## MAJOR ARTICLE



# Comparison of Outcomes of Percutaneous Mechanical Aspiration vs Tricuspid Valve Surgery in Drug Use–Associated Endocarditis of the Tricuspid Valve

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*Background.* People who inject drugs (PWID) and present with infective endocarditis (IE) of the tricuspid valve may need valve surgery due to persistent infection, heart failure, or embolic risk. Vacuum-assisted percutaneous mechanical aspiration (PMA) has been proposed as a potential option for those who cannot undergo surgery.

*Methods.* We queried TriNetX, a database that provides access to electronic medical record data across health care organizations, to identify PWID who had tricuspid valve IE and underwent PMA between 2016 and 2024, using diagnostic and procedure codes. Short-term procedural and clinical outcomes were compared with PWID who underwent tricuspid valve surgery.

**Results.** In total, 129 patients underwent the PMA procedure and 952 had valve surgery. A higher proportion of the PMA cohort was female (66% vs 57%) and of non-White race (32% vs 22.5%). At 1 month postprocedure, the surgical group had a lower rate of death (2.5% vs 7.9%, P = .001), while the PMA group had a lower risk of heart block or need for pacemaker implantation (0% vs 4%). After propensity matching between groups, these differences were not significant. At 1 year postprocedure, groups had similar rates of heart failure, tricuspid insufficiency, or offer of treatment intervention for opioid use disorder.

**Conclusions.** Short-term outcomes seem comparable between PMA and tricuspid valve surgery in tricuspid valve IE in PWID. Additional studies with larger cohort numbers are needed to further evaluate the difference in long-term postoperative outcomes between the groups.

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#### **Graphical Abstract**

Comparison of outcomes of percutaneous mechanical aspiration versus tricuspid valve surgery in drug use associated endocarditis of the tricuspid valve

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#### BACKGROUND

People who inject drugs (PWID) who present with infective endocarditis (IE) of the tricuspid valve may need valve surgery. Percutáneous vacuum assisted mechanical aspiration (PMA) has been proposed as a potential option for those who cánnot undergo surgery.

The authors gueried TriNetX, a database that provides access to electronic medical record (EMR) data across health care organizations, using diagnostic codes and procedure codes. Short term procedural and clinical outcomes were compared between two cohorts. Propensity matching was used to reduce confounding bias.

#### TriNetX QUERY RESULTS

The TriNetX query retrieved results from 26 health care organizations. The PMA cohort included 128 patients and the tricuspid valve surgery cohort included 897 patients



#### Result 1 There was no significant difference in

short term outcomes including rates of bleed/need for transfusion, shock, respiratory failure, or post-operative infection after propensity matching.

#### Result 2

There was no significant difference in long term outcomes

including incident heart failure, tricuspid regurgitation, or provision of interventions for opiod use disorder recovery.

#### Result 3

The PMA cohort patients were more likely to be female, non-white, or have a diagnosis of HIV.

They were also more likely to experience homelessness and more likely to utilize emergency room services.

#### Conclusion

PMA should be considered as a potential alternative option in PWID in is sloud be considered as a potential attendance option in PWID in select cases where surgery may not be feasible. While potential for selection bias exist in such data, we found comparable short term outcomes for surgical and PMA groups. Further studies in larger cohorts are needed to examine the differences in outcomes between these groups in PWID, including those who undergo PMA and those who receive only medical

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This graphical abstract is also available at Tidbit: https://tidbits/comparison-of-outcomes-of-percutaneous-mechanical-aspiration-versus-tricuspid-valvesurgery-in-drug-use-associated-endocarditis-of-the-tricuspid-valve?utm\_campaign=tidbitlinkshare&utm\_source=I0

