP*, a stuffer sequence, a second *loxP*, cDNA and polyadenylation sequences (poly(A)), the excisional-expression unit lacks a stuffer sequence and instead consists of (in order) the right *loxP*, dsRed cDNA, poly(A), EF1 $\alpha$  promoter and the left *loxP* (Figure 1, Target unit on AxLR16EL-AC). Notably, the dsRed cDNA is located not downstream, but ‘upstream’ of the EF1 $\alpha$  promoter and, therefore, dsRed expression is turned off in the initial structure because AFP promoter does not function in non-hepatocarcinoma cells. However, once the AdV infects a hepatocarcinoma cell, AFP promoter is turned on and Cre enzyme is produced. Consequently, the expression unit of dsRed—EF1 $\alpha$  promoter with one *loxP* is ‘excised’ as a circular molecule (Figure 1, middle), and the dsRed cDNA is now located downstream of the EF1 $\alpha$  promoter, turning its expression on. At the same time, an AdV genome with one *loxP* is produced (Figure 1, lower, AxL-AC).

To minimize the genome size of the double-unit AdV: (i) a 0.55-kb section of the E3 region was deleted (see ‘Discussion’ section). In addition (ii) the EF1 $\alpha$  promoter was shortened from an original length of 2.1 kb to lengths of 1.6 and 1.4 kb (named 16EF and 14EF, respectively). Unexpectedly, both the 16EF and 14EF promoters showed higher activity levels than the original EF1 $\alpha$

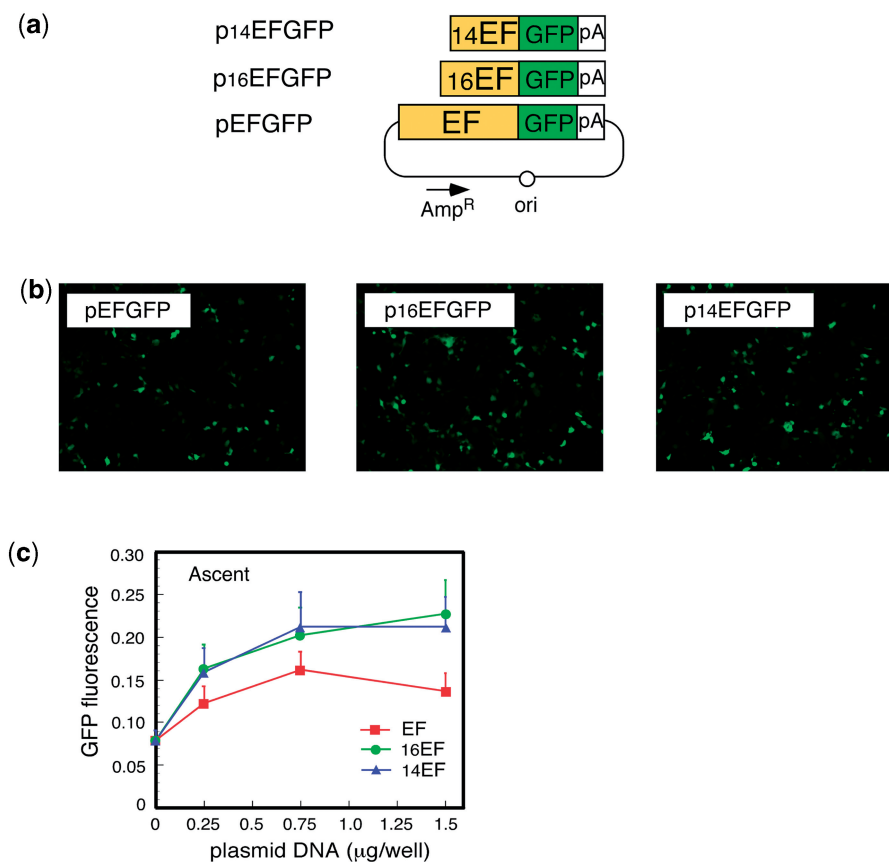


**Figure 1.** Structure of double-unit AdV and generation of expressing circular DNA. L, *loxP*; 16EF, shortened EF1 $\alpha$  promoter; pA, poly(A) sequence; dsR, dsRed cDNA; AF, AFP promoter; Cre, nuclear localization signal-tagged Cre cDNA.

promoter (Figure 2). As well; (iii) the 0.6-kb rabbit  $\beta$ -globin poly(A) sequence was truncated to 0.3 kb up to *NdeI* site. In addition to the size limitation, the double-unit AdV possesses several features that enable a very strict specificity (see Discussion section). As an improvement, the poly(A) sequence of 137 nt derived from the SV40 early region (*HpaI*-*Bam*HI) was added in front of the dsRed cDNA plus the right *loxP* on the target unit (Figure 1, upper) based on the results of the following experiments. We constructed three AdVs containing the *lacZ* DNA tagged with the nuclear localization signal [NLacZ (3)] and their structures are shown in Figure 3a. CV1 cells were infected with each of these AdVs at multiplicity of infection (MOI) of 50 and, three days later, the *lacZ* expression of the infected cells was detected with X-gal staining. When cells were infected with AxNZ containing promoterless NLacZ (Figure 3a, top), weak but significant background expression was observed in most of the cells (Figure 3b, lower left); such expression was not observed in mock-infected cells (Figure 3b, upper left). When cells were infected with AxLNZCAL containing an excisional expression unit lacking the poly(A) in front of the NLacZ plus *loxP* (Figure 3a, middle), significant expression was observed (Figure 3b, upper right) and the expression level was much higher than that using AxNZ (lower left). We speculate from these results that a weak cryptic promoter may present upstream of the NLacZ and be activated by the enhancer of CAG promoter downstream of the NLacZ. Nevertheless, using Ax $\Delta$ NLZCAL containing the excisional expression unit possessing poly(A) in front of NLacZ DNA plus *loxP* (Figure 3a, bottom), the background expression was almost disappeared (Figure 3b, lower right). The result showed that the inserted poly(A) sequence effectively reduced the background expression. The results were confirmed in the transfection experiments using the cosmids containing the full-length AdV genome (data not shown). Finally, we attempted to construct two AdVs, AxLR16EL-AC (104.9% of wild-type adenovirus genome) and AxLR14EL-AC (104.4%) containing the 16EF and 14EF promoters, respectively. The genome sizes of both AdVs were under the size limit.

