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Association of Modified-FOLFIRINOX-Regimen-Based Neoadjuvant Therapy with Outcomes of Locally Advanced Pancreatic Cancer in Chinese Population

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TRIAL INFORMATION ____

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- Principal Investigator: Tingbo Liang
- IRB Approved: Yes

LESSONS LEARNED _

- Modification of FOLFIRINOX significantly improves safety and tolerability in Chinese patients with locally advanced pancreatic cancer.
- Patients with locally advanced pancreatic cancer benefit from neoadjuvant therapy and experience a much better survival than patients with upfront surgery.

Abstract _

Background. The objective of this study was to evaluate the efficacy of modified-FOLFIRINOX (mFOLFIRINOX) regimens in Chinese patients with locally advanced pancreatic cancer (LAPC) and to compare outcomes between patients with LAPC treated with mFOLFIRINOX-based neoadjuvant therapy (LAPC-N) and patients with LAPC who underwent upfront surgery (LAPC-S).

Methods. Forty-one patients with LAPC-N were enrolled prospectively. Imaging features, chemotherapy response, adverse events, perioperative complications, histology, and survival were analyzed. Seventy-four patients with resectable pancreatic cancer (RPC) (from April 2012 to November 2017) and 19 patients with LAPC-S (from April 2012 to March 2014) were set as observational cohorts, and data were collected retrospectively. LAPC-N patients with adequate response underwent surgical treatment, whereas continuous chemotherapy was given to LAPC-N patients who were not deemed resectable after treatment, and the response was re-evaluated every 2 months.

Results. Forty-one patients with LAPC received mFOLFIRINOX with a response rate of 37.1%. The most common severe adverse events were neutropenia and anemia. mFOLFIRINOX-based neoadjuvant therapy contributed to a remarkable

decrease in CA19-9 level and tumor diameter. Fourteen LAPC-N patients underwent surgery (LAPC-N-S) after downstaging. Compared with LAPC-N-S cases, LAPC-S patients had longer operative time, more blood loss, and a higher risk of grade 5 complications. The median overall survival (OS) and progression-free survival (PFS) of LAPC-N-S patients were 27.7 months and 19.3 months, respectively, which were similar to those of patients with RPC (30.0 months and 23.0 months) and much longer than those of patients with LAPC-S (8.9 months and 7.6 months), respectively.

Conclusion. Neoadjuvant chemotherapy such as the mFOL-FIRINOX regimen can be recommended for Chinese patients with LAPC after dose modification. Patients with LAPC-N who underwent surgery obtained significantly improved survival compared with patients in the observational LAPC-S cohort, who did not undergo neoadjuvant therapy. **The Oncologist** 2019;24:301–e93

DISCUSSION

The promotion of preoperative neoadjuvant treatment (NAT) for patients with LAPC in China has been a challenge, and limited data have been reported. At our

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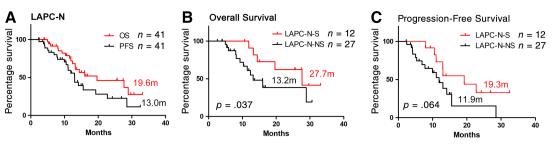


Figure 1. Overall survival and progression-free survival of patients with pancreatic cancer. The overall survival and progression survival of LAPC patients with neoadjuvant therapy (LAPC-N) (**A**). The overall survival and progression survival of LAPC-N patients with surgical resection (LAPC-N-S) and LAPC-N patients with nonsurgical treatment (LAPC-N-NS) (**B** and **C**). Two patients were considered surgically unresectable intraoperatively, and they were not included in survival analysis of subgroups of LAPC-N with tumor resection and LAPC-N without surgical treatment.

Abbreviations: LAPC, locally advanced pancreatic cancer; LAPC-N, LAPC with neoadjuvant therapy; LAPC-N-S, LAPC-N with surgical resection; LAPC-S, LAPC with upfront surgery; LAPC-NS, LAPC-N with nonsurgical treatment; RPC, resectable pancreatic cancer.

institution, a modified FOLFIRINOX regimen has been adopted for patients with metastatic pancreatic cancer, and promising results have been obtained. This modification drops the fluorouracil 400 mg/m² bolus and reduces the dose of irinotecan from 180 mg/m² to 135 mg/m² and the dose of oxaliplatin from 85 mg/m² to 68 mg/m². The modification represents a greater reduction in dose intensity than the mFOLFIRINOX adopted by several Western groups. Encouraged by observed responses, we further evaluated the efficacy of mFOLFIRINOX in patients with LAPC with the goal of surgical resection in the event of an adequate response.

