



# A multi-level investigation of the genetic relationship between gastroesophageal reflux disease and lung cancer

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**Background:** Observational studies have revealed a potential association between gastroesophageal reflux disease (GERD) and lung cancer (LC), but the genetic role in their comorbidity have not been fully elucidated. This study aimed to comprehensively dissect the genetic link underlying GERD and LC.

**Methods:** Using large-scale genome-wide association study (GWAS) data, we investigated shared genetic architecture between GERD and LC. Our analyses encompassed genetic correlation, cross-trait meta-analysis, transcriptome-wide association studies (TWASs), and the evaluation of the causality through a bidirectional Mendelian randomization (MR) analysis with sufficient sensitivities.

**Results:** We identified a significant genome-wide genetic correlation between GERD and overall LC ( $r_g=0.33$ ,  $P=1.58\times 10^{-14}$ ), as well as across other subtype-specific LC ( $r_g$  ranging from 0.19 to 0.39). After separating the whole genome into approximately 2,353 independent regions, 5 specific regions demonstrated significant local genetic correlation, with most significant region located at 9q33.3. Cross-trait meta-analysis revealed 22 pleiotropic loci between GERD and LC, including 3 novel loci (rs537160, rs10156445, and rs17391694). TWASs discovered a total of 49 genes shared in multiple tissues, such as lung tissues, esophagus muscularis, esophagus mucosa, and esophagus gastroesophageal junction. MR analysis suggested a significantly causal relationship between GERD and overall LC [odds ratio (OR) =1.34, 95% confidence interval (CI): 1.19–1.51], as well as other subtype-specific LC (OR ranging from 1.25 to 1.76). No evidence supports a significant causal effect of LC on GERD.

**Conclusions:** Our findings suggest intrinsic genetic correlation underlying GERD and LC, which provides valuable insights for screening and management of LC in individuals with GERD.

**Keywords:** Gastroesophageal reflux disease (GERD); lung cancer (LC); genome-wide cross-trait analysis; Mendelian randomization (MR)

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## Introduction

Gastroesophageal reflux disease (GERD), characterized by troublesome symptoms and complications caused by the reflux of duodenogastric contents, is a common and chronic condition affecting approximately 2.5% to 33.1% of the global population (1,2). Recurrent micro-aspiration from the refluxed contents is associated with higher risk of multiple lung diseases, including pneumonia, asthma, bronchiolitis obliterans syndrome, idiopathic pulmonary fibrosis, and chronic obstructive pulmonary disease (3-6). Additionally, underlying links between GERD and non-esophageal cancer have been also recognized (7). Lung cancer (LC), as one of the most prevalent malignancies, remains the leading cause in both incidence rate and mortality worldwide (8). Recent epidemiological studies have observed a significant phenotypic association between GERD and LC. Leveraging data from the National Health Insurance Research Database of Taiwan (15,412 cases and 60,957 controls), Hsu *et al.* found that patients with GERD were associated with significantly elevated risk of LC in comparison to those without (9). More recently, a multinational cohort study enrolled 812,617 patients with GERD to investigate the impact of anti-reflux surgery on the risk of distinct histological types of LC (10). Similarly, this study found that anti-reflux surgery significantly decreased the risk of small-cell lung cancer (SCLC) and lung squamous cell carcinoma (LUSC), and showed a protective trend for lung adenocarcinoma (LUAD). Despite this, phenotypic

correlations revealed in conventional epidemiological studies were susceptible to potential biases, confounding factors, and reverse causality due to the observational nature (11).

Utilizing genetic data for phenotypic correlation analysis offers a distinct advantage over observational studies, as it can effectively circumvent the issue of reverse causality and can also minimize the potential confounding with meticulous design. With the increasing sample size of genome-wide association studies (GWAS), previous studies have identified a substantial number of genetic variants [single nucleotide polymorphisms (SNPs)] associated with GERD (88 SNPs) and LC (56 SNPs) (12,13). Furthermore, utilizing the design of the twin study, heritability of GERD and LC has been estimated as 30–31% (14,15) and 18–26% (16,17), respectively. This underscores a significant genetic component in disease susceptibility.

In this context, several Mendelian randomization (MR) studies have been conducted using genetic variants as instrumental variables (IVs), and consistently identified a causal association between GERD and LC, with odds ratio (OR) ranging from 1.25 to 1.37 (18-20). Nonetheless, multiple significant gaps in previous investigations remain to be filled. Firstly, prior MR studies used GWAS data with relatively small sample sizes (18,19), particularly for SCLC, which restricted the statistical power. Secondly, the insufficient sensitivity analyses did not guarantee the core model assumptions, thereby impeding the robustness of results (21). Finally, the adoption of limited confounders, such as smoking status and obesity, may not comprehensively account for potential pleiotropy in complex traits (20,22).

Therefore, a novel statistical genetic tool named genome-wide cross-trait analysis was utilized to dissect shared genetic components in complex traits, using summary data from the large-scale GWAS studies (11,23). Specifically, we measured the genetic correlation, identified the shared loci, and finally inferred a putative causal association through the bidirectional two-sample MR analysis. *Figure 1* illustrates the overall study design. We present this article in accordance with the STREGA reporting checklist (available at <https://tldr.amegroups.com/article/view/10.21037/tlcr-24-345/rc>) (24).

## Methods

### GWAS summary datasets

In the study, summary data from the hitherto largest GWAS of GERD and LC were leveraged for genetic analyses, both

