

# Fluctuations of Anterior Chamber Depth and Astigmatism in Pseudophakic Eyes

Larysa Tutchenko<sup>1,\*</sup>, Sudi Patel<sup>2,\*</sup>, Mykhailo Skovron<sup>1,\*</sup>, Oleksiy V Voytsekhivskyy<sup>1,\*</sup>, Fanka Gilevska<sup>3,\*</sup>

<sup>1</sup>Department of Corneal Pathology, Ophthalmic Oncology and Oculoplasty, Kyiv Clinical Ophthalmology Hospital Eye Microsurgery Center, Kyiv, 03680, Ukraine; <sup>2</sup>Department of Cataract and Refractive Surgery, University Eye Clinic Svjetlost, Zagreb, 10000, Croatia; <sup>3</sup>Department of Refractive Surgery, Eye Clinic Sistina Oftalmologija, Skopje, 1000 Republic of North Macedonia

\*These authors contributed equally to this work

Correspondence: Sudi Patel, Department of Cataract and Refractive Surgery, University Eye Clinic Svjetlost, Heinzelova 39, Zagreb, 10000, Croatia, Email drsudipatel@gmail.com

**Purpose:** To explore the significance of changes in anterior chamber depth ( $\Delta$ ACD) and astigmatism between 4 and 8 weeks following uneventful phacoemulsification.

**Patients and Methods:** Anterior chamber depth (ACD, mm), autorefractometry and subjective refraction were monitored in pseudophakic eyes implanted with non-toric IOLs (group 1, SA60AT, n=36; group 2, SN60WF, n=34; group 3, ICBOO, n=16) and phakic control group (n=30, group 4a, for ACD and autorefractometry) over four weeks. Changes in subjective refractions were compared with repeatability in normal phakic eyes (n=30, group 4b).

**Results:** Reporting key results ( $p < 0.01$ ), mean ( $\pm$ sd 95% CI)  $\Delta$ ACD values (ACD at start minus ACD at four weeks) were +0.02 ( $\pm 0.37$ , -0.16 to 0.08), +0.22 ( $\pm 0.51$ , 0.05 to 0.39), -0.33 ( $\pm 0.51$ , -0.58 to -0.08), -0.02 ( $\pm 0.07$ , -0.04 to 0.01) in groups 1–4a respectively. Differences were significant (1-way ANOVA,  $F = 7.02$ ). Pooling data from the pseudophakic eyes (n=86) induced astigmatism (IA) by autorefractometry was significantly greater in comparison with group 4a [-0.78D ( $\pm 0.67$ , -0.92 to -0.64) and -0.19D ( $\pm 0.16$ , -0.25 to -0.13)]. IA power correlated with the initial power of refractive astigmatism at 4 weeks (A), [IA = 0.36A - 0.30 ( $r^2 = 0.207$ ) and IA = 0.39A - 0.29 ( $r^2 = 0.232$ ) by autorefractometry and subjective refraction, respectively]. In groups 1–3, vector analysis revealed i) the change in refraction in over 55% of eyes was beyond the 95% confidence interval limits observed in groups 4a and 4b, ii) some significant associations between changes in vectors describing astigmatism with  $\Delta$ ACD and IOL labelled power.

**Conclusion:** Changes in ACD and refraction still occur four weeks after unremarkable phacoemulsification and the inter-relationship depends on IOL design/type. Other factors, such as tilt or dislocation of the IOL along the X-Y axes parallel to Listing's plane, accompanying changes in ACD are expected to affect the postop astigmatism.

**Keywords:** anterior chamber depth (ACD), astigmatism, IOL position, refraction, phacoemulsification

## Introduction

It has been claimed that refractive errors tend to stabilize by one week after unremarkable phacoemulsification.<sup>1–4</sup> A meta-analysis of findings in 6680 papers concluded no statistically significant changes in the average refractive error occur after the first week following uncomplicated routine phacoemulsification. Yet, astigmatism remained unsettled in about 7% of cases.<sup>5</sup>

Intra-ocular lenses (IOLs) are designed to remain static after implantation. However, there is an overall tendency for the IOL to shift towards the cornea during the first week post-implantation, resulting in a reduction of the anterior chamber depth (ACD), then and a gradual backward shift increasing ACD.<sup>6–9</sup> Posterior capsulotomy with an Nd:YAG laser enhances this backward shift with a concurrent increase in hyperopia.<sup>10</sup> Shifting the IOL towards the cornea is associated with a slight increase in myopia/fall in hyperopia. A shift towards the retina is associated with a slight fall in myopia/increase in hyperopia. Any increase in ocular power resulting from a forward displacement of the IOL, and

subsequent reduction of ACD, can benefit near vision in the pseudophakic eye. This was the *modus operandi* of the IOLs with a supposed accommodative effect. These IOLs were designed to respond to any ciliary muscle activity by displacing the optics of the implant towards either the cornea during near vision or the retina during distance vision. However, such IOLs have met with limited success.<sup>11–14</sup> Furthermore, the change in the spherical component of the residual refractive error is, at least in theory, a function of IOL power and the magnitude of IOL displacement.<sup>15</sup> The displacement of a non-toric IOL along the anteroposterior axis is not expected to impact on the astigmatism of the eye. On the other hand, a change in the tilt of the IOL or displacement along the plane perpendicular to the anteroposterior axis of the eye, will induce some astigmatism that might impact on the measured refractive error. Besides changing ACD, Nd:YAG capsulotomy can affect the centration and/or tilt of the IOL, and the knock-on effect of this is a change in the astigmatism.<sup>16,17</sup> The induced astigmatism resulting from these displacements ultimately depends on IOL power.<sup>18–20</sup> For an IOL power of about 20D, the induced astigmatism is  $\leq 0.50\text{D}$  for a change in tilt of  $\approx 10^\circ$  or decentration along the perpendicular plane of  $\approx 1\text{mm}$ .

Any modulation of the astigmatism at the ocular surface is bound to impact on refractive astigmatism. However, it has long been recognized that corneal astigmatism is reasonably stable by one month after phacoemulsification.<sup>21</sup> So, a change in refractive astigmatism occurring more than one month after unremarkable phacoemulsification could result from a displacement of the IOL.

The average refraction and location of the IOL may have equilibrated by one month after phacoemulsification, but not necessarily in all cases. To what extent does the refraction and location of the IOL change in individual pseudophakic eyes after 1 month postop and how do these changes compare with those encountered in normal phakic eyes? The aim of this study was to:

- i. Monitor the residual refractive error and location of the IOL along the anteroposterior axis after routine unremarkable phacoemulsification cases implanted with non-toric IOLs.
- ii. Compare the results with those obtained from regular phakic eyes.
- iii. Determine if any change in refraction was linked to labelled IOL power and the IOL's location along the anteroposterior axis.

## Materials and Methods

### Study Design

A prospective, consecutive, partially masked observational comparative study. The study was approved by the Ethics Committees of the Kyiv Clinical Ophthalmology Hospital Eye Microsurgery Center, Eye Clinic Sistina Oftalmologija in Skopje and the tenets of the Helsinki agreement were followed throughout. All patients that underwent surgery provided signed consent after the aims and procedures of the investigation were explained. All measurements were obtained from each patient on a consecutive, case-by-case basis.

