

SARS CoV-2 vaccination in patients receiving kidney replacement therapies: where are we now with the protective immune response?

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In the new pandemic COVID-19 era that started in 2020, early studies demonstrated that patients with advanced chronic kidney disease (CKD) such as hemodialysis and kidney transplant patients are at high risk of mortality from SARS CoV-2¹⁻⁵. For this reason several scientific societies taking care of these patients have recommended to prioritize their vaccination^{6,7,8}. The higher mortality rate in this group of patients may be in part ascribed to a dysregulation in their immune system⁹. However, studies in COVID-19 convalescent immunosuppressed solid organ transplant (SOT) recipients have showed the capacity of these patients to achieve a robust adaptive immune response, similar to immunocompetent convalescent individuals, despite a concerning initial delay, thus suggesting that an optimal immune response may be also achieved among these high-risk patient population after vaccination¹⁰.

Vaccination against SARS CoV-2 infection has raised hopes for ending the pandemic protecting high risk population such as haemodialysis or kidney transplant patients. Notably, studies reported by Dagan et al. demonstrated that the BNT162b2 (Pfizer-BioNTech) vaccine against SARS CoV-2 is effective for preventing symptomatic COVID-19 and reducing the rates of severe COVID19 infections in the general population, being the antibody response of up to 94% after a second dose¹¹. However little is known about the immune response in patients receiving kidney replacement because few of these patients have been included in the initial clinical trials on vaccines against SARS CoV-2 and most importantly, because the actual follow-up of these patients after vaccination is short.

Patients undergoing haemodialysis are known to have frequent infections, as well as a suboptimal response to vaccines, in part due to alterations in both innate and adaptive immunity^{12,13}. Grupper et al. evaluated the humoral response in 56 patients on haemodialysis

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3 against a control group composed of 95 health care workers after receiving two doses of the
4 BNT162b2 vaccine (Pfizer-BioNTech). They demonstrated that hemodialysis patients
5 developed a lower titre of anti-SARS-CoV-2 antibody than the control group 21 days after
6 vaccination (median dialysis patients 171 U/ml, IQR: 477.7, versus median controls 2500
7 U/ml, IQR 943.5), with an inverse correlation between age and IgG6 levels¹⁴. In another
8 study, of 81 haemodialysis patients and 80 healthy controls who were vaccinated with mRNA
9 vaccine BNT162b, 43 patients on haemodialysis (53%) had an antibody titre lower than 200
10 U/ml, 22 patients (27%) had a titre lower than 29 U/ml and seven patients (9%) had no
11 detectable antibodies while in control group all patients had titers greater than 200U/ml¹⁵. In
12 concordance with the previous studies, Torreggiani et al. demonstrated that about one-third
13 of patients on haemodialysis develop neutralizing antibodies after the first dose of BNT162b2
14 COVID-19 mRNA vaccine, and that these are at low titers, mainly observed in a high-
15 comorbidity cohort (median Charlson comorbidity index= 8)¹⁶.

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27 Yanai et al. from Israel, demonstrated lower response rate to the vaccine, with lower anti-
28 spike antibody level, and a higher rate of COVID-19 infection (38 of 148 patients) after SARS-
29 CoV-2 BNT162b2 mRNA vaccine in 160 patients on chronic dialysis (127 haemodialysis and
30 33 peritoneal dialysis)¹⁷. Frantzen et al. with the same vaccine also demonstrated in a large
31 haemodialysis population (n=326) a 91% antibody positivity rate, and only 60% of them
32 presenting an antibody level above 200 U/ml¹⁸. Agur et al. studied the seropositivity against
33 the BNT162b2 vaccine in two types of dialysis patients (122 patients on haemodialysis and
34 22 patients on peritoneal dialysis), who received two doses 21 days apart and a follow-up of
35 up to 8 weeks after the second dose; 93.4% of them developed antibodies at 36 days (IQR
36 32-40). Of note that younger age was associated with higher antibody titers, while lack of
37 response to the vaccine was associated with lower albumin and higher doses of iron sucrose
38 administered¹⁹. In these dialysis patients, there were no observed differences regarding
39 seropositive response for SARS-CoV-2 anti-S IgG at 2-6 weeks following the second dose of
40 BNT162b2 vaccination between the two main dialysis techniques¹⁹. Another group, studied
41 seropositivity after vaccination against SARS CoV-2 infection in 186 patients haemodialysis
42 with two vaccines: BNT162b2/Pfizer (N=148) and mRNA-1273/Moderna (N=18). Overall, no
43 differences were found between the two vaccines. Seropositive rate was 165/186 (88.7%)
44 with 70% at maximum titre with IgG levels, although in patients who had previously SARS
45 CoV-2 infection, the seropositivity was 100% (97% with IgG levels at the maximum titre)²⁰.

