

# Risk factors for symptomatic malignant pleural effusion recurrence in patients with actionable mutations in advanced lung adenocarcinoma

# Ke Xu<sup>1</sup>, Xiaodi Wu<sup>1</sup>, Lu Chen<sup>1</sup>, Jingyuan Xie<sup>1</sup>, Xin Hua<sup>2</sup>, Mo Chen<sup>3</sup>, Yuxin Jiang<sup>2</sup>, Hongbing Liu<sup>1,2,3</sup>, Fang Zhang<sup>1,2,3</sup>, Tangfeng Lv<sup>1,2,3</sup>, Yong Song<sup>1,2,3</sup>, Ping Zhan<sup>1,2,3</sup>

<sup>1</sup>Department of Respiratory and Critical Care Medicine, Nanjing Jinling Hospital, Affiliated Hospital of Medical School, Nanjing University, Nanjing, China; <sup>2</sup>Department of Respiratory and Critical Care Medicine, Jinling Hospital, Southeast University Medical College, Nanjing, China; <sup>3</sup>Department of Respiratory and Critical Care Medicine, Jinling Hospital, Nanjing Medical University, Nanjing, China

*Contributions:* (I) Conception and design: P Zhan, T Lv, Y Song, K Xu; (II) Administrative support: P Zhan, T Lv, Y Song; (III) Provision of study materials or patients: H Liu, F Zhang, P Zhan, T Lv, Y Song; (IV) Collection and assembly of data: K Xu, X Wu, L Chen, J Xie, X Hua, M Chen, Y Jiang; (V) Data analysis and interpretation: K Xu, X Wu, L Chen; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors. *Correspondence to:* Tangfeng Lv, MD; Yong Song, MD; Ping Zhan, MD. Department of Respiratory and Critical Care Medicine, Nanjing Jinling Hospital, Affiliated Hospital of Medical School, Nanjing University, 305 East Zhongshan Road, Nanjing 210002, China; Department of Respiratory and Critical Care Medicine, Jinling Hospital, Southeast University Medical College, Nanjing, China; Department of Respiratory and Critical Care Medicine, Jinling Hospital, Nanjing, China. Email: bairoushui@163.com; yong\_song6310@yahoo.com; zhanping207@163.com.

**Background:** Malignant pleural effusion (MPE) comes generally with high mortality and poor prognosis. Recurrence of symptomatic MPE is always accompanied by poor survival quality. In lung adenocarcinoma, researchers speculate whether patients with actionable mutation or without are applicable to different management models for MPE. Under the background of the high mutation probability and the encouraging therapeutic response in Asians, researches on the risk factors of MPE are in need.

**Methods:** This retrospective review included 343 metastatic lung adenocarcinoma patients with MPE. Recurrence was defined as recurrent symptomatic MPE requiring the second thoracentesis to relieve symptoms within 300 days after the first thoracentesis. Univariable and multivariable Cox regression analysis were utilized to investigate independent risk factors for MPE recurrence.

**Results:** Of the 343 patients involved, 139 experienced MPE recurrence within 300 days; 34.3% in 201 patients with actionable mutations and 51.2% in 129 patients without actionable mutations are in the recurrence. The median recurrence-free survival (RFS) of the group without mutations was 161 days. The median RFS of the other group with mutations was 300 days. Patients with actionable mutations showed a significantly lower hazard of MPE recurrence on univariate analysis. The multivariate analysis indicated that receiving targeted therapy after the first thoracentesis within 30 days, lower neutrophil-to-lymphocyte ratio (NLR) level, lower serum lactate dehydrogenase (s-LDH) level, and lower serum carcinoembryonic antigen (s-CEA) level were independent protective factors. In subgroup analysis, risk factors differed. Receiving targeted therapy after the first thoracentesis within 30 days remained an independent factor in the mutated patients.

**Conclusions:** The findings herein indicated the characteristics of specific patients at high risk for MPE recurrence in lung adenocarcinoma. Patients with actionable mutations benefit more in MPE recurrence and could benefit from targeted therapy and active intrapleural management.

Keywords: Lung adenocarcinoma; malignant pleural effusion (MPE); recurrence; mutations

Submitted Feb 28, 2023. Accepted for publication Aug 03, 2023. Published online Sep 01, 2023. doi: 10.21037/tlcr-23-151

