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Abstract

carcinoma

Background: Hepatocyte nuclear factor 4 alpha (HNF4 α) plays an important role in tumourigenesis. There is growing evidence indicating that HNF4 α transcribed by promoter 1 (P1-HNF4 α) is expressed at relatively low levels in HCC and its presence predicts a favourable outcome for hepatocellular carcinoma (HCC) patients. However, the role of HNF4 α transcribed by promoter 2 (P2-HNF4 α) in HCC remains unclear.

Increased expression of hepatocyte nuclear

indicates a poor prognosis in hepatocellular

factor 4 alpha transcribed by promoter 2

Methods: A total of 615 HCC specimens were obtained to construct tissue microarrays and perform immunohistochemistry. The relationship between P2-HNF4 α and clinical features of HCC patients were analysed. Kaplan–Meier analysis was conducted to assess the prognostic value of P2-HNF4 α .

Results: The results showed that the expression of P2-HNF4 α in HCC was noticeably increased in HCC tissues compared with the nontumourous tissues. In addition, P1-HNF4 α expression was negatively correlated with P2-HNF4 α expression (p=0.023). High P2-HNF4 α expression was significantly associated with poor differentiation of HCC (p = 0.002) and vascular invasion (p=0.017). Kaplan-Meier analysis showed that P2-HNF4 α expression was closely correlated with overall survival in the training group (p = 0.01), validation group (p = 0.034), and overall group of patients with HCC (p < 0.001).

Conclusions: Our data show that the role of HNF4 α in cancer development needs to be further refined. P2-HNF4 α , different from P1-HNF4 α , is markedly upregulated and serves as an oncogene-associated protein in HCC. Our study therefore provides a promising biomarker for prognostic prediction and a potential therapeutic target for HCC.

Keywords: hepatocyte nuclear factor 4α , promoter 1, promoter 2, hepatocellular carcinoma, prognostic biomarker

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Introduction

Hepatocyte nuclear factor 4 alpha (HNF4 α), a highly conserved member of the nuclear receptor super family of ligand dependent transcription factors, is expressed primarily in liver, kidney, colon, and pancreatic β -cells.¹ HNF4 α is essential for adult and foetal liver function owing to its regulation of liver-specific gene expression.² HNF4 α -null embryos exhibit severe visceral

endoderm defects preventing gastrulation and causing developmental failure.³

There are two differentially utilized promoters regulating HNF4 α . During early hepatic development, HNF4 α initiates from promoter 2 (P2). The major isoforms of the P2 promoter are HNF4 a7 to a9. During the differentiation of liver, promoter 1 (P1) is favoured for HNF4 α transcription

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and gives rise to the isoforms of HNF4 (a1 to a6). P2 isoforms appear to activate genes involved in early liver development, such as α -fetoprotein (AFP) and transthyretin, and P1 isoforms appear to activate genes involved in hepatic differentiation, such as *apoCIII.*^{4,5}

The initial evidence suggesting that HNF4 α is involved in cancer development came from the observation that HNF4 α expression decreases in cancers of multiple organs that normally express HNF4a. Analysis of renal cell carcinoma indicated a downregulation of HNF4a mRNA and protein expression along with suppression of HNF4a DNA-binding activity.6,7 Tanaka and colleagues reported that HNF4 α expression is lost in colorectal carcinomas.8 In hepatocellular carcinoma (HCC), Mizuguchi and colleagues found that maintenance of a differentiated phenotype by HNF4a might inhibit hepatocyte proliferation in vitro.9 However, most studies focused on HNF4 α isoforms transcribed by P1 (P1-HNF4 α). There is no research focused on HNF4 α isoforms transcribed by P2 (P2-HNF4 α) and its potential effect on HCC.

Here, we investigated the role of P1- and P2-HNF4 α isoforms in human HCC. We showed that in HCC, P1- and P2-HNF4 α expression was correlated negatively. We also provided evidence indicating that P2-HNF4 α expression was closely correlated with overall survival in patients with HCC. Collectively, our data show that the role of HNF4 α in cancer development needs to be further refined. P2-HNF4 α plays an oncogene-related protein role in HCC and therefore provides a promising biomarker for prognostic prediction and is a potential therapeutic target in HCC clinical management.