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3 Attias et al. assessed the humoral response after the BNT162b2 mRNA vaccine using anti-
4 spike(S)1 IgG antibody in a single-center cohort of 69 patients receiving maintenance
5 haemodialysis, thirteen patients (19%) had a history of previous COVID-19 or positive
6 baseline serology, overall seropositive rate at last follow-up was 86%. Of mention that
7 patients aged >70 years were less likely to reach seropositivity at last follow-up (28 of 37
8 [75%]; $P = 0.01$)²¹. Roseanne et al. showed that the antibody response against SARS-CoV-
9 2 spike protein 28 days after the first dose of either the BNT162b2 or AZD1222 vaccine in 94
10 patients receiving maintenance haemodialysis were detectable in 75 patients (79.8%) and
11 not detectable in 19 patients (20.2%). Median antibody level was 2.4 [interquartile range, 8.8]
12 relative light units. In concordance with the previous report, patients with detectable
13 antibodies were younger than patients without detectable antibodies (60.2 ± 11.6 years vs.
14 69.8 ± 11.8 years; $P = 0.002$)²². These results suggest that older patients in maintenance
15 haemodialysis have worse antibody response against SARS CoV-2 vaccines and maybe at
16 risk for COVID-19 infection. Table 1 summarized all of these studies including the time after
17 vaccination.
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31 As previously mentioned, kidney transplant (KT) patients on immunosuppressive therapy are
32 also at risk for severe complications of COVID-19 infection^{1,2}. Boyarsky et al, reported that,
33 only 17% of transplant recipients who received a single dose of SARS-CoV-2 vaccine
34 developed detectable anti-spike antibody (compared to 100% of the non-
35 immunocompromised subjects in the pivotal trials) and after 2 doses response increased to
36 54% in transplant recipients^{23, 24}. Grupper et. al, analyzed the humoral response following full
37 vaccination with the BNT162b2 (Pfizer- BioNTech) in 136 kidney transplant recipients, and
38 compared to 25 controls. All controls developed a positive response to spike protein, while
39 only 51/136 transplant recipients (37.5%) had positive antibody response ($p < 0.001$). Mean
40 anti IgG spike level was also higher in the controls (KT: 31.05 (41.8) vs. controls: 200.5 (65.1)
41 AU/mL, $p < 0.001$). The older age, high corticosteroids dose in the last 12 months,
42 maintenance with triple immunosuppression, and regimen that includes mycophenolate were
43 associated with a low humoral response²⁵. Moreover, transplant recipients receiving
44 antimetabolite maintenance immunosuppression therapy were less likely to develop an
45 antibody response than those not receiving such immunosuppression therapy (37% vs 63%,
46 respectively; adjusted incidence rate ratio [IRR], 0.22 [95% CI, 0.15-0.34]; $P < 0.001$). As
47 reported in hemodialysis patients, older transplant recipients were less likely to develop an
48 antibody response (adjusted IRR, 0.83 [95% CI, 0.73-0.93] per 10 years; $P = 0.002$). Those
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3 who received mRNA-1273 were more likely to develop an antibody response than those
4 receiving BNT162b2 (69% vs 31%, respectively; adjusted IRR, 2.15 [95% CI, 1.29-3.57];
5 $P = 0.003$)²³. Nevertheless, the serological immune response to a second dose of mRNA
6 SARS-CoV-2 vaccine was detectable in the majority of transplant recipients, although
7 patients without a response after the first dose had generally low antibody levels. Likewise,
8 after dose, a poorer humoral response was persistently associated with use of antimetabolite
9 immunosuppression²³. In concordance, Rozen-Zvi B et al. also demonstrated a poor
10 response of BNT162b2 vaccine in kidney transplant recipients. Of 308 kidney transplant
11 recipients included in their study, only 112 (36.4%) tested positive for anti-S antibodies 2-4
12 weeks after receiving the second dose of BNT162b2 vaccine. Median antibody titer was 15.5
13 AU/mL. Higher estimated glomerular filtration rate, lower mycophenolic acid dose, younger
14 age and lower calcineurin inhibitors (CNI) blood level were independent factors for antibody
15 response. Of mention that no serious adverse events to the vaccine were reported²⁶.