### Leak expression of Cre both in *E. coli* and in 293 cells hampers the preparation of double-unit AdVs

Unexpectedly, during the construction of cosmids containing either AxLR16EL-AC or AxLR14EL-AC DNA (Figure 4a, dnCreRY $^{-}$ ), we observed the co-generation of cosmids lacking the sequences between the two *loxP*s (Figure 4b). The 2.5- and 2.7-kb bands (Figure 4c, lane 3) showed the presence of both cosmid DNA containing the AxL-AC genome and the excised circular DNA molecule, respectively. Judging from the intensities of the bands, the AxL-AC DNA accounted for ~15–20% of the mid-preparation (23) of *E. coli*. Thus, Cre must be expressed during cosmid preparation in *E. coli* DH5 $\alpha$  despite the absence of an obvious *E. coli* promoter in the cosmid: the ampicillin promoter was present, but in the opposite orientation and ~20 kb away. Although the AxL-AC cosmid DNA was detected only faintly in the DNA of



**Figure 2.** Expression of truncated EF1 $\alpha$  promoter. (a) Structure of the plasmids expressing GFP under truncated EF1 $\alpha$  promoter. The original EF1 $\alpha$  promoter is 2.1-kb long. The 5'-end of 16EF was the *PpuMI* site and that of 14EF was the *BspI* site. (b) Images obtained using fluorescent microscopy. (c) Fluorescence measured using a fluoroscan plate reader. Vertical axis showed fluorescence in arbitrary unit;  $n = 4$ .

the mini-preparation (lane 2), it appeared to accumulate over time.

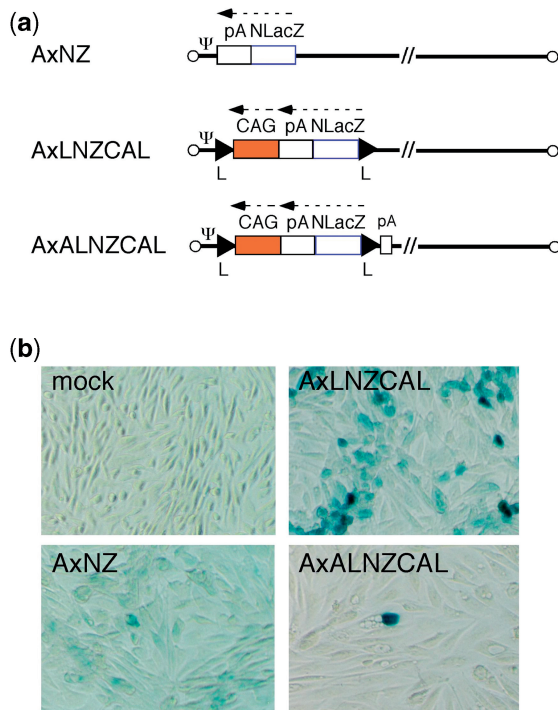
Furthermore, after the transfection of the cosmid DNA containing AxLR16EL-AC into 293 cells, all 12 of the viral clones that were generated were not the target virus, but apparently an AxL-AC virus. And three out of 18 viral clones derived from AxLR14EL-AC-containing cosmid DNA were mixtures of AxLR14EL-AC and AxL-AC viruses, while the other 15 clones were apparently pure AxL-AC virus (data not shown). These results showed that AFP promoter, which is thought to be inactive in non-hepatocellular 293 cells, certainly produced Cre in an amount sufficient to recombine the *loxPs* on the AdV genome. This result probably occurred because even if the AFP promoter was strictly regulated, the AdV genome containing the switch unit of AFP promoter and Cre was amplified for 100 000 copies in one 293 cell and, consequently, an effective amount of Cre would be produced. Therefore, because of the leakage of Cre expression in both *E. coli* and 293 cells, double-unit vectors could not be prepared using conventional methods.

#### Successful suppression of leak expression of Cre using a dominant-negative of Cre and shRNA against Cre

To suppress the leak expression of Cre in *E. coli*, several dominant-negatives of Cre containing two amino acid

mutations at the active center of Cre enzyme were constructed; dnCreRY, the dominant-negative that most efficiently suppressed Cre activity among those tested in co-transfection assays with a target plasmid, was then selected. Next, we constructed an expression unit producing dnCreRY under the control of the *trc* promoter of a *lac* operon system, and this unit was inserted into the cosmids containing AxLR16EL-AC and AxLR14EL-AC DNAs (Figure 4a, dnCreRY +). In the midi-preparation of this AxLR16EL-AC cosmid, neither the recombined AxL-AC-derived band nor the excised circular DNA molecule was detected with IPTG induction (Figure 4c, lane 7), showing that the production of dnCreRY successfully suppressed the leak expression of Cre in *E. coli*. Interestingly, since an apparent complete suppression was also observed without IPTG induction (lane 6), dnCreRY produced by the basal activity of the *trc* promoter was sufficient to suppress the leak expression of Cre effectively. Therefore, the problem of the leak expression of Cre in *E. coli* was solved.