Here, we enrolled patients with LAPC who underwent preoperative therapy with mFOLFIRINOX (LAPC-N) prospectively and compared the outcomes among patients with RPC or LAPC with upfront surgery (LAPC-S) whose data were collected retrospectively. mFOLFIRINOX was applied in 41 LAPC cases with an objective response rate of 37.1%, which was similar to that in published studies. In our cohort, 29.3% patients had grade 3 or 4 toxicities, with 10 (24.4%) suffering neutropenia and 9 (22.0%) having anemia. No severe fatigue, diarrhea, or vomiting was observed in our study. Our modification resulted in better tolerability without attenuating the efficacy of full-dose FOLFIRINOX.

Patients with LAPC benefited from tumor downstaging with mFOLFIRINOX-based NAT. Fourteen (34.1%) LAPC-N patients met the criteria for conversion to surgery after treatment (LAPC-N-S). According to histology, LAPC-S patients endured later stages of disease, and the incidence

of lymph-node metastasis was much higher than that in the LAPC-N-S group. Moreover, as many as 58.3% LAPC-N-S patients achieved grade 3 (<10% viable tumor cells) histology responses. Although the R0 resection rates of LAPC-N-S and LAPC-S were similar (78.6% vs. 73.7%), mFOLFIRINOX-based NAT maintained surgical safety. LAPC-S patients suffered longer operation time, more blood transfusion, and higher prevalence of complications. Two (12.5%) cases of grade 5 complication were observed in the LAPC-S group, and three (15.8%) patients died within 90 days after surgery.

Survival was also evaluated (patients who were lost to follow-up or who had grade 5 complications were excluded; Fig. 1). The median OS and PFS of LAPC-N-S patients were 27.7 months and 19.3 months, respectively, which were similar to those of patients with RPC (30.0 months and 23.0 months) and were significantly longer than those of LAPC-S patients (8.9 months and 7.6 months). Patient selection and downstaging through preoperative treatment were the reasons for better outcomes. However, NAT did not reduce the risk of local or distal recurrence of patients with LAPC undergoing tumor resection in local or distal disease. The survival of LAPC-S patients was even poorer than that of LAPC-N patients without surgery (13.2 months and 11.9 months). Surgical complications or injury and irregular or delayed adjuvant therapy may be the causes of compromised survival. Regular and effective systemic treatment, including NAT and adjuvant therapy, is strongly recommended for all patients with LAPC.

Trial Information	
Disease	Pancreatic cancer
Stage of Disease/Treatment	Neoadjuvant
Prior Therapy	One prior regimen
Type of Study – 1	Phase II
Type of Study – 2	Single arm
Primary Endpoint	Overall survival
Primary Endpoint	Progression-free survival

Secondary Endpoint Secondary Endpoint

Overall response rate Toxicity

Additional Details of Endpoints or Study Design

Patients with LAPC who underwent preoperative therapy with mFOLFIRINOX were enrolled and results, including imaging features, chemotherapy response, chemotherapy-related adverse events, perioperative complications, histology, median OS, and PFS, compared with results in an observational cohort of patients with RPC or LAPC who underwent surgery alone.

Investigator's Analysis

Active and should be pursued further

Drug Information

Drug 1	
Generic/Working Name	Oxaliplatin
Trade Name	Eloxatin
Company Name	Cenexi-Laboratoires Thissen SA
Drug Type	Small molecule
Drug Class	Platinum compound
Dose	68 milligrams (mg) per squared meter (m ²)
Route	IV
Schedule of Administration	Twice-weekly schedule
Drug 2	
Generic/Working Name	Leucovorin
Trade Name	Tong Ao
Company Name	Jiangsu Heng Rui Medicine Co., Ltd
Drug Type	Small molecule
Drug Class	Antimetabolite
Dose	400 milligrams (mg) per squared meter (m ²)
Route	IV
Schedule of Administration	Twice-weekly schedule
Drug 3	
Generic/Working Name	Irinotecan
Trade Name	Ai Li
Company Name	Jiangsu Heng Rui Medicine. Co., Ltd
Drug Type	Small molecule
Drug Class	Apoptosis - other
Dose	135 milligrams (mg) per squared meter (m ²)
Route	IV
Schedule of Administration	Twice-weekly schedule
Drug 4	
Generic/Working Name	Fluorouracil
Trade Name	Hai Pu
Company Name	Shanghai Xu Dong Hai Pu Pharmaceutical Co., Ltd
Drug Type	Small molecule
Drug Class	Antimetabolite
Dose	2,400 milligrams (mg) per squared meter (m ²)
Route	Continuous intravenous infusion (CIV)
Schedule of Administration	5-FU CIV administered over 42 hours beginning after infusion of other agents, on a twice-weekly schedule

PATIENT CHARACTERISTICS	
Number of Patients, Male	22
Number of Patients, Female	19
Age	Median (range): 62 (44–80)
Number of Prior Systemic Therapies	Median: 0
Performance Status: ECOG	0 — 28
	$ \begin{array}{r} 1 - 12 \\ 2 - 1 \\ 3 - 0 \end{array} $
	Unknown — 0

Observational Cohorts

Patients with RPC and patients with LAPC with upfront surgery (LAPC-S) were selected from patients who had undergone surgery and been diagnosed histologically with pancreatic adenocarcinoma from April 2012 to November 2017 in our department. RPC and LAPC were defined according to National Comprehensive Cancer Center guidelines by a multidisciplinary team; LAPC-S and LAPC-N shared the same definition, staging system, and surgeon teams. The 74 patients with RPC and 19 with LAPC-S were set as observational cohorts, which served as benchmarks for the prospective cohort.