**Keywords.** AngioVac; percutaneous mechanical aspiration; PWID; tricuspid endocarditis; tricuspid valve replacement.

There are approximately 4.5 to 6.6 million people who inject drugs (PWID) in the United States, which represents approximately 1.8% to 3.3% of the population [1]. Over the past decade, there has been a significant increase in trends of the number of PWID [1, 2]. PWID are at higher risk of invasive infections such as bacteremia and drug use-associated infective endocarditis (DUA-IE), and there has been a proportional increase in these conditions in the last 10 years [3, 4]. This has been reflected in trends of greater health care utilization for DUA-IE, including increases in rates of cardiac valve surgery for this condition [5-7]. Some studies have shown that 33% of valve operations performed for infective endocarditis (IE) are for DUA-IE [8]. DUA-IE is also more likely to involve the native tricuspid valve and has higher reported rates of recurrence [7]. These patients have more complicated or prolonged hospital lengths of stay, have high rates of self-directed discharge, and have high rates of need for repeat valve surgery and mortality in the posthospitalization period [8-10]. Individuals with DUA-IE are unique in that they are more likely to be younger, to have adverse social determinants of health (eg, lack of stable housing), and to have challenges with adherence to traditional prescribed processes of care. Yet, they are less likely to have other cardiovascular comorbidities when compared with those with non-DUA-IE [7, 9].

The management of IE may include surgical repair/ replacement in addition to prolonged courses of antibiotic therapy and management of complications of infection. The

majority of tricuspid valve IE is managed medically, and tricuspid valve surgery accounts for the minority of valve procedures for IE from previous data [11]. However, where indications exist for valve surgery for tricuspid IE, PWID may be deemed poor candidates for surgery due to fear of suboptimal outcomes and to concern for nonadherence and recurrent infection [12]. The 2015 American Heart Association scientific statement on the diagnosis and management of IE goes so far as to state that it is reasonable to avoid surgery, when possible, in PWID [13]. The perspectives of cardiac surgeons may lean away from operative management in PWID [12]. The American Heart Association also issued a scientific statement in 2022 specifically addressing management of DUE-IE, which highlighted the role of individualized multidisciplinary care. This statement acknowledged the barriers that these individuals may face and the need to weigh patient priorities and preferences [14]. It also makes brief mention of catheter-based techniques, such as percutaneous mechanical aspiration (PMA). Such techniques have emerged as an option for debulking tricuspid valve vegetations for source control in the management of tricuspid valve IE, where surgical valve repair may not be feasible due to high risk [14, 15]. However, rigorous criteria for use of this procedure in DUA-IE are lacking.

PMA may be offered as an alternative to operative management or as a bridge to surgery. The rationale behind PMA is that decreasing vegetation burden can result in greater antibiotic efficacy and prevent structural damage to the heart valves.

This could prevent the need for sternotomy and implantation of prosthetic material during valve surgery if there is concern for risk of recurrence. It could also be used to debulk vegetations, which are associated with cardiac implantable electronic devices, or large bulky vegetations, which have high embolic risk [16]. The risk of peri- or postoperative complications is plausibly lower and may allow for quicker recovery. The use of PMA as a salvage therapy due to high operative risk may represent a minority of DUA-IE, and considerations such as recurrence risk may influence choice of procedure [17]. To this end, PMA has been added to the 2023 European Society of Cardiology guidelines as a class IIb recommendation for the treatment of right-sided IE in patients at high risk [18].

Given the increase use of PMA, there is a growing need for studies comparing the outcomes data of patients treated with PMA vs operative management. Unfortunately, most published data are in limited single-center case series or reports. They show comparable clinical outcomes, short-term mortality, and potentially shorter length of hospital stay for PMA as compared with surgically managed IE [16, 17]. The lack of clear guidelines or selection criteria and the lack of control for potential confounders make it difficult to compare outcomes or allow for generalizability. To address this, we present in this article a novel use of TriNetX, a large federated electronic health record (EHR)—based database across multiple health care organizations, to compare outcomes of anonymized patients.

## **METHODS**

This multicenter retrospective cohort study compared the outcomes of DUA-IE in people who underwent PMA (intervention) and tricuspid valve surgery (comparator). Our hypothesis was that there should be no differences in clinical outcomes between those treated by PMA and those who underwent surgical repair.