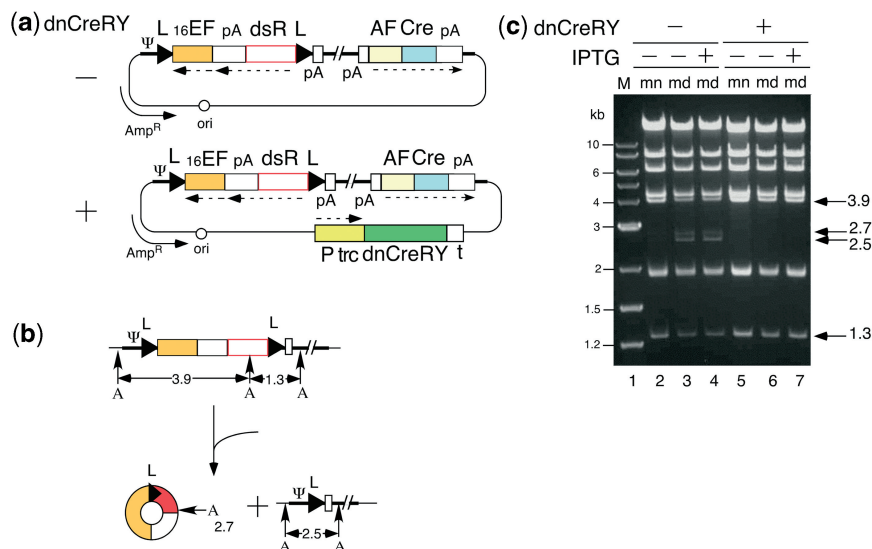
To suppress the leak expression of Cre during AdV preparation in 293 cells, a plasmid expressing dnCreRY and a puromycin-resistant (PurR) gene (Figure 5a, upper) was transfected into 293 cells and the cell line 293dnCreRY8 was established; this cell line suppressed Cre activity the most efficiently. Virus clones were



**Figure 3.** Suppression of the background expression by adding poly(A) sequence in front of *lacZ* DNA. (a) Structure of AdVs. (top) AxNZ contains the promoterless *lacZ* DNA; (middle) AxLNZCAL contains the excisional expression unit lacking poly(A) sequence in front of *lacZ* DNA plus right *loxP*; (bottom) AxALNZCAL contains that unit possessing poly(A) sequence in front of *lacZ* DNA plus right *loxP*. NlacZ, *lacZ* DNA tagged with NLS;  $\Psi$ , adenovirus packaging sequences; CAG, CAG promoter. The other representations are the same as in Figure 1. (b) Reduction of the ‘background’ expression observed using the promoterless *lacZ* DNA caused by addition of the poly(A) sequence. The infected CV1 cells were stained by X-gal. Very few dark-stained cells were observed in panels AxNZ (lower left) and AxALZCAL (lower right); the origin of these cells were unknown but possibly similar to those observed in Figure 7b and e.

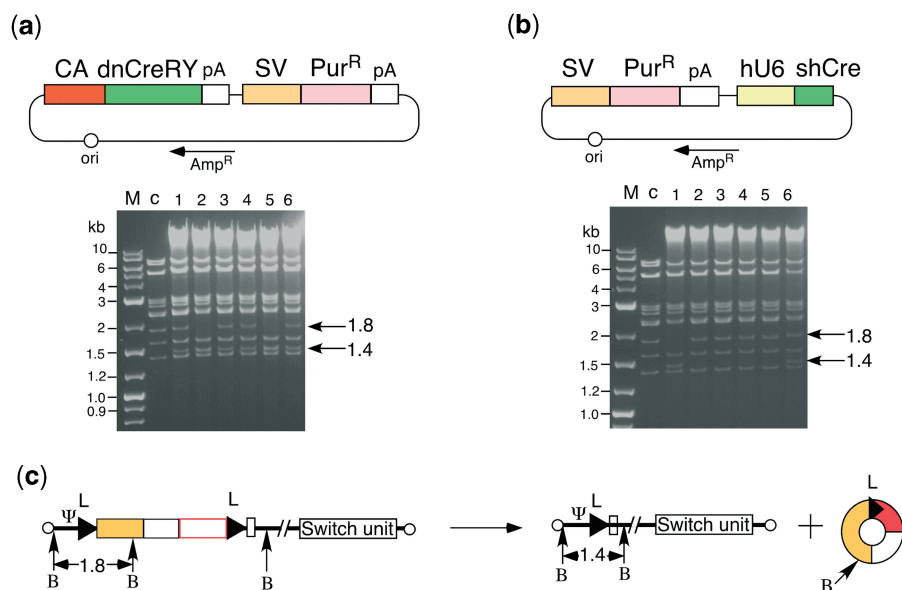
obtained by transfecting linearized AxLR16EL-AC DNA, and the digestion of the viral genome with *BmgBI* yielded a 1.8-kb band from an intact AxLR16EL-AC virus and a 1.4-kb band from a processed AxL-AC virus (Figure 5c). The 293dnCreRY8 cells did not produce pure AxLR16EL-AC virus, but instead produced mixtures of AxLR16EL-AC and AxL-AC (Figure 5a, lower, lanes 1, 3, 4 and 6) or mostly AxL-AC (lanes 2 and 5). Therefore, the suppression of Cre activity in the 293dnCreRY8 cells was not complete.