PRIMARY ASSESSMENT METHOD	
Title	Total Patient Population
Number of Patients Screened	41
Number of Patients Enrolled	41
Number of Patients Evaluable for Toxicity	41
Number of Patients Evaluated for Efficacy	35
Evaluation Method	RECIST 1.1
Response Assessment CR	n = 0 (0%)
Response Assessment PR	n = 13 (37.1%)
Response Assessment SD	n = 14 (40.0%)
Response Assessment PD	n = 8 (22.9%)
Response Assessment OTHER	n = 0 (0%)
(Median) Duration Assessments PFS	13.0 months
(Median) Duration Assessments OS	19.6 months

Outcome Notes

A total of 41 patients were enrolled, and the number of patients who presented with complete response, partial response, stable disease, and progressive disease after NAT treatment was 0, 13, 14, and 8, respectively (35 in total). We did not evaluate the treatment response of the other six patients, because they received fewer than four cycles of mFOLFIRINOX treatment (response was evaluated after a patient finished at least four cycles of treatment). Hence, these six patients were not included in further evaluation for efficacy, and the objective response rate was 37.1% (13/35). These six patients did not undergo surgical treatment; however, they were included in the survival analysis of LAPC-N groups that did and did not undergo surgery.

Adverse Events							
	All Cycles	s					
Name	NC/ NA, %	Grade 1, %	Grade 2, %	Grade 3, %	Grade 4, %	Grade 5, %	All grades
Thromboembolic event	98	0	0	2	0	0	2
Alanine aminotransferase increased	44	41	10	5	0	0	56
Peripheral sensory neuropathy	93	7	0	0	0	0	7
Diarrhea	81	12	5	2	0	0	19
Vomiting	67	24	7	2	0	0	33

81	17	2	0	0	0	19
98	0	0	2	0	0	2
90	5	5	0	0	0	10
22	5	51	22	0	0	78
73	10	5	12	0	0	27
37	5	34	22	2	0	63
	98 90 22 73	98 0 90 5 22 5 73 10	98 0 0 90 5 5 22 5 51 73 10 5	98 0 0 2 90 5 5 0 22 5 51 22 73 10 5 12	98 0 0 2 0 90 5 5 0 0 22 5 51 22 0 73 10 5 12 0	98 0 0 2 0 0 90 5 5 0 0 0 22 5 51 22 0 0 73 10 5 12 0 0

Abbreviation: NC/NA, no change from baseline/no adverse event.

Assessment, Analysis, and Discussion	
Completion	Study completed
Investigator's Assessment	Active and should be pursued further

Pancreatic cancer is a malignant disease with an extremely poor prognosis [1], largely because only 15%–20% of patients are eligible for potentially curative surgery at diagnosis [2]. Systemic therapy is required for pancreatic cancer in all stages, and surgery is the only approach to significantly improve survival and outcomes [3]. Neoadjuvant therapy (NAT) may be recommended for patients with locally advanced pancreatic cancer (LAPC) [4, 5], and FOLFIRINOX regimens are first-line neoadjuvant chemotherapies for LAPC worldwide [6]. However, the promotion of NAT for Chinese patients with LAPC has been a challenge.

Recently, modification of FOLFIRINOX have been adopted worldwide by omitting bolus 5-FU and decreasing the dose of irinotecan [7–9]. Based on the published data, we further reduced oxaliplatin to 80% of the full dose. We used a further modified FOLFIRINOX (mFOLFIRINOX) regimen (oxaliplatin 68 mg/m², leucovorin 400 mg/m², irinotecan 135 mg/m², and fluorouracil 2,400 mg/m²) in patients with metastatic pancreatic cancer and obtained promising results [10], suggesting that mFOLFIRINOX may be useful in patients with LAPC. Hence, we adopted the mFOLFIRINOX regimen in patients with LAPC to evaluate surgical resectability, surgical morbidity and mortality, and long-term survival.

Forty-one patients with LAPC were enrolled as a prospective cohort and received NAT with mFOLFIRINOX from April 2014 to November 2017 in our institution. Patients who were histologically confirmed as pancreatic adenocarcinoma and diagnosed as LAPC by a multidisciplinary team according to the National Comprehensive Cancer Network definitions were eligible for inclusion. Exclusion criteria were (a) Eastern Cooperative Oncology Group performance score higher than 2; (b) inadequate bone marrow, liver or renal function; (c) with additional malignancies; (d) more than 80 years of age. Moreover, data from 74 patients with resectable pancreatic cancer (RPC; from April 2012 to November 2017) and 19 patients with LAPC with upfront surgery (LAPC-S; from April 2012 to March 2014) were collected retrospectively, forming two retrospective, observational cohorts that served as benchmarks to evaluate the treatment outcome of patients with LAPC with neoadjuvant mFOLFIRINOX.