## **Data Source and Cohort**

We queried TriNetX, which is a global federated health research network providing access to real-world deidentified data from EHRs (diagnoses, procedures, medications, laboratory values, genomic information) across large health care organizations and covering diverse populations and locations [19]. We queried the research collaborative network of 97 health care organizations, primarily in North America, that contribute deidentified electronic medical record data to a central database. The platform has built-in functions that work on the deidentified data and can be used for cohort selection and matching, analysis of incidence and prevalence, and comparison of characteristics and outcomes between matched cohorts. Density plot data before and after matching cohorts are presented in Supplementary Figure 1.

#### **Exposure**

We included patients with *International Classification of Diseases, Tenth Revision (ICD-10)* diagnosis codes of IE and used *Current Procedural Terminology (CPT)* and *ICD-10 Procedure Coding System* codes for the AngioVac PMA with a time constraint of 6 weeks between procedure and IE diagnosis. We then identified persons within this group who had *ICD-10* diagnosis codes for substance use disorder for opioids, cocaine, and stimulants. Substance use codes were not time constrained and were available from any point in the medical record. A full list of the *ICD-10* codes used is in Supplementary Table 1. Data were extracted in July 2024 and had a look-back period of 8 years.

The comparison group was persons who had a substance use disorder and IE diagnosis based on the same *ICD-10* codes and had *CPT* codes of tricuspid valve repair, replacement (valvuloplasty with or without ring insertion), or valvectomy but did not have a PMA procedure code. All codes used in the extraction template are provided in the Supplementary Materials.

The primary outcome was composite of cardiac arrest/death and procedural or postoperative complications within the 30-day period postprocedure. These included occurrence of shock or respiratory failure (*ICD-10 Clinical Modification* diagnostic codes), need for transfusion (CPT codes), postoperative infection, heart block of grade ≥2 or need for placement of permanent pacemaker (*CPT/Procedure Coding System* codes), and tricuspid regurgitation. In the 1-year period postprocedure, we looked at incident diagnosis of heart failure, recurrent IE, and interventions targeted at recovery from substance/opioid use disorder. These included prescription of medications for opioid use disorder and individual/group or family therapy and medication management for opioid use disorder. Time windows for these outcomes began 1 day after the first occurrence and ended 30 days or 365 days after the index event.

Baseline covariables extracted included demographic factors, substance use-related comorbid conditions (HIV, infectious hepatitis), and medical comorbidities such as liver or renal failure. We extracted covariables for inclusion in propensity score models that we thought were likely to confound the association between procedure and primary outcome. The TriNetX built-in propensity score-matching function was used, which employs 1:1 matching with a nearest-neighbor greedy matching algorithm, with a caliper of 0.1 times the standard deviation without pair replacement to create balanced cohorts. Propensity score matching was performed on 9 characteristics: age, sex, race, end-stage liver or kidney disease, presence of shock or heart failure, and rates of hospital or emergency room utilization.

Balance between cohorts after propensity matching was assessed by density curves, and an absolute standardized mean difference <0.1 indicated similarity. The fraction of patients with the selected outcomes between the PMA and tricuspid valve replacement groups was compared at 30 days and 1

year prior to and after matching. The risk difference, risk ratio, and odds ratio were calculated, and *t* tests were used for differences in outcomes between the cohorts. Kaplan-Meier curves were constructed to evaluate primary and other clinical outcomes at 1 year between the PMA and surgical groups. Censoring was applied to account for patients who exited the cohort during the analysis period. The log-rank test, hazard ratio, and test for proportionality were also calculated.

The study protocol was reviewed by the institutional review board at the University of Maryland, Baltimore, and deemed exempt from informed consent and full review.