Meanwhile, several sh RNAs against Cre were constructed and screened, and shCreD was identified. Then, a plasmid expressing shCreD and PurR (Figure 5b, upper) was transfected into 293 cells, and the cell line 293shCreD13 was established. Unlike 293dnCreRY8, 293shCreD13 yielded mostly viral stocks of apparently pure AxLR16EL-AC virus (Figure 5b, lower, lanes 2, 3, 4 and 5), though some stocks were mixtures of both viruses (lanes 1 and 6). The AxLR16EL-AC virus in the apparently pure stocks was amplified in 293shCreD13 cells. To examine the ‘leaky’ expression level of Cre during the production of the double-unit vector in 293shCreD13 cells, an aliquot of AxLR16EL-AC viral stock was used to infect 293shCreD13 cells; then, to detect the viral genome, the total cell DNA was digested with *BmgBI*. The 1.4-kb band produced by Cre recombination was not detected up to and including the third stock (data not shown) but, when the fourth stock purified using CsCl ultracentrifugation was examined, observed were the bands showing that the ratio of intact AxLR16EL-AC and ‘leaked’ AxL-AC was ~10:1 (Figure 5b, lower right). Of note, contamination with the AxL-AC virus does not cause any non-specific expression because Cre-processed AxL-AC virus does not contain an expression unit (Figure 1, bottom). An important point is that to prepare a double-unit AdV, the selection of an apparently



**Figure 4.** Leak expression of Cre in *E. coli*. Representations are the same as in Figure 1 unless otherwise stated. (a) Structure of cosmid generating double-unit AdV and expressing dnCreRY.  $\Psi$ , adenovirus packaging sequences; P trc, trc promoter; t, terminator. (b) Generation of Cre-processed molecules. A, *AhdI* site. (c) Detection of Cre-processed molecules. M, 1-kb ladder marker; mn, mini-preparation; md, midi-preparation. The bands of 2.7 and 2.5 kb represent the generated circular DNA and Cre-processed DNA, respectively.





**Figure 5.** Establishment of 293 cell lines suppressing Cre activity. (a) Structure of plasmids expressing dnCreRY (upper) and DNA restriction pattern of double-unit viral clones produced in 293dnCreRY8 cells (lower). CA, CAG promoter; SV, SV40 early promoter; Pur<sup>R</sup>, puromycin-resistant gene. The other representations are the same as in Figure 1. M, 1-kb ladder marker; c, restriction pattern of AxLR16EL-AC-containing cosmid DNA, presenting as a 1.8-kb band. (b) Structure of plasmids expressing shCre (upper) and DNA-restriction pattern of double-unit virus DNA of double-unit viral clones produced in 293shCreD13 cells (lower). hU6, human U6 promoter. P, purified/fourth stock was infected. The other representations are the same as in (a). (c) Generation of AxL-AC. B, *BmgBI* site. The other representations are the same as in Figure 1. The presence or absence of circular 2.7-kb DNA was not clear in the gels of (a) and (b) because of the viral-derived bands of 2.9, 2.7 and 2.6 kb, but the circular DNA was hardly detected in the other experiment (data not shown). This result suggests that the production of the circular molecule occurs just after transfection and is consistent with the result that AxLR16EL-AC was stable up to the fourth stock.

**Table 2.** The viral titers of double-unit virus and the split viruses

| Viruses <sup>a</sup> | Cells       | Titers <sup>b</sup> (TCID <sub>50</sub> ) |
|----------------------|-------------|---|
| AxLR16EL-AC          | 293shCreD13 | $1.1 \times 10^{10}$                      |
| AxLR16EL             | Normal 293  | $4.7 \times 10^{11}$                      |
| Ax-AC                | Normal 293  | $7.6 \times 10^{10}$                      |

<sup>a</sup>The structure of AxLR16EL-AC is shown in Figure 1. The structures of split viruses, AxLR16EL and Ax-AC were shown in Figure 7a.

<sup>b</sup>Fourth, purified viral stocks used in all experiments in this work.

pure first virus stock lacking AxL-AC as shown in Figure 5b, lower left, may be essential (see ‘Discussion’ section).