A phase II trial conducted by Conroy et al. was the first to demonstrate the effectiveness of FOLFIRINOX in LAPC [11]. Moreover, the overall response rate was 26% with progression-free survival (PFS) and overall survival (OS) of 7.6 months and 15.7 months, respectively [11]. Confirmatory studies found a comparable response rate of 30% with median OS of 15.7-26 months [7, 12, 13]. In our study, 41 patients received mFOLFIRINOX-based NAT, and 35 of them were restaged after treatment. The prevalence of a relative response and disease control was 37.1% and 77.1%, respectively, which was similar to the data obtained from the studies mentioned above. A median OS of 19.6 months was obtained in the entire group of patients with LAPC with mFOLFIRINOX-based NAT (LAPC-N). Our modification did not appear to attenuate the efficacy of full-dose FOLFIRINOX; however, this would need to be assessed by a prospective randomized study.

During treatment, the most common grade 3 or 4 adverse effects reported by Suker et al. in a metaanalysis of 490 patients with LAPC were neutropenia (27%), fatigue (14%), diarrhea (10%), and vomiting (8%) [14]. Using our dose-modified FOLFIRINOX regimen, the prevalence of neutropenia was reduced to 24.4%. No grade 3 or 4 fatigue, diarrhea, or vomiting were observed. However, our cohort experienced a higher prevalence of anemia (22.0%) and thrombocytopenia (12.2%) despite the use of granulocyte colony-stimulating factor, interleukin-11, or thrombopoietin during treatment. Hence, our modification resulted in better safety and tolerability. However, more attention should be given to hematologic adverse events during mFOLFIRINOX use.

According to recent research, NAT can result in better surgical resectability in patients with LAPC after downstaging [11, 15, 16]. Fourteen patients with LAPC-N underwent surgery in our study (LAPC-N-S), and the rate of conversional surgery achieved 34.1%, which was similar to that of patients with LAPC with modified or full-dose FOLFIRINOX regimens [14]. NAT contributed to a remarkable decrease in CA19-9 level and tumor diameter, but vascular involvement persisted, findings that are similar to those of Donahue et al. [17]. The imaging evidence of changes in vascular involvement is not considered an essential criterion for conversion to surgery [17]. We set these retrospective observational cohorts of RPC and LAPC-S as control groups to evaluate the differences regarding surgical resectability and safety. Unexpectedly, we did not observe improved surgical resectability in the LAPC-N group compared with that in LAPC-S cases (R0: 78.6% vs. 73.7%). Also, 15.8% of LAPC-S and 14.3% of LAPC-N-S patients continued to have surgically unresectable tumors intraoperatively. To achieve complete resection, a much more aggressive approach was needed, so total pancreatectomy was done in 25.0% of LAPC-S patients. As a result, LAPC-S patients suffered a longer operative time and greater blood loss compared with LAPC-N-S patients. Moreover, two LAPC-S patients suffered a grade 5 complication after surgery. Hence, patients with LAPC could benefit from NAT with regard to surgical safety.

According to our data, patients with LAPC without NAT were more likely to exhibit advanced pathologic staging at surgery than those in the LAPC-N-S group (IIb: 43.8% vs. 8.3%; III: 37.5% vs. 25.0%), which was strongly correlated with poor survival. NAT contributed to a significantly reduced prevalence of lymph-node positivity in LAPC-N cases and achieved a grade 3 pathologic response of 58.3%. Investigators have reported that patients with negative lymph nodes and pathologic response have better outcomes [18, 19].

We further analyzed perioperative complications and survival in cohorts of RPC, LAPC-S, and LAPC-N-S cases. LAPC-N-S patients suffered a higher risk of pancreatic fistulae than that of LAPC-S. The difference in the prevalence of pancreatic fistulae could partially be because four LAPC-S patients underwent total pancreatectomy. The prevalence of grade 2 or 3 complications was otherwise similar between LAPC-S and LAPC-N-S patients. Two LAPC-N-S patients and one LAPC-S patient underwent unplanned reoperation. LAPC-N patients may be at a higher risk of perioperative complications because of the increased complexity of surgery caused by NAT and NAT-related toxicity [20].

The contribution of NAT to survival in patients with LAPC was also evaluated. The median OS and PFS of 12 LAPC-N-S patients with tumor resection were 27.7 months and 19.3 months, respectively. Also, 27 LAPC-N patients who did not undergo surgery (LAPC-N-NS) had a median OS and PFS of 13.2 months and 11.9 months.