### Highlight box

#### Key findings

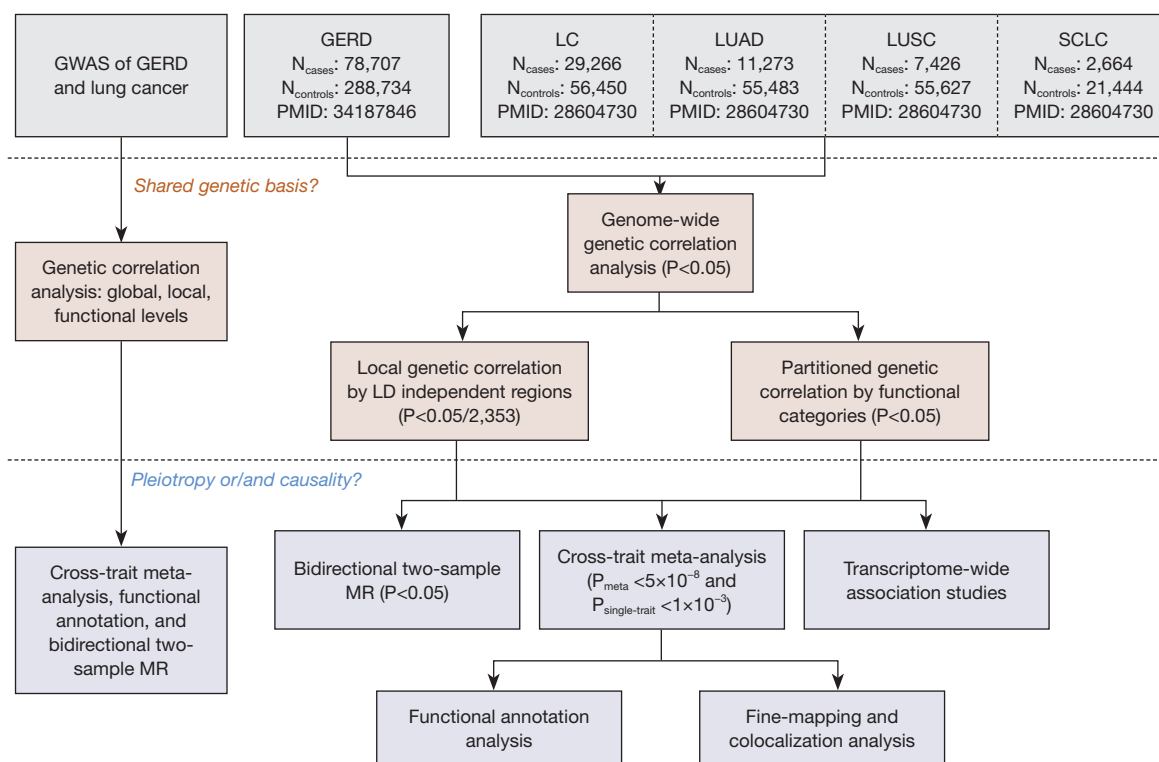
- This study represents the first comprehensive investigation into the shared genetic architecture between gastroesophageal reflux disease (GERD) and lung cancer (LC), providing valuable insights into this complex genetic interplay.

#### What is known and what is new?

- Observational studies have revealed a potential association between GERD and LC, but the genetic role in their comorbidity have not been fully elucidated.
- This study provides valuable evidence of genetic correlation, identifying pleiotropic loci, and suggesting a potential causal association between GERD and LC.

#### What is the implication, and what should change now?

- This study conveys a crucial public health message: managing individuals with GERD may potentially contribute to reducing the long-term burden of malignant diseases.



**Figure 1** Overall study design of genome-wide cross-trait analysis. A global genetic correlation analysis between GERD and LC was performed. The global genetic correlation was further studied at LD independent regions and by functional categories. Cross-trait meta-analysis was used to identify pleiotropic loci, and a bidirectional two-sample Mendelian randomization analysis was applied to investigate potential causal association. GWAS, genome-wide association study; GERD, gastroesophageal reflux disease; LC, lung cancer; LUAD, lung adenocarcinoma; LUSC, lung squamous cell carcinoma; SCLC, small-cell lung cancer; LD, linkage disequilibrium; MR, Mendelian randomization.

exclusively focused on individuals of European ancestry. The detailed information for the GWAS data of both traits is shown in Table S1.

The largest GWAS study on GERD derived from the meta-analyzing data by Ong *et al.* in 2022 (12), which combined up to 367,441 (78,707 cases) European individuals from the UK Biobank (UKBB) study (35,4285 individuals) and Queensland Sun and Health Study (QSKIN) study (13,156 individuals). GERD was defined based on a combination of self-reported GERD symptoms such as heartburn, the use of GERD medication, and hospital records [The International Classification of Diseases, Tenth Revision (ICD-10)]. The Haplotype Reference Consortium (HRC) reference panel was used to impute the genotype data. To combine the GWAS data from the UKB and QSKIN cohorts, a fixed-effect inverse variance-weighted (IVW) meta-analysis was performed.

For overall LC and subtype-specific LC, the largest GWAS data were a meta-analysis of data from McKay

*et al.* in 2017 (25). The GWAS summary data from the International Lung Cancer Consortium (ILCCO) combined a total of 29,266 LC cases and 56,450 controls, which included 11,273 LUAD, 7,426 LUSC, and 2,664 SCLC. Imputation was performed on variants based on the 1000 Genomes Project (1KGP) Phase 3 panel. The fixed-effect IVW meta-analysis was carried out to combine the GWAS data. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

**Statistical analyses**

**Genome-wide genetic correlation analysis**

We performed linkage disequilibrium (LD) score regression (LDSC) analysis to evaluate the genome-wide genetic correlations between two traits, utilizing GWAS summary statistics in the calculation (26). LDSC estimates genetic correlation ( $r_g$ ) on a scale from -1 to +1. It leverages the fact that when estimating the effect size of a specific variant,

the combined effects of all variants that are in LD with that variant were considered. Thus, the idea of substituting the  $\chi^2$  statistics with the product of z-scores from two traits and the LD scores allows us to calculate genetic correlations between traits:  $E[z_1 z_2 | l_j] = \frac{\sqrt{N_1 N_2} \rho_g}{M} l_j + \frac{\rho N_s}{\sqrt{N_1 N_2}}$ , where  $N_i$  represents the sample size of each trait,  $M$  represents number of SNPs,  $\rho_g$  represents the genetic covariance,  $l_j$  represents the LD scores,  $\rho$  represents the phenotypic link within overlapping samples,  $N_s$  represents the overlapping sample size. By performing a regression of the product of z-scores from two GWASs based on the reference LD scores from 1KGP European ancestry with SNPs mapped in the Hapmap3 reference panel, the genetic covariance between two traits can be estimated. Then, the genetic correlation can be calculated as  $r_g = \frac{\rho_g}{\sqrt{h_1^2 h_2^2}}$ , where  $h_i^2$  represents the heritability for each trait. Given the potential overlap in population between the GWAS data of GERD and LC, we also conducted LDSC with a constrained intercept, which is more robust in handling sample overlap (26). For multiple testing, the false discovery rate (Benjamini-Hochberg correction) was employed.

### Local genetic correlation analysis

The global genetic correlation offers an assessment of the collective impact of genome-wide variants. However, it is conceivable that, despite exhibiting minimal global genetic correlation, certain regions of the genome may still have an impact on both traits. Thus, we computed pairwise local genetic correlation using SUPERGENOVA (27). This algorithm separates the entire genome into approximately 2,353 independent regions, with each averaging about 1.6 centimorgans in length. It then measures the genetic correlation specific to each of these genomic regions. To account for multiple testing, Bonferroni correction ( $P < 0.05/2,353$ ) was applied.

### Partitioned LDSC analysis

Using partitioned LDSC (28), we investigated the genetic correlation between GERD and LC in multiple functional categories. This study included 14 common functional categories, including coding region, conserved region, DNase I digital genomic foot-printing region (DGF), DNase I hypersensitive sites (DHS), fetal DHS, intron, promotor, super enhancer, transcription factor-binding site (TFBS), transcribed region, and histone marks H3K27ac, H3K4me1, H3K4me3, and H3K9ac (28,29). For SNPs

classified within each specific category, recalculated LD scores were utilized to estimate the genetic correlation between GERD and LC within that functional category.