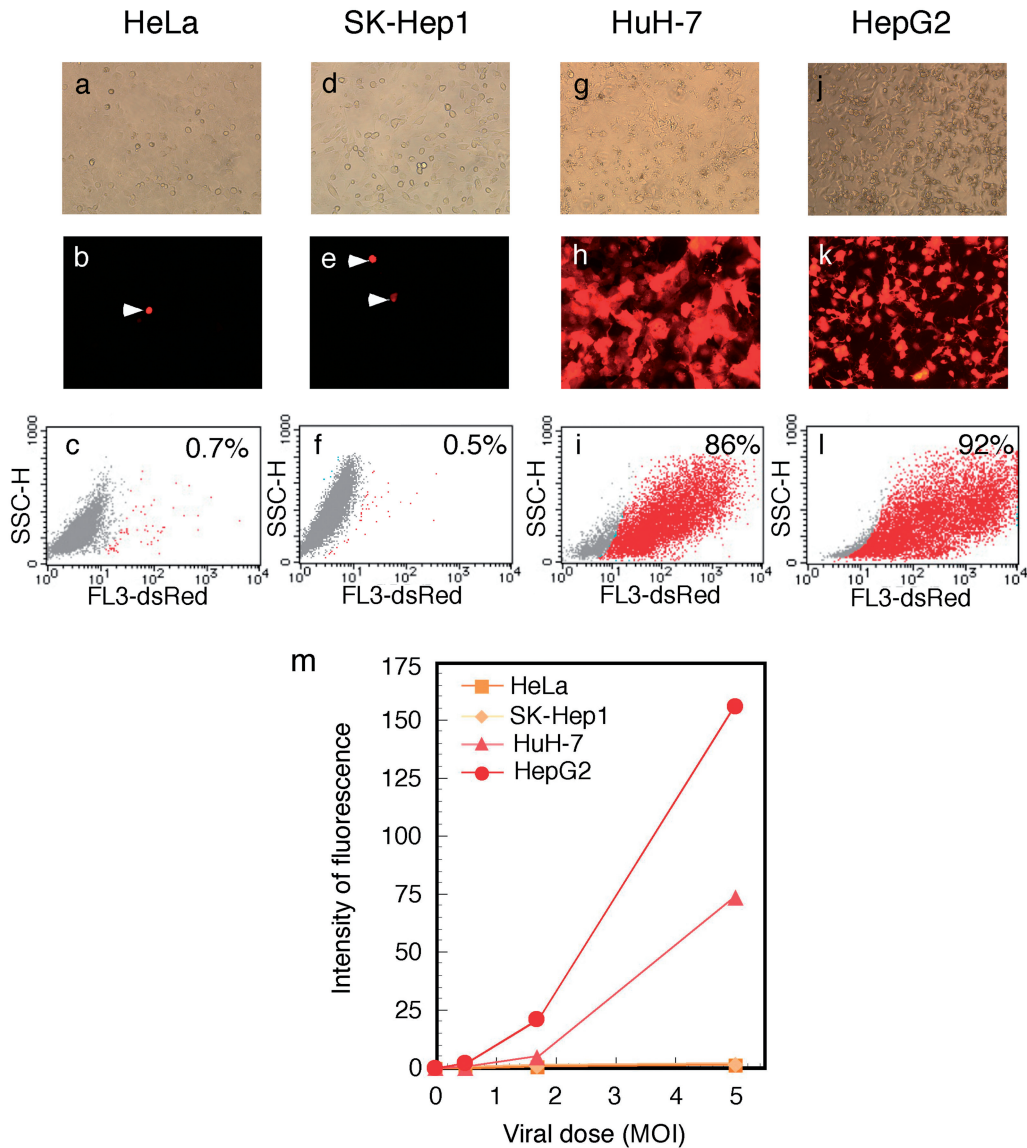
The 293shCreD13 cells grew well, similar to normal 293 cells, and the double-unit viruses were apparently able to proliferate in 293shCreD13 cells as well as normal E1-deficient AdV in 293 cells. Table 2 shows the titers of the double-unit virus AxLR16EL-AC produced in 293shCreD13 cells and of the split viruses shown in Figure 7a produced in normal 293 cells, all of which were used in this work. The titer of the double-unit virus was sufficiently high, though it was lower than those of the split viruses. One possible reason may be that the genome size of the former is near the upper limit. The AxLR14EL-AC virus was similarly prepared, and AxL-AC virus contamination was not detected in the third stock (data not shown).

The third viral stocks of double-unit virus without CsCl purification produced low but not negligible levels of

non-specific dsRed expression when infected in HeLa cells (data not shown). Importantly, however, a viral preparation that was purified using a CsCl step gradient (22) did not produce any non-specific expression (see ‘Discussion’ section). Therefore, double-unit AdVs prepared in 293shCreD13 and purified using a CsCl step-gradient enabled the creation of viral stocks with a very strict specificity.

### High-efficiency and very strict expression using double-unit AdV

The AxLR16EL-AC virus (at MOI of 5) was used to infect various cell types including HeLa (derived from non-liver carcinoma), SK-Hep1 (hepatocarcinoma-derived, non-producer of AFP), HuH-7 and HepG2 (hepatocarcinoma-derived producer of AFP). On day 3, high-level expressions of dsRed were observed in the infected HuH-7 and HepG2 cells (Figure 6h and k). Fluorescence-activated cell sorter (FACS) analyses showed that ~86 and 92% of these cells expressed detectable levels of dsRed, respectively (Figure 6i and l). In contrast, only one or two cells in a field of HeLa or SK-Hep1 cells expressed detectable levels of dsRed (Figure 6b and e), showing that the expression specificity of this vector was very strict. The FACS analyses confirmed that only 0.7 and 0.5% of the respective cells expressed detectable dsRed (Figure 6c and f). The results of dose-dependent experiments for all four cell lines confirmed the specificity of expression (Supplementary Figure S1).



**Figure 6.** Specific and high-level expression of dsRed by AFP promoter using double-unit virus. Cells were infected with AxLR16EL-AC at MOI 5. (a–c) HeLa; (d–f) SK Hep-1; (g–i) HuH-7; (j, k and l) HepG2. (a, d, g and j) Phase-contrast microscopic views. (b, e, h and k) Images obtained using fluorescent microscopy. The arrow heads show exceptional cells with non-specific fluorescence. (c, f, i and l) FACS analysis of dsRed-expressing cells. SSC-H, side scattered light, high flow rate. (m) Dose responses of dsRed expression in the infected cells.