View this article at: https://dx.doi.org/10.21037/tlcr-23-151

#### Introduction

Patients with malignant pleural effusion (MPE) are associated with high mortality and poor prognosis (1-3). It is generally recognized that the median overall survival (OS) of MPE in non-small cell lung cancer (NSCLC) is around 5.5 months despite a lack of updating on the data for a long time (4). Generally, MPE is identified as an adverse factor in cancer based on previous research (5). Several studies have explored the prognostic model for MPE, such as the LENT score and PROMISE score, widely known for exploring prognostic risk factors of MPE regardless of cancer type (6,7). Unlike the two models exploring unselected cancer types, our previous study focused on lung cancer patients with MPE and gained the RECLS score in lung cancer and the RECLSAM score in lung adenocarcinoma (8). In the RECLSAM score, activating gene mutations was considered the protective factor. Almost 40-60% of Asians and 10-30% of Caucasians display epidermal growth factor receptor (EGFR) activating mutation, achieving 8 months progression-free survival (PFS) improvement when taking Osimertinib in FLAURA (9). Meanwhile, the patients without mutations attained worse OS. The two groups fit into different management strategies in MPE. Chemotherapy or immunotherapy are usually applied to the mutation-negative group. Thoracentesis with active MPE control management are often displayed, such as

#### Highlight box

#### Key findings

 Actionable mutations were found to lower the risk of malignant pleural effusion (MPE) recurrence. Targeted therapy and active intrapleural management were effective for inhibiting the MPE recurrence risk as well.

#### What is known and what is new?

- MPE comes generally with high mortality and poor prognosis. Recurrence of symptomatic MPE is always accompanied by poor survival quality.
- Patients with actionable mutations benefit more in MPE recurrence and could benefit from targeted therapy and active intrapleural management.

#### What is the implication, and what should change now?

• In Asian patients with actionable mutations, targeted therapy combined with active intrapleural management was preferred, whether choosing intrapleural injection or indwelling pleural catheter.

indwelling pleural catheter (IPC) placement or pleurodesis. In mutation-positive lung adenocarcinoma, targeted therapy and thoracentesis are preferable in consideration of the high response rate of targeted therapy.

As we mentioned, we have explored the prognostic risk factors in lung adenocarcinoma with MPE, indicating a worse prognosis for patients without mutations. Except for the long-term survival, we found that both positive-mutated and negative-mutated patients were likely to develop recurrence of symptomatic MPE, implying short-term poor quality of life, including progressive dyspnea, cough, or other chest discomforts (10). Patients with recurrent symptomatic MPE often require repetitive thoracentesis for palliation of symptoms or IPC in certain conditions (11). Studies exploring patients with a high risk of MPE recurrence and identifying the difference in management between patients with actionable activating gene mutations and those without will make sense. There have been few articles that probes into the risk factors for the recurrence of MPE and the credibility of which also needs to be improved. Previous research on Caucasians indicated that patients with actionable mutations showed a similar risk of MPE recurrence. Patients could benefit from the same management strategy regardless of mutation status (12). Considering differences in the frequency of mutations and management strategies between Caucasians and Asians, we conducted the retrospective study and gathered data on clinical, hematic, and biochemical factors. We sought to select patients with a high risk of MPE recurrence in lung adenocarcinoma. Moreover, we intended to explore whether the two groups owning opposite mutation statuses performed differently at the time of MPE recurrence. We present this article in accordance with the STROBE reporting checklist (available at https://tlcr.amegroups.com/ article/view/10.21037/tlcr-23-151/rc).

#### **Methods**

#### Ethical statement

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013) and approved by the local ethics committee of Nanjing Jinling Hospital, Affiliated Hospital of Medical School, Nanjing University (registration ID: NJJLH202103256). Informed consent from individuals was waived based on the retrospective nature of this study.

#### **Participants**

Patients experiencing the first thoracentesis for MPE in lung adenocarcinoma at Nanjing Jinling Hospital, Affiliated Hospital of Medical School, Nanjing University from January 2008 to October 2021 were collected. Participants included patients pathologically diagnosed with lung adenocarcinoma and those receiving active therapy after the first thoracentesis of MPE. Those younger than 18 years old, failure to follow up immediately after the first thoracentesis, or lack of data when undergoing the first thoracentesis at other institutions were excluded. We collected exact baseline information such as age, gender, treatment-naïve patients or not, mutation status, presence of contralateral effusion, depth displayed by B ultrasound, the volume of drainage, and specific active treatment before and after the first thoracentesis within 30 days before active therapy at first thoracentesis. Hematic biomarkers included white blood cells (WBC), neutrophil-to-lymphocyte ratio (NLR), hemoglobin (Hb), platelet (PLT), C-reaction protein (CRP), serum glucose, serum albumin/globulin ratio (A/G), serum lactate dehydrogenase (s-LDH), and serum carcinoembryonic antigen (s-CEA). Pleural biomarkers such as pleural LDH (p-LDH), pleural protein (p-protein), pleural glucose (p-Glucose), and pleural CEA (p-CEA) in pleural effusion were also involved.