Interestingly, the median OS of LAPC-N-S patients was similar to that of patients with RPC (27.7 m vs. 30.0 m). Patient selection and downstaging through NAT may be reasons for better outcomes. However, NAT did not reduce the prevalence of recurrence of patients with LAPC undergoing tumor resection in local or distal disease. The median OS and PFS of LAPC-S patients was limited to 8.9 m and 7.6 m, respectively, which was shorter than those of LAPC-N-NS patients. Irregular adjuvant therapy may be the main reason for compromised survival. Only 43.8% of LAPC-S patients received chemotherapy postoperatively, whereas the prevalence was 66.2% for patients with RPC and 83.3% for LAPC-N-S patients. Moreover, 85.7% of LAPC-S patients underwent gemcitabine therapy, which is not as intense as that of mFOLFIRINOX or nab-paclitaxel plus gemcitabine [15]. Compared with LAPC-S patients, LAPC-N-NS patients underwent continuous treatment with mFOLFIRINOX or nab-paclitaxel plus gemcitabine, and they did not have surgical complications or injury. Taken together, LAPC-N-NS patients showed longer survival than LAPC-S patients.

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DISCLOSURES

The authors indicated no financial relationships.

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FIGURES AND TABLES

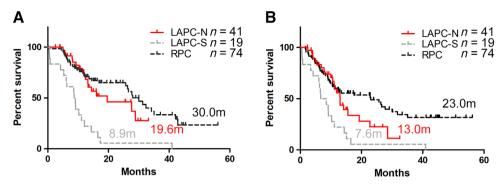


Figure 2. Overall survival and progression-free survival of patients with pancreatic cancer. The overall survival **(A)** and progression-free survival **(B)** of patients with RPC, patients with LAPC-S, and patients with LAPC-N. The data of RPC and LAPC-S were collected retrospectively, separately from the prospective cohort of LAPC-N.

Abbreviations: LAPC, locally advanced pancreatic cancer; LAPC-N, LAPC with neoadjuvant therapy; LAPC-S, LAPC with surgery only; RPC, resectable pancreatic cancer.

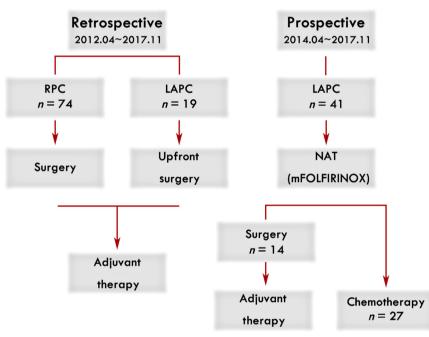


Figure 3. Enrollment and treatment schema in our institution.

Abbreviations: LAPC, LAPC, locally advanced pancreatic cancer; mFOLFIRINOX, modified FOLFIRINOX; NAT, neoadjuvant therapy; RPC, resectable pancreatic cancer.

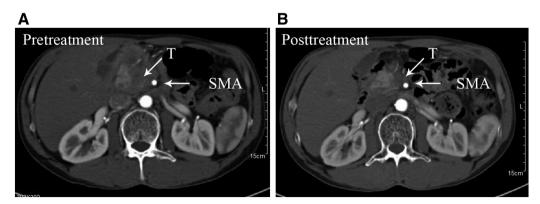


Figure 4. Example of before treatment (A) and after treatment (B) with mFOLFIRINOX with partial response. Abbreviations: SMA, superior mesenteric artery; T, tumor.