### Cross-trait meta-analysis

A significant genetic correlation suggests the presence of either horizontal pleiotropy (pleiotropy) or vertical pleiotropy (causality). To further investigate the pleiotropic SNPs associated with both traits (GERD and LC), we performed a cross-phenotypic association (CPASSOC) analysis (30). Utilizing summary data from single SNP-trait associations in GWAS, CPASSOC provides two estimates,  $S_{\text{Hom}}$  and  $S_{\text{Het}}$ . Representing the maximum of the weighted sum of trait-specific genetic effects,  $S_{\text{Hom}}$  employs a fixed-effect meta-analysis approach, which was more powerful when genetic effect sizes cross traits were homogenous.  $S_{\text{Het}}$ , as an extension of  $S_{\text{Hom}}$ , assumes the presence of heterogeneity and computes corresponding P value via a sample size-weighted meta-analysis of GWAS summary data. For this analysis, we adopted the  $S_{\text{Het}}$  method to correct for potential heterogeneity and ensure more robust results.

After CPASSOC analysis, independent loci were obtained using software PLINK (v1.9) with parameters: --clump-p1 5E-8 --clump-p2 1E-5 --clump-r2 0.2 --clump-kb 500 (31). SNPs with the lowest P value within each independent locus were defined as index SNPs. Significant pleiotropic SNPs were defined as having  $P_{\text{CPASSOC}} < 5 \times 10^{-8}$  and  $P_{\text{single-trait}} < 1 \times 10^{-3}$  in both traits. These SNPs were further classified into four groups: (I) “known” shared SNPs, referring to SNPs that reach genome-wide significance in both traits ( $P_{\text{GERD}} < 5 \times 10^{-8}$  and  $P_{\text{LC}} < 5 \times 10^{-8}$ ); (II) “single-trait-driven” shared SNPs, referring to SNPs reaching genome-wide significance in one of the two traits, either  $P_{\text{GERD}} < 5 \times 10^{-8}$  or  $P_{\text{LC}} < 5 \times 10^{-8}$ ; (III) “LD-Tagged” shared SNPs, referring to SNPs not reaching genome-wide significance in both traits ( $P_{\text{GERD}} > 5 \times 10^{-8}$  and  $P_{\text{LC}} > 5 \times 10^{-8}$ ), but showing LD ( $r^2 \geq 0.2$ ) with index SNPs previously identified by single-trait GWAS; and (IV) novel shared SNPs, referring to significant pleiotropic SNPs that did not reach genome-wide significance in both traits ( $5 \times 10^{-8} < P_{\text{single-trait}} < 1 \times 10^{-3}$ ) and were not in LD with previously identified SNPs in single-trait GWAS ( $r^2 < 0.2$ ) (32). To gain further insights into the biological implications in the shared SNPs, the linear closest genes of pleiotropic loci were annotated using the Ensemble Variant Effect Predictor (VEP) (33).

### Fine-mapping credible set analysis

Index SNPs may not always be causal variants due to



the complex LD patterns across SNPs. To obtain a credible set of causal variants that have a 99% likelihood of encompassing causal variants for each shared loci, we employed the Bayesian fine-mapping algorithm—FM-summary (34). For each shared locus, variants located within 500 kb of the index SNP were extracted (35). The FM-summary prioritizes the primary signal and applies a flat prior along with a steepest descent approximation (36).

### Colocalization analysis

To determine whether the association signals for GERD and LC co-occurred at identified shared loci, we performed the colocalization analysis using the R package Coloc (37). Coloc employs the Bayesian algorithm to obtain five posterior probabilities for five different hypotheses: (I) H<sub>0</sub>, no causal variant; (II) H<sub>1</sub> or H<sub>2</sub>, causal variant only for one trait; (III) H<sub>3</sub>, two distinct variants associated with both traits; and (IV) H<sub>4</sub>, shared variant correlated with both traits. The posterior probability for H<sub>4</sub> (PPH<sub>4</sub>) was calculated using summary data for variants near loci shared between GERD and LC that were within 500 kb of the index SNP. If PPH<sub>4</sub> exceeded 0.5, a locus was labeled as a co-localized genetic variant.

### Transcriptome-wide association studies (TWASs)

Many genetic variants have an effect on complex phenotypes by modulating gene expression. Therefore, determining overlapping genes underlying GERD and LC may shed light on the underlying causal mechanisms. Utilizing FUSION (38), the TWAS was performed to identify associations between GERD and LC regarding gene expression in multiple tissues. This involved integrating expression weights obtained from 49 tissues sourced from GTEx (version 8) with GWAS summary data (39). To obtain an independent set of gene-tissue pairs, a total of 49 TWASs for each trait were systematically conducted, focusing on one tissue-trait pairing at a time. Subsequently, by intersecting across traits, shared gene-tissue pairs were identified. The Benjamini-Hochberg correction was used to correct TWAS P values, and a false discovery rate <0.05 was deemed significant.

### Bidirectional MR analysis

Next, we investigated the putative causal association between GERD and LC through the bidirectional two-sample MR analysis. For GERD, genome-wide significant SNPs ( $P < 5 \times 10^{-8}$ ) were selected and clumped for independent IVs ( $r^2 = 0.01$  and window size = 10 Mb). For LC, SNPs with P value  $< 5 \times 10^{-8}$  were obtained and

clumped using parameters:  $r^2 = 0.01$  and window size = 10 Mb. *F*-statistic was calculated to assess strength of selected IVs, where a value less than 10 indicates a weak instrument (40). Additionally, the statistical power of MR was evaluated using an online calculator (<https://shiny.cnsgenomics.com/mRnd/>) (41).

We implemented the IVW method as the principal approach, assumes all IVs to be valid and offers the highest statistical power (42). Additionally, we performed several complementary sensitivity analyses to evaluate the robustness: (I) MR-Egger regression, identifying and mitigating bias resulting from directional pleiotropy (43); (II) weighted median, offering a consistent estimate of causality even with more than 50% invalid IVs (44); (III) Causal Analysis Using Summary Effect estimates (CAUSE) and MR-Pleiotropy Residual Sum and Outlier (MR-PRESSO), evaluating and adjusting for the potential correlated and uncorrelated horizontal pleiotropy (45,46); (IV) removing pleiotropic IVs associated with potential confounding factors based on the Phenoscanner (47); (V) removing palindromic IVs with strand ambiguity; and (VI) leave-one-out analyses, evaluating the potential impact of each SNP on the IVW estimate. We further utilized multivariable MR (MVMR) (48) to adjust for influence of significant confounding factors, including body mass index (BMI) (49), smoking status (50), alcohol consumption (50), physical activity (51), and sleep duration (52). These confounders were integrated individually as well as collectively with GERD to ensure a comprehensive analysis. Finally, a reverse-direction MR analysis was carried out to determine if genetic predisposition to LC has a causal impact on GERD.

All MR analyses were carried out utilizing the following R packages: “TwoSampleMR” (v0.5.6), “MRPRESSO” (v1.0), “CAUSE” (v1.2.0), and “MVMR” (v0.3), in R software (v4.2.3).