## Definition

MPE was characterized as the presence of malignant cells in pleural effusion upon cytological examination or with pleural infiltration detected by biopsy specimens. Patients with recurrent MPE were regarded as those experiencing the second thoracentesis and their pleural effusion was confirmed malignant. Actionable mutations were defined as EGFR activating mutations (EGFR Del19, L858R, T790M) or anaplastic lymphoma kinase (ALK) rearrangement. Patients who received targeted therapy were those who received kinase inhibitors of oncogenic receptor tyrosine kinases, c-ros oncogene 1 receptor tyrosine kinase, and tropomyosin receptor kinases as well as downstream target kinases. The follow-up time was 300 days. This was a meaningful duration according to the patients included in this study, in which over 50% of patients developed a recurrent MPE within 300 days. Recurrence-free survival (RFS) was defined as the time from the first thoracentesis to MPE recurrence over the follow-up period.

#### Statistical analysis

We analyzed data with SPSS version 26 (IBM Corp., Chicago, IL, USA). Risk factors affecting recurrence were extracted using univariable and multivariable Cox regression analysis. Variables with P<0.10 on univariate analysis were incorporated into the multivariable analyses. Variables with P<0.05 were finally considered independent factors for MPE recurrence. The cut-off values of continuous variables were ensured upon the receiver operating characteristic (ROC) curve.

#### **Results**

#### Patient characteristics

Between January 2008 and October 2021, 343 patients with MPE in Nanjing Jinling Hospital, Affiliated Hospital of Medical School, Nanjing University were included. Of those with detected mutation statuses, 201 (60.9%) were EGFR or ALK mutated. A total of 154 patients with actionable mutations received targeted therapy after diagnosis of MPE. Furthermore, 67.1% were treatment naïve (*Table 1*).

#### The incidence of recurrence and RFS

Of the 343 included patients, 139 experienced MPE recurrence within 300 days, while 62 participants were lost to follow-up. When the 330 patients with mutation status were divided into a group with mutation and another group without mutation, the latter, including 129 patients, reached its median RFS of 161 days. The group with mutations did not meet its median RFS.

#### Risk factors for the recurrence of MPE

On univariate analysis, variables such as experienced no systematic treatment before [hazard ratio (HR), 0.628; 95% confidence interval (CI): 0.445–0.886; P=0.008], older than 59 years old (HR, 1.576; 95% CI: 1.121–2.214; P=0.009), the higher level of NLR (HR, 1.566; 95% CI: 1.087–2.256; P=0.016), the higher level of CRP (HR, 1.904; 95% CI: 1.183–3.066; P=0.008), the higher level of s-LDH (HR, 1.791; 95% CI: 1.281–2.506; P=0.001), the higher level of s-CEA (HR, 1.565; 95% CI: 1.120–2.185; P=0.009), and the higher level of p-LDH (HR, 1.498; 95% CI: 1.051–2.134; P=0.025) were associated with the recurrence of MPE. Patients with actionable mutations showed a lower hazard

#### 1890

 Table 1 Baseline and treatment characteristics of patients with

 MPE and patients with MPE recurrence

MIPE and patients with MIPE recurrence					
Baseline information	Patients with MPE	Patients with recurrence			
	(N=343), n (%)	(N=139), n (%)			
Baseline information					
Treatment history	N=343	N=139			
Previously untreated	230 (67.1)	87 (62.6)			
Previously treated	113 (32.9)	52 (37.4)			
Mutation status	N=330	N=135			
EGFR/ALK+	201 (60.9)	69 (51.1)			
EGFR/ALK-	129 (39.1)	66 (48.9)			
Gender	N=343	N=139			
Female	164 (47.8)	66 (47.5)			
Male	179 (52.2)	73 (52.5)			
Median age (years)	59	62			
Pleural effusion					
The volume of drainage	N=174	N=67			
≥1,000 mL	122 (70.1)	42 (62.7)			
<1,000 mL	52 (29.9)	25 (37.3)			
Depth displayed by B ultrasound	N=76	N=33			
≥50 mm	71 (93.4)	31 (93.9)			
<50 mm	5 (6.6)	2 (6.1)			
Cytologic results	N=318	N=125			
Positive	279 (87.7)	113 (90.4)			
Negative	39 (12.3)	12 (9.6)			
Presence of contralateral effusion	N=332	N=133			
Yes	49 (14.8)	22 (16.5)			
No	283 (85.2)	111 (83.5)			
Specific active treatment before the first thoracentesis within 30 days	N=340	N=136			
Chemotherapy	27 (7.9)	16 (11.8)			
Targeted therapy	62 (18.2)	31 (22.8)			
Radiotherapy	12 (3.5)	6 (4.4)			
Anti-angiogenesis therapy	12 (3.5)	9 (6.6)			
Immunotherapy	8 (2.4)	1 (0.7)			
No specific treatment	247 (72.6)	88 (64.7)			
Table 1 (matings)					