### Exclusion Criteria

Patients with a history of previous ocular surgery, amblyopia, contact lens wear, corneas thinner than  $545\ \mu\text{m}$ , unusual corneal topography or tomography, corneal opacities, active or previous conditions linked to either the anterior or posterior segment were excluded.

### Pseudophakic Cases

All cases were elected for routine phacoemulsification cataract surgery.

### IOL Power Selection and Description of Pseudophakic Groups

All biometry tests and data acquisition were conducted by one examiner using an IOL Master 700 SWEPT source OCT-biometer (software version 1.70, Carl Zeiss, Meditec AG, Jena). The Barrett Universal II, Haigis, Hoffer Q, Holladay 1, Holladay 2, SRK/T, T2, and VRF IOL power formulae were used. All were part of the IOL Master 700 software version 1.70 except for T2 and VRF. The T2 is an SRK/T formula upgrade and was programmed into an Excel sheet according to all author's recommendations.<sup>22</sup> The VRF formula was available as part of the VRF Suite software (V1.5).<sup>23</sup> The IOLs

implanted were monofocal, non-toric of either spheric (group 1, SA60AT, Alcon Surgical Inc) or aspheric (group 2, SN60WF, Alcon Surgical Inc; group 3, Tecnis Eyehance ICBOO, Johnson & Johnson Inc) design. The type of IOL selected for implantation was decided after discussion with the patient.

### Description of the Preoperative Preparation, Surgery and Postoperative Treatment

The same examiner assessed ACD using the IOL Master 700 SWEPT source OCT-biometer (software version 1.70, Carl Zeiss, Meditec AG, Jena). ACD was accepted as the distance measured from corneal epithelium to anterior IOL surface (anterior lens capsule in phakic control group).<sup>24</sup>

The horizontal axis on the cornea of the eye scheduled for treatment was marked by one examiner (LT) using a slit lamp-marking technique under topical anesthesia prior to pupil dilation. The slit lamp beam width was adjusted to its' minimum visible setting then rotated to align over the pupil centre horizontally. The slit lamp was moved over to the contralateral eye to ensure both eyes were positioned along a common axis. When the first Purkinje images in both eyes were aligned at the same height, the slit lamp was then moved over to the eye scheduled for treatment eye without changing the height of the beam. The horizontal axis was marked on the cornea at 3 and 9 o'clock with a 30-gauge sterile needle and stained with 2% collargoli solution (colloidal silver solution). One surgeon (LT) performed all surgeries under topical anesthesia through a 2.2 mm self-sealing clear corneal incision. In all cases, a corneal tunnel was made at 12 o'clock using a Mendez ring and a 1.2 mm paracentesis at 3 and 9 o'clock, all with reference to the preoperative marks. After a 5.0 mm circular capsulorhexis, lens hydrodissection was performed followed by phacoemulsification and bimanual cortex removal using the Infinity Vision System (Alcon Surgical, Inc). The IOL was positioned in the capsular bag, and the wound was closed by stromal hydration. The procedure was completed with injections of dexamethasone (subconjunctival) and betamethasone (parabulbar). Postoperative treatment included drops of levofloxacin, dexamethasone and indomethacin with a gradual tapering off, dexpantenol gel and a combination of trehalose and hyaluronic acid. Cases were scheduled for follow-ups on the first day, then 2,4,6 and 8 weeks postoperatively, and IOP values were within normal limits at all examinations.

### Clinical Assessment of Refractive Error

#### Pseudophakic Cases

Objective refraction was carried out using a single, recently serviced and calibrated autorefractometer (Tomey RT-7000, Tomey Corp, Tokyo, Japan) followed by routine subjective refraction. All reported cases were checked at 4 and 8 weeks postop.

#### Phakic Cases

The phakic cases consisted of two separate groups. One to serve as benchmark for the typical change, or repeatability, in objective refraction and assessment of ACD (group 4a) and another for the typical change, or repeatability, in subjective refraction (group 4b). All cases consisted of spectacle wearers recorded as presenting with clear corneas, no signs of cataract, or conditions known to affect refraction or assessment of ACD and corrected distance visual acuity (CDVA) of logMAR 0.0 or better.

Autorefractometry and ACD measurements were carried out on 30 phakic cases (group 4a) without cycloplegia and repeated four weeks later. These volunteers were assessed using a single serviced and calibrated auto kerato-refractometer (Topcon KR-800S, Topcon, Tokyo, Japan).

A database of patients' repeat spectacle prescriptions was accessed for group 4b cases. The database was filtered out to reveal a set of spectacle prescriptions for individuals that were refracted on two occasions within four weeks in separate clinics by different optometrists. Spectacle prescriptions were selected where the optometrists remained unaware of the patient's previous refractive result.

### Treatment of Refractive Data

A refractive error has three numerical components and occupies a single point in three-dimensional graphical space. Refractive errors can be compared by considering each of the three components as a separate item, but compartmentalizing obscures the combined effect of the other components on the actual difference between two refractive errors. The

combined effects are better understood by subjecting refractive data to vector analysis, especially when describing differences in astigmatism.<sup>25–27</sup> To gain a more composite understanding, the refractive errors were subjected to vector analysis by calculating the equivalent M, J<sub>0</sub>, J<sub>45</sub> & B vectors, and the periodic changes thereof (designated by ΔM, ΔJ<sub>0</sub>, ΔJ<sub>45</sub>, ΔB) using procedures described elsewhere.<sup>25,26</sup> The values of M, J<sub>0</sub>, J<sub>45</sub> & B for the sphere [S], astigmatic cylinder power [C] and axis [θ] of a refractive are determined as follows:

$M = S + C/2$ , the often-noted best sphere equivalent (SEQ).

$J_0 = (-C/2) \cdot \cos(2\theta)$ , a description of the vertical/horizontal component of astigmatism.

$J_{45} = (-C/2) \cdot \sin(2\theta)$ , a description of the oblique component of astigmatism.

$B = \sqrt{M^2 + J_0^2 + J_{45}^2}$ , the total blurring strength of the refractive error.

The ΔM, ΔJ<sub>0</sub>, ΔJ<sub>45</sub>, ΔB values for two refractive errors, Rx1 and Rx2, are ΔM = M for Rx1 - M for Rx2, ΔJ<sub>0</sub> = J<sub>0</sub> for Rx1 - J<sub>0</sub> for Rx2, ΔJ<sub>45</sub> = J<sub>45</sub> for Rx1 - J<sub>45</sub> for Rx2 and ΔB =  $\sqrt{[\Delta M]^2 + [\Delta J_0]^2 + [\Delta J_{45}]^2}$ .

These procedures transform astigmatism from a polar into a cartesian format but do not show the actual power and axis of the astigmatism induced over a period. The astigmatism induced over a period was calculated using a simple equivalent of Alpins' method.<sup>28</sup>

## Data and Statistical Analysis

Data were stored on Excel spreadsheets (Microsoft, Redmond, WA), and only the results from the right eyes in bilateral cases were selected for analysis.