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27 Benotmane et al. also examined 242 kidney transplant recipients who received the first
28 injection of Moderna mRNA-1273 vaccine, only 26 (10.8%) kidney transplant recipients had
29 a positive serology response at 28 days after injection, with a median IgG titer of 224 AU/ ml
30 (IQR, 76-496 AU/ml) compared with a median IgG titer of <6.8 AU/ml in seronegative.
31 Patients who seroconverted had longer time from transplantation, less immunosuppression,
32 and better renal function²⁷. Korth et al. investigated the SARS- CoV-2 immune response via
33 SARS-CoV-2 IgG detection in 23 renal transplant recipients after two doses of the mRNA-
34 based SARS-CoV-2 vaccine BNT162b2, only 5 of 23 (22%) renal transplant recipients were
35 tested positive for SARS-CoV-2 IgG antibodies after the second dose of vaccine (15.8±3.0
36 days after the second dose)²⁸.

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46 Chavarot et al. evaluated the serological and T-cell immune status using an enzyme-linked
47 immunospot (ELISPOT). assay measuring interferon- γ produced by specific SARS-CoV-2 T-
48 cells) vaccinal responses to BNT162b2 mRNA anti-SARS- CoV2 vaccine in kidney transplant
49 recipients treated with the costimulatory blocker belatacept. They observed that at twenty-
50 eight days after the first injection only 2/101 patients (2.0%) developed anti-spike antibodies.
51 Among the 35/101 patients (34.7%) with serology testing 1 month after the second dose, only
52 2 patients (5.7%) developed anti-spike antibodies. Furthermore, when they assessed the
53 functional SARS-CoV-2-specific T-cell immune response, only 2/40 patients(5.0%) and 7/23
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3 patients(30.4%) showed detectable T-cell frequencies on day 28, and 1 month after the
4 second injection, respectively ²⁹ (Table1).
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8 Recently, Boyarsky et al evaluated 12 transplant recipients who received the Janssen
9 vaccine, observing in only 2 of 12 patients a detectable anti-RBD (receptor-binding domain)
10 antibody response, being significantly lower than that observed among recipients of the
11 mRNA vaccine series, with significantly lower titers than those of the mRNA group,
12 suggesting that the Janssen vaccine may result in an even lower humoral immunity than the
13 mRNA vaccines in these patients³⁰. To date 16 clinical trials are registered at
14 <https://clinicaltrials.gov> that evaluate the response to the vaccine in patients on hemodialysis
15 or kidney transplant patients (Table 2).
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24 Vaccination with two doses of BNT162b2 against SARS-CoV-2 infection was highly effective
25 in the general population, even in older adults, with marked and sustained decreases in the
26 incidence of SARS-CoV-2 and COVID-19-related hospitalizations, severe disease and death,
27 including those caused by the B.1.1.7 SARS-CoV-2 variant favoring control of the pandemic
28 as demonstrated by Hass et al in the Israeli population³¹. In concordance Martinez-Baz et al.,
29 reported a reduction in symptomatic COVID-19 and hospitalization in the populations of
30 Navarra, Spain³². However it is of interest to mention that 14 patients developed COVID-19
31 infection after completing vaccination, so we believe there is sufficient evidence to issue
32 warnings that immunocompetent populations should continue with strict COVID-19
33 precautions after vaccination³³. Caillard et al. demonstrated that 55 solid organ transplant
34 recipients (52 kidney and 3 simultaneous kidney-pancreas) developed COVID-19 after
35 receiving 2 doses of mRNA-based severe acute respiratory syndrome coronavirus (SARS-
36 CoV-2) vaccines. A total of 9 and 46 patients received the mRNA-1273 (Moderna) and the
37 BNT162b2 (Pfizer-Bio-NTech) vaccine, respectively, 15 (27%) required hospitalization for
38 oxygen therapy. Of these, 6 were admitted to an intensive care unit, and 3 died³⁴. In addition,
39 it is of interest to mention that cases of reinfection have been reported in individuals infected
40 with SARS-CoV-2, which calls into question the protective nature of humoral immunity
41 against this highly infectious pathogen. Bartsch YC et al. defined the relationship between
42 titers and functional antibody activity to SARS-CoV-2 over time notably, neutralization, Fc-
43 function, and SARS-CoV-2 specific T cell responses were only observed in subjects that
44 elicited RBD-specific antibody titers above a threshold³⁵.
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3 As a consequence of the low antibody responses after 2 doses of SARS-CoV-2 vaccine,
4 Kamar et al. tested the effect of three doses of BNT162b2 (Pfizer-Bio-NTech) COVID-19
5 vaccine in solid-organ transplant recipients. The study showed that the administration of a
6 third dose of the BNT162b2 vaccine to solid-organ transplant recipients significantly improved
7 the immunogenicity of the vaccine (68% (95% CI, 58 to 77; 67 of 99 patients) 4 weeks after
8 the third dose), without cases of COVID-19 infection reported³⁶. These results suggest that
9 three shots of COVID-19 vaccine should be considered in solid-organ transplant recipients,
10 special population at high risk for severe COVID-19 disease.
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19 In conclusion, the evidence to date suggests that the majority of renal replacement patients
20 remain at high risk for COVID-19 despite vaccination. Among haemodialysis patients the
21 seroconversion after the administration of the two doses of the vaccine ranges from 80 to
22 96%, being the eldest patients at especial higher risk of developing a suboptimal antibody
23 response. Of especial concern seem to be the kidney transplant patient population, in whom
24 seroconversion rates after the administration of the two doses varies between 22 - 37.5%.
25 Maintenance immunosuppression therapy with antimetabolites, the co-stimulation blocker
26 belatacept, as well as older age and worse renal function seem to be risk factors for a low
27 serological response to the vaccine. Considering that these patient populations on
28 haemodialysis and kidney transplant is mostly within the elderly age, it is urgent to clarify
29 whether these poor serological responses are in line with an impaired adaptive immune
30 response at the T and B-cell memory compartments, which may highlight the need of
31 additional doses of the vaccine to booster effective recall immune responses. Notably, the
32 significantly lower immune responses achieved by vaccinated patients as compared to those
33 that developed COVID19 infection, and especially those with a severe presentation,
34 highlights the lower immunogenicity triggered by current vaccines covering a single
35 immunogenic viral protein. For this reason, studies to assess long term efficacy and safety of
36 SARS-CoV-2 vaccination and booster doses in patients on dialysis or after kidney
37 transplantation are urgently needed. Furthermore, in these high risk population it seems that
38 social isolation barriers should be maintained to avoid COVID-19 infection because of the
39 unknown response of the vaccines to the different variants of COVID-19 circulating around
40 the world.
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56 **CONFLICT OF INTEREST STATEMENT**