Table 1. Patient characteristics at baseline

Patient characteristics	RPC (<i>n</i> = 74), <i>n</i> (%)	LAPC-S (n = 19),n (%)	LAPC-N (<i>n</i> = 41), <i>n</i> (%)	<i>p</i> value
Age, median (range)	64 (38–81)	64 (45–79)	62 (44–80)	.446
Gender				.762
Male	44 (59.5)	11 (52.4)	22 (53.7)	
Female	30 (40.5)	10 (47.6)	19 (46.3)	
Smoker				.144
No	40 (54.1)	15 (78.9)	24 (58.5)	
Yes	34 (45.9)	4 (21.1)	17 (41.5)	
Alcohol				.012*
No	49 (66.2)	16 (84.2)	19 (46.3)	
Yes	25 (33.8)	3 (15.8)	22 (53.7)	
Presenting symptoms				.002*
Jaundice	29 (39.2)	6 (31.6)	4 (9.8)	
Pain	35 (47.3)	16 (84.2)	36 (87.8)	
Weight loss	22 (29.7)	14 (73.7)	17 (41.5)	
Biliary drainage	24 (32.4)	4 (19)	4 (9.8)	.023*
Comorbidities				.417
Hypertension	26 (35.1)	5 (26.3)	16 (39.0)	
Diabetes mellitus	16 21.6)	5 (26.3)	10 (24.4)	
Coronary heart disease	1 (1.4)	1 (5.3)	2 (4.9)	
Chronic obstructive pulmonary disease	0	0	2 (4.9)	
ECOG performance score				NA
0	NA	NA	28 (68.3)	
1	NA	NA	12 (29.3)	
2	NA	NA	1 (2.4)	
Pancreatic tumor location				.310
Proximal	51 (68.9)	10 (52.6)	24 (58.5)	
Distal	23 (31.1)	9 (47.4)	17 (41.5)	
Lab tests, ^a mean \pm SD				
CA19-9, U/mL	$\textbf{1677.9} \pm \textbf{3221.2}$	$\textbf{1682.6} \pm \textbf{3422.3}$	2096.8 ± 3675.7	.151
Carcinoembryonic antigen, U/mL	9.4 ± 30.6	4.9 ± 4.5	10.9 ± 24.3	.500
Alpha fetoprotein, ng/mL	2.9 ± 2.1	$\textbf{2.9} \pm \textbf{1.3}$	$\textbf{2.8} \pm \textbf{1.4}$.727
Albumin, g/L	$\textbf{39.1} \pm \textbf{4.5}$	40.5 ± 7.2	40.5 ± 4.3	.257
Serum creatinine, µmol/L	$\textbf{59.9} \pm \textbf{21.0}$	$\textbf{57.7} \pm \textbf{11.2}$	$\textbf{58.0} \pm \textbf{13.2}$.992
Total bilirubin, μmol/	$\textbf{87.4} \pm \textbf{104.6}$	$\textbf{39.8} \pm \textbf{53.2}$	$\textbf{36.3} \pm \textbf{74.0}$.693
White blood cell, 10 ⁹ /L	6.1 ± 2.0	5.7 ± 3.7	6.0 ± 1.5	.631

*p < .05^aLab tests results on the admission day.

Abbreviations: ECOG, Eastern Cooperative Oncology Group; LAPC, locally advanced pancreatic cancer; LAPC-N, LAPC with neoadjuvant therapy; LAPC-S, LAPC with upfront surgery; NA, not available; RPC, resectable pancreatic cancer.

Table 2. Response to modified-FOLFIRINOX regimer
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Variable	LAPC-N (n = 41), n (%)
Could not be evaluated	6 (14.6)
Response ($n = 35$)	
CR	0
PR	13 (37.1)
SD	14 (40.0)
PD	8 (22.9)
Rate of objective response ^a	13 (37.1)
Rate of disease control ^b	27 (77.1)

^aThe objective response rate was defined as the percentage of patients with CR or PR. ^bThe rate of disease control was defined as the percentage of patients with CR, PR, or SD.

Abbreviations: CR, complete response; LAPC-N, LAPC with neoadjuvant therapy; PD, progressive disease; PR, partial response; SD, stable disease.

	Table 3. Operation outcomes and	perioperative complications of	patients with tumor resection
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Outcomes and complications	RPC (<i>n</i> = 74)	LAPC-S (<i>n</i> = 19)	LAPC-N-S (<i>n</i> = 14)	p value [®]
Patients with tumor resection, n	74	16	12	
Operation type, n (%)				NA
Whipple	50 (67.6)	8 (50)	4 (33.3)	
Distal pancreatectomy	24 (32.4)	4 (25)	8 (66.7)	
Total pancreatectomy	0	4 (25)	0	
Operation duration, mean (range), min	301.5 (135–540)	490.4 (240–810)	375.6 (253–593)	.066
Arterial reconstruction, n (%)	1 (1.4)	2 (12.5)	3 (25)	.624
Concomitant PV/SMV R/C, n (%)	17 (23.0)	11 (68.8)	5 (41.7)	.250
Concomitant organ resection, n (%)	18 (24.3)	5 (31.3)	4 (33.3)	.886
Blood loss, mean \pm SD, mL	$\textbf{301.5} \pm \textbf{83.9}$	800 ± 610	608.3 ± 363	.343
Blood transfusion, n (%)	17 (23.0)	12 (75.0)	10 (83.3)	.673
Blood transfusion, mean (range), U	2.8 (1.5–8)	6.5 (1–14.5)	4.4 (1.5–6.5)	.166
Length of stay, mean \pm SD, d	15.2 ± 11.4	18.1 ± 11.8	14.4 ± 8.0	.366
ICU stay, n (%)	10 (13.5)	7 (43.8)	5 (35.7)	.947
ICU stay, mean \pm SD, d	2.3 (1–5)	2.3 (1–4)	2.0 (1–4)	.734
Complications, n (%)				
Pancreatic fistula	10 (13.5)	4 (33.3) ^b	7 (58.3)	.414
Chylous fistula	14 (18.9)	4 (25)	2 (16.7)	.673
Pleural effusion	21 (28.4)	13 (81.3)	5 (41.7)	.050
Ascites	6 (8.1)	10 (62.5)	6 (50.0)	.702
Delayed gastric emptying	7 (9.5)	1 (6.3)	1 (8.3)	NA ^c
Surgical site infection	7 (9.5)	2 (12.5)	0	NA
Peritoneal hemorrhage	2 (2.7)	0	0	NA
GI hemorrhage	1 (1.4)	0	0	NA
Biliary fistula	3 (4.1)	0	0	NA
Pneumonia	7 (9.5)	2 (12.5)	2 (16.7)	NA ^c
Thrombosis	0	0	1 (8.3)	NA
Diarrhea	13 (17.6)	10 (62.5)	4 (33.3)	.252
Heart disease	0	1 (6.3)	0	NA
Clavien-Dindo grade, n (%)				.637
II	14 (18.9)	5 (31.3)	3 (25.0)	
Illa	12 (29.3)	7 (43.8)	4 (33.3)	
IIIb	1 (1.4)	0	3 (25.0)	
V	1 (1.4)	2 (12.5)	0	
Unplanned reoperation, n (%)	0	1 (5.3)	2 (16.7)	.560
Postoperative death (within 90 d), n (%)	1 (1.4)	3 (15.8)	0	.238