Data were then analyzed to determine the significance of any:

- Changes in refractive errors and ACD within and between groups (paired and unpaired *t*-test, 1-way ANOVA).
- Differences of induced astigmatic powers, axes, ΔM, ΔJ<sub>0</sub>, ΔJ<sub>45</sub> & ΔB values between groups (1-way ANOVA).
- Correlation between the change (Δ) in M, J<sub>0</sub>, J<sub>45</sub>, B, ACD, and induced astigmatism with the corresponding values at the start of each period (Pearson correlation).
- Association between the induced astigmatic powers, axes, ΔM, ΔJ<sub>0</sub>, ΔJ<sub>45</sub> & ΔB values, corresponding change in ACD and labelled IOL power in pseudophakic group (multilinear regression, Pearson correlation).

If significant trends were revealed, then data would be subjected to multilinear regression to determine any significant links between changes in refraction, ΔACD and labelled IOL power.

Appropriate non-parametric tests were planned for application if any data set was not normally distributed (Kolmogorov Smirnov test).

## Results

Fifty female and 36 male patients underwent unremarkable phacoemulsification without complications. Thirty-six were implanted with the spherical SA60AT (group 1), 34 with the aspheric SN60WF (group 2) and 16 with the aspheric ICBOO (group 3). The key results from the pseudophakic and phakic control groups (groups 4a and 4b) are shown in Tables 1–4. The averaged root mean square changes (RMSΔ) in the components of refractive errors are included in Tables 1–3. The distribution of results in each data set was not significantly different from the normal distribution (Kolmogorov Smirnov test *p*>0.05). Subsequently, data were subjected to parametric tests.

Differences in mean ACD values were significant at 4 and 8 weeks (1-way ANOVA, at four weeks *F*=6.09, *p*=0.004, at eight weeks *F*=21.56, *p*<0.001). Post-hoc Tukey's test revealed significant differences between groups 1 and 3 at four weeks (*Q*=4.98, *p*=0.002), at eight weeks (*Q*=9.34, *p*<0.001) and between groups 2 and 3 at eight weeks (*Q*=8.33, *p*<0.001).

The mean ACD at four weeks was significantly different from the mean ACD at eight weeks in groups 2 and 3 (paired *t*-test *p*=0.021 and 0.024 respectively) but not in group 1 (*p*=0.738). Mean ACD remained fairly stable in group 4a (control) over the four weeks (*p*>0.05).

For groups 1–4a, the apparent changes in anterior chamber depth (ΔACD) over four weeks were significant (1-way ANOVA, *F*=7.02, *p*<0.001). Post-hoc Tukey's test revealed the differences in ΔACD between groups 1 and 3 (*Q*=4.54,

**Table 1** Baseline Data, IOL Powers and ACD Values

	Groups 1–3 Pooled	Group 4a	Group 4b	
Age	68.2 (±10.9, 40–85)	41.4 (±12.4, 21–73)	41.0 (±16.0, 20–83)	
Gender Ratio	50:36	16:14	12:18	
Pseudophakic cases				
	SA60AT (group 1, n=36)	SN60WF (group 2, n=34)	ICBOO (group 3, n=16)	p
IOL power (D)	20.7 (±4.0,19.4–22.0)	20.8 (±2.8,19.9–21.7)	23.1 (±5.9,20.3–26.0)	>0.05
ACD @ 4 weeks	4.41 (±0.33,4.30–4.52)	4.65 (±0.47,4.65–4.81)	4.86 (±0.57,4.57–5.13)	0.004
ACD @ 8 weeks	4.39 (±0.38,4.27–4.53)	4.43 (±0.35,4.31–4.55)	5.19 (±0.60,4.90–5.48)	<0.001
ΔACD	0.02 (±0.37,-0.16 to 0.08)	0.22 (±0.51,0.05 to 0.39)	−0.33 (±0.51,-0.58 to −0.08)	<0.001
Paired t-test	p>0.05*	p=0.021**	p=0.024**	

**Notes:** Mean (±sd, range) ages (years) are shown for all pseudophakic cases (groups 1–3), group 4a (phakic cases, autorefractometry) and group 4b (phakic cases, subjective refraction). Gender ratios noted as female: male. Mean (±sd 95% CI) values are shown for IOL powers (diopters), ACD (mm) and ΔACD (mm). ΔACD is positive when the IOL shifts towards the central cornea, negative when the IOL shifts away from the cornea. The p values result from intergroup comparisons of the IOL powers, ACD values @ 4 and 8 weeks, and ΔACD (1-way ANOVA). The difference in mean ACD values between 4 and 8 weeks (paired t-test) was not significant in group 1 (p value\*) but was significant in groups 2 and 3 (p values\*\*). Mean (±sd 95% CI) ACD in group 4a was 3.56 (±0.35, 3.43–3.69) at start, 3.58 (±0.34, 3.45–3.70) 4 weeks later and ΔACD was -0.02 (±0.07, -0.04 to 0.01).

**Abbreviations:** ACD, anterior chamber depth (mm); ΔACD, ACD @ 4 weeks minus ACD @ 8 weeks postop; IOL, intraocular lens; D, IOL power (diopters).

**Table 2** Refractive Data by Autorefractometry

	Sphere	Cylinder	Axis (°)	ACD
<b>Pseudophakic cases (n=86)</b>				
4w	-0.02 (1.21, -0.28 to 0.24)	-1.29 (0.89, -1.51 to -1.15)	107 (33.2, 100 to 114)	4.60 (0.47, 4.13 to 5.07)
8w	-0.01 (1.17, -0.26 to 0.24)	-1.12 (0.69, -1.30 to -1.02)	101 (37.4, 93 to 109)	4.57 (0.51, 4.06 to 5.08)
RMSΔ	0.40 (0.37, 0.03 to 0.77)	*0.48 (0.52, 0.37 to 0.59)	16.7 (20.3, 12 to 21)	*0.29 (0.40, 0.20 to 0.38)
Induced Astigmatism (power, axis) *-0.78 (±0.67, -0.92 to -0.64), 92° (±53.1, 81 to 103) ΔM=-0.10 (±0.51, -0.21 to 0.01), **ΔJ <sub>0</sub> = -0.20 (±0.65, -0.34 to -0.06, **p=0.011) ΔJ <sub>45</sub> = -0.02 (±0.35, -0.09 to 0.05), ***ΔB=0.94 (±1.03, 0.83 to 1.05, ***p<0.001)				
<b>Phakic cases (group 4a, n=30)</b>				
T	-1.58 (4.97, -3.37 to 0.21)	-1.26 (1.22, -1.69 to -0.83)	90 (57.7, 69 to 111)	3.56 (0.35, 3.47 to 3.73)
T+4w	-1.35 (4.78, -3.06 to 0.36)	-1.28 (1.23, -1.72 to -0.84)	93 (57.6, 73 to 112)	3.58 (0.34, 3.46 to 3.70)
RMSΔ	0.33 (0.30, 0.22 to 0.44)	*0.15 (0.15, 0.10 to 0.20)	5 (16.1, 0 to 11)	*0.05 (0.05, 0.03 to 0.07)
Induced Astigmatism (power, axis) *-0.19 (±0.16, -0.25 to -0.13), 89° (±54.7, 69 to 109) ΔM=-0.26 (±0.41, -0.41 to -0.11), **ΔJ <sub>0</sub> = -0.01 (±0.10, -0.05 to 0.03) ΔJ <sub>45</sub> = -0.02 (±0.08, -0.05 to 0.01), ***ΔB=0.38 (±0.32, 0.27 to 0.51)				