The dsRed expression was quantified using FACS by measuring the total sum of all cell fluorescence. The results showed that the ratio of the expressed mean fluorescence among the HeLa:SK-Hep1:HUH-7:HepG2 at MOI 5 in Figure 6c, f, i and l was 0.7:1:36.6:77.4, showing that the level of ‘leaked’ expression in the total cell population of AFP-negative cells compared with that in the AFP-positive cells was ~40 to 80 times less. The results of dose-dependent experiments are shown in Figure 6m. These results showed a very high expression and a strict specificity of this vector. Parallel to these FACS experiments, the transduction efficiency of the AdVs was measured by examining aliquots of all the four cell lines using real-time PCR as described in ‘Materials and methods’ section. The results indicated that the ratio of transduction efficiencies among HeLa,

SK-Hep1, HuH-7 and HepG2 were 1.3:1:1.2:7.6, showing that the number of transduced AdV genomes present was almost the same for the first three cells. Therefore, these results confirmed very strict specificity of this vector for HeLa and SK-Hep1 cells. Meanwhile, HepG2 cells reproducibly showed exceptionally high transduction efficiency. This seemed to be associated with the result that HepG2 showed much higher expression levels than HuH-7 cells (Figure 6m, at MOIs 1.7 and 5). Therefore, the very high expression level of HepG2 was partly explained by its exceptionally high transduction efficiency.

To examine the specificity of the double-unit vector, total RNA and DNA were extracted from AxLR16EL-AC-infected SK-Hep1 (AFP-negative) and HuH-7 (AFP-positive) cells. The amounts of expressed



dsRed RNAs and the transduced AdV genome were measured using real-time PCR as described in the Materials and methods section. The ratio of the dsRed RNA level corrected according to the transduced AdV genome between the two cell lines was calculated. The result showed that the dsRed RNA ratio of HuH-7 and SK-Hep1 was 42.0:1, correlating well with the quantification of dsRed expression using FACS analyses. Identical experiments were performed using a control AdV AxCA dsRed expressing dsRed under the control of the CAG promoter instead of the double-unit vector. The result showed that the RNA ratio corrected according to the viral DNA amount between HuH-7 and SK-Hep1 was 1.38:1, indicating that the activity of the CAG promoter was similar in both cell lines. These results indicated that the 'leak' level of the double-unit vector in the SK-Hep1 cells, compared with that in the HuH-7 cells, was  $\sim 1/40$ th of the expression level of HuH-7 cells (or  $1/30$ th, based on of the CAG promoter control), demonstrating that the background level of double-unit vector in AFP-negative cells was again very low.

To examine the effect of combining the switch unit and the target unit into a single genome, we newly constructed two AdVs: AxLR16EL, containing only the target unit (Figure 7a, first), and Ax-AC, containing the switch unit at the E4 position (Figure 7a, second), as in AxLR16EL-AC. Then, the expression of the double-unit vector (AxLR16EL-AC) and the double infection of split viruses containing the excisional expression unit (AxLR16EL + Ax1AC) was examined using dsRed fluorescence. The double-unit vector showed a much higher fluorescence level than the double-infection method, as observed under a fluorescent microscope (Figure 7b). And the quantitative measurement of dsRed fluorescence showed that the former method produced 3.3-fold more dsRed protein than the latter method using an MOI of 13 (Figure 7c). The reason that the expression level was higher than that of the split viruses appears to be not only because the amount of the target virus was one half

of the same total dose of the viruses, but also because in many cells, the split two vectors were not infected simultaneously or did not produce a sufficient amount of Cre during five days.

The steady-state levels of expressed dsRed RNA measured using real-time PCR in HuH-7 cells were compared with the direct expression under the control of AFP promoter (AxA2AdsR, Figure 7a), a double-unit vector (AxLR16EL-AC), a double-infection of split viruses (AxLR16EL + Ax-AC), and the expression under the control of EF1 $\alpha$  promoter (AxEFdsR, Figure 7a) (Table 3). On Day 3, the double-unit vector expressed  $\sim 40$ -fold more dsRed RNA than the direct expression under the control of AFP promoter. Meanwhile, the double infection of split viruses (AxLR16EL + Ax-AC) expressed dsRed RNA at a level only  $1/4$ th of that expressed by the double-unit vector, confirming the expression results (Figure 7c). Because the dsRed RNA expressed by the EF1 $\alpha$  promoter (AxEFdsR) in HuH-7 cells was 480-fold higher than the direct expression of AFP promoter, the double-unit vector utilized  $\sim 1/10$ th of the EF1 $\alpha$  promoter activity on day 3. However, on day 4, the

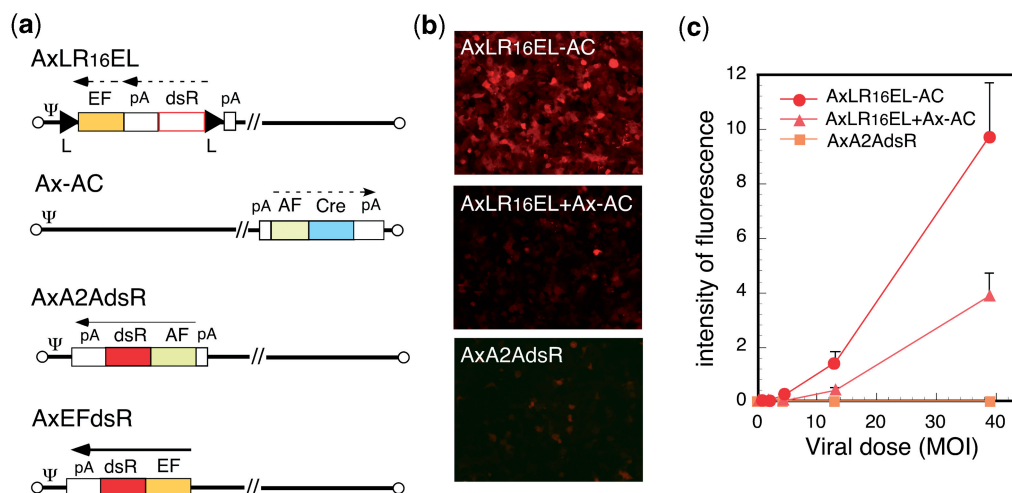
**Table 3.** Steady-state levels of expressed dsRed RNA in HuH-7 cells