#### **RESULTS**

An overall 97 health care organizations were queried, of which 26 responded with patient data. The final cohort included 129 patients with a PMA procedure and 952 with tricuspid valve surgery. The demographics of the patients in each cohort are listed in Table 1. Baseline demographics showed that a higher proportion of the PMA cohort was female (66% vs 57%) and of non-White race (32% vs 22.5%). A higher proportion of the PMA group had an HIV diagnosis (8% vs 2%). There were differences in rates of diagnosis of cirrhosis of the liver and end-stage renal disease. A higher proportion of patients with PMA (19%) also had diagnosis codes for people experiencing homelessness (Z59) as compared with the surgical group (10.5%), and this group had higher rates of emergency room service utilization (62% vs 41%; Table 1).

Prior to matching, there was lower risk of cardiac arrest or death in the surgical group (2.5% vs 7.9%) at 1 month after the index event (risk difference, 5.4%; 95% CI, -.6% to 10.2%; P=.001). The PMA group had a lower risk of grade  $\geq 2$  heart block or need for permanent pacemaker placement vs the surgical group (0% vs 4%). Rates of bleeding and need for transfusion, shock, or respiratory failure were not different between groups. Rates of postoperative infection were surprisingly higher in the PMA group (8.3% vs 4.1%; risk difference, 4.2%; 95% CI, .9%–9.2%; P=.04). After propensity matching, the differences seen between groups were no longer statistically significant (Table 2).

For the 1-year period postprocedure, incident heart failure (14.4% in PMA group and 14.8% in surgical group), tricuspid regurgitation (19.8% and 20%), and provision of interventions for opioid use disorder recovery (44.3% and 41%) were also not statistically different between groups prior to or after propensity matching.

#### **DISCUSSION**

Our results show some significant baseline differences between patients who underwent PMA and surgery for DUA-IE. While there were differences in short-term perioperative complications and mortality between the groups, these differences were not significant after matching the patients. TriNetX has provided valuable insight into risk factors, trends in utilization, as well as outcomes related to COVID-19 during a period when background knowledge and clinical data were emergent and

Table 1. Demographics of Patients Included in the Study

		Prematching			Postmatching	
	PMA (n = 129)	Surgery (n = 952)	P Value	PMA (n = 125)	Surgery (n = 125)	Standard Difference
Age at event, y, mean (SD)	35.2 (9.4)	35.4 (10.4)		35 (9.3)	34.7 (10.5)	0.026
Male	44 (34)	409 (43)	.056	43 (34)	43 (34)	< 0.001
Non-White race	41 (32)	214 (22.5)	.019	37 (30)	35 (28)	0.035
Cocaine-related disorder diagnosis	33 (25.5)	213 (22)	.075	30 (24)	28 (22.5)	0.038
Prescribed						
Methadone	30 (23)	210 (22)		28 (22.5)	26 (21)	0.039
Buprenorphine	42 (33)	270 (28)		42 (34)	36 (29)	0.1
HIV positive	10 (8)	19 (2)	<.001	10 (8)	10 (8)	<0.001
Diagnosis						
Hepatitis C	39 (30)	282 (30)		37 (30)	37 (30)	<0.001
Diabetes mellitus	13 (10)	97 (10)		11 (9)	10 (8)	0.03
Septic arterial embolism	61 (47)	447 (47)		58 (46)	61 (49)	0.05
Heart failure diagnosis	33 (26)	348 (36)	.014	32 (26)	31 (25)	0.018
Cirrhosis of liver	10 (8)	38 (4)	.05	10 (8)	10 (8)	<0.001
End-stage renal disease	10 (8)	35 (4)	.03	10 (8)	10 (8)	<0.001
Homelessness	25 (19)	100 (10.5)	.003	24 (19)	16 (13)	0.17
Emergency room utilization	80 (62)	394 (41)	<.001	76 (61)	65 (52)	0.184
Hospital inpatient or observation care services	100 (77.5)	717 (75)		97 (77)	91 (73)	0.096

Data are presented as No. (%) unless noted otherwise

P values are provided only for values <0.1.