**Notes:** Mean (±sd 95% CI) results are shown. For the pooled pseudophakic cases, the apparent differences in mean spherical powers, astigmatic axes and ACD between 4w and 8w were not significant (p>0.05). The difference in the astigmatic power was significant (paired "t"-test, p=0.024). In the phakic cases, the difference between T & T+4w for mean spherical and astigmatic powers, astigmatic axes and ACD were not significant (p>0.05). Comparing the pseudophakic with the phakic cases, \* indicates the difference in power of induced astigmatism, RMSΔ values for astigmatic powers and ACD were significant (unpaired t-tests, p<0.001). The differences in ΔM and ΔJ<sub>45</sub> were not significant (p>0.05). The differences in ΔJ<sub>0</sub>\*\* and ΔB \*\*\* were significant.

**Abbreviations:** ACD, anterior chamber depth (mm); 4w, results at 4 weeks postop; 8w, results at 8 weeks postop; T, results at 1<sup>st</sup> refraction; T+4w, results at 4 weeks after 1<sup>st</sup> refraction; RMSΔ, root mean square change between consecutive visits; ΔM, ΔJ<sub>45</sub>, ΔJ<sub>0</sub> & ΔB are changes M, J<sub>45</sub>, J<sub>0</sub> & B vectors over the period.

**Table 3** Refractive Data by Subjective Refraction

	Sphere	Cylinder	Axis (°)
<b>Pseudophakic cases (n=86)</b>			
4w	-0.50 (1.02, -0.72 to -0.28)	-1.31 (0.68, -1.45 to -1.17)	107 (28.1, 101 to 113)
8w	-0.74 (1.35, -1.03 to -0.45)	-1.24 (0.53, -1.35 to -1.13)	95 (31.7, 88 to 102)
RMSΔ	0.29 (0.35, 0.21 to 0.37)	0.49 (0.66, 0.35 to 0.63)	30 (50.7, 19 to 41)
Induced Astigmatism -0.50D (±0.64, -0.64 to -0.36), 96° (±53.1, 85 to 107) ΔM=-0.04 (±0.46, -0.14 to 0.19), •ΔJ <sub>0</sub> =-0.25 (±0.51, -0.36 to -0.14, •p=0.001), ΔJ <sub>45</sub> =0.01 (±0.24, -0.04 to 0.06), ••ΔB=0.74 (±0.76, 0.56 to 0.88, ••p<0.001)			
<b>Phakic cases (group 4b, n=30)</b>			
T	0.34 (2.63, -0.59 to 1.27)	-0.81 (0.50, -0.99 to -0.63)	123 (54.3, 104 to 142)
T+T1	0.38 (2.68, -0.58 to 1.34)	-0.96 (0.60, -1.18 to -0.74)	122 (61.6, 100 to 143)
RMSΔ	0.40 (0.28, 0.30 to 0.40)	0.32 (0.20, 0.24 to 0.40)	22 (29.8, 11 to 33)
Induced Astigmatism -0.41D (±0.18, -0.47 to -0.35), 80° (±52.9, 61 to 99) ΔM=-0.03 (±0.43, -0.18 to 0.12), •ΔJ <sub>0</sub> =-0.04 (±0.17, -0.10 to 0.02), ΔJ <sub>45</sub> =0.01 (±0.14, -0.06 to 0.04), ••ΔB=0.38 (±0.26, 0.29 to 0.47)			

**Notes:** Mean (±sd 95% CI) results are shown. In both groups, the apparent differences in mean spherical and astigmatic powers, and axes, over the period of 4 weeks were not significant ( $p>0.05$ ). Comparing the pseudophakic with the phakic cases, the differences in RMSΔ values, ΔM and ΔJ<sub>45</sub> were not significant ( $p>0.05$ ), but the differences in •ΔJ<sub>0</sub> and ••ΔB were significant.

**Abbreviations:** 4w, results at 4 weeks postop; 8w, results at 8 weeks postop; T, results at 1<sup>st</sup> refraction; T+4w, results at 4 weeks after 1<sup>st</sup> refraction; RMSΔ, root mean square change between consecutive visits; ΔM, ΔJ<sub>45</sub>, ΔJ<sub>0</sub> & ΔB are changes M, J<sub>45</sub>, J<sub>0</sub> & B vectors over the period.

**Table 4** Correlations Between Changes in Each Descriptor of Refractive Error and Its' Value at the Commencement of the Study

<b>Pseudophakic cases (n=86)</b>		
	Autorefractometry	Subjective refraction
ΔM & M	ΔM = 0.13M - 0.01, $r^2=0.077$ , $p=0.009$	ΔM = 0.14M + 0.06, $r^2=0.093$ , $p=0.004$
ΔJ <sub>0</sub> & J <sub>0</sub>	ΔJ <sub>0</sub> = -0.72 J <sub>0</sub> - 0.28, $r^2=0.469$ , $p<0.001$	ΔJ <sub>0</sub> = -0.75 J <sub>0</sub> - 0.20, $r^2=0.553$ , $p<0.001$
ΔJ <sub>45</sub> & J <sub>45</sub>	ΔJ <sub>45</sub> = 1.07J <sub>45</sub> + 0.15, $r^2=0.659$ , $p<0.001$	ΔJ <sub>45</sub> = 0.72J <sub>45</sub> + 0.04, $r^2=0.259$ , $p<0.001$
ΔB & B	ΔB = 0.29B + 0.75, $r^2=0.064$ , $p=0.019$	ΔB = 0.29B + 0.47, $r^2=0.139$ , $p<0.001$
IA power & A	IA = 0.36A - 0.30, $r^2=0.207$ , $p<0.001$	IA = 0.39A - 0.29, $r^2=0.232$ , $p<0.001$
<b>Phakic cases (n=30)</b>		
	Autorefractometry (Group 4a, n=30)	Subjective refraction (Group 4b, n=30)
ΔM & M	ΔM = 0.05M - 0.16, $r^2=0.339$ , $p<0.001$	$r^2=0.005$ , $p>0.05$
ΔJ <sub>0</sub> & J <sub>0</sub>	ΔJ <sub>0</sub> = 0.21 J <sub>0</sub> - 0.96, $r^2=0.880$ , $p<0.001$	ΔJ <sub>0</sub> = 1.00J <sub>0</sub> - 0.12, $r^2=0.864$ , $p<0.001$
ΔJ <sub>45</sub> & J <sub>45</sub>	$r^2=0.017$ , $p>0.05$	ΔJ <sub>45</sub> = 0.87J <sub>45</sub> - 0.03, $r^2=0.954$ , $p<0.001$
ΔB & B	ΔB = 0.15B - 0.50, $r^2=0.592$ , $p<0.001$	ΔB = 1.58B - 0.97, $r^2=0.824$ , $p<0.001$
IA power & A	$r^2=0.006$ , $p>0.05$	$r^2=0.057$ , $p>0.05$