| AdV <sup>a</sup>    | Ratio <sup>b</sup> |
|---------------------|--------------------|
| AxA2AdsR            | 1                  |
| AxLR16EL-AC         | 41                 |
| AxLR16EL + Ax-AC    | 10                 |
| AxEFdsR             | 480                |
| AxLR16EL-AC (day 4) | 91                 |

Each experiment was performed twice to confirm the reproducibility; typical data are shown. The genome structures of the above viruses are shown in Figures 1 and 7a.

<sup>a</sup>HuH-7 cells were infected with each AdV at a MOI of 30. In the double infection, an MOI 15 of each virus was used.

<sup>b</sup>For each condition, the expressed dsRed RNA measured using real-time PCR was divided by the value obtained using the AxA2AdsR virus.



**Figure 7.** Simultaneous excisional expression in HuH-7 cells using double-infection method. (a) Structure of AdVs. The representations are the same as in Figure 1. (b) Images obtained using fluorescent microscopy. (c) Fluorescence measured using a fluoroscan plate reader.

expression of dsRed RNA reached ~90-fold when using the double-unit vector (Table 3); because such an increase in expression on later days was often observed in other double-unit experiments (data not shown), this result can likely be explained by the weak AFP promoter, since the very small amount of expressed Cre likely required a long time to process the target unit.

## DISCUSSION

We developed a 'double-unit' AdV bearing an 'excisional-expression' structure and established a preparation method for this AdV. The AdV showed a high level of expression of the target gene under the control of a tissue/cancer-specific promoter, maintaining a very strict specificity. We observed that (i) because the vector was a first-generation AdV, it could be prepared on a large-scale without difficulty, and (ii) although a leak in Cre expression was observed during its preparation in *E. coli* and 293 cells, both problems were solved using a dominant-negative of Cre, dnCreRY, and an shRNA against Cre, shCreD, respectively. The complete suppression of Cre expression in 293 cells has been especially problematic, since AdV genome replication produces up to ~100 000 genome copies in each 293 cell. The key step in the production of the double-unit AdV was the selection of a clone lacking the AxL-AC virus (Figure 5b, lower left). Our results showed that severe AxL-AC generation caused by the leaky expression of Cre occurred 'before' the selection of a clone lacking the AxL-AC virus and that once such a clone was obtained, problematic deletion caused by 'leaked' Cre was not observed during the second to fourth production steps. Therefore, for the production of double-unit AdV even using 293shCreD13 cells, popular AdV-production protocols producing a pool of AdVs after transfection cannot be used.

As stated in the 'Introduction' section, this vector system is obviously superior to the 'double-infection' method (3); in the latter system, two viruses must be simultaneously transduced into a single cell for expression, and the infection of only one virus in a single cell is useless but causes similar viral toxic effects. Therefore, this new system will be particularly useful under diluted conditions, such as in animal experiments for basic research (unpublished data) as well as human gene therapy. Here, AFP promoter was used as one example, but obviously any tissue/cancer-specific promoter can be used. We intentionally did not adopt a CMV or CAG promoter, but instead used an EF1 $\alpha$  promoter as a potent and non-specific promoter in the target unit because we have previously shown that the EF1 $\alpha$  promoter hardly induces any inflammation as a result of AdV infection in an *in vivo* experiment, since no detectable induction of viral pIX production occurs (29). One report describing 'excisional expression' where a gene product was expressed only after excision by Cre from a Cre-excised circular molecule as described here has been previously published (30), but the purpose of this previous report was entirely different from that of the present work

because the objective of the previous study was to examine persistent expression as a circular replicon using EB-viral oriP and used a double infection system using Cre-expressing AdV. Also, Yant *et al.* (31) reported another type of the 'excisional expression', where intron-containing transgenes were split and thus remained inactive until an FLP-mediated circularization restored the correct reading frame. The excision of an expression unit from the AdV genome by Cre or FLP has occasionally been reported (for examples, 32–34).

We observed a 'leak' in the expression level of the double-unit vector in an AFP-negative cell population amounting to ~1/40th of the expression level in HuH-7 cells measured using FACS as the total sum of all cell fluorescence, as stated in the Results section. While this level was very low, it was similar to the activity of the authentic AFP promoter in HuH-7 cells (Table 3). However, in AFP-negative cells infected with a double-unit virus, a small number of 'bright cells' highly expressing dsRed (Figure 6b, e and c, f) were present, increasing the apparent 'leaked' expression level.

