## *Data and Text Mining*

# **Alignment free sequence comparison methods and reservoir host prediction**

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Associate Editor: Jonathan Wren

Received on January 15, 2021; revised on March 29, 2021; accepted on April 23,2021

## **Abstract**

**Motivation:** The emergence and subsequent pandemic of the SARS-CoV-2 virus raised urgent questions about its origin and, particularly, its reservoir host. These types of questions are longstanding problems in the management of emerging infectious diseases and are linked to virus discovery programs and the prediction of viruses that are likely to become zoonotic. Conventional means to identify reservoir hosts have relied on surveillance, experimental studies and phylogenetics. More recently, machine learning approaches have been applied to generate tools to swiftly predict reservoir hosts from sequence data.

**Results:** Here, we extend a recent work that combined sequence alignment and a mixture of alignmentfree approaches using a gradient boosting machines (GBMs) machine learning model, which integrates genomic traits (GT) and phylogenetic neighbourhood (PN) signatures to predict reservoir hosts. We add a more uniform approach by applying Machine Learning with Digital Signal Processing (MLDSP) based structural patterns (M-SP). The extended model was applied to an existing virus/reservoir host dataset and to the SARS-CoV-2 and related viruses and generated an improvement in prediction accuracy.

**Availability and implementation:** The source code used in this work is freely available at https:// github.com/bill1167/hostgbms.

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**Supplementary information:** Supplementary data are available at *Bioinformatics* online.

## **1 Introduction**

Prevention and management of emerging viral infections (e. g. SARS, Ebola, MERS, and Zika), require urgent identification of the natural reservoir hosts that carry these viruses. This has been emphasised by the emergence and current pandemic of the SARS-CoV-2 virus and the questions surrounding its origin (Lu, et al., 2020). Common practice to identify reservoir hosts has used a combination of methods such as field surveillance, laboratory experiments, and phylogenetic analyses, which are time consuming and often inconclusive (Viana, et al., 2014) and delays could lead to more economic and health losses. As an alternative, a Gradient Boosting Machines (GBMs)-based machine learning model was developed to rapidly predict natural reservoir hosts of single-stranded RNA (ssRNA) viruses (Babayan, et al., 2018). It utilized viral sequences that can now be generated at low cost, and integrated selected genomic traits (GT) and phylogenetic neighbourhood (PN) traits to make reservoir host predictions with high confidence (Babayan, et al., 2018), generating field testable hypotheses and narrowing the gap between virus discovery and insights into virus ecology and management.

The GBM machine learning model was trained on a curated set of viruses and reservoir hosts established from literature sources (Babayan, et al., 2018). To compute PN traits, BLAST was used to align a query sequence against a set of references, which were mainly non-homologous. As BLAST-based alignment is designed to not select non-homologous

sequences, including those that might have the same reservoir host, PN traits obtained in this way may avoid information that could help classification and so limit the performance of the model. Alignment-free approaches (Zielezinski, et al., 2017) can bypass the requirement for homology or divergent evolution to potentially identify related sequences. The alignment-free GT approach, which selected the best 50 predictors from a set of over 4,000 that was based on nucleotide, codon, and amino acid composition parameters, was originally used to balance this (Babayan, et al., 2018).

Here we present an augmented machine learning model that combines the GT and PN approaches (Babayan, et al., 2018) with Machine Learning with Digital Signal Processing (MLDSP)-based structural patterns (M-SP) of viral sequences (Randhawa, et al., 2019; Randhawa, et al., 2020a). The MLDSP approach applies a one-dimensional, consistent numeric recoding, or the two-dimensional Chaos Game Representation (CGR) (Almeida, et al., 2001; Jeffrey, 1990; Karamichalis, et al., 2015), of the sequences in a signal processing approach and was used to classify early SARS-CoV-2 sequences within a large viral genomic sequence dataset (Randhawa, et al., 2020b). This more structured approach to an alignmentfree method than the GT approach might allow better detection of nonhomologous viruses with the same reservoir host. MLDSP builds on alignment-free approaches that are widely used for sequence comparisons and can overcome the sequence length constraints that may hamper alignment based methods (Zielezinski, et al., 2019; Zielezinski, et al., 2017) and a similar approach was used to compare genomic sequences (Lichtblau, 2019). In this work the use of MLDSP methods improved the prediction of reservoir hosts of ssRNA viruses.

We demonstrate how this consistent sequence structural information, using the alignment-free MLDSP method, could properly detect host predictors that were not seen by the alignment-based (PN) approach and improve accuracy over the GT combination of compositional parameters method. Through error analysis of the previous model, we suggest two combinations of family categories, into the order level, to achieve a higher confidence in host predictions. The primary classification of a host at the order level allows targeted investigations of subgroups and could provide insights into outbreaks of viruses and their management.