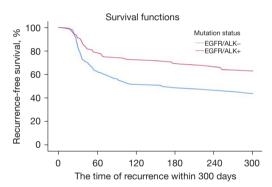
Table 1 (continued)

Xu et al. Recurrence of MPE

Table 1 (continued)

Baseline information	Patients with MPE (N=343), n (%)	Patients with recurrence (N=139), n (%)
Specific active treatment after the first thoracentesis within 30 days*	N=341	N=137
Chemotherapy	159 (46.6)	72 (52.6)
Targeted therapy	175 (51.3)	54 (39.4)
MPE control measures		
Intrapleural injection	240 (70.4)	100 (73.0)
Indwelling pleural catheter	101 (29.6)	37 (27.0)
Anti-angiogenesis therapy	39 (11.4)	22 (16.1)
Immunotherapy	16 (4.7)	7 (5.1)

\*, one patient might receive more than one types of therapy. MPE, malignant pleural effusion; EGFR, epidermal growth factor receptor; ALK, anaplastic lymphoma kinase.



**Figure 1** Comparison of the actuarial risk of MPE recurrence among patients with different mutation status in univariate analysis. MPE, malignant pleural effusion; EGFR, epidermal growth factor receptor; ALK, anaplastic lymphoma kinase.

of recurrence (HR, 0.544; 95% CI: 0.388–0.764; P<0.001) (*Figure 1*). In addition, in specific treatment before the first thoracentesis within 30 days, receiving chemotherapy (HR, 2.120; 95% CI: 1.255–3.579; P=0.005), targeted therapy (HR, 1.834; 95% CI: 1.228–2.739; P=0.003), and antiangiogenic therapy (HR, 2.899; 95% CI: 1.469–5.720; P=0.002) were relevant factors for MPE recurrence. In specific treatment after the first thoracentesis within 30 days, receiving targeted therapy (HR, 0.472; 95% CI: 0.335–0.666; P<0.001) and anti-angiogenic therapy (HR, 1.664; 95% CI: 1.054–2.628; P=0.029) were regarded as relevant factors for MPE recurrence and shown in *Table 2*.

#### Translational Lung Cancer Research, Vol 12, No 9 September 2023

Characteristics		Univariate analysis incidence of recurrence (P<0.1)			Multivariate analysis incidence of recurrence (P<0.05)		
	HR	95% CI	Р	HR	95% CI	Р	
Baseline information							
Treatment-naïve	0.628	0.445-0.886	0.008				
Mutation	0.544	0.388-0.764	<0.001				
Female	0.939	0.673-1.310	0.710				
Age	1.576	1.121–2.214	0.009				
Pleural effusion							
The volume of drainage (≥1,000 mL)	0.719	0.438-1.18	0.191				
Depth displayed by B ultrasound (≥50 mm)	1.501	0.359–6.278	0.578				
Positive cytologic results	1.228	0.677–2.227	0.498				
Contralateral effusion	1.378	0.871-2.179	0.170				
Specific active treatment before the first thorace	ntesis						
Chemotherapy	2.120	1.255–3.579	0.005				
Targeted therapy	1.834	1.228–2.739	0.003				
Radiotherapy	1.923	0.848-4.365	0.118				
Anti-angiogenesis therapy	2.899	1.469–5.720	0.002				
Immunotherapy	0.276	0.039–1.975	0.200				
Specific active treatment after the first thoracent	esis						
Chemotherapy	1.307	0.934–1.829	0.118				
Targeted therapy	0.472	0.335–0.666	<0.001	0.454	0.315–0.654	<0.001	
Indwelling pleural catheter	1.133	0.777-1.652	0.516				
Anti-angiogenesis therapy	1.664	1.054–2.628	0.029				
Immunotherapy	1.331	0.622-2.849	0.462				
Hematic biomarkers							
WBC (≥6.03/L)	1.281	0.901-1.821	0.167				
NLR (≥2.645)	1.566	1.087–2.256	0.016	1.612	1.070-2.427	0.022	
Hb (≥114.5 g/L)	1.016	0.663-1.556	0.941				
PLT (≥238.5/L)	1.087	0.779–1.517	0.622				
CRP (≥1.35 mg/L)	1.904	1.183–3.066	0.008				
Glucose (≥6.75 mmol/L)	1.448	0.925-2.266	0.106				
A/G (≥1.28)	1.115	0.795-1.565	0.528				
s-LDH (≥223.5 U/L)	1.791	1.281-2.506	0.001	1.624	1.126–2.342	0.009	
s-CEA (≥21.1 μg/L)	1.565	1.120–2.185	0.009	1.883	1.314–2.698	0.001	
Pleural biomarkers							
p-LDH (≥358 U/L)	1.498	1.051–2.134	0.025				
p-protein (≥53.95 g/L)	1.200	0.738-1.950	0.462				
p-Glucose (≥6.25 mmol/L)	1.165	0.824–1.647	0.388				
p-CEA (≥143.05 μg/L)	1.261	0.880-1.806	0.206				

MPE, malignant pleural effusion; HR, hazard ratio; CI, confidence interval; WBC, white blood cells; NLR, neutrophil-to-lymphocyte ratio; Hb, hemoglobin; PLT, platelet; CRP, C-reaction protein; glucose, serum glucose; A/G, serum albumin/globulin ratio; s-LDH, serum lactate dehydrogenase; s-CEA, serum carcinoembryonic antigen; p-LDH, pleural lactate dehydrogenase; p-Glucose, pleural glucose; p-CEA, pleural carcinoembryonic antigen.