Importantly, the specificity of the tissue/cancer promoter in this vector was very strictly maintained for a number of reasons. (i) Because the potent EF1 $\alpha$  promoter in the target unit is present 'downstream' of the cDNA (Figure 1), an expression leak of the cDNA via this promoter is not possible until the promoter is translocated in front of the target cDNA by *loxP* recombination and circularization. (ii) The *loxP*-combined virus AxL-AC generated during the preparation of the double-unit virus does not contain an expression unit and hence does not cause non-specific expression. In contrast, the double-infection method always generates some stuffer-less, *loxP*-combined virus that causes non-specific expression because a small amount of such virus is generated even without Cre gene in AdV preparations, possibly through the homologous recombination of ~50 nt consisting of *loxP*s and its surrounding sequences (3). (iii) Though conventional viral stock contains a small amount of circular DNA expressing the target gene and causing non-specific expression, it can be completely removed using a CsCl step gradient (22), since the DNA is much heavier than the virus particle. We previously observed that a DNA molecule in the viral stock can be 'transfected' into infected cells (35). (iv) The tissue-/cancer-specific promoter is inserted at the E4 position and is located farthest from the enhancer of a potent and non-specific EF1 $\alpha$  promoter inserted at the E1-insertion site present near the left end of the genome. Therefore, the enhancer effect of the EF1 $\alpha$  promoter on the specific AFP promoter is minimized. (v) A poly(A) sequence in front of the specific AFP promoter in the switch unit (Figure 1, upper right) suppresses non-specific transcription through a cryptic promoter present upstream of the specific promoter (3). And finally (vi) another poly(A) sequence in front of the *loxP*-cDNA in the target unit (Figure 1, upper left, and Figure 3) efficiently reduce the non-specific expression of cDNA probably caused by upstream cryptic promoters. We cannot argue whether the double-unit system is better in selectivity and less 'leaky' than the

authentic AFP promoter used here; for such conclusion further studies are needed using a more sensitive reporter system. However, because of these reasons above, the double-unit vector copes with high-level expression and strict specificity.

The total length of the AdV genome used in this method should not be more than ~38 kb (28). This means that the maximum length of a specific promoter plus target cDNA in this vector system with *Bsu36I-BlpI* E3 deletion (see below) should be 3.9 kb when the 14EF1 $\alpha$  promoter is used and 4.5 kb when the 0.8-kb CMV promoter is used. This limitation of the length does not cause a problem in most cases. For example, because the AFP promoter used here is 2.2 kb in length, herpes thymidine-kinase cDNA (1.2 kb) or luciferase cDNA (1.7 kb) can be inserted; in fact, we constructed a double-unit AdV containing both AFP promoter and the thymidine-kinase gene, and animal experiments examining suicide-gene therapy are presently underway.

The problem of the genome length limitation can be solved using an AdV with a larger deletion in the E3 and E4 region. In the present study, AxLR14EL-AC and AxLR16EL-AC carried a *Bsu36I-BlpI* E3 deletion of 2433 bp, corresponding to 28 342–30 775-nt positions in the adenovirus type 5 map. The E3 region was 555-bp shorter than the *XbaI-XbaI* deleted E3 (21,27) and 252-bp longer than the *Bg/III-Bg/III* deleted E3 (36,37). We avoided using the *Bg/III-Bg/III* deleted E3 because L4 mRNAs of this AdV miss the L4 poly(A) sequences but use E3 poly(A) sequences, while *XbaI-XbaI* and *Bsu36I-BlpI* deleted E3 both use the authentic L4 poly(A) sequences. Since an even shorter E3 region (27 865–30 995 nt) (36,38) and an E4 deletion (32 825–35 640 nt) (38) have been reported, the 3.9-kb limitation of the total lengths of a specific promoter plus cDNA when using AxLR14EL-AC could be enlarged up to 7.4 kb, if these vector constructs could be used.

Interestingly, Huyn *et al.* (39) recently reported an apparently related but different AdV system where, as the switch unit, a cancer-specific promoter produces a Gal4-VP16 fusion protein and, as the target unit, a Gal4-binding domain plus a CMV minimal promoter is used. They claimed that their method might be useful for visualizing cancer metastasis. A comparison of our vector with theirs would be difficult because they confirmed promoter specificity only in transfection experiments and because a cancer-specific promoter different from ours was used. Since our vector was developed with the goals of not only achieving a high expression level, but also of achieving a very low background in applications and ensuring the safety of gene therapy, the purposes of these studies are clearly different.

The method described here was totally different from that used for cancer-specific, replication-competent AdVs (for reviews, see references 40,41) in the field of cancer gene therapy. Although these AdVs use cancer-specific promoters, the adenoviruses replicate in the target cells and produce damaging effects through adenovirus gene expression in the target and surrounding cells. Although it has been reported that replication of E1-deleted AdV

can be detected by Southern hybridization technique in HeLa cells at a higher MOI (42) and in other certain cells two weeks after infection (43), the replication level seemed too low to influence on the results described here.

The AdV system described here is probably useful for studying the function of a gene product in a specific tissue or organ. In any given tissue, several different sorts of cells are present: for example, neurons, glia cells and vascular endothelial cells are simultaneously present in neural tissue. Thus, this vector would be useful for expressing a gene selectively and efficiently in only one type of cell using a cell-specific promoter. This activity could enable novel, specific and effective therapies to be developed in the field of cancer gene therapy, and this strategy is now being tested. Furthermore, the application of this vector could be extended to include those where a high expression level and a rigid specificity are necessary.

The vector described here will be useful for many researchers using tissue/cancer-specific promoters. Plasmids suppressing Cre activity, cosmid cassettes for the construction of double-unit AdV containing *trc-dnCreRY*, and the 293 cell lines 293dnCreRY8 and 293shCreD13 are available from Riken Bioresource Bank (<http://www.brc.riken.go.jp/>) or in collaboration basis.

## SUPPLEMENTARY DATA

Supplementary data are available at NAR Online.

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