The trained model was applied to the SARS-CoV-2 and related viruses to identify their natural reservoir hosts. Our model suggested a bat origin for SARS-CoV-2, consistent with field surveillance and phylogenetic analysis (Latinne, et al., 2020; Lau, et al., 2020; Vijaykrishna, et al., 2007; Zhou, et al., 2020). Further analysis using a deep learning model indicated that the host subgroup *Pteropodiformes* (Hutcheon and Kirsch, 2006) was more likely to be the natural reservoir of the SARS-CoV-2 viruses.

## **2 Methods**

#### **2.1 Datasets**

From the earlier work predicting natural reservoir hosts (Babayan, et al., 2018), ssRNA viruses were further explored as they are the major pathogen group responsible for emerging human diseases (Olival, et al., 2017; Woolhouse and Gaunt, 2007). 437 viral species that cover 80% of the ssRNA virus families that contain human-infecting species (Olival, et al., 2017) as developed in (Babayan, et al., 2018) were included. Each virus has been assigned a reservoir host that belongs exclusively to one of nine host categories, *Artiodactyl, Bat, Bird, Carnivore, Fish, Insect, Plant, Primate, and Rodent,* that are defined mainly at the class/order level. Other possible reservoir host categories were excluded from the dataset as underrepresented examples, where the number of known viral species was

less than 15 (Babayan, et al., 2018). The *Bird* and *Bat* categories were each divided into two subgroups in (Babayan, et al., 2018), but were not in this work as this resulted in significantly higher accuracy.

Two coronaviruses, SARS-CoV-2 isolate Wuhan-Hu-1, NCBI accession NC\_045512.2 (18), and hCoV-19/bat/Yunnan/RaTG13/2013, aka RaBatCoV/4991, detected in *Rhinolophus affinis* from Yunnan province, GISAID accession EPI\_ISL\_402131 (Ge, et al., 2016; Zhou, et al., 2020), were used for detailed analysis. Another seven SARS-related viruses (Lam, et al., 2020) and ten SARS-CoV-2 virus strains, sequenced from January to June 2020 during the first wave outbreak, were collected from the NCBI database for phylogenetic analysis. Coding sequence (CDS) information of these 17 viruses were retrieved for feature computation to predict their reservoir host using the GBM-based model. The GT and PN features were used to train a subsequent deep-learning model (built using Keras with default parameters) to subclassify bat host predictions.

## **2.2 Construction of features**

Three layers of traits, as viral features, were used to represent a pathogen's association with its natural reservoir host. These were selected genomic traits (GT) and phylogenetic neighbourhood traits (PN) (as in (Babayan, et al., 2018)), and MLDSP-based structural patterns of viral sequences (M-SP). GT contained the codon pair score (CPS), dinucleotide biases, codon biases, and amino acid biases from (Babayan, et al., 2018) and the 50 most important features from GT as defined in (Babayan, et al., 2018) were used. PN traits were computed as in (Babayan, et al., 2018), except that only nine host categories were mapped here, with the top five BLAST hits retained, as in (Babayan, et al., 2018).

The third set of traits were designed to capture association patterns between a virus and its reservoir host that could have been missed by the BLAST local alignment-based method in the PN traits or the GT traits. The Machine Learning with Digital Signal Processing (MLDSP) strategy (Randhawa, et al., 2019; Randhawa, et al., 2020a), which was developed to make alignment-free comparisons among sequences, was adopted. Sequences were recoded based on a one-dimensional purine/pyrimidine code (a two-dimensional Chaos Game Representation Method with a ktuple size of 7 (Karamichalis, et al., 2015; Randhawa, et al., 2020b) was also examined, see Supplementary information), Fourier transformed (FT) and the Pearson correlation coefficients among the FT sequences were obtained (Randhawa, et al., 2019; Randhawa, et al., 2020a). A distance matrix was computed from the input sequences, and the matrix of a virus was associated with the host categories to gauge the weight of each host group (create a weight vector) for the target virus.

The process was: (1) Use the MLDSP algorithm (details shown in Supplementary Information) to compute a distance matrix of query sequences against the whole viral dataset. (2) The distance values for each query sequence were inverted to give its weights against different reference sequences. (3) The top five weights, as with the PN traits, were selected and mapped to the host categories. (4) Weights were normalized by taking the absolute value of subtraction between each adjacent weight pair to measure the distance. (5) Weights pointing to the same host group were summed and all weights were converted into a percentage over the nine host categories to give the final weight vector, or M-SP traits, representing the association patterns between the query sequence and its potential hosts.

The GT traits, PN traits, and M-SP traits are concatenated as the feature input of the model, so that the number of features considered are 68 (GT  $= 50$ ,  $PN = 9$ ,  $M-SP = 9$ ).