## Results

### Global genetic correlation

We observed a strongly significant global genetic correlation between GERD and overall LC ( $r_g = 0.33$ ,  $P = 1.58 \times 10^{-14}$ ) after adjusting for multiple testing (Table 1). The genetic correlation continued to be significant in subtype-specific LC (LUAD:  $r_g = 0.19$ ,  $P = 6.64 \times 10^{-6}$ ; LUSC:  $r_g = 0.39$ ,  $P = 2.22 \times 10^{-12}$ ; SCLC:  $r_g = 0.39$ ,  $P = 5.27 \times 10^{-12}$ ). Given the potential sample overlap in GWAS data, the intercepts of genetic covariance were constrained to zero,

**Table 1** Genome-wide genetic correlations between GERD and LC using constrained and unconstrained LDSC

Trait 1	Trait 2	Unconstrained LDSC			Constrained LDSC		
		$r_g$	$r_{g-se}$	P value	$r_g$	$r_{g-se}$	P value
GERD	Overall LC	0.33	0.04	$1.58 \times 10^{-14}$	0.36	0.03	$3.28 \times 10^{-37}$
GERD	LUAD	0.19	0.04	$6.64 \times 10^{-6}$	0.20	0.03	$5.27 \times 10^{-12}$
GERD	LUSC	0.39	0.05	$2.22 \times 10^{-12}$	0.41	0.03	$1.53 \times 10^{-34}$
GERD	SCLC	0.39	0.06	$5.27 \times 10^{-12}$	0.39	0.03	$7.31 \times 10^{-25}$

GERD, gastroesophageal reflux disease; LC, lung cancer; LDSC, linkage disequilibrium score regression; LUAD, lung adenocarcinoma; LUSC, lung squamous cell carcinoma; SCLC, small-cell lung cancer;  $r_g$ , genetic correlation; se, standard error.

which exhibited increased power, while also marginally reducing standard errors. Using constrained LDSC, the genetic correlation remained significant for LC ( $r_g=0.36$ ,  $P=3.28 \times 10^{-37}$ ) as well as subtype-specific LC (LUAD:  $r_g=0.20$ ,  $P=5.27 \times 10^{-12}$ ; LUSC:  $r_g=0.41$ ,  $P=1.53 \times 10^{-34}$ ; SCLC:  $r_g=0.39$ ,  $P=7.31 \times 10^{-25}$ ).

### Local genetic correlation

After separating the genome into multiple LD-independent regions, 5 local regions with significant genetic correlation were detected, including 1 region (Chr2: 103,264,434–104,481,488) shared by overall LC and LUAD, and 1 region (Chr9: 126,927,204–128,926,989) shared by overall LC and LUSC (Figure 2). The most significant region was located at 9q33.3 (Chr9: 126,927,204–128,926,989,  $P=6.76 \times 10^{-10}$ ), which harbors *PBX3*, a factor interacts with the promoter of tumor suppressor *p53* associated with LC tumorigenesis (53,54).

### Partitioned genetic correlation

We further partitioned genetic correlation across 14 distinct functional categories, considering the highly positive genetic correlations observed between GERD and LC (Figure 3, Table S2). In 13 of the 14 functional categories, GERD was significantly correlated with overall LC, of which  $r_g$  values ranged from 0.17 (super enhancer) to 0.38 (conserved regions). Extending to subtype-specific LC, we noted significant associations in 10/14, 12/14, and 12/14 functional categories for LUAD, LUSC, and SCLC, respectively. Notably, the conserved region ( $r_g=0.30$ ), conserved region ( $r_g=0.38$ ), and promotor ( $r_g=0.41$ ) displayed strongest genetic correlation for LUAD, LUSC, and SCLC, respectively.

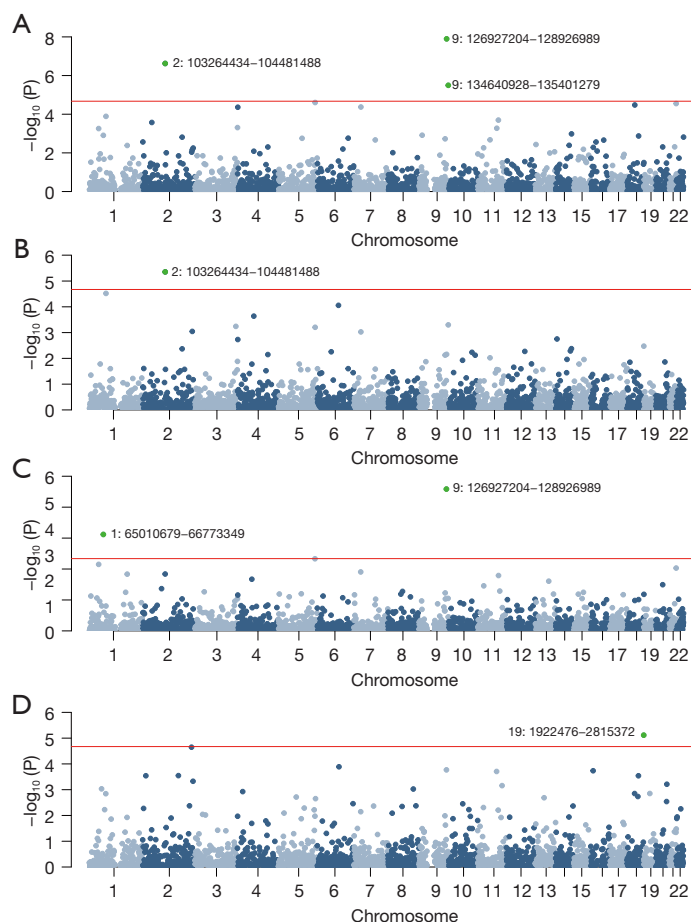
### Cross-trait meta-analysis and pleiotropic loci

The strong genetic correlation inspired us to locate pleiotropic loci between GERD and LC by performing CPASSOC. Cross-trait meta-analysis included a total of 2,194,995, 2,197,591, 2,197,145, and 2,202,470 SNPs shared between GERD and overall LC, LUAD, LUSC, and SCLC, respectively. Finally, CPASSOC identified 22 independent loci with genome-wide significance ( $P_{\text{CPASSOC}} < 5 \times 10^{-8}$  and  $P_{\text{single-trait}} < 1 \times 10^{-3}$ ), including 14 pleiotropic loci between GERD and overall LC, 4 pleiotropic loci between GERD and LUAD, 8 pleiotropic loci between GERD and LUSC, and 2 pleiotropic loci between GERD and SCLC (Table 2, Figure S1). Near these shared loci, some widely reported oncogenes, such as *PTPRF*, *PBX3*, *RAB5B*, and *TCF4* (related SNPs: rs2782641, rs10156445, rs773109, and rs4500831), were observed.