57 None declared.
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Table 1. Studies that demonstrate the response to the vaccine against SARS CoV-2 in hemodialysis and kidney transplant patients after 2nd dose

Study	N° of patients in HD/KT	Age	Measured of antibodies time (days)	Seroconversion (%)	Level of anti-spike antibody (AU/mL)
Grupper ¹⁴	56 (HD)	74±11	30 (27-34)	96.0	2900 (1128-5651)
Simon ¹⁵	81 (HD)	67 (34-86)	21	80.0	171±477.7
Torreggiani ¹⁶	101 (HD)*	69±15	21	35.0	8.22 (1.73-28.70)
Yanay ¹⁷	127/33 (HD/PD)	69 (62-78)	21 - 35	90	116.5 (66-160)
Frantzen ¹⁸	244 (HD)	76±13	30	91.0	Unknown
Agur ¹⁹	122/23 (HD/PD)	72±12	36 (32-40)	93.4	1599 (419.5-3976.9)
Lacson ²⁰	186 (HD)	68±12	23±8	88.7	Not reported
Attias ²¹	64 (HD)	70±12	16.8	86	16.8 ±14.9
Roseanne ²²	94 (HD) ^a	62±12	27.8±4.2	79.8	2.4 (IQR: 8.8) ^a
Boyarski ²⁴	322 (KT)	±56	±20	48	Not reported
Grupper ²⁵	136 (KT)	58±12	15.6±6.2	37.5	200.5 ±65.1
Rozen-Zvi ²⁶	308 (KT)	58±14	28 (22-34)	36.4	15.5 (3.5-163)
Benotmane ²⁷	242 (KT)*	58 (49-68)	28	10.8	224 (76-496)
Korth ²⁸	23 (KT)	58±14	15.8±3	22	50.9±138.7
Chavarot ²⁹	101 (KT) ^b	64 (53-73)	30	5.7	Unknown

HD: Hemodialysis, KT: Kidney Transplants, PD: Peritoneal Dialysis, AU Arbitrary Unit.