#### **2.3 Machine learning model**

Prior to building the model, the strength of virus-host associations between PN and M-SP traits was compared using the six methods in (Randhawa, et al., 2019) (i.e. LinearDiscriminant, LinearSVM, QuadraticSVM, FineKNN, SubspaceDiscriminant, and SubspaceKNN). The PN-host and M-SP-host pairs were used as two sets of data for input to the six algorithms, as described in (Randhawa, et al., 2019). The outcomes demonstrated the extent of host identification achieved by the PN or M-SP traits alone.

For the main predictive model, the GBM framework from (Babayan, et al., 2018) that performed best at host prediction was adopted for host inference here. A random stratified split strategy was used to divide the dataset into training (70%), optimization (15%), and test (15%) sets. Five hundred and fifty rounds of training, as in (Babayan, et al., 2018) were conducted.

Performance for each of the 437 viruses was evaluated by two methods. Firstly, as in (Babayan, et al., 2018), the overall top 25% of the 550 trained models were selected. For an individual virus, the bagged prediction accuracy was calculated by selecting from these "top 25%" models all that had that virus in the test set. In the second method, the performance of the models was defined by selecting the top 25% of all models that had a specific virus in the test set and bagging their predictions. Therefore, the host of every virus is predicted from exactly 25% of the models for which it was in the test set.

As the GT and M-SP traits are calculated without alignment against reference sequences, models with GT only versus GT and M-SP combined (excluding PN traits) were compared to investigate the benefit brought by the M-SP traits. The best 138 models from the 550 rounds of training (similar to the first method above) were selected for comparison and were averaged (a bagging strategy) to generate a final prediction. The performance between this (averaged) model and the model of (Babayan, et al., 2018) were compared based on identical configuration settings.

As bats are reservoir hosts of many recently emerging zoonoses (Brierley, et al., 2016; Luis, et al., 2013; Zhou, et al., 2016), a deep learning (DL) model (Supplementary Table 1) was built to further analyse the host subgroup of bat predictions. Of the 437 virus species in the data set, those from bats were extracted to train this model (with 50 rounds of training) to predict between the *Pteropodiformes* and *Vespertilioniformes* subgroups (Hutcheon and Kirsch, 2006). Further taxonomic subdivision was not possible due to the limited number of examples.

#### **2.4 Implementation**

The following computing environments were used: *numpy* and *pandas* in Python for data processing, MATLAB for M-SP feature generation, *h2o* in R and *tensorflow* with *keras* in Python for machine learning, and *matplotlib* in Python for plotting and visualization.

#### **2.5 Code and Data availability**

Source code is available at https://github.com/bill1167/hostgbms. Training data were the curated virus-reservoir host dataset generated and published by (Babayan, et al., 2018).

#### **3 Results**

#### **3.1 Missed association patterns captured by the MLDSP method**

The six models used for the MLDSP performance test in (Randhawa, et al., 2019) were applied to the virus-host dataset and compared to predictions from the PN traits-based approaches (Table 1). The MLDSPbased predictions were better or comparable to those from the PN-based methods for most cases, with SubspaceKNN and FineKNN giving the best results at accuracies of 54.9% and 53.8%, respectively, and clearly outperforming any method using PN traits. The extent to which patterns captured by the MLDSP algorithm correlated with their reservoir host was visualized through Multi-dimensional Scaling (MDS), as shown in Figure 1a. Prediction accuracies over 90% (Supplementary Table 2) were achieved when MLDSP-based traits were used to predict the virus family (Figure 1b). Thus, this alignment-free method captures most of the information in the phylogenetic classification, while finding patterns relating to virus-host associations that were missed by the alignmentbased PN approach.



**Fig. 1.** Visualization of pattern-category associations revealed by the MLDSP method using Multi-dimensional Scaling. (a) Associations between viral patterns and host categories using the MLDSP method. (b) Associations between viral patterns and virus categories using the MLDSP method. Each circle represents a virus (a total of 437 and 139 examples in (a) and (b), respectively) with color indicating the corresponding category.





The PN and MLDSP methods were compared over the training examples (Table 2). Both methods failed for the Goose calicivirus (NC\_024078.1), although the MLDSP method was a marginal failure. The PN method failed in a further three cases and gave less strong predictions than MLDSP in the six remaining cases where both methods were correct. Integrating MLDSP-based patterns into the machine learning model should improve the accuracy of its predictions.

## **3.2 Improved accuracy of predictions when the GT, PN, and M-SP traits were combined**

The overall performance of the models was examined by taking the best 25% of the 550 trained GBM models, with and without the M-SP traits, and assessing their predictions on their test sets over the host groups (Supplementary Table 3). Adding the MLDSP approach gave an average accuracy of 77.0% (Figure 2a), against 75.1% without it (Figure 2b). Prediction accuracies for the *Bat* and *Bird* host groups were approximately 10% higher than their component subgroups (Supplementary Figure 1). For most of the host groups, prediction accuracies were better for the model including M-SP traits.