^aComparison between LAPC-S and LAPC-N. ^bTotal pancreatectomies were performed in 4 of 12 patients.

^cp value is unavailable because of sample size limitation.

Abbreviations: GI, gastrointestinal; ICU, intensive care unit; LAPC, locally advanced pancreatic cancer; LAPC-N, LAPC with neoadjuvant therapy; LAPC-N-S, LAPC-N with surgical resection; LAPC-S, LAPC with upfront surgery; NA, not available; PV/SMV, portal vein/superior mesenteric vein; R/C, resection and construction; RPC, resectable pancreatic cancer.

Table 4. Histopathologic features

Histopathologic features	RPC (<i>n</i> = 74)	LAPC-S (n = 19)	LAPC-N-S (<i>n</i> = 14)	<i>p</i> value ^ª
Resection rate, %	100	84.2	85.7	.905
Tumor size	$\textbf{3.0} \pm \textbf{1.2}$	$\textbf{3.5} \pm \textbf{1.5}$	$\textbf{2.7} \pm \textbf{0.8}$.195
Margin (microscopic), n (%)				.933
RO	72 (97.3)	14 (73.7)	11 (78.6)	
R1/R2	2 (2.7)	2 (10.6)	1 (7.1)	
Unresectable	0	3 (15.8)	2 (14.3)	
Histologic grade, n (%)				.994
Well/moderately differentiated	47 (63.5)	13 (68.4)	10 (71.4)	
Poorly differentiated	27 (36.5)	4 (21.1)	3 (21.4)	
Unevaluated	0	2 (10.5)	1 (7.1)	
Patients with tumor resection	n = 74	<i>n</i> = 16	n = 12	
Perineural invasion, n (%)	50 (67.6)	15 (93.8)	7 (58.3)	.057
Microvascular invasion, n (%)	23 (31.1)	2 (12.5)	2 (16.7)	NA ^b
PV/SMV invasion, n (%)	9 (12.2)	4 (25.0)	2 (16.7)	NA
Artery invasion, n (%)	0	1 (6.3)	2 (16.7)	NA
Lymph node number, mean \pm SD	$\textbf{16.7} \pm \textbf{11.5}$	$\textbf{19.7} \pm \textbf{13.2}$	$\textbf{11.4} \pm \textbf{6.9}$.059
Lymph node positive, n (%)	37 (50.0)	12 (75.0)	3 (25.0)	.020*
TNM staging, n (%)				.097
la	11 (14.9)	1 (6.3)	1 (8.3)	
lb	21 (28.4)	1 (6.3)	2 (16.7)	
lla	7 (9.5)	1 (6.3)	5 (41.7)	
llb	27 (36.5)	7 (43.8)	1 (8.3)	
III	8 (10.8)	6 (37.5)	3 (25.0)	
Pathological response, n (%)				NA
G4 (no viable tumor cells)	NA	NA	0	
G3 (<10% viable tumor cells)	NA	NA	7 (58.3)	
G2 (10%–90% viable tumor cells)	NA	NA	5 (41.7)	
G1 (>90% viable tumor cells)	NA	NA	0	

*p < .05. ^aComparison between LAPC-S and LAPC-N.

^bp value is unavailable because of sample size limitation.

Abbreviations: LAPC, locally advanced pancreatic cancer; LAPC-N, LAPC with neoadjuvant therapy; LAPC-N-S, LAPC-N with surgical resection; LAPC-S, LAPC with upfront surgery; NA, not available; PV/SMV, portal vein/superior mesenteric vein; RPC, resectable pancreatic cancer.