*Determinations of antibodies at 21 days after the first dose

^aDeterminations of antibodies at 28 days after the first dose and level expressed in relative light unit (RLU)

^bKidney transplant patients treated with belatacept, 35/101 patients with serology testing 1 month after the second dose. Specific anti-spike T-cell response occurred in 2/40 (5%) patients on day 28 and in 7/23 (30.4%) patients on day 60.

Table 2. Clinical trials registered at <https://clinicaltrials.gov> until July 2nd, 2021 evaluating the response to the vaccine in hemodialysis and kidney transplant patients

Study	Identifier	Conditions	Sponsor
The Malaysian Study On Hemodialysis Patients SARS-CoV-2 Vaccination Immune Response: A Prospective Observational Cohort Study	NCT04872751	Immune Response Post Covid 19 Vaccination	Penang Hospital, Malaysia
COVADIAL - Immunogenicity of COVID-19 Vaccine in Hemodialysis Patients	NCT04728828	Covid 19	Centre Hospitalier de Cornouaille
The LESS CoV-2 Study - Long Term Efficacy and Safety of SARS-CoV-2 Vaccination in Patients in Patients With Chronic Kidney Disease Stage G4-G5, on Dialysis or After Kidney Transplantation	NCT04841785	Covid19, SARS-CoV Infection, CKD	University Medical Center Groningen
Response of Haemodialysis Patients to BNT162b2 mRNA Cov-19 Vaccine	NCT04881396	COVID-19 Vaccines, Hemodialysis Complication	Hospices Civils de Lyon
Evaluation of Protective Antibody Production After COVID-19 Vaccination Among Patients Under Hemodialysis	NCT04871945	Covid19, Vaccine Reaction, Hemolysis, End-stage Renal Disease	Hanyang University Seoul Hospital
Observational Study on the Effects of SARS-CoV-2 Vaccination in Dialysis and Kidney Transplant Patients	NCT04743947	CKD Stage 5 on Dialysis, CKD Stage 5 With Transplant Vaccine Response Impaired	Heinrich-Heine University, Duesseldorf
Phenotyping Seroconversion Following Vaccination Against COVID-19 in Patients on Haemodialysis Study	NCT04815850	End Stage Kidney Disease	University of Leicester
Multi Center Study to Assess the	NCT04905862	Chronic Kidney Disease	Medical

Humoral and Cellular Response After Vaccination Against COVID-19 in Dialyzed Patients.		Requiring Chronic Dialysis	University of Gdansk
Immunological Follow-up After COVID 19 Vaccination in Kidney Transplant Recipients	NCT04757883	Kidney Transplant Recipients	University Hospital, Strasbourg, France
The Immune-response and Safety of COVID-19 Vaccination in Patients With Chronic Kidney Disease, on Dialysis, or Living With a Kidney Transplant - A Prospective, Controlled, Multicenter Cohort Study by the RECOVAC Consortium	NCT04741386	Covid19, Chronic Kidney Disease	University Medical Center Groningen
Investigation of the Immune Response Before and After COVID-19 Disease or SARS-CoV-2 Vaccination in Dialysis Patients, Solid Organ Recipients and Medical Staff	NCT04799808	SARS-CoV-2 infection, Active Immunization, Immune Response, Immunosuppression	Technische Universität Dresden
Monitoring of COVID-19 Vaccine Response in Organ Transplant Patients	NCT04828460	Covid19, Kidney Transplantation	University Hospital, Strasbourg, France
SARS-CoV-2 Cellular and Humoral Immune Response Following Vaccination of Kidney Transplant Recipients and Healthy Controls	NCT04747522	Kidney Transplant infection, SARS-CoV-2 infection	Oslo University Hospital
COVID-19 Serology in Nephrology Health Care Workers	NCT04347694	SARS-CoV-2, Immunization, Infection	Medical University of Vienna

A Phase 3b, Open-Label, Safety and Immunogenicity Study of SARS-CoV-2 mRNA-1273 Vaccine in Adult Solid Organ Transplant Recipients and Healthy Controls	NCT04860297	SARS-CoV-2	ModernaTX, Inc.
IgG Antibodies After SARS-CoV2 mRNA Vaccine in Kidney Transplantation	NCT04832841	Kidney Transplant	Institute for Clinical and Experimental Medicine