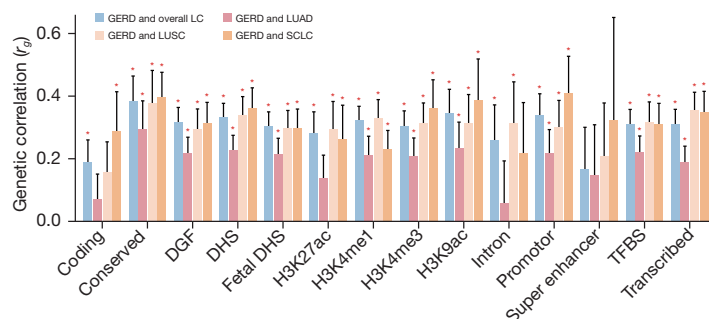
After removing loci identified in previously reported single-trait GWASs or loci in LD ( $r^2 \geq 0.2$ ) with previously identified loci, 3 loci were categorized novel pleiotropic loci: 2 shared between GERD and overall LC, and 2 shared between GERD and LUAD, with 1 locus overlapped between overall LC and LUAD. The most significant novel locus was rs537160, which was mapped to complement factor B (CFB), a pivotal component of the alternative signaling pathway in complement activation (55). rs10156445, as the second most significant novel locus, was near *PBX3*, a member of the PBX family interacting with the promoter of tumor suppressor *p53* (54).

### Identification of causal variants and colocalization

Using FM-summary algorithm, each of the identified pleiotropic variants established a 99% credible set of causal variants, which offers potential targets for subsequent



**Figure 2** Manhattan plots for local genetic correlation between GERD and LC. (A) GERD and LC; (B) GERD and LUAD; (C) GERD and LUSC; (D) GERD and SCLC. The x-axis represents the chromosomal positions across human genome, while the y-axis represents the  $-\log_{10}$  of P value. Each dot represents LD-independent genomic regions with the green dots representing significant regions ( $P < 0.05/2,353$ ). GERD, gastroesophageal reflux disease; LC, lung cancer; LUAD, lung adenocarcinoma; LUSC, lung squamous cell carcinoma; SCLC, small-cell lung cancer.



**Figure 3** Partitioned genetic correlation between GERD and LC by genomic functional elements. Vertical axis represents genetic correlation. Horizontal axis represents 14 functional categories. Asterisks “\*” represent significance ( $P < 0.05$ ), while error bars represent the standard error of genetic correlation. GERD, gastroesophageal reflux disease; LC, lung cancer; LUAD, lung adenocarcinoma; LUSC, lung squamous cell carcinoma; SCLC, small-cell lung cancer; DGF, DNase I digital genomic foot-printing region; DHS, DNase I hypersensitive sites; TFBS, transcription factor-binding sites.

**Table 2** Significant pleiotropic SNPs identified by cross-trait meta-analysis ( $P_{\text{CPASSOC}} < 5 \times 10^{-8}$  and  $P_{\text{single-trait}} < 1 \times 10^{-3}$ , clumping  $r^2=0.2$ )

SNP	CHR: position	A1/A2	Beta		$P_{\text{single-trait}}$		$P_{\text{CPASSOC}}$	Gene <sup>†</sup>
			GERD	LC	GERD	LC		
GERD and over all LC								
rs17391694	Chr1: 78623626	C/T	-0.04	0.11	$2.54 \times 10^{-7}$	$2.62 \times 10^{-8}$	$7.94 \times 10^{-10}$	-
rs2782641	Chr1: 44013355	G/A	-0.03	-0.04	$4.33 \times 10^{-8}$	$6.26 \times 10^{-4}$	$5.67 \times 10^{-10}$	<i>PTPRF</i>
rs6711584	Chr2: 104421692	G/A	-0.03	0.04	$2.66 \times 10^{-11}$	$1.52 \times 10^{-4}$	$2.13 \times 10^{-13}$	-
rs329122	Chr5: 133864599	G/A	0.03	-0.05	$3.05 \times 10^{-9}$	$1.69 \times 10^{-5}$	$2.35 \times 10^{-11}$	<i>JADE2</i>
rs13207689	Chr6: 27369704	C/G	0.05	0.14	$9.32 \times 10^{-10}$	$9.29 \times 10^{-11}$	$1.35 \times 10^{-14}$	<i>ZNF391, RP1-153G14.4</i>
rs13220495	Chr6: 26441640	C/T	0.04	0.13	$1.96 \times 10^{-8}$	$7.74 \times 10^{-9}$	$2.91 \times 10^{-12}$	<i>BTN3A3</i>
rs17526722	Chr6: 25918855	G/A	0.03	0.13	$5.59 \times 10^{-5}$	$1.26 \times 10^{-8}$	$1.58 \times 10^{-8}$	<i>SLC17A2</i>
rs2232423	Chr6: 28366151	A/G	0.05	0.15	$1.37 \times 10^{-11}$	$8.04 \times 10^{-12}$	$2.54 \times 10^{-17}$	<i>ZSCAN12</i>
rs537160 <sup>‡</sup>	Chr6: 31916400	A/G	-0.03	0.05	$5.08 \times 10^{-8}$	$3.98 \times 10^{-5}$	$8.46 \times 10^{-10}$	<i>CFB, NELFE, C2, CYP21A2</i>
rs215614	Chr7: 32347335	G/A	0.03	0.04	$4.08 \times 10^{-11}$	$4.29 \times 10^{-4}$	$1.31 \times 10^{-13}$	-
rs10156445 <sup>‡</sup>	Chr9: 128617244	A/G	-0.02	-0.04	$6.33 \times 10^{-7}$	$7.81 \times 10^{-4}$	$1.51 \times 10^{-8}$	<i>PBX3</i>
rs9328534	Chr9: 134874805	C/T	0.03	0.04	$1.35 \times 10^{-8}$	$4.67 \times 10^{-4}$	$1.25 \times 10^{-10}$	<i>MED27</i>
rs773109	Chr12: 56374695	G/A	0.04	-0.04	$8.71 \times 10^{-14}$	$5.14 \times 10^{-4}$	$5.40 \times 10^{-16}$	<i>RAB5B, RP11-603J24.7</i>
rs4500831	Chr18: 53097544	G/A	0.03	0.05	$1.21 \times 10^{-7}$	$3.42 \times 10^{-4}$	$1.47 \times 10^{-9}$	<i>TCF4</i>
GERD and LUAD								
rs6695572	Chr1: 77945635	G/A	-0.02	0.12	$4.09 \times 10^{-4}$	$8.34 \times 10^{-9}$	$2.14 \times 10^{-8}$	<i>AK5</i>
rs17391694 <sup>‡</sup>	Chr1: 78623626	C/T	-0.04	0.14	$2.54 \times 10^{-7}$	$3.83 \times 10^{-7}$	$8.34 \times 10^{-9}$	-
rs6711584	Chr2: 104421692	G/A	-0.03	0.07	$2.66 \times 10^{-11}$	$2.89 \times 10^{-5}$	$8.68 \times 10^{-13}$	-
rs537160 <sup>‡</sup>	Chr6: 31906797	A/G	-0.03	0.06	$5.08 \times 10^{-8}$	$7.89 \times 10^{-4}$	$8.21 \times 10^{-9}$	<i>CFB, NELFE, C2, CYP21A2</i>
GERD and LUSC								
rs2782641	Chr1: 44013355	G/A	-0.03	-0.07	$4.33 \times 10^{-8}$	$2.76 \times 10^{-4}$	$4.98 \times 10^{-9}$	<i>PTPRF</i>
rs329122	Chr5: 133864599	G/A	0.03	-0.08	$3.05 \times 10^{-9}$	$2.28 \times 10^{-5}$	$5.12 \times 10^{-10}$	<i>JADE2</i>
rs13191445	Chr6: 26015489	G/A	0.03	0.25	$5.35 \times 10^{-5}$	$1.06 \times 10^{-11}$	$5.56 \times 10^{-11}$	<i>HIST1H1A, HIST1H1PS2, U91328.22</i>
rs9379899	Chr6: 26603015	T/A	0.04	0.11	$1.25 \times 10^{-9}$	$2.17 \times 10^{-4}$	$1.07 \times 10^{-10}$	<i>ABT1</i>
rs3922717	Chr6: 27030924	A/G	0.04	0.08	$5.35 \times 10^{-13}$	$3.75 \times 10^{-4}$	$3.81 \times 10^{-14}$	<i>VN1R13P</i>
rs13219181	Chr6: 27136225	A/G	0.03	0.11	$1.32 \times 10^{-8}$	$2.56 \times 10^{-5}$	$7.00 \times 10^{-10}$	-
rs200968	Chr6: 27859568	T/C	0.04	0.11	$3.94 \times 10^{-11}$	$4.25 \times 10^{-5}$	$1.62 \times 10^{-12}$	<i>HIST1H2BO, HIST1H3J, HIST1H2AM</i>
rs2232426	Chr6: 28360659	G/C	0.05	0.22	$1.39 \times 10^{-11}$	$1.02 \times 10^{-10}$	$1.63 \times 10^{-14}$	<i>ZSCAN12</i>
GERD and SCLC								
rs3172494	Chr3: 48731487	G/T	0.05	-0.15	$6.71 \times 10^{-9}$	$9.28 \times 10^{-4}$	$5.12 \times 10^{-9}$	<i>IP6K2</i>
rs2232423	Chr6: 28366151	A/G	0.05	0.20	$1.37 \times 10^{-11}$	$2.14 \times 10^{-4}$	$2.02 \times 10^{-12}$	<i>ZSCAN12</i>