Radiological features	RPC (<i>n</i> = 74)	LAPC-S (<i>n</i> = 19)	LAPC-N (<i>n</i> = 41)	p value ^a
Tumor size, mean \pm SD, cm	2.9 ± 1.3	3.6 ± 0.8	4.0 ± 1.3	.194
Pancreatic tumor location, n (%)				.668
Proximal	51 (68.9)	10 (52.6)	24 (58.5)	
Distal	23 (31.1)	9 (47.4)	17 (41.5)	
Pancreatic duct dilation, n (%)	55 (74.3)	16 (84.2)	31 (75.6)	.452
Billiary duct dilation, n (%)	35 (47.3)	6 (31.6)	10 (24.4)	.558
Artery involvement, <i>n</i> (%)	0	19 (100.0)	36 (87.8)	.168
CA	NA	10 (52.6)	24 (58.5)	.617
≤180	NA	1 (10.0)	4 (16.7)	
>180	NA	9 (90.0)	20 (83.8)	
SMA	NA	14 (73.7)	25 (61)	.686
≤180	NA	3 (21.4)	4 (16.0)	
>180	NA	11 (78.5)	21 (84.0)	
СНА	NA	7 (78.6)	20 (48.8)	.387
Vein involvement, n (%)	15 (20.3)	16 (84.2)	32 (78.0)	.449
PV	3 (4.1)	14 (73.7)	21 (51.2)	.757
≤180 without contour irregularity	3 (4.1)	2 (14.3)	4 (19.0)	
≤180 with contour irregularity or > 180	0	12 (85.7)	17 (81.0)	
SMV	15 (20.3)	15 (78.9)	32 (78.0)	.697
≤180 without contour irregularity	15 (20.3)	3 (20.0)	5 (15.6)	
≤180 with contour irregularity or > 180	0	12 (80.0)	27 (84.4)	
IVC	0	0	0	NA
Lymph node enlargement, n (%)	27 (36.5)	10 (52.6)	24 (58.5)	.668

Table 5. Radiological features

^aComparison between LAPC-S and LAPC-N.

Abbreviations: CA, celiac artary; CHA, common hepatic artary; IVC, inferior vena cava; LAPC, locally advanced pancreatic cancer; LAPC-N, LAPC with neoadjuvant therapy; LAPC-S, LAPC with upfront surgery; NA, not available; PV, portal vein; RPC, resectable pancreatic cancer; SMV, superior mesenteric vein.

Table 6. Treatment evaluation

Treatment evaluation	Pretreatment	Posttreatment	<i>p</i> value
CA19-9, mean \pm SD, U/mL	2684.2 ± 4097.6	638.5 ± 1895.4	.033*
CA19-9 elevation	13 (92.6)	5 (35.7)	.002*
Tumor size, mean \pm SD, cm	4.3 ± 1.3	3.0 ± 1.0	<.001*
Artery involvement, n (%)	13 (92.6)	13 (92.6)	NA
CA	10 (71.4)	10 (71.4)	
SMA	6 (42.9)	6 (42.9)	
СНА	8 (57.1)	8 (57.1)	
Vein involvement, <i>n</i> (%)	9 (64.3)	9 (64.3)	NA
PV	7 (50.0)	7 (50.0)	
SMV	9 (64.3)	9 (64.3)	
IVC	0	0	

*p < .05.

Abbreviations: CA, celiac artary; CHA, common hepatic artary; IVC, inferior vena cava; NA, not available; PV, portal vein; SMA, superior mesenteric artery; SMV, superior mesenteric vein.



Table 7. Adjuvant therapy and recurrence

Adjuvant therapy Events	RPC (<i>n</i> = 74)	LAPC-S (<i>n</i> = 19)	LAPC-N-S (<i>n</i> = 14)	<i>p</i> value ^ª
Patients with tumor resection, n	74	16	12	
Adjuvant therapy, n (%)				.054
Yes	49 (66.2)	7 (43.8)	10 (83.3)	
No	25 (33.8)	9 (56.2)	2 (16.7)	
Time after surgery, m, (range)	1.63 (0.77–3.33)	1.81 (1.03–3.80)	1.66 (1.17–3.03)	.927
Recurrence, n (%)	29 (39.2)	7 (43.8)	6 (50.0)	.727
Local	2 (2.7)	0	1 (8.3)	
Distal	21 (28.4)	4 (25.0)	4 (33.3)	
Both	6 (8.7)	3 (18.8)	1 (8.3)	
Patients with adjuvant therapy, n	49	7	10	NA
Adjuvant therapy, n (%)				
Gemcitabine	37 (75.5)	6 (85.7)	2 (20.0)	
mFOLFIRINOX	4 (8.2)	1 (14.3)	6 (60.0)	
Gemcitabine+nab-paclitaxel	1 (2.0)	0	1 (8.3)	
Gemcitabine+capecitabine	2 (4.1)	0	0	
S1	5 (10.2)	0	0	
Capecitabine	0	0	1 (8.3)	

^aComparison between LAPC-S and LAPC-N.

Abbreviations: LAPC, locally advanced pancreatic cancer; LAPC-N, LAPC with neoadjuvant therapy; LAPC-S, LAPC with upfront surgery; mFOLFIR-INOX, modified FOLFIRINOX; NA, not available; RPC, resectable pancreatic cancer.

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