**Notes:** The vectors describing the sphere [S], astigmatic cylinder power [C] and axis [θ] of a refractive are determined as follows:  $M = S + C/2$ ,  $J_0 = (-C/2) \cdot \cos(2\theta)$ ,  $J_{45} = (-C/2) \cdot \sin(2\theta)$ ,  $B = \sqrt{M^2 + J_0^2 + J_{45}^2}$ . ΔM, ΔJ<sub>0</sub>, ΔJ<sub>45</sub>, ΔB are the changes in these vectors. For two refractive errors, Rx1 and Rx2, ΔM = M for Rx1 - M for Rx2, ΔJ<sub>0</sub> = J<sub>0</sub> for Rx1 - J<sub>0</sub> for Rx2, ΔJ<sub>45</sub> = J<sub>45</sub> for Rx1 - J<sub>45</sub> for Rx2 and ΔB =  $\sqrt{[\Delta M^2 + \Delta J_0^2 + \Delta J_{45}^2]}$ .

**Abbreviations:** IA, power of the astigmatism induced over the period; A, astigmatic cylinder power revealed at either 4 weeks postop in the pseudophakic cases or the 1<sup>st</sup> refraction in the phakic cases.



$p=0.009$ ), 2 and 3 ( $Q=7.07$ ,  $p<0.001$ ), and 4a and 3 ( $Q=4.02$ ,  $p=0.027$ ) were significant. The apparent differences between 1 and 2 ( $Q=2.54$ ,  $p=0.280$ ), 1 and 4a ( $Q=0.51$ ,  $p=0.980$ ), 2 and 4a ( $Q=30.5$ ,  $p=0.140$ ) were not significant.

There was no significant correlation ( $p>0.05$ ) between  $\Delta ACD$  and IOL power in each single pseudophakic group.

## Comparison of Changes in Refraction Between IOL Types

There were no significant differences in either changes of refractive errors or induced astigmatism, determined by either autorefractometry or subjective refraction, between the three pseudophakic groups over the period between 4 and 8 weeks (1-way ANOVA,  $p>0.05$  for spherical and astigmatic powers and astigmatic axes). Thus, the changes in refraction between 4 and 8 weeks can be considered as being drawn from a single common pool. These data were merged into a single group and shown in Tables 2 and 3.

## Comparison of Changes in Refraction Between Pseudophakic and Phakic Cases

Table 2 (autorefractometry) shows there were no significant differences between the pseudophakic and phakic groups regarding changes in the root mean square (RMS) spherical component of the refractive errors, astigmatic axes,  $\Delta M$  and  $\Delta J_{45}$  vectors ( $p=0.770$ ,  $0.660$ ,  $0.091$  and  $0.951$  respectively). The differences in the RMS in astigmatic power, induced astigmatic power,  $\Delta J_0$  and  $\Delta B$  were significant ( $p<0.001$ ,  $p<0.001$ ,  $p=0.011$  and  $p<0.001$ , respectively). Closer examination revealed significant differences in the RMS change in the astigmatic component of the refractive errors in the control group (4a) in comparison with groups 1 and 2 (unpaired  $t$ -test,  $p<0.001$  and  $p=0.005$ , respectively).

Table 3 (subjective refraction) shows there were no significant differences between the pseudophakic and phakic groups with respect to changes in the RMS spherical components of the refractive errors,  $\Delta M$  and  $\Delta J_{45}$  vectors ( $p>0.05$ ). The differences in the  $\Delta J_0$  and  $\Delta B$  vectors were significant ( $p=0.001$  and  $p<0.001$ , respectively). Closer examination revealed a significant difference between the RMS change in the astigmatic component of the refractive errors in the control group (4b) compared to group 1 (unpaired  $t$ -test,  $p=0.039$ ).

## Associations Between Changes ( $\Delta$ ) in M, $J_0$ , $J_{45}$ , B, ACD, and Induced Astigmatism with the Corresponding Values at the Start of Each Period

Linear regression revealed significant correlations between the values of some factors at the start and the actual changes that occurred over the time course. These are shown in Table 4.

The  $\Delta ACD$  and ACD data for the IOL groups were kept separate because the RMS ACD values between the groups were significantly different. For the IOL groups, the significant associations between  $\Delta ACD$  and ACD at four weeks are best described as follows,

$$\text{Group 1 [SA60AT]} \Delta ACD = 0.46ACD - 1.95 (r^2 = 0.164, n = 36, p = 0.014) \quad (1)$$

$$\text{Group 2 [SN60WF]} \Delta ACD = 0.83ACD - 3.64 (r^2 = 0.561, n = 34, p < 0.001) \quad (2)$$

Further analysis revealed the difference between the two slope values was significant (Wald test for significance of differences between two slopes,  $t=2.079$ ,  $p=0.04$ ).<sup>29</sup>

In groups 3 [ICBOO] and 4a (control), there were no significant correlations between  $\Delta ACD$  and ACD (group 3,  $r^2=0.155$ ,  $n=16$ ,  $p=0.131$ ; group 4a,  $r^2=0.033$ ,  $n=30$ ,  $p=0.336$ ). Post hoc analysis revealed the minimum sample size for group 3 should be 35 to achieve a  $p$  value of  $<0.02$ .

## Association Between Changes ( $\Delta$ ) in M, $J_0$ , $J_{45}$ , B and Induced Astigmatism with $\Delta ACD$ and IOL Power

Table 2 shows the mean change in blurring strength ( $\Delta B$ ) in the phakic control group was 0.38 with an upper 95% confidence interval limit of 0.51. In a pseudophakic eye, the root cause of a change in “B” up to 0.51 results from the same source, or a combination of sources, naturally affecting the phakic eye. Therefore, other factors such as  $\Delta ACD$  and IOL power may contribute to changes in “B”  $>0.51$  in the pseudophakic eye.

Excluding the pseudophakic cases where  $\Delta B < 0.51$ , then subjecting the remaining values ( $n=57$ ) to various transformations and multilinear regression revealed the following meaningful relationships.

$$\Delta B = 2.579 + 0.206x^4 - 0.008x_1^2 + 0.000246x_1^3 \quad (3)$$

( $r^2 = 0.184$ ,  $p = 0.012$ ;  $r^2$  for  $x^4 = 0.092$ ,  $p = 0.017$ ;  $r^2$  for  $x_1^2 = 0.070$ ,  $p = 0.047$ ;  $r^2$  for  $x_1^3 = 0.085$ ,  $p = 0.028$ ).

$$\Delta J_0 = 13.923 + 0.323x^3 - 3.73x_1 + 0.292x_1^2 - 0.008x_1^3 + 0.00000178x_1^5 \quad (4)$$

( $r^2 = 0.272$ ,  $p = 0.005$ ;  $r^2$  for  $x^3 = 0.161$ ,  $p = 0.002$ ;  $r^2$  for  $x_1 = 0.085$ ,  $p = 0.028$ ;  $r^2$  for  $x_1^2 = 0.082$ ,  $p = 0.030$ ;  $r^2$  for  $x_1^3 = 0.080$ ,  $p = 0.033$ ;  $r^2$  for  $x_1^5 = 0.073$ ,  $p = 0.042$ ).