Table 3 Multivariate analyses of the factors associated with risks for recurrence of MPE in EGFR/ALK mutated patients

Variable	HR	95% CI	Р
Targeted therapy after the first thoracentesis within 30 days	0.438	0.250–0.768	0.004
CRP (≥1.35 mg/L)	2.523	1.183–5.381	0.017
s-LDH (≥197.5 U/L)	2.305	1.295–4.103	0.005
p-Glucose (≥6.25 mmol/L)	2.148	1.242-3.715	0.006
p-CEA (≥869.4 μg/L)	2.150	1.225–3.774	0.008

MPE, malignant pleural effusion; EGFR, epidermal growth factor receptor; ALK, anaplastic lymphoma kinase; HR, hazard ratio; Cl, confidence interval; CRP, C-reaction protein; s-LDH, serum lactate dehydrogenase; p-Glucose, pleural glucose; p-CEA, pleural carcinoembryonic antigen.

 
 Table 4 Multivariate analyses of the factors associated with risks for recurrence of MPE in patients with wild-type EGFR/ALK

		• •		
Variable	HR	95% CI	Ρ	
Age (≥71.5 years)	2.918	1.191–7.147	0.019	
Glucose (≥6.75 mmol/L)	4.186	1.623–10.791	0.003	
s-CEA (≥203.0 µg/L)	2.719	1.147–6.446	0.023	
	2.719	1.147–6.446	0.023	

MPE, malignant pleural effusion; EGFR, epidermal growth factor receptor; ALK, anaplastic lymphoma kinase; HR, hazard ratio; Cl, confidence interval; glucose, serum glucose; s-CEA, serum carcinoembryonic antigen.

We then put all these variables into multivariate analysis. Receiving treatment except targeted therapy after the first thoracentesis within 30 days (HR, 0.454; 95% CI: 0.315–0.654; P<0.001), higher NLR level (HR, 1.612; 95% CI: 1.070–2.427; P=0.022), higher s-LDH level (HR, 1.624; 95% CI: 1.126–2.342; P=0.009) and higher s-CEA level (HR, 1.883; 95% CI: 1.314–2.698; P=0.001) were finally perceived as risk factors (*Table 2*).

After dividing the 330 patients into two groups upon mutation status, we found that in the group with actionable mutations, receiving treatment except targeted therapy after the first thoracentesis within 30 days (HR, 0.438; 95% CI: 0.250–0.768; P=0.004), higher CRP level (HR, 2.523; 95% CI: 1.183–5.381; P=0.017), higher s-LDH level (HR, 2.305; 95% CI: 1.295–4.103; P=0.005), higher p-Glucose level (HR, 2.148; 95% CI: 1.242–3.715; P=0.006) and higher p-CEA level (HR, 2.150; 95% CI: 1.225–3.774; P=0.008) were thought as independent risk factors (*Table 3*). In the other group, risk factors included elder (HR, 2.918; 95% CI: 1.191–7.147; P=0.019), higher s-Glucose level (HR, 4.186; 95% CI: 1.623–10.791; P=0.003) and higher s-CEA level (HR, 2.719; 95% CI: 1.147–6.446; P=0.023) (*Table 4*).

#### Discussion

A total of 343 patients with advanced metastasis lung adenocarcinoma were included in the study. Among them, 58.6% had actionable mutations. On univariate analysis, mutation status was an effective predictor for RFS. However, in multivariate analysis, only targeted therapy after the first thoracentesis, lower level of NLR, lower s-LDH level, and lower level of s-CEA could be valid factors protecting patients from MPE recurrence.

Previously, researchers have focused on the risk factors for the OS of MPE. The first risk stratification system for patients with MPE, the LENT score, highlighted the disparate survival prospects (6). The clinical PROMISE score was the largest study to use a systematic approach for identifying biomarkers and it was a prognostic score for MPE (7). However, exploring unselected cancer types might reduce the accuracy of specific types of malignancy. To focus on lung cancer, our research team designed a retrospective study and discovered the RECLS score and the RECLSAM score, the first prognostic score for lung cancer and lung adenocarcinoma (8). Our findings were more suitable for Asians, whose mutation frequencies were notably higher than Caucasians. In our score system, the low-risk group had a median survival of 716 days, relatively longer than the 319 days in the low-risk group in the LENT score. In summary, differences did exist in patients of different races. Our previous research investigated the prognosis of MPE while we explored the recurrence of MPE in Asians in this study.