Abbreviation: PMA, percutaneous mechanical aspiration.

able 2. Primary and Secondary Outcomes at 1 Month and 1 Year With and Without Propensity Scoring

		Before	Before Matching			After	After Matching	
Outcome	PMA	Surgery	Risk Difference (95% CI)	P Value	PMA	Surgery	Risk Difference (95% CI)	PValue
30 d postevent								
Cardiac arrest or death	0.079 (10/126)	0.025 (23/906)	0.054 (.006, .102)	.001	0.085 (10/117)	0.088 (10/114)	-0.002 (075, .070)	.95
Tricuspid regurgitation	0.103 (10/97)	0.092 (38/413)	0.011 (056, .078)	.74	0.111 (10/90)	0.217 (10/46)	-0.106 (242, .029)	60:
Grade ≥2 heart block or pacemaker insertion	0 (0/126)	0.04 (27/616)	-0.044 (060, -0.028)	.02	0 (0/118)	0.112 (10/78)	-0.128 (202, -0.054)	00:
Bleeding or transfusion	0.111 (10/90)	0.161 (68/422)	-0.050 (124, .024)	.23	0.122 (10/82)	0.182 (10/55)	-0.060 (184, .064)	.33
Shock or respiratory failure	0.246 (32/129)	0.220 (209/952)	0.027 (052, .105)	ιτί	0.231 (28/121)	0.231 (28/121)	0 (–.106, .106)	<.99
Postoperative infection	0.083 (10/121)	0.041 (36/877)	0.042 (009, .092)	.04	0.089 (10/112)	0.090 (10/111)	-0.001 (076, .074)	86.
1 y postevent								
Heart failure	0.135 (13/96)	0.148 (84/566)	-0.013 (087, .061)	.74	0.144 (13/90)	0.148 (13/88)	0.003 (107, .101)	.95
Tricuspid regurgitation	0.186 (18/97)	0.169 (70/413)	0.016 (069, .101)	.71	0.198 (18/91)	0.2 (10/50)	-0.002 (140, .136)	76:
Recurrent IE	0	0.235 (16/68)	:		0	1 (10/10)	:	:
OUD recovery interventions	0.418 (28/67)	0.337 (144/427)	0.081 (046, .207)	197	0.443 (27/61)	0.407 (22/54)	0.035 (146, .216)	.70
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Data are presented as proportion (No.) unless noted otherwise.
Abbreviations: IE, infective endocarditis; OUD, opioid use disorder; PMA, percutaneous mechanical aspiration

data from large collaborative networks were not easily accessible to those not within such networks [20–22]. To date, most published literature on the use of PMA is in the form of case reports or single-center case series and may have limited sample sizes [16, 23–26]. We attempt to address this by accessing data from this larger database.

We note that PWID who undergo a PMA procedure have many differences in comorbid conditions, which may account for potential selection bias or confounding. Besides technical feasibility and selection based on operative risk, provider or institutional factors may guide selection of procedure. One may assume that patients who are more critically ill, who may be deemed high operative risk, might preferentially be offered PMA. However, PWID with IE are usually younger and have fewer comorbidities [27]. We do note some demographic differences for which we do not have a clear explanation, such as the fact that women account for 77% of the PMA group. Prior case series have not shown this preponderance. Some studies have noted higher representation of females in hospitalized cases of DUA-IE. This has been ascribed to the higher health care-seeking behavior but difficulty accessing harm reduction services [28, 29]. Why women would be overrepresented in the nonoperative group is not clear, but plausibly they could opt for a nonoperative approach, since data show that women are less likely to undergo surgery for DUA-IE [29, 30]. While DUA-IE predominantly affects people who are White, non-White populations have higher mortality risk [27, 31]. Prevalent health care access disparities—including access to substance use treatment, disease severity, and comorbidity burden—and systemic barriers, including racism and implicit bias, may play a role in delayed diagnosis or higher severity of presentation [32-34].