Where  $x = \Delta ACD$  (mm),  $x_1$  = IOL power (Dioptres).

Similarly, Table 3 shows the mean change in  $\Delta B$  by subjective refraction was 0.38 in the phakic control group with an upper 95% confidence interval limit of 0.47. Excluding the pseudophakic cases where  $\Delta B < 0.47$  and subjecting the results from the remaining cases ( $n=48$ ) to multilinear regression revealed the following meaningful relationships.

$$\Delta B = 2.310 - 0.051x_1 - 0.156x^2 \quad (5)$$

( $r^2 = 0.151$ ,  $p = 0.025$ ;  $r^2$  for  $x^2 = 0.084$ ,  $p = 0.046$ ;  $r^2$  for  $x_1 = 0.108$ ,  $p = 0.022$ ).

$$\Delta J_0 = 0.202x^2 + 0.00088x_1^2 - 0.951 \quad (6)$$

( $r^2 = 0.161$ ,  $p = 0.018$ ;  $r^2$  for  $x^2 = 0.120$ ,  $p = 0.016$ ;  $r^2$  for  $x_1^2 = 0.089$ ,  $p = 0.039$ ).

$$\Delta M = 5.405 - x + 1.121x^2 + 0.958x^3 - 0.755x^4 - 0.933x_1 + 0.047x_1^2 - 0.00074x_1^3 \quad (7)$$

( $r^2 = 0.336$ ,  $p = 0.015$ ;  $r^2$  for  $x = 0.095$ ,  $p = 0.033$ ;  $r^2$  for  $x^2 = 0.147$ ,  $p = 0.007$ ;  $r^2$  for  $x^3 = 0.082$ ,  $p = 0.048$ ;  $x^4 = 0.127$ ,  $p = 0.013$ ;  $r^2$  for  $x_1 = 0.114$ ,  $p = 0.019$ ;  $r^2$  for  $x_1^2 = 0.110$ ,  $p = 0.021$ ;  $r^2$  for  $x_1^3 = 0.106$ ,  $p = 0.024$ ).

Where  $x = \Delta ACD$  (mm),  $x_1$  = IOL power (Dioptres).

## Discussion

A change in ACD could result from a change in the position of the IOL along the anteroposterior axis of the eye or the vault of the cornea. The ACD estimated by IOL Master is the distance between the apex of the anterior cornea and the anterior IOL surface. Therefore, the central corneal thickness (CCT) should be subtracted from this measurement to reveal the distance between the posterior cornea and anterior IOL (ie, the aqueous depth). The CCT initially increases after unremarkable cataract surgery then regresses towards pre-op levels and stabilizes by one month.<sup>30–34</sup> Thus, CCT was not likely to change significantly during the study, but a change in axial length (AL) could affect the refractive error. AL reduces after phacoemulsification when combined with trabeculotomy<sup>35</sup> or preoperative mannitolization,<sup>36</sup> but not significantly when combined with pars plana vitrectomy.<sup>33</sup> Using the IOL Master, the reported mean ( $\pm$ sd) change in axial length after phacoemulsification was 0.1 ( $\pm$ 0.08) mm.<sup>37</sup> Table 1 shows the confidence intervals for changes in ACD ( $\Delta ACD$ ) ranged from 0.24 mm in group 1 to 0.50 mm in group 3. These values surpass the likely changes in CCT or AL that may occur between 4 and 8 weeks postop.<sup>30–40</sup> Furthermore, Table 2 shows, in groups 1–3 combined, the root mean square change ( $RMS\Delta$ ) in the spherical component of the refractive error and ACD was 0.40D and 0.29 mm, respectively, in the combined groups 1–3. If  $\Delta ACD$  resulted solely from a change in the corneal vault, then the expected change in the residual refractive error would be a rise in myopia of approximately  $-5D$ . Therefore, it is reasonable to conclude that the main source of  $\Delta ACD$  is a shift in location of the IOL along the anteroposterior axis of the eye.

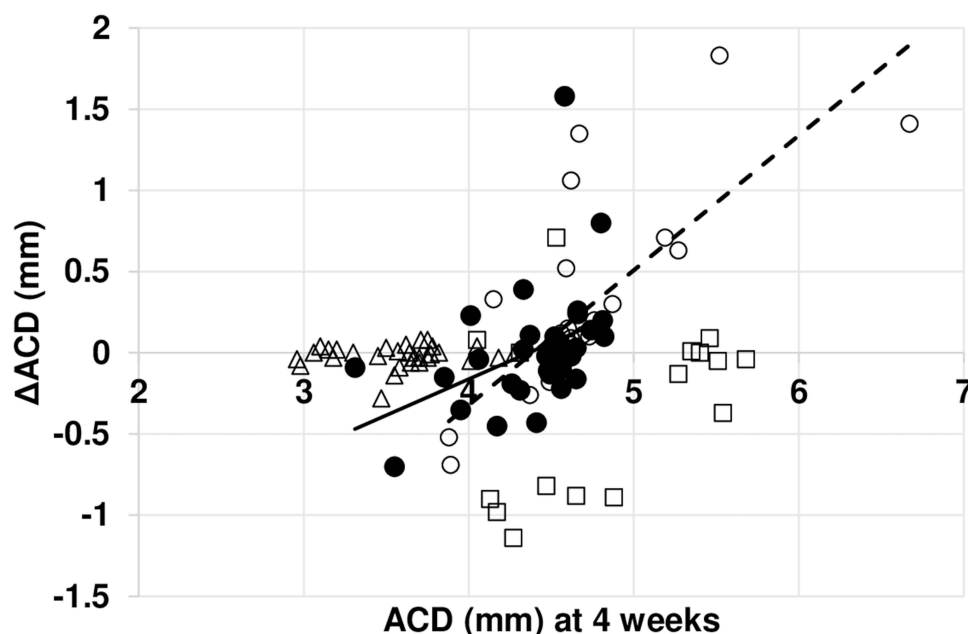
In group 3, the IOLs tended to shift  $\approx 0.30$  mm away from the cornea, and this was in line with previous reports.<sup>7–9,38</sup> But the IOLs in the other two groups behaved differently. The mean ACD significantly reduced in group 2 (aspheric group 2, SN60WF, Alcon Surgical) implying that the IOLs shifted  $\approx 0.20$  mm towards the cornea, but not in group 1. The differences in the responses may be related to complications of the capsular bag. For example, phimosis or fibrosis may force some IOLs to move forward and others away from the cornea. However, none of the cases included showed signs of such remarkable complications. Figure 1 shows the case-by-case changes in ACD in the subjects. Three (8.3%) group 1, eight (23.5%) group 2 and 6 (37.5%) group 3 cases shifted by more than 0.5 mm between weeks 4 and 8. In groups 1