Methods

Patients

A total of 615 paraffin-embedded HCC specimens between January 2000 and December 2010 were obtained from the archives of the Department of Pathology of the Sun Yat-sen University Cancer Center, China. The 615 patients with HCC were randomly separated into two groups (training group n = 241 and validation group n = 374) by a random number table. None of the patients received any chemotherapy or radiotherapy prior to the surgery. The follow-up period was defined as the interval from the date of surgery to the date of death or the last follow up. This study was approved by the Institute Research Medical Ethics Committee of Sun Yat-sen University Cancer Center, China (GZR2013-060). All samples were anonymous and the informed consent was waived by the Institute Research Medical Ethics Committee of Sun Yat-sen University Cancer Center.

Tissue microarray construction and immunohistochemistry

The tissue microarray (TMA) slides included 615 HCC and adjacent normal tissue samples. By using a tissue array instrument (Minicore Excilone, Minicore, UK), each tissue core was punched (diameter 0.6 mm) from the marked areas and re-embedded. All specimens were fixed at 4% paraformaldehyde in 0.1 M phosphate buffer for 24 h and embedded in paraffin wax. Then the paraffin-embedded HCC sections were sliced into 4-µm slices and mounted onto glass slides. After dewaxing, the slides were treated with 3% hydrogen peroxide in methanol and blocked using a biotin-blocking kit (DAKO, Germany). After blocking, the slides were incubated with P1-HNF4a (K9218, 1:1000, Abcam, Massachusetts, USA) or P2-HNF4 α antibody (H6939, 1:1000, Invitrogen, California, USA) overnight in a moist chamber at 4°C. After being washed in phosphate buffered saline three times, the slides were incubated with biotinylated goat anti-mouse antibodies for 1 h. Then the slides were stained with DAKO liquid 3,'3-diaminobenzidine tetrahydrochloride (DAB). Finally, the slides were counter stained with Mayer's haematoxylin and observed under a microscope.

The HNF4 α protein expression level was determined by semi-quantitative immunohistochemistry (IHC) detection. The positively-stained samples were scored as follows: '0' (<5% positively-stained cells), '1' (6-24% positivelystained cells), '2' (25-49% positively-stained cells), '3' (50-74% positively-stained cells), and '4' (75-100% positively-stained cells). The intensity was scored according to the standard: '0' (negative staining); '1' (weak staining); '2' (moderate staining); and '3' (strong staining). The final score was calculated by multiplying the percentage score by the intensity score. The scores were independently decided by two pathologists (Dr Jing-Ping Yun and Dr Li-Li Liu). The mean IHC score was chosen as the cutoff value for defining high and low expression.

Western blot

Total proteins were extracted and separated by 10% SEMS-PAGE and then transferred onto polyvinylidene difluoride (PVDF) membranes (Millipore, Bedford, MA). Equal amounts of protein (30 µg) were resolved by SDS-PAGE and then electrophoretically transferred onto PVDF membranes. After being blocked in 5% nonfat milk for 1 h at room temperature, the membranes were incubated with appropriately diluted primary antibodies overnight at 4°C. After washing thrice with tris-buffered saline and Tween, the blotted membranes were incubated with the HNF4 α antibody (1:1000, Invitrogen, CA, USA). The membranes were incubated with HRP-conjugated anti-mouse antibody at 1:50,000 dilution for 1 h at room temperature. The membranes were visualized by the enhanced Phototope TM-HRP Detection Kit and exposed to a Kodiak medical X-ray processor (Carestream Health, USA). Anti-GAPDH (1:1000, Santa Cruz, CA, USA) was used as a loading control.

Quantitative real-time polymerase chain reaction analysis

Total RNA was extracted from clinical samples and cultured cells using Trizol reagent (BIOO Scientific Co., USA) following the manufacturer's instructions. The reverse transcription with random primers was done using M-MLV Reverse Transcriptase (Promega Inc., USA) according to the manufacturer's instructions. SYBR Greenbased real-time polymerase chain reaction (PCR) was carried out to measure levels of the corresponding P1- and P2-HNF4 α and 18S by the Strata gene Mx3000P. Real-time PCR system and the PCR was performed as previously described.10 Primers were designed as follows: P1-HNF4a, forward: 5'- GGAATTTGAG and reverse: AATGTGCAGGTGTTG -3' 5'-TGAGGTTGGTGCCTTCTGATG -3'; P2-H NF4α, forward: 5'- GCCATGGTCAGCGTGA AC -3' and reverse: 5'-CGTTGAGGTTGGTG CCTTCT -3';11 18S, forward: 5'-TGAGAAAC GGCTACCACATCC-3' and reverse: 5'-ACCA GACTTGCCCTCCAATG-3'.