<sup>†</sup>, gene symbol mapped by VEP; <sup>‡</sup>, novel SNPs, defined as shared SNPs that are neither driven by a single trait nor in LD with index SNPs identified in single-trait GWAS ( $LD\ r^2 < 0.2$ ). SNPs, single nucleotide polymorphisms; CPASSOC, cross-phenotypic association; CHR, chromosome; A1, effect allele; A2, alternative allele; GERD, gastroesophageal reflux disease; LC, lung cancer; LUAD, lung adenocarcinoma; LUSC, lung squamous cell carcinoma; SCLC, small-cell lung cancer; VEP, Variant Effect Predictor; LD, linkage disequilibrium; GWAS, genome-wide association study.



experimental research (Tables S3-S6). As a result, we identified a set of 352, 42, 219, and 18 causal variants for overall LC, LUAD, LUSC, and SCLC. For the novel pleiotropic loci, we identified 1, 3, 11, 75 causal variants for rs17391694, rs537160, rs537160, and rs10156445, respectively.

To evaluate whether genetic variants influencing the association across traits were shared or distinct, the colocalization analysis was further performed. Approximately a half of pleiotropic loci showed colocalization at same candidate causal variants with PPH4 >0.5: 8/14 between GERD and overall LC, 2/4 between GERD and LUAD, 4/8 between GERD and LUSC, and 1/2 between GERD and SCLC (Table S7).

### TWASs

After multiple testing (FDR <0.05) and intersecting the single-trait TWAS results across traits, multiple TWAS-significant gene-tissue pairs shared between GERD and LC were identified, including 30 genes shared between GERD and overall LC, 10 genes shared between GERD and LUAD, 11 genes shared between GERD and LUSC, and 12 genes shared between GERD and SCLC (Tables S8-S11, Figure S2). Among these gene-tissue pairs between GERD and overall LC, *ERAP1*, *FUBP1*, and *CEP57* were most frequently identified genes and simultaneously discovered in lung tissues and esophagus tissues (i.e., esophagus mucosa, esophagus muscularis, and esophagus gastroesophageal junction). As a member of the M1 family of aminopeptidases, *ERAP1* was previously implicated in autoimmunity and signals a role in susceptibility of LC (56). As a versatile DNA and RNA binding protein, *FUBP1* plays a role in multiple biological processes, and serves as an oncoprotein associated with multiple malignancies, including LC (57,58). *CEP57*, a centrosomal protein, is involved in the processes of microtubule nucleation and bundling associated with cell division error and thus potentially promote malignant progression (59,60). Additionally, *PBX3*, a factor interacting with the promoter of *p53* (54), was frequently identified in gene-tissue pairs between GERD and LUSC.

### Bidirectional MR

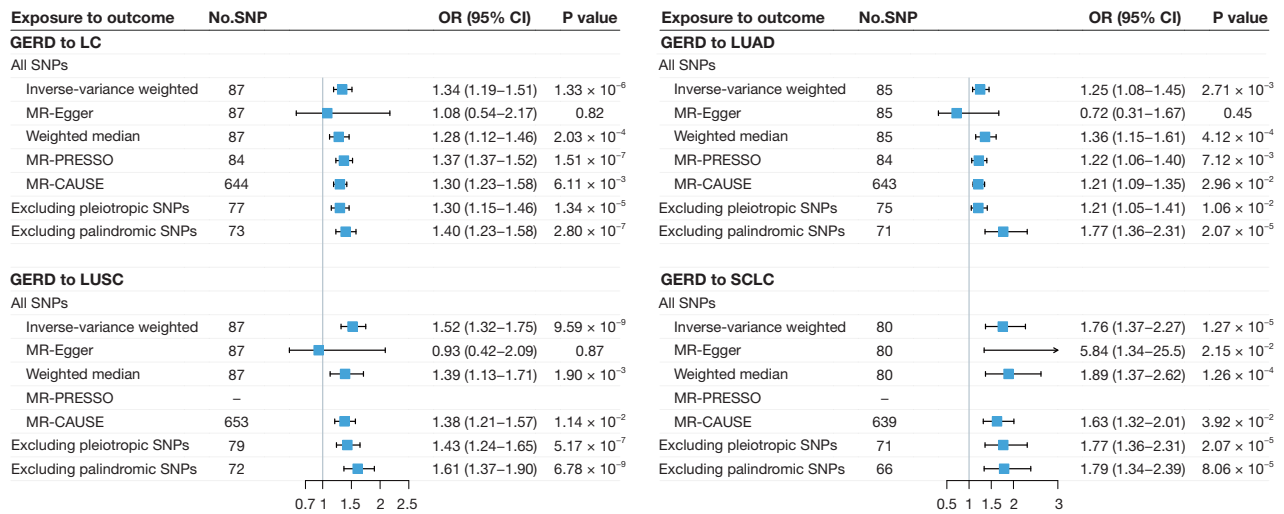
Finally, we evaluated the causal association between GERD and LC by performing a two-sample MR. We identified a total of 91 GERD-associated SNPs as IVs, and *F*-statistics calculated >10 suggested strong IVs (Table S12).