Several studies on risk factors of MPE recurrence were conducted. One prospective study demonstrated that patients receiving the first or second line of systemic treatment were more likely to experience MPE recurrence (13). However, the study included all cancer types and did not evaluate the effects of specific systemic therapies, such as targeted therapy in EGFR-mutated patients. Schwalk et al. focused on actionable mutated patients in NSCLC (12). By utilizing the Fine-Gray sub-distribution hazard model, researchers showed that larger pleural effusion size on chest radiography, higher p-LDH level, and positive cytologic results were related to the time of MPE recurrence. It also demonstrated that patients could benefit from the same management strategy regardless of mutation status. It is at variance with previous research in Asia (14). Chiang et al. (14) investigated 233 patients with lung cancer in Asia. The median time to MPE re-intervention in groups with targeted and systemic therapy was 182 days and 88 days, respectively. Regrettably, no direct comparison was conducted between those with and without driver mutations. Evidence indicates that more studies about risk factors for MPE in Asians were necessary.

Our study intended to explore the underlying risk factors for MPE recurrence in Asian and figure out the possible relationship between the recurrence of MPE and the status of actionable mutations. Though the univariate analysis demonstrated that the factor might be protective against the recurrence of MPE, actionable mutations were not included in our final results. However, our subgroup analysis indicated that targeted therapy after the first thoracentesis within 30 days, lower CRP level, lower s-LDH level, lower p-Glucose level, and lower p-CEA level were thought as independent protective factors in the mutated group. Factors related closely to MPE recurrence were absolutely different in the mutated group. Moreover, our study showed that targeted therapy after the first thoracentesis, always received by mutated patients, was an independent protective factor in recurrent MPE. Differences existed between different mutation statuses. Articles published previously were consistent with our results. First of all, it was widely known that first-line targeted therapy improves PFS and OS compared with carboplatin/paclitaxel, according to IPASS (15). In the last couple of years, targeted therapy was preferable in MPE for patients with EGFR mutation. In the study comparing intrathoracic effects of different therapy in MPE, the MPE-FS in chemotherapy with pleurodesis and targeted therapy with pleurodesis was 10.1 and 19.2 months, respectively. The gap was more prominent (2.5 vs. 21.7 months) when patients received chemotherapy or targeted therapy alone without pleurodesis (16). Targeted therapy proved remarkably effective in extending the time to re-accumulation. To sum up, targeted therapy tended to have a preferable exhibition in protecting patients from

MPE recurrence, consistent with our results. However, among the 108 patients who received the first-generation EGFR tyrosine kinase inhibitors (TKIs) and 31 patients who received the third-generation EGFR-TKIs, no significance in recurrence was found between the two groups (P=0.802). The median RFS of the two groups was both 300 days. More patients should be included and longer follow-up time should be determined to explore the difference in intrapleural effects between the different kinds of EGFR-TKIs in the future.

Except targeted therapy, the level of NLR, LDH, and CEA were independent factors in our results. NLR was connected closely with prognostic in lung cancer with MPE, whether in serum or pleural effusion (6,17). Different from our results, Abrão et al. regarded NLR as a possible variable for MPE recurrence while receiving negative results in the final analysis (13). However, few studies investigated the relationship between NLR and MPE recurrence. On the other hand, statistical difference between groups with different s-LDH levels was significant in our study. LDH was related to tissue injury and could rise in many clinical conditions (18). pleural ADA and s-LDH always appeared in diagnosing MPE, known as CR (18-20). Except s-LDH, p-LDH was proven to have a relationship with poor prognosis and recurrence of MPE (12,21,22). However, p-LDH was not included in our multivariable analysis. More studies should be carried out. Additionally, a higher level of s-CEA was related to recurrence closely in our results. Previous studies indicated that the level of CEA influenced the prognostic of MPE (23). The ratio of p-CEA and s-CEA could be an excellent biomarker for predicting the effects of intrathoracic therapy (24). The existing research did not involve tumor biomarkers as variables of MPE recurrence.