Statistical analysis

Statistical analysis was performed using SPSS (version 16.0, Chicago, IL, USA). Student's *t* test and Pearson's χ^2 test or Fisher's exact test were chosen for examining the correlations between P2-HNF α expression level and the clinical and pathological

variables. Survival curves were carried out by the Kaplan–Meier method (log-rank test). A multivariate Cox proportional hazards regression model was used to evaluate the independence of P2-HNF α in predicting outcomes. Differences were defined as significant for *p*-values <0.05.

Results

Expression of P1- and P2-HNF4 α in HCC cell lines

We first determined the expression of P1- and P2-HNF4 α in HCC cell lines and fresh liver tissue by qRT-PCR and western blot. The results indicated that P1-HNF4a mRNA levels in most HCC cell lines [Figure 1(a)] and HCC tissues [p = 0.047, n = 45, Figure 1(c)] were downregulated, while P2-HNF4 α mRNA levels were upregulated [p < 0.001, n = 45, Figure 1(a) and (c)], compared with those in an immortalized hepatic cell (L-02) and adjacent normal tissues. Consistently, the protein P2-HNF4 α levels were significantly increased in HCC cell lines and tumour tissues, and the protein P1-HNF4 α was downregulated in HCC cell lines and tumour tissue [Figure 1(b) and Figure 1(e)]. The expression of P1- and P2-HNF4a mRNA was correlated negatively [Figure 1(d)].

Expression of P2-HNF4 α in HCC TMA samples

To further confirm the expression of P1- and P2-HNF4 α in HCC samples, paraffin-embedded HCC samples were collected to construct TMA to detect P1- (n = 106) and P2-HNF4 α expression (n = 615). The P1-HNF4 α IHC score in HCC tissue was 2.96 ± 2.50 , significantly lower than normal liver samples with 3.87 ± 2.95 (p = 0.013, Supplementary Figure 1). Among the 106 samples, the 39 samples with high P2 expression consisted of 9, 24, and 6 samples with P1 high, low, and negative P2 expression. Whereas in 34 samples with negative P2 expression and 13 and 2 samples with low and negative P1 expression (p = 0.026, Supplementary Table 1).

As shown in Figure 2(a), the immunoreactivity of P2-HNF4 α was mainly present in the nuclei of cancer cells and barely in adjacent normal tissue. In addition, we observed that P2-HNF4 α positive expression samples were always negative for P1-HNF4 α expression, and vice versa for liver samples [Figure 2(b)].



Figure 1. P1-HNF α expression is decreased and P2-HNF4 α expression is increased in HCC cell lines by polymerase chain reaction and western blotting (a–b). mRNA and protein levels of P1- and P2-HNF4 α were measured in fresh HCC tissues (T) and corresponding adjacent nontumourous tissues (N) (c and e). Correlation of P1- and P2-HNF4 α mRNA expression (r = -0.339, p = 0.023; d). Immortalized hepatocytes: L-02, MiHA; HCC cell lines: QGY-7703, HepG2, Huh-7, Bel-7402, QGY-7701, Hep3B, SMMC-7721, PLC, and SK-Hep-1. HCC, hepatocellular carcinoma; HNF4 α , hepatocyte nuclear factor 4 alpha; P1, promoter 1; P2, promoter 2.

Association of P1- and P2-HNF4 α expression and clinical features in HCC

To determine the clinical significance of P2-HNF4 α in HCC, the relationship between

P2-HNF4 and clinical features were evaluated. According to the mean IHC score in tumour tissue (3.0), high P2-HNF4 α expression was identified in 34.9% (159/615) of cases. HCC patients



Figure 2. P2-HNF4 α expression is increased in HCC samples as shown by immunohistochemistry. Representative images of strong (ai), moderate (aii), weak (aiii), and negative (aiv) immunoreactivities of P2-HNF4 α in HCC samples, as well as negative (av) and positive (avi) staining of P2-HNF4 α in normal liver tissues are shown (left panel: magnification ×100; right panel: magnification ×400). (b) P2-HNF4 α positive expressing HCC tissue is always accompanied by negative P1-HNF4 α expression (bi). Negative expression of P2-HNF4 α in HCC tissue is accompanied by positive P1-HNF4 α expression (bii). Negative expression of P2-HNF4 α in nontumourous tissue is accompanied by positive P1-HNF4 α expression (bii).