Utilizing the IVW method, GERD was found to be significantly associated with the risk of overall LC (OR =1.34,  $P=1.33\times 10^{-6}$ ), which remained consistent in weight median (OR =1.28,  $P=2.03\times 10^{-4}$ ), MR-PRESSO (OR =1.37,  $P=1.51\times 10^{-7}$ ), and MR-CAUSE (OR =1.30,  $P=6.11\times 10^{-3}$ ) (Figure 4, Table S13, Figures S3-S6). The estimates continued to be directionally consistent with MR-Egger regression, despite no significance (OR =1.08,  $P=0.82$ ). Consistent results were also observed after excluding pleiotropic SNPs (OR =1.30,  $P=1.34\times 10^{-5}$ ) or palindromic SNPs (OR =1.40,  $P=2.80\times 10^{-7}$ ). No significant horizontal pleiotropy was observed ( $P_{\text{MR-Egger intercept}}=0.54$ ), and leave-one-out analyses detected no obvious outlying variants (Figure S7). Looking into the subtype-specific LC, significant causal associations also were identified in LUAD (IVW OR =1.25,  $P=2.71\times 10^{-3}$ ), LUSC (IVW OR =1.52,  $P=9.59\times 10^{-9}$ ), and SCLC (IVW OR =1.76,  $P=1.27\times 10^{-5}$ ), which were further confirmed in sensitivity analyses except MR-Egger regression. Additionally, the power of all MR analyses was calculated to be 100% using estimates from IVW, suggesting a satisfactory statistical power (Table S14). Potential confounders were accounted for using MVMR, yielding estimates that exhibit a more pronounced magnitude and statistical significance, which suggests that the causal relationship between GER and LC remains independent of common confounding factors (Figure S8).

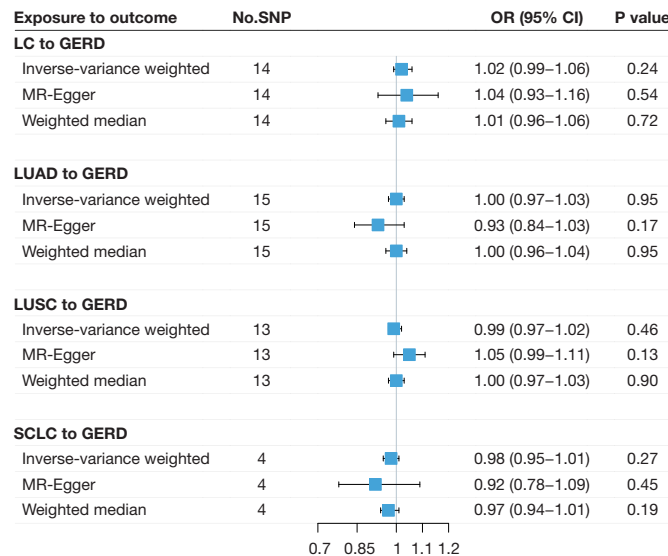
In the reverse-direction MR analysis, we identified a total of 14, 15, 13, and 4 SNPs for overall LC, LUAD, LUSC, and SCLC as IVs, with all *F*-statistics >10 suggesting strong IVs (Table S15). We observed no significant causal effect of LC on GERD: overall LC (IVW OR =1.02,  $P=0.24$ ), LUAD (IVW OR =1.00,  $P=0.95$ ), LUAD (IVW OR =0.99,  $P=0.46$ ), and SCLC (IVW OR =0.98,  $P=0.27$ ) (Figure 5).

### Discussion

As far as we know, this genome-wide cross-trait analysis represents the first comprehensive investigation into the genetic correlation, pleiotropic loci, association between gene expression and trait, and causal relationship between GERD and LC, providing valuable insights into this complex genetic interplay. Our findings revealed a significantly genetic correlation underlying GERD and overall LC. After partitioning the whole genome, significant genetic correlations were identified within five genomic regions and multiple functional categories (e.g., conserved region, and promoter). The underlying genetic link was further divided into two categories: pleiotropy and causality, corresponding



**Figure 4** Estimates of causal effect sizes of GERD on LC using all GERD-associated SNPs, excluding pleiotropic SNPs or palindromic SNPs. Inverse variance-weighted approach was used as the primary outcome, while MR-Egger, weighted median, MR-PRESSO, and MR-CAUSE were applied as complementary analyses. GERD, gastroesophageal reflux disease; LC, lung cancer; MR, Mendelian randomization; PRESSO, Pleiotropy Residual Sum and Outlier; CAUSE, Causal Analysis Using Summary Effect estimates; SNPs, single nucleotide polymorphisms; LUSC, lung squamous cell carcinoma; LUAD, lung adenocarcinoma; SCLC, small-cell lung cancer; OR, odds ratio; CI, confidence interval.



**Figure 5** Estimates of causal effect sizes of LC on GERD using all LC-associated SNPs. Inverse variance-weighted approach was used as the primary outcome, while MR-Egger and weighted median were applied as complementary analyses. GERD, gastroesophageal reflux disease; LC, lung cancer; MR, Mendelian randomization; LUAD, lung adenocarcinoma; LUSC, lung squamous cell carcinoma; SCLC, small-cell lung cancer; SNPs, single nucleotide polymorphisms; OR, odds ratio; CI, confidence interval.

with the identified pleiotropic loci from CPASSOC, the shared genes from TWAS, and the causal association through the bidirectional MR analysis. For subtype-specific LC, similar results were also observed for LUAD, LUSC, and SCLC. Taken together, these findings advance our understanding of the intricate link between a digestive disease and a respiratory malignancy, offering valuable implications for LC prevention in individuals with GERD.