The cumulative prediction accuracy for the bagged 138 models is presented in Figure 2c and Supplementary Table 4. Bagging of the models removed the accuracy distinction between the two approaches for  $1<sup>st</sup>$  rank predictions, but an improvement remained for lower ranked predictions with M-SP traits.

Analysis of GT only versus GT and M-SP combined, without PN, showed that the average of the best ten performances improved by 2.6%, from 70.9% to 73.5% (Supplementary Table 5).

## **3.3 Prediction of bat origin for SARS-CoV-2 and related viruses**

As a test of the method, the predicted natural reservoir hosts for two coronaviruses, Wuhan-Hu-1 (SARS-CoV2 isolate NC\_045512.2, (Wu, et al., 2020)) and hCoV-19/bat/Yunnan/RaTG13/2013 (EPI\_ISL\_402131.3, (Ge, et al., 2016; Zhou, et al., 2020)) are presented in Table 3, with individual models (the ten best of 50 trained models) making correct predictions for both viruses. For the 17 SARS-related and SARS-CoV-2 test viruses (phylogeny shown in Supplementary Figure 2), the bagged predictions indicated that, as expected, all these SARS-related coronaviruses were of bat origin when the M-SP method was included (Table 4).

### **3.4** *Pteropodiformes* **origin of SARS-CoV-2 by subgroup analysis**

Whether the SARS-CoV-2 virus was of *Pteropodiformes* or *Vespertilioniformes* origin (Hutcheon and Kirsch, 2006) was investigated with a deep learning model. A *Pteropodiformes* bat origin was predicted for the Wuhan-Hu-1 isolate and the hCoV-19/bat/Yunnan/RaTG13/2013 SARS-CoV-2 related virus (isolated from a *Pteropodiformes* bat) with probabilities of 0.6231 and 0.6492, respectively. All SARS-related and SARS-CoV-2 test strains (as given in Supplementary Figure 2) were predicted to be associated with the *Pteropodiformes* host group (Pterobat(%), Table 4).



**Fig. 2.** Accuracy of the GBMs model using features captured by different algorithms. (a) Accuracy of the GBMs model using  $GT + PN + M-SP$ features. (b) Accuracy of the GBMs model using GT + PN features. Dark points and coloured lines are median and SD, respectively. (c) Cumulative bagged accuracy of models with  $GT + PN$  (blue) and  $GT + PN + M-SP$ (red) on all 437 viruses from the first prediction to the fifth ranked prediction.

#### *Reservoir host prediction*







*<sup>a</sup>* The highest values by the PN- and MLDSP-based methods are marked in bold.

**Table 3.** Natural reservoir host of two coronaviruses predicted by the GT-PN-M-SP GBMs model



*<sup>a</sup>*RaTG13 is hCoV-19/bat/Yunnan/RaTG13/2013.

*<sup>b</sup>* The best ten individual GBMs of the 50 trained models are shown.

## **Discussion**

Convenient measures to rapidly identify the natural reservoir hosts of emerging human infectious viruses are needed as the current practice of field surveillance, laboratory experiments and phylogenetics is time consuming and often inconclusive (Viana, et al., 2014). We have proposed a strategy to improve an existing *in silico* model (Babayan, et al., 2018) for reservoir host prediction by capturing additional host associations based on sequence structural patterns, M-SP, derived from MLDSP (Randhawa, et al., 2019; Randhawa, et al., 2020a), that can be determined without requiring alignments. These were added as a consistent method for all sequences rather than the selection of the best 50 parameters from a variety of over 4,000 compositional parameters, as in (Babayan, et al., 2018). This led to an improvement in the accuracy of predictions. Application of the trained models to a set of SARS-related viruses indicated that they are associated with *Pteropodiformes* bats, consistent with prior knowledge. While the overall performance of the proposed model was better than the one without M-SP in testing on a total of 437 viruses, there were still incorrect predictions. Other potentially

informative host indicators at the molecular or habitat level are yet to be explored thoroughly (Brass, et al., 2009; Roy, et al., 2014; Woolhouse and Gowtage-Sequeria, 2005), and the overall approach discussed here has been extended to identify human-infecting viruses (Mollentze, et al., 2020). These ideas could help improve the accuracy of machine learning models and future work will look to refining the taxonomic level at which these predictions can be made. We acknowledge the essential role of field surveillance, laboratory experimentation and phylogenetics in providing

the knowledge base for this and similar works. We hope that our and related work will contribute to the response to outbreaks and be useful for further field surveillance and experimentation.

*Financial Support: none declared.*

Conflict of Interest: none declared.

**Table 4.** Natural reservoir host of SARS-related coronaviruses predicted by the GT-PN-M-SP GBMs model



*<sup>a</sup>*The best ten individual GBMs of the 50 trained models are shown.

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