HCC, hepatocellular carcinoma; $HNF4\alpha$, hepatocyte nuclear factor 4 alpha; P1, promoter 1; P2, promoter 2.

with high P2-HNF4 α expression in their tumour tissues had less tumour differentiation (p = 0.048) and more vascular invasion (p = 0.017) (Table 1).

We also compared samples with low P1-HNF4 α expression with samples with high P1-HNF4 α expression as shown in Supplemental Table 2. The data indicated that 68.8% of well-moderate samples (11/16) presented with high P1 expression while only 38.9% of poor-undifferentiated samples (35/90) did (p = 0.026). However, the P1 IHC results showed no difference in P1 expression between samples with and without vascular invasion.

Relationship between P1- and P2-HNF4 α expression and tumour differentiation

To further explore the relationship between HNF4 α expression and tumour differentiation (Figure 3), a total of 129 extra liver biopsy samples were collected and evaluated for P1- and P2-HNF4 α IHC. Interestingly, we found that HNF4 α expression was significantly associated with tumour differentiation. In those HCC samples with worse differentiation, the proportion with high expression of P2-HNF4 α was significantly higher than among those with good differentiation (Table 2), whereas in the HCC samples with good differentiation, P1-HNF4 α expression

was more common. These results confirm that expression of P1-HNF4 α and P2-HNF4 α are negatively correlated and closely associated with tumour differentiation.

Association of P2-HNF4α expression and clinical outcomes of HCC

To determine the prognostic effect of P2-HNF4 α on HCC patients, Kaplan–Meier survival analysis was conducted. The 615 patients with HCC were randomly separated into two groups (training group n = 241 and validation group n = 374). In the training group, Kaplan–Meier analysis showed that HCC cases with high P2-HNF4 α expression had significantly worse outcomes in terms of overall survival (p = 0.01). Consistently, low P2-HNF4 α expression was positively correlated with favourable overall survival (p = 0.034) in the validation cohort. The results in the overall group indicated that HCC patients with high P2-HNF4 α expression were likely to have a shorter overall survival time (Figure 4).

Stratified survival analyses were conducted to further reveal the prognostic significance of P2-HNF4 α . The data showed that P2-HNF4 α expression was associated with overall survival in small and large HCCs, in single and multiple HCCs, in HCCs with AFP within the upper limit of normal and abnormal, in HCCs negative and

Variable	P2-HNF4α	<i>p</i> -value	
	Low expression	High expression	
Sample size	456	159	
Age, years	48.76 ± 12.21	49.49 ± 11.76	0.507
Sex			0.815
Male	413	145	
Female	43	14	
HBsAg			0.741
Positive	388	137	
Negative	68	22	
AFP, ng/ml			0.081
<20	133	35	
≥20	323	124	
Cirrhosis			0.942
Yes	374	130	
No	82	29	
Tumour size, cm			0.299
<5	102	42	
≥5	354	117	
Tumour multiplicity			0.206
Single	270	85	
Multiple	186	74	
Differentiation			0.048
Well-moderate	309	94	
Poor-undifferentiated	147	65	
TNM stage			0.083
-	240	71	
III–IV	216	88	
Vascular invasion			0.017
Yes	78	41	
No	378	118	
Involucrum			0.663
Complete	275	99	
Incomplete	181	60	

Table 1. Association of P2-HNF4 α expression and clinical features in hepatocellular carcinoma.

AFP: α -fetoprotein; HBsAg: hepatitis B virus surface antigen; HNF4 α , hepatocyte nuclear factor 4 alpha; P2, promoter 2.

positive for hepatitis B surface antigen, in HCCs with or without microvascular invasion, and in

HCCs with well-moderate and poor-undifferentiated differentiation (Figure 5).