Despite a significant global genetic correlation detected in LDSC, the estimated intercept of genetic covariance ranging from 0.001 to 0.008 suggested a presence of potential bias from sample overlap. Therefore, we employed LDSC with a constrained intercept to address this issue, and similarly, detected a significant global genetic correlation between GERD and LC (26). When separating the entire genome into approximately 2,353 distinct regions, a significant local genetic correlation between GERD and overall LC, as well as LUSC, was identified, specifically at 9q33.3. This genomic region contains *PBX3*, which has previously been reported to be associated with GERD and LC (12,53,54). Furthermore, we observed significant genetic correlations in multiple annotated regions of the genome using stratified LDSC. Notably, the conserved region exhibited the highest partitioned  $r_g$ , while other non-coding regions, including specific histone modification marks, such as H3K4me1 and H3K27me3, and histone acetylation marks, such as H3K27ac, H3K9ac, also showed significant partitioned  $r_g$ . These findings align with prior studies highlighting the crucial role of epigenetic modification in LC development (61,62).

Through the cross-trait meta-analysis, we revealed 22 pleiotropic loci between GERD and LC, among which 18 loci have been reported to be associated with either one or both traits. For instance, the shared SNP rs4500831 (18q21.2) showed LD with rs1942262 ( $r^2=0.21$ ) previously identified in the GWAS study of GERD (12), which was mapped to *TCF4* implicated in the development of LC (63). Additionally, several pleiotropic loci were mapped to genes associated with risks of various carcinomas, such as *PTPRF*, *JADE2*, *SLC17A2*, *MED27*, and *RAB5B*. Multiple genes, including *PTPRF*, *JADE2*, *ZNF391*, *SLC17A2*, *MED27*, *RAB5B*, and *ZSCAN12*, exhibited significant evidence of colocalization (PPH4 >0.5), indicating etiological correlations. Cross-trait meta-analysis has the advantage of revealing signals that have not reached genome-wide significance in a single-trait analysis (64). Within these loci in our study, we identified four novel loci associated with both GERD and LC, among which we highlight two genes

(*CFB* and *NELFE*), both mapped by the same locus (index SNP: rs537160).

*CFB* is a factor that binds C3 to form C3B in the alternative pathway, playing a pivotal role in labeling target particles and thereby contributing to effective target clearance (65). Through the integration of proteomic analysis, *CFB* has been identified as a potential biomarker for pancreatic cancer (66). Also, a recent study found that elevated *CFB* expression serves as an independent predictor of long-term survival of LUAD (65). Furthermore, complementary pathway may play a critical role in the development of GERD. Previous studies reported that the transcription factor NF- $\kappa$ B is associated with the development of GERD, and the activation of NF- $\kappa$ B is mediated through the alternative pathway (67,68). These observations underscore the potential etiology of *CFB* underlying GERD and LC.

Additionally, *NELFE* is RNA-binding protein that plays a role in tumor biology and progression (69,70). Prior study has revealed that *NELFE* has the potential to induce hepatocellular carcinoma by regulating the MYC signaling pathway (71). Furthermore, *NELFE* may promote the tumorigenesis and metastasis of pancreatic cancer via the Wnt/ $\beta$ -catenin signaling pathway (72). Through the whole-exome sequencing of early non-smokers with LUAD, *NELFE* was also identified as a candidate driver marker (73). Nevertheless, further study is warranted to validate and explore the biological mechanism of *NELFE* in the tumorigenesis of LC.

The TWAS analysis evaluated pleiotropy at the level of gene expression by combining GTEx tissue-specific expression data and GWAS summary data. Specifically, both CPASSOC and TWAS identified *PTPRF* and *PBX3* as relevant genes. Furthermore, *PBX3*, located at 9q33.3, was also identified in the local genetic correlation analysis. Two shared genes, *CEP57* and *FUBP1*, were also identified by TWAS, and have been reported to have a direct or indirect association with GERD and LC (12,56,58). In summary, these shared biological targets between GERD and LC suggest potential therapeutic strategies for the coexisting groups in clinical practice. Further studies are warranted to elucidate the underlying mechanisms.

Utilizing a comprehensive bidirectional MR analysis, our results revealed a significant causal association between GERD and LC, further extending to subtype-specific LC. Of note, the strength of the causal estimates between GERD and LC largely aligns with the genetic correlation; specifically, the correlation is strongest between GERD

and LUSC/SCLC, whereas the correlation with LUAD is the weakest. Compared with prior MR studies, our research significantly advances previous findings in several crucial aspects (18-20). We leveraged the GWAS of LC with an expanded sample size, substantially enhancing the statistical ability to discover causal relationships. For example, the causal association between GERD and SCLC was not discovered from the MR study by Liu *et al.*, who utilized limited sample size GWAS data from the FinnGen database (only 461 cases) (18). Additionally, the sensitivity analyses were performed to scrutinize the assumptions of MR, thereby offering further support for the reliability of our main findings. To ensure causal estimates independent of potential confounding factors, comprehensive MVMR analyses were carried out. Through a reverse directional MR design, we found no significant causal association of genetically predicted LC on the risk of GERD, which had not been previously explored in prior MR studies (18-20). Taken together, the estimated causal effects were consistently affirmed among multiple sensitivity analyses and statistical approaches, indicating its robustness. In line with our findings, previous population-based epidemiologic studies also reported positive associations of GERD and LC (9,10,74). Interestingly, a large-scale cohort study reported that anti-reflux surgery led to a significant reduction in the risk of LUSC [standardized incidence ratio (SIR) =0.75, 95% CI: 0.60–0.92] and SCLC (SIR =0.63, 95% CI: 0.44–0.90), with a protective trend in LUAD (SIR =0.80, 95% CI: 0.62–1.03) (10). These observations closely resemble the findings of our study, finding a significantly positive causal effect between GERD and LUSC/SCLC, while the correlation with LUAD is marginally significant.

Several limitations in the current study should be acknowledged. Firstly, to mitigate potential bias from population stratification, we focused exclusively on individuals of European ancestry for our genetic data. However, it is important to note that the incidence of GERD may exhibit racial disparities (75), suggesting the need for further research to validate the generalizability of our findings in other ethnic populations. Secondly, our study was limited to data from autosomes due to existing limitations in the analytical software, which does not support the analysis of sex chromosomes. Thirdly, while we mapped pleiotropic SNPs to relevant genes, further investigations are warranted to pinpoint the causal genes responsible for the observed signals. Finally, our study relied on summary-level data rather than individual-level data, determined by data limitations. While summary-

level data provide a larger sample size, leading to increased statistical power in causal estimates (42), it is important to acknowledge its drawbacks. Compared with individual-level data, summary-level data do not account for some important confounders for each individual, such as local socioeconomic, medical situations, and other factors.

## Conclusions

In summary, using a novel statistical genetic approach based on the hitherto largest GWAS summary data, the study sheds light on the observational association between GERD and LC. These findings provide valuable evidence of genetic correlation, identifying pleiotropic loci, and suggesting a potential causal association between GERD and LC. This study conveys a crucial public health message: managing individuals with GERD may potentially contribute to reducing the long-term burden of malignant diseases.

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## Footnote

*Reporting Checklist:* The authors have completed the STREGA reporting checklist. Available at <https://tcr.amegroups.com/article/view/10.21037/tcr-24-345/rc>

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The ethical approval for each summary-level data can be found from the corresponding studies. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

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