and 2,  $\Delta$ ACD between 4 and 8 weeks depended on the ACD value at four weeks and there was a sharp contrast in dynamics between groups 2 (SN60WF) and 3 (ICBOO) IOLs. Xiao et al<sup>38</sup> reported that IOLs with “C” loops were more prone to decentration and/or tilt in comparison with IOLs featuring plate haptics. The ICBOO has a “C” loop, and the SN60WF IOL has a modified “L” loop.<sup>39</sup> The differences in the design and angulation of the IOL haptics may account for the disparity in the dynamic behaviors of these two IOL designs. According to the manufacturer, the SA60AT and SN60WF IOLs feature similar haptic designs, but eqs 1 and 2 reveal that these two IOLs behave differently. At this stage, an explanation accounting for the differences in IOL dynamics would be speculative. Though, factors such as precise details of the design and rigidity of the haptics, the shape and area of the contact between the haptic and optic should be considered. Figure 1 also shows there was a greater propensity for ACD to change in pseudophakic eyes between 4 and 8 weeks postop in comparison with normal phakic eyes. In total, 6 (7%) shifted by more than 1 mm. ACD changes of such magnitude are expected to affect the residual refractive error, displacements along other axes together with tilt may affect some of the intra-ocular higher-order aberrations. The exact outcomes would also depend on factors pertaining to the IOL (such as power, surface shapes and the refractive index) and the eye in question (such as axial length and corneal curvatures).<sup>15,40–45</sup>

Tables 2 and 3 show the root mean square (RMS) changes in the refractive errors within the control groups over four weeks. These RMS values are on par with previous reports on the test-retest reliability, or repeatability, of refraction.<sup>46–49</sup> The changes in the best sphere equivalent ( $\Delta$ M) values between four and eight weeks after phacoemulsification were comparable with the repeatability encountered in the normal phakic eyes. So, according to this yardstick, it would be reasonable to conclude that the refractive error stabilizes by four weeks after phacoemulsification and this concurs with the extensive review conducted by Charlesworth et al.<sup>5</sup> But the changes in the  $J_0$  and B vectors were significantly different in the pseudophakic group in comparison with the phakic groups. The calculation of the  $J_0$  and B vectors includes the astigmatic power and axis. Therefore, the interaction between changes in spherical and astigmatic

### Change ( $\Delta$ ) in ACD & ACD at 4 weeks



**Figure 1** Change in anterior chamber depth (ACD) over 4 weeks.

**Notes:** Association between ACD and  $\Delta$ ACD in groups 1 (SA60AT cases, filled circles), 2 (SN60WF cases, empty circles), 3 (ICBOO, empty squares) and 4a (phakic cases, triangles) are shown. NB, some data points overlap. The correlation between ACD and  $\Delta$ ACD was significant in groups 1 and 2. The association between ACD and  $\Delta$ ACD is described by  $\Delta$ ACD = 0.46ACD - 1.95 ( $r^2 = 0.164$ ,  $n = 36$ ,  $p = 0.014$ ) in group 1 (solid line) and  $\Delta$ ACD = 0.83ACD - 3.64 ( $r^2 = 0.561$ ,  $n = 34$ ,  $p < 0.001$ ) in group 2 (hatched line).

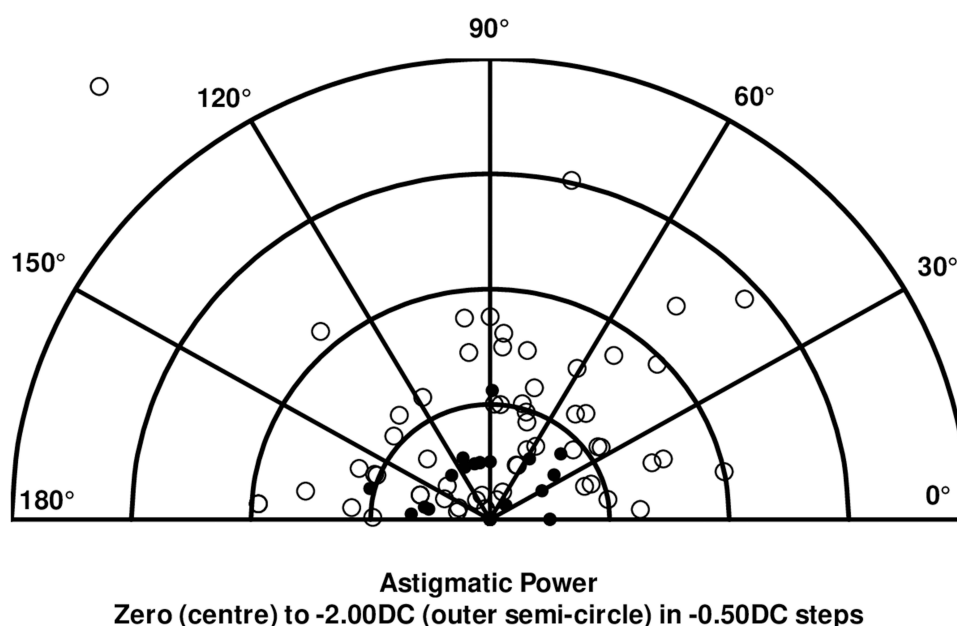
**Abbreviations:** ACD, anterior chamber depth (mm) at either 4 weeks postop in the pseudophakic cases or at the 1<sup>st</sup> refraction in the phakic cases;  $\Delta$ ACD, ACD at 4 weeks postop (or at the 1<sup>st</sup> refraction in the phakic cases) minus ACD four weeks later.

components of the residual refractive in pseudophakic cases were not comparable with the counterparts observed in the phakic groups. The mean induced astigmatism (IA), by subjective refraction, in the pseudophakic group was similar to the IA in the phakic group. But the corresponding  $\Delta B$  values in 48 (55.8%) of pseudophakic cases were outside the 95% confidence interval limit (CI) observed in the phakic group. The autorefractometry data show IA was significantly greater in the pseudophakic cases. In Figure 2, the IA in 82.6% of pseudophakic cases fell outside the 95% CI found in the phakic eyes. However, Figure 3 shows 39.5% of IA values were outside the 95% CI in the phakic eyes. The IA in the pseudophakic group was more diverse than the IA in the phakic group. If the IA in the phakic cases results from random events, then the IA in the pseudophakic cases must be influenced by factors in addition to these random events.

Table 4 shows the changes in most of the descriptors of the refractive errors were dependent upon the magnitude of the descriptor at the beginning of a period. The power of the IA in the pseudophakic cases significantly correlated with astigmatism at four weeks, and eqs 3–7 reveal the changes in the vectors describing the refractive data were associated with  $\Delta ACD$  and IOL power. These expressions were derived for those cases where the changes in blurring strength ( $\Delta B$ ) values were beyond the 95% CI limits of the  $\Delta B$  encountered in the phakic groups.