Figure 3. Representative images of well-differentiated (a), moderately-differentiated (b) and poorlydifferentiated HCC (c) in liver biopsy tissue. In the HCC samples with better differentiation, the proportion of cells with high expression of P1-HNF4 α is significantly higher than P2-HNF4 α , whereas in HCC samples with poor differentiation, P2-HNF4 α expression was more common.

HCC, hepatocellular carcinoma; HNF4 α , hepatocyte nuclear factor 4 alpha; P1, promoter 1; P2, promoter 2.

Differentiation	P1-HNF4α expression		<i>p</i> -value	P2-HNF4α expression		<i>p</i> -value		
	Low	High		Low	High			
Well	6	14	0.001	17	3	0.002		
Moderate	34	19		33	20			
Poor	27	17		29	15			
Undifferentiated	12	0		2	10			
HNF4α, hepatocyte nuclear factor 4 alpha; P1, promoter 1; P2, promoter 2.								

Table 2. Relationship between P1 and P2-HNF4 α expression and tumour differentiation.



Figure 4. High P2-HNF4 α expression is correlated with an unfavourable prognosis in both the training and validation cohorts. Kaplan–Meier analysis shows the significant differences in overall survival between postoperative HCC patients with high and low P2-HNF4 α expression in training (n = 241), validation (n = 374), and overall cohorts.

HCC, hepatocellular carcinoma; HNF4 α , hepatocyte nuclear factor 4 alpha; P2, promoter 2.



HBSAg, hepatitis B surface antigen; HCC, hepatocellular carcinoma; HNF4α, hepatocyte nuclear factor 4 alpha; P1, promoter 1; P2, promoter 2.

Variables	Univariate analysis			Multivariate analysis		
	HR	95% CI	р	HR	95% CI	p
Age, years	0.998	0.991-1.005	0.620			
Sex	1.018	0.765-1.354	0.904			
HBsAg	1.052	0.833-1.329	0.672			
AFP	1.679	1.383-2.037	< 0.001	1.471	1.207-1.792	< 0.001
Cirrhosis	0.952	0.764-1.187	0.662			
Tumour size, cm	1.761	1.433-2.163	< 0.001	1.641	1.332-2.021	< 0.001
Tumour multiplicity	1.169	0.988-1.384	0.069			
Differentiation	1.199	1.005-1.430	0.043			
TNM	1.504	1.273-1.777	< 0.001			
Vascular invasion	2.087	1.696-2.567	< 0.001	1.795	1.453-2.218	< 0.001
Involucrum	1.038	0.876-1.230	0.665			
P2-HNF4α	1.408	1.165-1.701	< 0.001	1.385	1.145–1.675	0.001

Table 3. Univariate and multivariate analyses of prognostic variables for overall survival.

AFP: α -fetoprotein; CI, confidence interval; HBsAg: hepatitis B virus surface antigen; HNF4 α , hepatocyte nuclear factor 4 alpha; HR, hazard ratio; P2, promoter 2.

We also performed Kaplan–Meier analysis of groups comparing low versus high P1-HNF4 α expression (n = 106) as shown in Supplementary Figure 2. Our results showed HCC cases with low P1 expression had significantly worse outcomes in terms of overall survival (p = 0.043).

Univariate and multivariate analyses of prognostic variables in HCC

To evaluate the independent risk factors for outcomes of HCC, univariate and multivariate analyses were conducted. Tumour size, serum AFP level, tumour differentiation, TNM stage, vascular invasion, and P2-HNF4 α expression were shown to be prognostic variables for the outcome of overall survival in HCC patients. While in multivariate analyses, serum AFP levels, tumour size, vascular invasion, and P2-HNF4 α were found to be independent prognostic variables for overall survival (Table 3).

Discussion

It is well established that $HNF4\alpha$ is an essential gene for normal liver development and maintenance of a differentiated phenotype. $HNF4\alpha$ knock-out mice, who lose the expression of many