Procedural complications such as bleeding, shock or respiratory failure, and postprocedural infection did not seem to differ between groups. Even though death in the 30-day period was higher in the PMA group, after matching for comorbid conditions, this difference seemed to be nonsignificant. This indicates that the procedure might be offered to people who had more comorbidities or possibly higher severity of illness. Postprocedural worsening of tricuspid regurgitation has been shown to be a risk, perhaps from valve damage, but this did not seem to be different between groups [35, 36]. Around 7% of tricuspid valve repair procedures are complicated by heart block, and this risk might be higher with replacement [37]. This could be from surgical complications but also could be related to perivalvular complications of IE. In our analysis, the occurrence of high-grade heart block or pacemaker implantation was not significantly different between groups after matching.

There are not many studies directly comparing PMA and tricuspid valve replacement, and out of the studies that exist, only 1 has compared them in PWID. Veve et al compared PMA and

tricuspid valve replacement in PWID [26]. Their study showed similar outcomes in 12-month mortality between those who underwent PMA and tricuspid valve replacement surgery, with an increased amount of tricuspid regurgitation in the PMA group, which we did not see in our larger analysis.

The theoretical benefit of more rapid recovery time, lower need for intensive care, and less prolonged mechanical ventilation in PMA as compared with surgery could be an advantage and allow for PWID to focus on their substance use recovery. George et al showed that PMA was associated with shorter lengths of stay in the hospital (35 vs 45 days, P = .028) [25]. The use of 2 venous access sites for aspiration and reinfusion can lead to access site bleeding. Registry data of PMA device use show a 25% risk of bleeding needing transfusion [38]. We did not see higher rates of bleeding between groups.

We acknowledge many limitations of this study. We did attempt to retrieve rates of incident death or repeat surgery within a 1-year period after the initial intervention, but the number of patients identified was too small to do any meaningful comparison between the groups. This may reflect not only a limitation in trying to ascertain these outcomes by using EHR data but also the challenges with continued engagement in chronic care services within medical systems among PWID [39]. EHR data may be inaccurate or incomplete and lack information on confounders. Data from ICD-10 diagnosis codes may lack sensitivity to identify DUA-IE [40]. We hypothesize that it may retain specificity due to the use of procedural codes and hence positive predictive value. As such, this indicates that our data may be an underestimate of the true prevalence of this condition. Additionally, the TriNetX database does not capture patients who might have had health care encounters outside the network, rural populations, or outcomes that occur outside the medical record and are not captured within EHRs. PWID often encounter significant challenges in accessing care within traditional systems. They also have high rates of patientdirected discharge and incomplete therapy. Even though we tried to match for conditions that may indicate severity of illness, selection bias due to surgical team preference or practice cannot be eliminated. The outcomes of such encounters are obviously missing from the data [41]. Additionally, there may be a possibility for type II error given that propensity score matching reduced the number of individuals receiving surgical procedures. Finally, the reported numbers can vary with each retrieval because data are continuously updated and refreshed by the uploading health care organization.

There has been a growing movement toward offering patient-centered care, which leverages hospitalization as a reachable moment and provides coordinated clinical care in a shared decision-making framework [14]. Traditional valve surgery may not be feasible or acceptable to some PWID. PMA may be offered within the context of other treatment options, which may be more acceptable or relevant. The patients who

receive this intervention may have higher comorbid illness or severity of infection, but the comparable short-term outcomes after matching may suggest that this can be considered an option for a subset of these patients. Further research and real-world data are needed to evaluate meaningful outcomes, such as mortality and need for resurgery in the long term.

#### **CONCLUSIONS**

PMA is an option for PWID with tricuspid valve IE as a potential definitive treatment option in selected cases and has comparable short-term outcomes to valve surgery. Additional studies with larger cohort numbers are needed to further evaluate the difference in long-term postoperative outcomes between PMA and tricuspid valve replacement surgery.

#### **Supplementary Data**

Supplementary materials are available at *Open Forum Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

#### Note

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