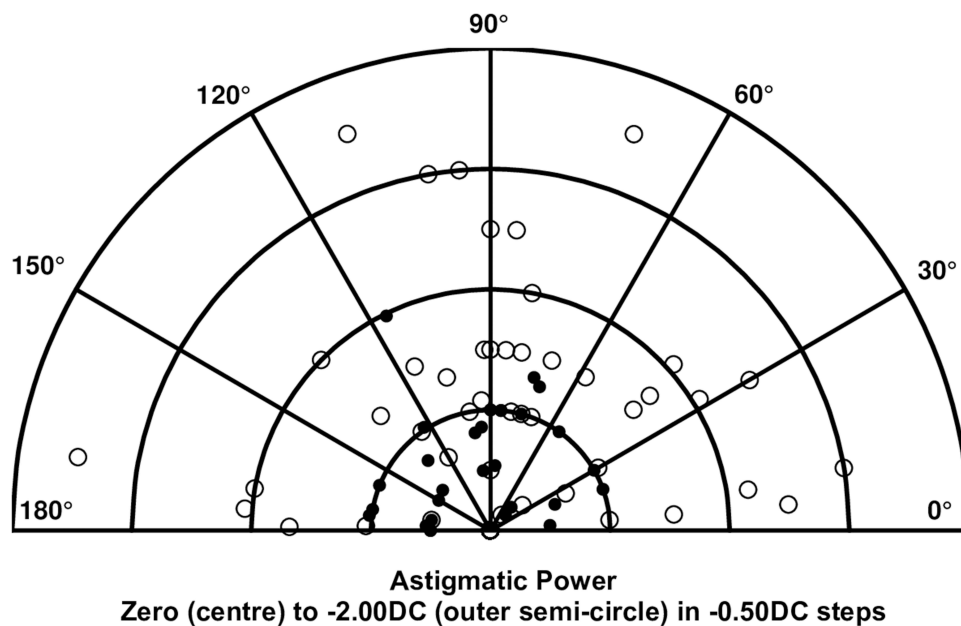
Eq. 7 shows that the change in best sphere equivalent ( $\Delta M$ ) by subjective refraction depended on  $\Delta ACD$  and IOL power. Surprisingly, a similar association was not revealed for the results obtained by autorefractometry. Eq. 7 predicts a  $\Delta M$  value of  $-0.52D$  when a  $+20.00D$  IOL moves  $0.50$  mm towards the retina and  $+0.24D$  when the  $0.50$  mm shift is away from the retina. Paradoxically, the predicted changes are  $\approx$ zero when  $\Delta ACD = \pm 1.00$  mm. Clearly, factors besides, or in conjunction with,  $\Delta ACD$  coupled with IOL power contribute to changes in refraction.

The IOLs implanted in this study were non-toric. Displacement of such IOLs along the anteroposterior axis of the eye should not impact on astigmatism.<sup>15</sup> Hence, a correlation between  $\Delta B$  or  $\Delta J_0$  with  $\Delta ACD$  and IOL power is not expected. Eqs. 3–6 refute this assertion and raise two points. Firstly, a shift in the position of the IOL along the horizontal and/or vertical axes in a plane parallel to Listing's plane and/or slight rotation of the IOL about these axes must accompany any displacement of the IOL along the anteroposterior axis. Secondly, the impact on astigmatism is not easy to interpret because, by definition, a single value of  $J_0$  represents a combination of astigmatic power and axes. To illustrate this point, if astigmatism at four weeks was  $-1.50DC \times 30^\circ$ , the IOL power was  $+20D$ , and there was a shift in the IOL towards the



**Figure 2** Astigmatism induced over 4 weeks as revealed by autorefractometry.

**Notes:** Polar plot of induced astigmatism in the phakic cases (filled circles, group 4a,  $n=30$ ) and pseudophakic cases (empty circles,  $n=86$ ). NB, some data points overlap. Each semi-circle represents astigmatic power increasing from  $-0.50D$  (innermost) to  $-2.00D$  (outermost) in  $-0.50D$  steps. The axes are shown in  $30^\circ$  steps anticlockwise from  $0^\circ$  to  $90^\circ$  and onwards to  $180^\circ$ .



**Figure 3** Astigmatism induced over 4 weeks as revealed by subjective refraction.

**Notes:** Polar plot of induced astigmatism in the phakic cases (filled circles, group 4a, n=30) and pseudophakic cases (empty circles, n=86). NB, some data points overlap. Each semi-circle represents astigmatic power increasing from  $-0.50\text{D}$  (innermost) to  $-2.00\text{D}$  (outermost) in  $-0.50\text{D}$  steps. The axes are shown in  $30^\circ$  steps anticlockwise from  $0^\circ$  to  $90^\circ$  and onwards to  $180^\circ$ .

retina by 0.5 mm then, according to eq. 6, astigmatism would change to  $-1.50\text{DC} \times 42^\circ$  (assuming the power remained unchanged) or  $-0.47\text{DC} \times 30^\circ$  (assuming the axis remained unchanged). Changes occurring at the cornea should affect refraction and contribute to the induced changes shown in Figures 2 and 3. Nevertheless, the values of  $r^2$  in eqs. 4 and 6 indicate that up to 27% of the change in astigmatism can be attributed to changes in ACD coupled with IOL power.

The outcomes of this study are limited by the number of cases and measurements that were monitored. A post-hoc power analysis estimates a minimum of 50 group 1 (SA60AT) should be enrolled to improve the chances of detecting a significant shift in mean ACD between 4 and 8 week postop, and a minimum of 40 group 2 (SN60WF) cases should be monitored to improve the chances of detecting a significant difference in mean  $\Delta\text{ACD}$  values between groups 1 and 2.<sup>50</sup>

Future studies in this area should include monitoring the topography of the corneal front and back surfaces, the position and any rotation of the IOL about the vertical and horizontal axes, axial length and higher-order aberrations. This would enable investigators to more precisely identify the sources leading to changes in refraction in the pseudophakic eye.

## Conclusion

In over 50% of cases, the change in the residual refractive error between 4 and 8 weeks after routine unremarkable phacoemulsification exceeded the reliability of subjective refraction in the normal phakic eyes. Some patients may notice the changes as the refractive error continues to fluctuate.

The IOL shifted along the antero-posterior axis of the eye in several cases during this period. The change in ACD coupled with the labelled IOL power contributes to changes in the spherical and astigmatic components of the residual refractive error. The impact on astigmatism implies other displacements of the IOL accompany shifts along the anteroposterior axis. A recent paper considers various possibilities, including AI, to control and improve the prediction of IOL location.<sup>51</sup> The IOL may settle initially at the predicted location, but the dynamic behaviour of the post-implanted IOL may limit the practical value of such control.

## Ethics Approval and Informed Consent

The study was approved by the Ethics Committees of the Kyiv Clinical Ophthalmology Hospital Eye Microsurgery Center, Eye Clinic Sistina Oftalmologija in Skopje and the tenets of the Helsinki agreement were followed throughout. Signed informed consent was obtained from all patients after fully explaining all the procedures, risks, and benefits.

## Author Contributions

Each author made a significant contribution to the work reported. Contributions with respect to study conception, design, execution, data collection, analysis, interpretation, drafting, revising or reviewing the article and giving the final approval of the version to be published, agreeing on the journal to which the article will be submitted and agreeing to be accountable for all aspects of the work.

## Disclosure

The authors report no conflicts of interest in this work. None of the authors have any financial interest in any of the products or procedures mentioned in this paper. The study was self-financed.

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