A previous study demonstrated that active MPE control measures should be conducted in the early stage (14). Our study further demonstrated that no difference in the time of MPE recurrence between intrapleural injection and IPC existed. The question about the effectiveness of intrapleural injection and IPC has been controversial for a few years (25-27). Different from other countries, due to the lack of production of medical purified talc in China, clinicians used intrapleural injections such as TNF- $\alpha$ , platinum-containing chemotherapy drugs, and anti-angiogenesis drugs. Different chemotherapy medications in intrapleural injection might lead to a difference in results. Researchers have intended to investigate new chemotherapy medications in the past few years. For example, our team previously conducted an

#### 1894

intrapleural injection of anti-programmed cell death protein 1 monoclonal antibody in the MPE mouse model and found it effective in controlling MPE and cancer growth by activating local cytotoxic T cells (28). We also carried out a small clinical study containing nine NSCLC patients who received intrapleural injections of sintilimab and gained a satisfying short-term control rate. Based on the encouraging results, more clinical trials and comparative studies could be conducted in the future.

Our study was a retrospective study with a few patients lost to follow-up. These might contribute to a selection bias. Also, the patients were selected from one institution, decreasing the generalizability of the results. Multicenter researches and prospective researches are necessary.

#### Conclusions

In conclusion, patients utilizing targeted therapy after the first thoracentesis, with a lower level of NLR, lower level of s-LDH, and s-CEA were less likely to experience early recurrence of symptomatic MPE. In Asian patients with actionable mutations, targeted therapy combined with active intrapleural management was preferred, whether choosing intrapleural injection or IPC.

#### **Acknowledgments**

*Funding:* This work was supported by grants from the 16th batch "Summit of the Six Top Talents" Program of Jiangsu Province (No. WSN-154); China Postdoctoral Science Foundation 12th batch Special fund (Postdoctoral number: 45786); China Postdoctoral Science Foundation 64th batch (Postdoctoral number: 45786); Jiangsu Provincial Postdoctoral Science Foundation (No. 2018K049A); the Natural Science Foundation of Jiangsu province (No. BK20180139); Jiangsu Provincial Health Committee Medical projects (No. M2022110).

#### Footnote

*Reporting Checklist:* The authors have completed the STROBE reporting checklist. Available at https://tlcr. amegroups.com/article/view/10.21037/tlcr-23-151/rc

*Data Sharing Statement:* Available at https://tlcr.amegroups. com/article/view/10.21037/tlcr-23-151/dss

Peer Review File: Available at https://tlcr.amegroups.com/

#### article/view/10.21037/tlcr-23-151/prf

*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at https://tlcr.amegroups.com/article/view/10.21037/tlcr-23-151/coif). YS serves as an Editors-in-Chief of *Translational Lung Cancer Research*. TL serves as an unpaid Associate Editors-in-Chief of *Translational Lung Cancer Research* from December 2022 to November 2023. The other authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013) and approved by the local ethics committee of Nanjing Jinling Hospital, Affiliated Hospital of Medical School, Nanjing University (registration ID. NJJLH202103256). Informed consent from individuals was waived based on the retrospective nature of this study.

*Open Access Statement:* This is an Open Access article distributed in accordance with the Creative Commons Attribution-NonCommercial-NoDerivs 4.0 International License (CC BY-NC-ND 4.0), which permits the non-commercial replication and distribution of the article with the strict proviso that no changes or edits are made and the original work is properly cited (including links to both the formal publication through the relevant DOI and the license). See: https://creativecommons.org/licenses/by-nc-nd/4.0/.

### References

- 1. Matsumoto K, Tamiya A, Matsuda Y, et al. Impact of docetaxel plus ramucirumab on metastatic site in previously treated patients with non-small cell lung cancer: a multicenter retrospective study. Transl Lung Cancer Res 2021;10:1642-52.
- Naito T, Satoh H, Ishikawa H, et al. Pleural effusion as a significant prognostic factor in non-small cell lung cancer. Anticancer Res 1997;17:4743-6.
- Sugiura S, Ando Y, Minami H, et al. Prognostic value of pleural effusion in patients with non-small cell lung cancer. Clin Cancer Res 1997;3:47-50.
- Porcel JM, Gasol A, Bielsa S, et al. Clinical features and survival of lung cancer patients with pleural effusions. Respirology 2015;20:654-9.