liver genes, fail to develop a functional liver.^{12,13} Recently, researchers found that HNF4 α might play a role in cancer development. Oshima and colleagues found that HNF4 α expression decreased in colorectal cancer, and lack of HNF4 α expression was correlated with worse outcomes, with a higher probability of liver metastasis.14 Saandi and colleagues demonstrated that low expression of HNF4 α in colorectal cancer induced downregulation of CDX2 expression, explaining the role of HNF4 α in colorectal carcinoma.¹⁵ However, Darsigny and colleagues reported that HNF4 α is highly expressed in colorectal samples and promoted the development of tumours in mice by targeting oxidoreductaserelated genes.¹⁶ The reason for these inconsistent results is that researchers did not distinguish between HNF4a expression driven by P1 or P2 and most studies have focused on P1-HNF4 α , ignoring P2-HNF4 α . In our study, we showed that HNF4 α expression driven by P1 or P2 were different. We confirmed that P1-HNF4 α expression was decreased in HCC. In addition, we found that P2-HNF4 α was upregulated in liver cancer, and was frequently higher in HCC with worse tumour differentiation and more vascular invasion, indicating that P2-HNF4 α might be capable of promoting the development of HCC.

HNF4 α is the core component of the HNF pathway.¹⁷ By driving the different promoters, nine subtypes of HNF4 α splice variants can be produced: subtypes a1-a6 are transcribed from the P1 promoter and subtypes a7-a9 are transcribed from the P2 promoter. P1-HNF4 α is mainly expressed in the adult liver and studies have reported that P1-HNF4 α is downregulated in about 70% of HCCs;¹⁸ P2-HNF4α is expressed mainly in embryonic liver, but the expression of P2-HNF4a in HCC was not previously clear. Here, we confirmed that P1-HNF4 α expression was decreased in HCC. Additionally, we found that P2-HNF4 α expression was increased. However, the mechanism of regulation of P2-HNF4 α is not clear yet. We found the P2-HNF4a was upregulated in HCC tissue and its expression was correlated negatively with P1-HNF4 α . The reason why P2-HNF4 α , a protein expressed in embryonic liver, is expressed in HCC tissue and why P1-HNF4 α expression seems to affect P2-HNF4a expression needs further research.

HNF4 α is also known as the master regulator of hepatic differentiation. Postnatal hepatocyte-specific deletion of HNF4a results in a metabolic disorder with accumulation of lipids.¹⁹ Furthermore, HNF4 α null livers exhibited a decrease in classic hepatocyte gene expression such as apolipoprotein B, microsomal triglyceride transfer protein, and liver fatty acid-binding protein expression.¹⁹ Iacob and colleagues reported that after overexpression of HNF4 α , albumin secretion and glycogen reserve capacity increased in the liver precursor cells, verifying that HNF4 α plays a role in the maintenance of liver cell differentiation and function.²⁰ Hwang-Verslues and colleagues reported HNF4a targets cytochromes and sulfuric acid transferase to maintain drug metabolism.²¹ Ning found that HNF4 α expression gradually decreased in liver cirrhosis and during liver cancer formation.²² We further distinguished the role of HNF4 α in hepatocyte differentiation in our study. We found that P1-HNF4 α was decreased in less differentiated HCC samples while P2-HNF4a was upregulated. P1 and P2-HNF4 α could be used to distinguish different levels of differentiated HCC.

The prognostic implications of P2-HNF4 α expression have not been previously reported although low P1-HNF4 α expression has been proven to be associated with worse outcomes of

cancer. Lazarevich and colleagues found that low expression of HNF4 α suggests a poor prognosis in patients with liver cancer.²³ In our study, we subdivided HNF4 α and P2-HNF4 α was identified as an independent factor for overall survival in a large cohort of 615 patients with HCC. Patients with high P2-HNF4 α expression usually survived for a shorter time period. These data suggest that P2-HNF4 α expression has clinical implications in predicting outcomes of cancer patients.

In summary, our data demonstrate that the role of HNF4 α in cancer development needs to be further refined. Our results reveal that P1-HNF4a expression is decreased in HCC samples while P2-HNF4α expression is increased. Thus, expression levels of P1 and P2-HNF4 α are correlated negatively. Increases in P2-HNF4a expression were significantly correlated with worse tumour differentiation and vascular invasion, suggesting that P2-HNF4a might play a role in HCC progression. High P2-HNF4a expression was correlated with shorter survival times of HCC patients and served as an independent factor for worse outcomes. Collectively, our data suggest P2-HNF4 α is a promising biomarker for the prognosis of patients with HCC.

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Shao-hang Cai and Shi-xun Lu contributed equally to this work.

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Conflict of interest statement

The authors declare that there is no conflict of interest.

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