# Translational Lung Cancer Research, Vol 12, No 9 September 2023

- Porcel JM, Esquerda A, Vives M, et al. Etiology of pleural effusions: analysis of more than 3,000 consecutive thoracenteses. Arch Bronconeumol 2014;50:161-5.
- Clive AO, Kahan BC, Hooper CE, et al. Predicting survival in malignant pleural effusion: development and validation of the LENT prognostic score. Thorax 2014;69:1098-104.
- Psallidas I, Kanellakis NI, Gerry S, et al. Development and validation of response markers to predict survival and pleurodesis success in patients with malignant pleural effusion (PROMISE): a multicohort analysis. Lancet Oncol 2018;19:930-9.
- Zhang T, Chen X, Wan B, et al. Development of RECLS score to predict survival in lung cancer patients with malignant pleural effusion. Transl Lung Cancer Res 2021;10:1318-26.
- Cheng Y, He Y, Li W, et al. Osimertinib Versus Comparator EGFR TKI as First-Line Treatment for EGFR-Mutated Advanced NSCLC: FLAURA China, A Randomized Study. Target Oncol 2021;16:165-76.
- 10. Antony VB, Loddenkemper R, Astoul P, et al. Management of malignant pleural effusions. Eur Respir J 2001;18:402-19.
- 11. Bibby AC, Dorn P, Psallidas I, et al. ERS/EACTS statement on the management of malignant pleural effusions. Eur Respir J 2018;52:1800349.
- Schwalk AJ, Ost DE, Saltijeral SN, et al. Risk Factors for and Time to Recurrence of Symptomatic Malignant Pleural Effusion in Patients With Metastatic Non-Small Cell Lung Cancer with EGFR or ALK Mutations. Chest 2021;159:1256-64.
- Abrão FC, de Abreu IRLB, de Oliveira MC, et al. Prognostic factors of recurrence of malignant pleural effusion: what is the role of neoplasia progression? J Thorac Dis 2020;12:813-22.
- Chiang KY, Ho JC, Chong P, et al. Role of early definitive management for newly diagnosed malignant pleural effusion related to lung cancer. Respirology 2020;25:1167-73.
- Mok TS, Wu YL, Thongprasert S, et al. Gefitinib or carboplatin-paclitaxel in pulmonary adenocarcinoma. N Engl J Med 2009;361:947-57.
- 16. Kashiwabara K, Fuji S, Tsumura S, et al. Prognosis of EGFR-mutant Lung Adenocarcinoma Patients With Malignant Pleural Effusion Receiving First-line EGFR-TKI Therapy Without Pleurodesis: A Single-institute Retrospective Study. Anticancer Res 2020;40:1117-21.
- 17. Popowicz N, Cheah HM, Gregory C, et al. Neutrophilto-lymphocyte ratio in malignant pleural fluid: Prognostic

significance. PLoS One 2021;16:e0250628.

- Verma A, Abisheganaden J, Light RW. Identifying Malignant Pleural Effusion by A Cancer Ratio (Serum LDH: Pleural Fluid ADA Ratio). Lung 2016;194:147-53.
- Verma A, Dagaonkar RS, Marshall D, et al. Differentiating Malignant from Tubercular Pleural Effusion by Cancer Ratio Plus (Cancer Ratio: Pleural Lymphocyte Count). Can Respir J 2016;2016:7348239.
- 20. Zhang Y, Li X, Liu J, et al. Diagnostic accuracy of the cancer ratio for the prediction of malignant pleural effusion: evidence from a validation study and meta-analysis. Ann Med 2021;53:558-66.
- Bielsa S, Salud A, Martínez M, et al. Prognostic significance of pleural fluid data in patients with malignant effusion. Eur J Intern Med 2008;19:334-9.
- 22. Verma A, Phua CK, Sim WY, et al. Pleural LDH as a prognostic marker in adenocarcinoma lung with malignant pleural effusion. Medicine (Baltimore) 2016;95:e3996.
- 23. Ni XF, Wu P, Wu CP, et al. Elevated serum C-reactive protein, carcinoembryonic antigen and N2 disease are poor prognostic indicators in non-small cell lung cancer. Asia Pac J Clin Oncol 2015;11:e22-30.
- Pan P, Wu F, Xu Z, et al. Intrapleural treatment in patients with non-small cell lung cancer with malignant pleural effusions in the real world. Thorac Cancer 2021;12:3416-25.
- 25. Kheir F, Shawwa K, Alokla K, et al. Tunneled Pleural Catheter for the Treatment of Malignant Pleural Effusion: A Systematic Review and Meta-analysis. Am J Ther 2016;23:e1300-6.
- 26. Iyer NP, Reddy CB, Wahidi MM, et al. Indwelling Pleural Catheter versus Pleurodesis for Malignant Pleural Effusions. A Systematic Review and Meta-Analysis. Ann Am Thorac Soc 2019;16:124-31.
- Demmy TL, Gu L, Burkhalter JE, et al. Optimal management of malignant pleural effusions (results of CALGB 30102). J Natl Compr Canc Netw 2012;10:975-82.
- Li X, Wu G, Chen C, et al. Intrapleural Injection of Anti-PD1 Antibody: A Novel Management of Malignant Pleural Effusion. Front Immunol 2021;12:760683.

**Cite this article as:** Xu K, Wu X, Chen L, Xie J, Hua X, Chen M, Jiang Y, Liu H, Zhang F, Lv T, Song Y, Zhan P. Risk factors for symptomatic malignant pleural effusion recurrence in patients with actionable mutations in advanced lung adenocarcinoma. Transl Lung Cancer Res 2023;12(9):1887-1895. doi: 10.21037/tlcr-23-151